November 12, 2018

Dr. G. Zanchetta
Editor,
The 4.2 ka BP Climatic Event Special Issue
Climate of the Past

Dear Dr. Zanchetta,

We thank you for your prompt handling of our manuscript entitled “Timing and Structure of the 4.2 ka BP Event in the Indian Summer Monsoon Domain from an Annually-Resolved Speleothem Record from Northeast India”. We have revised and uploaded our manuscript that incorporates your and the reviewers’ comments. A line by line response to your comments and those of reviewers and a list of revised changes are appended with this letter. The revised changes in the manuscript are highlighted in blue. In addition, we have made a slight but important change in the title by inserting “Evaluating the” before the current title. Hence the new title of our manuscript is “Evaluating the Timing and Structure of the 4.2 ka BP Event in the Indian Summer Monsoon Domain from an Annually-Resolved Speleothem Record from Northeast India”. We believe that this modified title more accurately reflects the content of our manuscript.

We very much appreciate your efforts, as well as those of the referees.

Sincerely,

Hai Cheng                                                      Gayatri Kathayat
Professor                        Post-Doctoral scholar
Institute of Global Environmental Change
Xi’an Jiaotong University
Xi’an 710049, China
cheng021@mail.xjtu.edu.cn

kathayatgayatrintl@gmail.com
kathayat@xjtu.edu.cn
Editor Comments and Response

think that the suggested changes and those discussed by the authors are sufficient to accept the manuscript, once checked that these have been inserted in the final version.

Answer: We thank you for providing your comments.

However, I suggest the authors to minimize the figures included in the supplementary materials. For instance, carbon isotope could be inserted in the text along with the additional figure with the regional records and related explanation.

Please note that in response to an earlier comment from reviewers, we offered to included new figures in the supplementary section. As per your comments however, which we fully agree with, we have removed the figures from the supplementary section and included them with the main text.

Answer: A couple of sentences to explain why carbon is not discussed can be sufficient.

Answer: Done

It is better to add the explanation about the Z-score in the text instead of in the caption of the figure.

Answer: Done
Reviewer #1 (comments to the Author):

**Comment #1.** Paper structure: -Part of the result are presented in the introduction. Especially, lines 107 to 110 should be moved and combined with the beginning of section 2. -Part of the discussion is within the method section, i.e. paragraph 2.2 (proxy interpretation) should be moved at the beginning of section 3. -Methods are also very confused. Please make separate paragraphs: one for sampling for stable isotope analyses, where the adopted sampling resolution should be stated (i.e. combine lines 167-171 with section 2.6); another for the U/Th dating (paragraph 2.4 is fine) and one for the age modelling procedure (paragraph 2.5 is a mix between method and results, e.g lines 193-196 are not method, they’re results). – The same for Results: I suggest a first paragraph (Chronology or similar) where periods of growth, resolution of the dating and temporal resolution of the stable isotope record are clearly stated. This information is now part in the intro, part in the methods and part in the results. Another paragraph should describe properly the new _18O record. Part now is in line 239-242 and part in section 3.2, but there is mixed with description of the previously published KM-A record, in my opinion this comparison should be moved later. It is fine to have it on a separate paragraph as it is now, but new stable isotope results, and the comparison between the two new record, should be described before. Also please remove discussion about replication from captions of figure 4. Captions should only describe the figures, they cannot contain part of the discussion. I suggest also to insert in this new paragraph a brief discussion about deposition occurring or not close to equilibrium condition. The replication of the same _18O pattern in the two new samples is a strong evidence for the “goodness” of the samples, but it needs to be clearly stated and it should be accompanied by some consideration about the petrographical features (see e.g. Frisia et al., 2002 or 2010). To this end, I think that also a description of the petrography of the samples (which is the dominant fabric? could it be interpreted as related to equilibrium condition?) is needed, maybe alongside their macroscopic appearance, which now is only briefly mentioned in lines 165-116 and 171-172).

**Answer #1.** We thank the reviewer for providing these suggestions. We have revised the manuscript structure incorporating the reviewer’s comments. Following the reviewer’s suggestion, we have improved the discussion to clearly describe that why replication test can be considered as a strong evidence for isotopic equilibrium conditions at time of speleothem formation (Line# 160-171). The detail petrography is beyond the scope of this paper but the basic petrographical examination of the sample indicates that there are no known petrographical features present in our samples that can be ascribes to disequilibrium growth of the speleothem samples.

**Comment #2.** Replication. I think that the use of ISCAM, Figure 4b and part of section 2.7 are not needed. The output of this method changes the final isotope values and this is, in my opinion, a little bit an artefact. I think the similarity between the ML1 and ML2 isotope curve is clear and convincing. And it can be better highlighted by some modification in Figure 4, i.e. by plotting ML1 and ML2 results on separate axes. In this way the readers can evaluate similarities and differences by
themselves. And I would do the same also in Fig. 5. line 241-242: Not clear what authors exactly mean with “karst-related differences”. Do they refer to different altitudes of recharge for the drips feeding the different stalagmites? Are there information about the rainfall isotopic altitudinal gradient? In some settings, differences of few hundreds meters in the main altitude of recharge can easily explain differences up to 0.5‰ in different speleothem oxygen records, even from the same cave chamber. Also, partitioning of the plumbing system, with different compartment having different mixing and residence time may account for these small differences. Please explain more clearly.

Answer #2. We have updated the Figure 4 by adding the raw data plot. We have further improved the explanation in the revised version.

Comment #3. Comparison with KM-A: lines 279-281: I think that authors are right and that the abrupt end of the 4.2 event in KM-A is likely to be related to dissolution features occurring near the top of the sample. However, I do not understand why the presence of aragonite should add support to this hypothesis: is it because aragonite is usually indicative of drier conditions (e.g. Frisia et al., 2002)? Or because the top mm of KM-A are not primary calcite but diagenetic calcite resulting from aragonite transformation? (but in this case, values should be anomalously enriched, and not depleted, see e.g. Zhang et al., 2014). Please explain this more clearly.

Answer #3. We have further improved the explanation in the revised version (Line # 233-237). However, based on previous studies and our observation inside the Mawmluh Cave, the aragonite coating is also quite widespread in several chambers of Mawmluh Cave and the local guides reported its appearance since the 1960s with the advent of intense mining activity above the cave (Biswas et al., 2009). The most depleted δ¹⁸O values in KM-A record defining the termination of the 4.2 ka event are recorded in the first 1-2 mm of calcite portion of KM-A sample just below the aragonite layer implying a possibility that the structure of the ‘4.2 ka event’ in the KM-A record could have been altered to some extent.

Comment #4. Discussion (section 3.3) There is no an indication on how the z-score was constructed and on what it means precisely, some explanation on this must be added. Also, I would enlarge the comparison with the other quoted records by creating a figure where all the _18O records are reported. Fig. 6 is, in my opinion, a nice synthesis, but it prevents the reader from evaluating independently the degree of coherence/ dissimilarity between the different records, the different temporal resolutions and so on. So I would add a figure with all the records to be put before the synthesis represented by Fig. 6. Finally, (but very important in my opinion!) the discussion is totally lacking some considerations about the potential causes and forcing for the observed ISM variability at time of the 4.2 event. There are several hypotheses about that, which were reported in some of the works that the author quote for comparison (e.g. solar variability, Staubwasser et al., 2003; feedbacks with mid-latitude westerlies, Berkelhammer et al., 2012; changes in large-scale tropical ocean-atmosphere dynamics, like in the Indian Ocean Dipole (IOD) and El Niño Southern Oscillation (ENSO), Dixit et
al., 2014, just to quote some..). These hypotheses need to be briefly presented and discussed on the light of the new results. This would add “scientific thickness” to the new record and would greatly improve the interest of this new study.

**Answer #4.** The Z-score was calculated by using the mean and standard deviation of the entire ML.1 δ18O record. We have added this explanation in the main text (Line # 239-242) and the figure caption. We have added a new proxy-syntheses figure 2 (using selected proxy records from the Indian Monsoon region). In the revised version we have improved the discussion section, incorporating the reviewer’s suggestions.

**Technical corrections:**

**Technical Remarks #1.** Table 1 must be moved into the main text. As one of the strengths of this work, and of speleothem works in general, is the accuracy and quality of the U/Th chronology, the readers should have information about the dating fully available.

**Correction #1.** Done.

**Technical Remarks #2.** 230Th dating is used throughout the text to indicate the Uranium-Thorium method and dating. I suggest replacing it with “U/Th dating”, as it is the more common and correct form to indicate this method.

**Correction #2.** Thank you for the suggestions. However, U/Th dates are also expressed as 230Th (see Table 1), and the publications from our group has used the same terminology therefore for being consistence we would prefer using 230Th.

**Technical Remarks #3.** line 17: I suggest to change “less clear” with “unclear”

**Correction #3.** Done.

**Technical Remarks #4.** line 43: climatic anomalies is a very vague term. It can indicate almost every climatic state, from very wet and warm to very cold and dry. The global expression of the event (which is almost everywhere characterized by dryness) needs to be better explained, at least in the introduction.

**Correction #4.** Done.

**Technical Remarks #5.** line 61: remove “a” before “two centuries..”

**Correction #5.** Done.
Technical Remarks #6. line 65: add “previous” or similar before “speleothem record”
Correction #6. Done.

Technical Remarks #7. line 65: Quote Fig. 1 after “Northeast India”, the same in line 89 line 88: add “expression of” before “the 4.2 event” line 91: remove “event” after “record” line 95: “only” is repeated twice in this sentence, remove one line 129: is the value of 11000 mm correct? line 265: change “manifest” with “manifests” or with “appears” line 270: change “margin of age uncertainties” with “combined age uncertainties” lines 287-288: Fig. 6 is quoted double, remove one line 299: there is a typo in “notably” Figure 5: U/Th ages are reported in Fig. 4, there is no need to report them also here.

Correction #7. Thank-you for highlighting the mistakes. We have done the corrections. The annual precipitation in this region is indeed approximately 11000 mm. The Mawmluh Cave is located near the town of Cherrapunji, which is one of the wettest locations on the planet. We provided 230Th dates in Figure 5 to further illustrate our chronologic constraints for the drought events discussed in the text.

Reviewer #2 (comments to the Author):

Comment #1. The author claim (L.92) that a sharp increase in the speleothem δ18O values implies a weaker ISM at ~ 4.07 ka. I agree, but some explanation is needed why it is true

Answer #1. Our rationale for interpreting the temporal variations in δ18O values of speleothem from Northeast India in terms of changes in the Indian monsoon strength. In the revised version we have further improved its discussion in the section 4.1.

Comment #2. L. 198-200: “The ML.1 and ML.2 age models and associated uncertainties were constructed using COPRA (Constructing Proxy Records from Age model) (Breitenbach et al., 2012), Bchron (Haslett et al., 2008) and ISCAM (Fohlmeister, 2012) age modeling schemes (Fig. 3), respectively. Not quite. Copra, Bchron, and ISCAM were used only for ML.1 (Fig. 3). For ML.2, only COPRA was used.

Answer #2. Reviewer is right. We have corrected this mistake in the revised version.

Comment #3. L. 218-220: the authors write “The subsamples (80μg) were continuously micromilled from ML. 1 and ML. 2 with typical increments between 50 and 100μm (dependent on growth-rates) along the stalagmites growth axes. This is a mistake. The growth-rate dictates the age difference
between the drilled samples. It does not affect the distance between the samples, which are drilled, regardless the growth-rate, with typical increments between 50 and 100µm.

**Answer #3.** As a matter of fact, we did use growth rate variations as a basis for determining the sub-sampling resolution for isotopic measurements. We have added a new text in the method section to describe our sampling protocol (Line # 51-54).

**Comment #4.** In L. 220 the authors write that $\delta^{13}$C was also measured. It is OK with me that the paper is based only on $\delta^{18}$O values, however, I suggest adding a short explanation why $\delta^{13}$C values are not shown and are not discussed in the present manuscript.

**Answer #4.** In the revised version, we have added a new figure 6, which shows the ML.1 and ML.2 $\delta^{13}$C profiles.

**Comment #5.** In L. 239, the authors write that: “The ML.1 and ML.2 $\delta^{18}$O values range between -6.6‰ and -4.4‰ with mean values of -5.80‰ and -5.43‰, respectively. Please check the values. I don’t see any $\delta^{18}$O higher than -5‰ in Fig. 4. O values of 0.4‰ between the two 18 L. 240-242: “A slight but systematic offset in the mean records may possibly stem from karst-related difference in the drip and/or degassing rates.” Please check the number. Examining the profiles shown in Fig. 4, I do not see an offset in the order of 0.4‰ between the two profiles. It seems to me that the offset is much lower. If so, then the explanation given in lines 241-2 is not necessary.

**Answer #5.** We thank the reviewer for pointing this out. We have corrected this error in the revised version. We have added updated figure 4 using The ML.1 and ML.2 $\delta^{18}$O raw dataset.

**Comment #6.** The difference obtained between the isotopic profiles of ML.1 and ML.2 and KM-A (Berkelhammer et al., 2012), is rather puzzling. It seems to me that the reason for the very low $\delta^{18}$O values measured for the time interval 3.9-3.7 ka in KM-A, not recorded in ML.1 and ML.2, is most likely due to diagenetic alteration of the top of the stalagmite, and I recommend to carefully examine the petrography of that portion and find evidence for recrystallization. It could be also that the youngest age (3.654 ka) measured for KM-A is incorrect. Since Berkelhammer is also a co-author in the present paper, I believe that the authors have access to KM-A stalagmite.

**Answer #6.** Unfortunately, we are unable to do further analysis on the KM-A sample for three reasons: 1) There is negligible material left at the top portion in the KM-A stalagmite. 2) The KM-A sample now serve as the Meghalayan Stage Stratotype and therefore it is now preserved by the International
Geological Congress. 3) Additional analysis on the KM-A sample is beyond the scope of our present study.

**Comment #7.** L. 265-270: “The 4.2 ka event in the KM-A record manifest as a two-step change, marked by O values (~0.6‰) between ~4.315 and 4.303 ka followed by a second 18 an initial increase in δ¹⁸O and more abrupt increase between ~ 4.071 and 4.049 ka BP..... The authors claim that the timing of most significant increase in both ML.1 and ML.2 δ¹⁸O values is similar to that observed in the KM-A profile though the amplitudes of δ¹⁸O change in our records are smaller by ~0.5 ‰. However, whereas the ~4.07 ka event is clear and significant also in ML.1 and ML.2 records, it is hardly observed at the ~4.3 ka event.

**Answer #7.** Reviewer is right that the 4.3 ka event peak is not visible on the ML.1 and ML.2 profiles. We have clarified this discrepancy in the revised version (Line # 222-224).

**Technical Remarks:**

**Technical Remarks #1.** In the Abstract (L. 20-22) it is written: “Our δ¹⁸O record is constrained by 18²³⁰Th dates with an average age uncertainty of ±13 years and a dating resolution of ~40 years…….” Whereas in L. 109 it is written that : “The ML.1 and ML.2 chronologies are established by 18²³⁰Th dates with age uncertainty of ±13 years (average dating resolution of ~40 years) and 5²³⁰Th dates with age uncertainty of ±16 years……” i.e., 23²³⁰Th ages.

**Correction #1.** We have revised the abstract to address the reviewer’s comments (Line # 28-34).

**Technical Remarks #2.** In L. 107 it is written: “δ¹⁸O records span from 4.440 to 3.780 ka BP and 4.530 to 3.370 ka BP, respectively…… However, according to the data shown in Fig. 2, the measured ²³⁰Th ages for ML.2 range between 4.541 and 3.479 ka. Please check.

**Correction #2.** Done.

**Technical Remarks #3.** In L. 108, the authors claim that “Our new record is sub-annually to annually resolved” whereas in L. 100 it is written that the “average δ¹⁸O resolutions of ~1 and ~5-year, respectively.

**Correction #3.** We have clarified this in the revised version (Line # 83-84).
Technical Remarks #4. In L. 141, I suggest to write: “The temperature variations in the cave are small (varying between 18.0–18.5°C) and…..”

Correction #4. Done.

Technical Remarks #5. L. 165: “above the cave floor in November 2015, ~700 meters from…”

Correction #5. Done.

Technical Remarks #6. L. 181: For sake of consistency (see L. 178), should be: “(Cheng et al., 2000 and 2013).”

Correction #6. Done.

Technical Remarks #7. In Fig. 4, only 3 ages are shown for ML.2. At least the 4.5 ka age should be added.

Correction #7. We have added Table 1 in the main text, which shows all (both ML.1 and ML.2) the 230Th dates.


Correction #8. Done.
List of revised changes

**Line #1:** Evaluating the

**Line # 28-34:** Our data suggest that the ISM intensity, in the context of the length of our record, abruptly decreased at ~4.0 ka BP (~±13 years), marking the onset of a multicentennial period of relatively reduced ISM, which was punctuated by at least two multidecadal long droughts between the ~3.9 and 4.0 ka BP. The latter stands out in contrast with some previous proxy reconstructions of the ISM, in which the ‘4.2 ka event’ have been depicted as a

**Line # 60:** (Figs. 1 and 2).

**Line # 83-84:** Our new records are sub-annually to annually (ML.1) and sub-decadally resolved (ML.2)

**Line # 117:** We obtained 18 and 5 $^{230}$Th dates for samples ML. 1 and ML. 2, respectively.

**Line # 141-143:** The ML.2 age model and associated uncertainties were constructed by only using the COPRA age modeling scheme (Breitenbach et al., 2012) (Fig. 4).

**Line # 146-149:** Subsamples for stable isotope measurements were obtained from ML.1 and ML.2 between depths 125–250 mm and 182–255 mm (depth from the top), respectively. Accordingly, we report our data with zero depths set at 125 mm and 182 mm from the top of stalagmites ML.1 and ML.2, respectively (Fig. 3).
Line # 151-154: The sample growth rates were determined by sample age models, which in turn, were used to determine the sub-sampling increments (typically between 50 and 100 µm) for attaining similar temporal resolutions throughout the sample (typically ~1 year for the ML.1 δ\(^{18}\)O record).

Line # 160-171: 2.5 Replication and isotopic equilibrium
Excellent replication between the ML.1 and ML.2 δ\(^{18}\)O profiles (Fig. 5) suggest that the precipitation of speleothem calcite in Mawmluh Cave essentially occurred at or near isotopic equilibrium conditions and the speleothem δ\(^{18}\)O records reflects primarily the meteoric precipitation δ\(^{18}\)O variations (Dorale et al., 1998; Wang et al., 2001). A high degree of replication has been argued as a definitive test of isotopic equilibrium. This is because if the records replicate, the effect of additional kinetic/vadose-zone processes on the calcite δ\(^{18}\)O must have been either absent or the exactly same for spatially separated stalagmites. Principally, each speleothem-drip-water pair can have distinctive combination of flow-path, CO\(_2\) content, residence time, solute concentrations, and prior calcite precipitation (PCP) history in the soil zone and epikarst above cave. Thus, the replication of different speleothem records suggests that such additional processes are not crucial.

Line # 181-190: The average \(^{230}\)Th dating uncertainties of the ML.1 and ML.2 records are ±13 and ±16 years, respectively (Fig. 3, Table 1 and Supplementary Table 1). Temporal resolutions of the ML.1 δ\(^{18}\)O record range from ~0.1 to ~3 years with an average resolution of ~1 year. All dates are in stratigraphic order within dating uncertainties. Of note, 9 ML.1 \(^{230}\)Th dates were obtained between 27 and 88mm depths (i.e., about one date every 7mm), covering the interval from 4.2 to 3.9 ka BP, the typical time range of the 4.2 ka event. The ML.1 and ML.2 δ\(^{18}\)O values range between -6.6 and -4.8 ‰ with the mean values of -5.80 and -5.43‰, respectively (Fig. 5). The average temporal resolution of the ML.2 record is ~5 years (Fig. 5). The δ\(^{13}\)C values in ML.1 and ML.2 ranges between -2.8‰ and 1.0‰ with the mean values of -1.0‰ and -0.8‰ respectively (Fig. 6).

Line # 191-212: 4.1 Proxy interpretations
The temporal variability in ISM $\delta^{18}O_p$ and consequently, speleothem $\delta^{18}O$ in the study area, has been well studied previously and attributed mainly to changes in spatially-integrated upstream rainfall of cave sites (e.g., Sinha et al., 2011; Breitenbach et al., 2010, 2015; Berkelhammer et al., 2012; Dutt et al., 2015; Kathayat et al., 2016; Cheng et al., 2016). A number of model simulations with isotope-enabled general circulation models (GCMs) also suggest a significant inverse relationship between upstream ISM rainfall amount and the $\delta^{18}O_p$ variations over the Indian subcontinent (e.g., Vuille et al., 2005; Pausata et al., 2011; Berkelhammer et al., 2012; Sinha et al., 2015; Midhun and Ramesh, 2016). Following these reasonings, we interpret the low and high $\delta^{18}O$ values in our records to reflect strong and weak ISM, respectively (e.g., Dayem et al., 2010; Sinha et al., 2011, 2015; Cheng et al., 2012; Berkelhammer et al., 2012; Breitenbach et al., 2015; Myers et al., 2015, Dutt et al., 2015; Kathayat et al., 2016; Kathayat et al., 2017). Climatic interpretation of speleothem $\delta^{13}C$ signal are however, more complex because the $\delta^{13}C$ variations can be driven by climatic changes as well as non-climate related local processes (Baker et al., 1997; Genty et al., 2003; Fairchild et al., 2009; Fohlmeister et al., 2011; Deininger et al., 2012; Scholz et al., 2012). A moderate to strong covariance between the ML.1 and ML.2 $\delta^{13}C$ and $\delta^{18}O$ profiles value ($r= 0.49$ and $0.66$, respectively) suggest that both proxies reflect a common response to changes in local hydrology of the region, however we cannot rule out non-climate related factors in producing this observed relationship. Consequently, the interpretative framework used in this study is mostly based on the speleothem $\delta^{18}O$ variability.

**Line # 222-224:** The ML.1 and ML.2 $\delta^{18}O$ profiles during the contemporaneous period with the KM-A record however, exhibit no step-like increase around ~4.3 ka BP

**Line # 233-237:** depths (corresponding to ~3.65 and 5.08 ka BP) (Fig. 7), which may have either altered the age of the top date of the KM-A (i.e., making it younger than its true age) or affected the $\delta^{18}O$ values of calcite during this period. However, without a comprehensive, petrographic examination of the KM-A sample, we are unable to assess the aforementioned reasons for such differences.
4.3 The ISM variability and possible climate forcing

The z-score transformed ML.1 δ¹⁸O profile (Fig. 8) illustrates the ISM variability between ~3.8 and 4.6 ka BP. The z-score is calculated by using the equation of the form \( z = \frac{x - \mu}{\sigma} \), where \( x \) represents the individual ML.1 δ¹⁸O value and \( \mu \) and \( \sigma \) are the mean and the standard deviation of the entire ML.1 δ¹⁸O record.

These aspects of our ISM reconstruction differ from previous proxy records from the ISM domain, which typically portray the 4.2 ka event as a multi-century drought (e.g., Berkelhammer et al., 2012; Dixit et al., 2014). Our new data however, demonstrate that prominent decadal to multi-decadal variability together with intermittent occurrence of multidecadal periods of low rainfall was the dominant mode of ISM variability during the period coeval with the 4.2 ka event (Figs. 5 and 8). These observations are consistent with previous reconstructions of ISM variability from high-resolution proxy records from the Indian subcontinent over the last two millennia (e.g., Sinha et al., 2011 and 2015; Kathayat et al., 2017) as well as during the instrumental period (e.g., Krishnamurthy et al., 2000; Goswami et al., 2006a). Periodic perturbations in coupled modes of ocean-atmosphere variability, such as the El Niño Southern Oscillation (ENSO), and/or dynamical processes intrinsic to the monsoon system such as quasi-periodic episodes of intense (“Active”) and reduced (“Break”) monsoon rainfall, are key processes that are known to produce multidecadal periods of droughts over large parts of Asia. For instance, Sinha et al. (2011) suggest that ISM circulation can “lock” into decadal to multidecadal long periods of “break-dominated” mode of ISM circulation that promote enhanced convection over the eastern equatorial Indian Ocean, which in turn, suppresses convection and rainfall over the continental monsoon regions. Additionally, the source of multidecadal droughts may also stem from switch-on of the modern ENSO regime around the 4.2 ka event, which would presumably also weaken the ISM (e.g., Donders et al., 2008; Conroy et al., 2008).
In conclusion, our new record from the Mawmluh Cave in Meghalaya, India provides a high-resolution history of ISM during a period contemporaneous with the 4.2 ka event. While our record shares broad similarities with a previous lower-resolution (~6 years) reconstruction of ISM from the same cave (Berkelhammer et al., 2012), key differences between the two records are also evident, which are likely due to the more refined age controls (~9 $^{230}$Th dates spanning the 4.2 ka event interval and higher (annual) temporal resolution of our record. Our reconstruction suggests that the ISM exhibited prominent decadal to multicentennial variability, including sporadic but prominent multidecadal periods of reduced ISM rainfall (droughts), during the period spanning the 4.2 ka event. These aspects of our reconstruction are qualitatively similar to ISM variability during the late and middle Holocene as inferred from the previous speleothem-based reconstructions of ISM from the Indian subcontinent (e.g., Kathayat et al., 2017).
Evaluating the Timing and Structure of the 4.2 ka BP Event in the Indian Summer Monsoon Domain from an Annually-Resolved Speleothem Record from Northeast India

Gayatri Kathayat¹, Hai Cheng¹,²*, Ashish Sinha¹, Max Berkelhammer³, Haiwei Zhang¹, Pengzhen Duan¹, Hanying Li¹, Xiangley Li¹, Youfeng Ning¹, Richard Lawrence Edwards⁵

¹ Institute of Global Environmental Change, Xi’an Jiaotong University, China
² Department of Earth Sciences, University of Minnesota, Minneapolis, USA
³ Department of Earth Science, California State University Dominguez Hills, Carson, USA
⁴ Department of Earth and Environmental Sciences, University of Illinois, Chicago, USA

Correspondence to: Gayatri Kathayat (kathayat@xjtu.edu.cn) & Hai Cheng (cheng021@xjtu.edu.cn).

Abstract

A large array of proxy records suggests that the ‘4.2 ka event’ marks an ~ three hundred years long period (~3.9 to 4.2 ka BP) of major climate change across the globe. However, the climatic manifestation of this event, including its onset, duration, and termination, remains less clear in the Indian summer monsoon (ISM) domain. Here, we present new oxygen isotope (δ¹⁸O) data from a pair of speleothems (ML.1 & ML.2) from Mawmluh Cave, Meghalaya, India that provide a high-resolution record of ISM variability during a period (~3.78 and 4.44 ka BP) that fully encompasses the 4.2 ka event. The sub-annual to annually resolved ML.1 δ¹⁸O record is constrained by 18²³⁰Th dates with average dating error of ±13 years (2σ) and resolution of ~40 years allow us to characterize the ISM variability with an unprecedented detail. The inferred pattern of ISM variability during the period contemporaneous with the ‘4.2 ka event’ shares broad similarities as well as key differences with the previous reconstructions of ISM from the Mawmluh Cave and other proxy records from the region. Our data suggest that the ISM intensity, in the context of the length of our record, abruptly decreased at ~4.0 ka BP (~± 13 years), marking the onset of a multicentennial period of relatively reduced ISM, which was punctuated by at least two multidecadal long droughts between the ~3.9 and 4.0 ka BP. The latter stands out in contrast with some previous proxy reconstructions of the ISM, in which the ‘4.2 ka event’ have been depicted as a singular multi-centennial period of drought.
1. Introduction

The time interval between 4.2 and 3.9 ka BP (thousand years before present, where present =1950 AD) constitutes an important period from both climatological and archeological perspectives (e.g., Weiss et al., 1993; Cullen et al., 2000; Staubwasser et al., 2003; Berkelhammer et al., 2012; Weiss, 2016). A global suite of proxy records shows widespread climate anomalies during the time (commonly referred as the ‘4.2 ka event’) (e.g., Cullen et al., 2000; Staubwasser et al., 2003; Arz et al., 2006; Drysdale et al., 2006; Menounos et al., 2008; Liu and Feng, 2012; Berkelhammer et al., 2012; Dixit et al., 2014; Cheng et al. 2015; Nakamura et al., 2016; Dixit et al., 2018; Railsback et al., 2018). Additionally, a number of archeological studies also suggest that the ‘4.2 ka event’ was associated with a series of cultural and societal changes in the Mediterranean, Middle East, Africa, South and East Asia (e.g., Weiss et al., 1993; Enzel et al., 1999; Cullen et al., 2000; Staubwasser et al., 2003; Marshall et al., 2011; Liu and Feng, 2012; Dixit et al., 2014; Weiss, 2016). For example, the ‘4.2 ka event’ has been proposed to have contributed to collapses of the early Bronze age civilizations, including the Longshan Culture in China (Chang, 1999; Liu and Feng, 2012), Egyptian Old Kingdom by the Nile River (Stanley et al., 2003), and the Akkadian Empire in Mesopotamia (Weiss et al., 1993; Cullen et al., 2000). In South Asia, the 4.2 ka event has been linked to a weakening of the Indian summer monsoon (ISM) and the ensuing deurbanization of the Indus Valley Civilization (Staubwasser et al., 2003; Madella and Fuller, 2006; Dixit et al., 2014; Giosan et al., 2012; Berkelhammer et al., 2012; Kathayat et al., 2017; Dixit et al., 2018).

A number of proxy records from the Indian subcontinent suggest that a major weakening of the ISM occurred around the ‘4.2 ka event’ (Staubwasser et al., 2003; Berkelhammer et al., 2012; Dixit et al., 2014; Nakamura et al., 2016; Kathayat et al., 2017) (Figs. 1 and 2). The 4.2 ka event has been generally described as an approximately a two to three-centuries-long interval of drought (e.g., Berkelhammer et al., 2012; Dixit et al., 2014; Nakamura et al., 2016), which was superimposed on a longer-term insolation-induced weakening of the ISM during the Holocene (e.g., Kathayat et al., 2017). The timing, structure and magnitude of the 4.2 ka event in the ISM regime however, remain unclear because most proxy records from the region have low temporal precision and insufficient resolution to precisely characterize the event (e.g., Staubwasser and Weiss, 2006; Prasad and Enzel, 2006; Nakamura et al., 2016; Dixit et al., 2018). In addition, the 4.2 ka event is notably absent in a recent high-resolution speleothem
oxygen isotope ($\delta^{18}$O) record from Sahiya Cave in northern India (Kathayat et al., 2017) that exhibits a long-term drying trend from ~4.2 to 3.5 ka BP.

A high-resolution (~6 years) $\delta^{18}$O record (KM-A) from Mawmluh Cave, located in the state of Meghalaya in Northeast India has previously provided evidence of the ‘4.2 ka event’ from the ISM domain (Berkelhammer et al. 2012). The KM-A record was recently used to formally ratified the post-4.2 ka BP time as the Meghalayan Age (Walker et al., 2018). However, the timing and duration of the 4.2 ka event in the KM-A record is constrained by only three $^{230}$Th dates (5048 ±32, 4112 ±30 and 3654 ±20 ka BP) and additionally, the youngest date defining the termination of the event and/or the $\delta^{18}$O values from the top ~30 mm of the KM-A sample that help define the event may have been potentially affected by diagenetic changes. In this study, we present new high-resolution $\delta^{18}$O data from two stalagmites (ML.1 and ML.2) from the same cave (Figs. 1 and 3, Table. 1). The ML.1 and ML.2 $\delta^{18}$O records span from 4.44 to 3.78 ka BP and 4.53 to 3.70 ka BP, respectively, encompassing the 4.2 ka event completely. Our new records are sub-annually to annually (ML.1) and sub-decadally resolved (ML.2) and have unprecedented chronologic constraints, which allow us to characterize the nature of ISM variability during the 4.2 ka event more precisely than previously possible.

2 Samples and Methods

2.1 Cave location and climatology

Mawmluh Cave (25°15′32″N, 91°42′45″E, 1290 m asl) is located near the town of Sohra (Cherrapunji) at the southern fringe of the Meghalayan Plateau in Northeast India (Fig. 1). The mean annual rainfall is ~11,000 mm in the region, 70% of which falls during the peak ISM months (June-September) (Murata et al., 2007). The rainfall at the cave site during the ISM period is mainly produced by convective systems and low-level air parcels originated from the Bay of Bengal, which propagates further northward and penetrate farther into the Tibetan Plateau (Sengupta and Sarkar, 2006; Breitenbach et al., 2010). The non–monsoonal component of rainfall is trivial and consists of the westerly related moisture as well as recycled local moisture (Breitenbach et al., 2010, 2015; Berkelhammer et al., 2012). The cave is overlain by 30–100 m thick and heavily karstified host rock (limestone, sandstone, and a 40–100 cm thick coal layer) (Breitenbach et al., 2010). The soil layer above the cave is rather thin (5–15 cm).
and covered mainly by grasses and bushes. Cave monitoring data (Breitenbach et al., 2010) indicate that the relative humidity inside the cave is more than 95% even during the dry season (November to April). Temperature variations in the cave are small (18.0–18.5°C) and close to the mean annual temperature of the area (Breitenbach et al., 2010, 2015). A 3-year cave monitoring results suggest that cave drip-water δ18O signals lag corresponding local rainfall by less than 1 month, and thus preserve seasonal signals of ISM rainfall (Breitenbach et al., 2010). Previous studies have indicated that variations in the δ18O of speleothem calcite from Mawmluh Cave reflect changes in the amount-weighted δ18O of precipitation (δ18Op) values (Breitenbach et al., 2010 and 2015; Berkelhammer et al., 2012; Myers et al., 2015; Dutt et al., 2015).

The ML.1 and ML.2 samples from Mawmluh Cave were collected in November 2015 at ~4–5m above the cave floor and ~700m from the cave entrance. Diameters of ML.1 and ML.2 are ~170 and 165 mm, and lengths ~315 and ~311 mm, respectively. Both stalagmite samples were cut along their growth axes using a thin diamond blade. There are no visible changes in the texture or hiatuses in the above sample intervals that we used for this study (Fig. 3).

2.2 230Th dating

We obtained 18 and 5 230Th dates for samples ML. 1 and ML. 2, respectively. Sub-samples for 230Th dating (~30 mg) were drilled from ML.1 and ML.2 by using a 0.5 mm carbide dental drill. The 230Th dating was performed at the Xi’an Jiaotong University, China using Thermo-Finnigan Neptune-plus multi-collector inductively coupled plasma mass spectrometers (MC-ICP-MS). The method is described in Cheng et al. (2000, 2013). We used standard chemistry procedures (Edwards et al., 1987) to separate uranium and thorium. A triple-spike (229Th–233U–236U) isotope dilution method was used to correct instrumental fractionation and to determine U/Th isotopic ratios and concentrations (Cheng et al., 2000, 2013). U and Th isotopes were measured on a MasCom multiplier behind the retarding potential quadrupole in the peak-jumping mode using the standard procedures described in Cheng et al. (2000). Uncertainties in U/Th isotopic measurements were calculated offline at 2σ level, including corrections for blanks, multiplier dark noise, abundance sensitivity, and contents of the same nuclides in spike solution. The U decay constants are reported in Cheng et al. (2013). Corrected 230Th ages assume the initial 230Th/232-Th atomic ratio of 4.4 ±2.2 ×10−6, the values for material at secular equilibrium with the bulk earth 232Th/238U value of 3.8. The corrections are small because the uranium concentrations of the samples are high (~6 ppm) and detrital 232Th components are low (average <170 ppt) in (Table 1 and Supplementary Table 1).
2.3 Age models

The ML.1 age models and associated age uncertainties were constructed using COPRA (Constructing Proxy Records from Age model) (Breitenbach et al., 2012), Behron (Haslett et al., 2008) and ISCAM (Fohlmeister, 2012) age modeling schemes (Fig. 4). All three modeling schemes yielded nearly identical results and the conclusions of this study are then not sensitive to the choice of different age models (Fig. 4). The ML.2 age model and associated uncertainties were constructed by only using the COPRA age modeling scheme (Breitenbach et al., 2012) (Fig. 4).

2.4 Stable isotope analysis

The ML.1 and ML.2 $\delta^{18}O$ records are established by ~970 and ~238 stable isotope measurements, respectively (Figs. 5, 6 and Supplementary Table 2). Subsamples for stable isotope measurements were obtained from ML.1 and ML.2 between depths 125–250 mm and 182–255 mm (depth from the top), respectively. Accordingly, we report our data with zero depths set at 125 mm and 182 mm from the top of stalagmites ML.1 and ML.2, respectively (Fig. 3). We used New Wave Micromill, a digitally controlled tri-axial micromill equipment, to obtain the subsamples. The sample growth rates were determined by sample age models, which in turn, were used to determine the sub-sampling increments (typically between 50 and 100 $\mu$m) for attaining similar temporal resolutions throughout the sample (typically ~1 year for the ML.1 $\delta^{18}O$ record). The $\delta^{18}O$ and $\delta^{13}C$ were measured using Finnigan MAT-253 mass spectrometer coupled with an on-line carbonate preparation system (Kiel-IV) in the Isotope Laboratory, Xi’an Jiaotong University. Results are reported in per mil (‰) relative to the Vienna PeeDee Belemnite (VPDB) standard. Duplicate measurements of standards NBS19 and TTB1 show a long-term reproducibility of ~0.1‰ (1σ) or better (Figs. 5, 6 and Supplementary Table 2)

2.5 Replication and isotopic equilibrium

Excellent replication between the ML.1 and ML.2 $\delta^{18}O$ profiles (Fig. 5) suggest that the precipitation of speleothem calcite in Mawmluh Cave essentially occurred at or near isotopic equilibrium conditions and the speleothem $\delta^{18}O$ records reflects primarily the meteoric precipitation $\delta^{18}O$ variations (Dorale et al., 1998; Wang et al., 2001). A high degree of replication has been argued as a definitive test of isotopic equilibrium. This is because if the records replicate, the effect of additional kinetic/vadose-zone processes on the calcite $\delta^{18}O$
must have been either absent or the exactly same for spatially separated stalagmites. Principally, each speleothem-drip-water pair can have distinctive combination of flow-path, CO2 content, residence time, solute concentrations, and prior calcite precipitation (PCP) history in the soil zone and epikarst above cave. Thus, the replication of different speleothem records suggests that such additional processes are not crucial. We assessed the degree of replication between ML.1 and ML.2 δ18O records by using the ISCAM (Intra-Site Correlation Age Modeling) algorithm (Fohlmeister, 2012). The ISCAM finds the best correlation between proxy records within the combined age uncertainties of two records by using a Monte Carlo approach. Significant levels were calculated against a red-noise background from 1,000 pairs of artificially simulated first-order autoregressive time series (AR1). The ML.1 and ML.2 δ18O time series on ISCAM derived age models display a statistically significant correlation (r = 0.58 at 95% confidence level) over their contemporary growth period between ~4.4 and 3.8 ka BP.

3 Results

3.1 Results

The average 230Th dating uncertainties of the ML.1 and ML.2 records are ±13 and ±16 years, respectively (Fig. 3, Table 1 and Supplementary Table 1). Temporal resolutions of the ML.1 δ18O record range from ~0.1 to ~3 years with an average resolution of ~1 year. All dates are in stratigraphic order within dating uncertainties. Of note, 9 ML.1 230Th dates were obtained between 27 and 88mm depths (i.e., about one date every 7mm), covering the interval from 4.2 to 3.9 ka BP, the typical time range of the 4.2 ka event. The ML.1 and ML.2 δ18O values range between -6.6 and -4.8 ‰ with the mean values of -5.80 and -5.43 ‰, respectively (Fig. 5). The average temporal resolution of the ML.2 record is ~5 years (Fig. 5). The δ13C values in ML.1 and ML.2 ranges between -2.8‰ and 1.0‰ with the mean values of -1.0‰ and -0.8‰ respectively (Fig. 6).

4 Discussion and Conclusions

4.1 Proxy interpretations

The temporal variability in ISM δ18Op and consequently, speleothem δ18O in the study area, has been well studied previously and attributed mainly to changes in spatially-integrated upstream rainfall of cave sites (e.g., Sinha et al., 2011; Breitenbach et al., 2010, 2015; Berkelhammer et al., 2012; Dutt et al., 2015; Kathayat et al., 2016; Cheng et al., 2016). A number of model simulations with isotope-enabled general circulation models (GCMs) also suggest a significant inverse relationship between upstream ISM rainfall amount and the δ18Op.
variations over the Indian subcontinent (e.g., Vuille et al., 2005; Pausata et al., 2011; Berkelhammer et al., 2012; Sinha et al., 2015; Midhun and Ramesh, 2016). Following these reasonings, we interpret the low and high δ18O values in our records to reflect strong and weak ISM, respectively (e.g., Dayem et al., 2010; Sinha et al., 2011, 2015; Cheng et al., 2012; Berkelhammer et al., 2012; Breitenbach et al., 2015; Myers et al., 2015, Dutt et al., 2015; Kathayat et al., 2016; Kathayat et al., 2017). Climatic interpretation of speleothem δ13C signal are however, more complex because the δ13C variations can be driven by climatic changes as well as non-climate related local processes (Baker et al., 1997; Genty et al., 2003; Fairchild et al., 2009; Fohlmeister et al., 2011; Deininger et al., 2012; Scholz et al., 2012). A moderate to strong covariance between the ML.1 and ML.2 δ13C and δ18O profiles value ($r= 0.49$ and $0.66$, respectively) suggest that both proxies reflect a common response to changes in local hydrology of the region, however we cannot rule out non-climate related factors in producing this observed relationship. Consequently, the interpretative framework used in this study is mostly based on the speleothem δ18O variability.

4.2 Comparisons between the KM-A and ML.1/ML.2 δ18O records

The ‘4.2 ka event’ in the KM-A record (Berkelhammer et al., 2012) manifests as a two-step change marked by an initial increase in the δ18O values (~0.6‰) between ~4.31 and 4.30 ka followed by another abrupt increase between ~ 4.07 and 4.05 ka BP. The period between 4.05 and 3.87 in the KM-A profile is characterized by the most enriched δ18O values over the entire record (~1.5‰ higher than the background values before the event) (Fig. 7), delineating ~180 years of substantially weaker ISM. This multi-centennial period of enriched δ18O values was terminated abruptly by a sharp return (<20 years) to depleted δ18O values implying a resumption of stronger monsoon. The ML.1 and ML.2 δ18O profiles during the contemporaneous period with the KM-A record however, exhibit no step-like increase around ~4.3 ka BP and instead, an abrupt increase in the δ18O values at ~4.01 ka BP, which are superimposed over a gradually increasing trends over the entire length of the records. The timings and magnitude of this abrupt increase in the δ18O values in both ML.1 and ML.2 profiles are comparable to that observed in the KM-A profile (within the combined age uncertainties of both record) (Fig. 7). A key difference between the KM-A, ML.1 and ML.2 δ18O profiles however, is the absence of a sharp decrease in the δ18O values at ~3.87 ka BP in our records, which mark the termination of the 4.2 ka event in the KM-A record. One of possibilities of this apparent difference is due to the large uncertainties of the KM-A record.
Another plausible source of the difference may stem from dissolution of speleothem calcite of the KM-A sample between 0 and 29 mm depths (corresponding to ~3.65 and 5.08 ka BP) (Fig. 7), which may have either altered the age of the top date of the KM-A (i.e., making it younger than its true age) or affected the δ^{18}O values of calcite during this period. However, without a comprehensive, petrographic examination of the KM-A sample, we are unable to assess the aforementioned reasons for such differences.

### 4.3 The ISM variability and possible climate forcing

The z-score transformed ML.1 δ^{18}O profile (Fig. 8) illustrates the ISM variability between ~3.8 and 4.6 ka BP. The z-score is calculated by using the equation of the form $z = (x - \mu) / \sigma$, where $x$ represents the individual ML.1 δ^{18}O value and $\mu$ and $\sigma$ are the mean and the standard deviation of the entire ML.1 δ^{18}O record. The interval marking the onset of 4.2 ka event in our record (~4.255 ka BP) is marked by a transition from a pluvial (inferred by the lower δ^{18}O values) to variable ISM (dry/wet) conditions, with the latter superimposed by a few short-term (< decade) droughts (Fig. 8). Subsequently, the period between 4.07 and ~4.01 ka BP is marked by persistently lower δ^{18}O values implying stronger ISM (Fig. 8). The latter was terminated by a rapid increase in the δ^{18}O values (~1.0‰, Fig. 5) suggesting an abrupt weakening of the ISM at ~4.01 ka BP that occurred within a period of ~10 years. Notably, as discussed above, the ML.1 and ML.2 δ^{18}O profiles show gradual increasing trends over the entire length of the record, which was punctuated by two multidecadal weak monsoon events centered at ~3.970 (~20 years) and ~3.915 (~25 years) ka BP, respectively (Fig. 8). These aspects of our ISM reconstruction differ from previous proxy records from the ISM domain, which typically portray the 4.2 ka event as a multi-century drought (e.g., Berkelhammer et al., 2012; Dixit et al., 2014). Our new data however, demonstrate that prominent decadal to multidecadal variability together with intermittent occurrence of multidecadal periods of low rainfall was the dominant mode of ISM variability during the period coeval with the 4.2 ka event (Figs. 5 and 8). These observations are consistent with previous reconstructions of ISM variability from high-resolution proxy records from the Indian subcontinent over the last two millennia (e.g., Sinha et al., 2011 and 2015; Kathayat et al., 2017) as well as during the instrumental period (e.g., Krishnamurthy et al., 2000; Goswami et. al., 2006a). Periodic perturbations in coupled modes of ocean-atmosphere variability, such as the El Niño Southern Oscillation (ENSO), and/or dynamical processes intrinsic to the monsoon system such as quasi-periodic episodes of intense (“Active”) and reduced (“Break”) monsoon rainfall, are key processes that are known to produce multidecadal periods of droughts over large parts of Asia. For instance,
Sinha et al. (2011) suggest that ISM circulation can “lock” into decadal to multidecadal long periods of “break-dominated” mode of ISM circulation that promote enhanced convection over the eastern equatorial Indian Ocean, which in turn, suppresses convection and rainfall over the continental monsoon regions. Additionally, the source of multidecadal droughts may also stem from switch-on of the modern ENSO regime around the 4.2 ka event, which would presumably also weaken the ISM (e.g., Donders et al., 2008; Conroy et al., 2008).

In conclusion, our new record from the Mawmluh Cave in Meghalaya, India provides a high-resolution history of ISM during a period contemporaneous with the 4.2 ka event. While our record shares broad similarities with a previous lower-resolution (~6 years) reconstruction of ISM from the same cave (Berkelhammer et al., 2012), key differences between the two records are also evident, which are likely due to the more refined age controls (~9 ²³⁰Th dates spanning the 4.2 ka event interval and higher (annual) temporal resolution of our record. Our reconstruction suggests that the ISM exhibited prominent decadal to multicentennial variability, including sporadic but prominent multidecadal periods of reduced ISM rainfall (droughts), during the period spanning the 4.2 ka event. These aspects of our reconstruction are qualitatively similar to ISM variability during the late and middle Holocene as inferred from the previous speleothem-based reconstructions of ISM from the Indian subcontinent (e.g., Kathayat et al., 2017).

5 Author Contributions

G.K. and H.C. designed the research and experiments. GK wrote the first draft of the manuscript. H.C. A.S. and M.B. revised the manuscript. G.K., H.C. and L.X.L. did the fieldwork and collected the samples. G.K., H.C., H.W.Z., and R.L.E. conducted the ²³⁰Th dating. G.K., P.Z.D. and L.H.Y conducted the oxygen isotope measurements. All authors discussed the results and provided inputs on the manuscript.

6 Competing interests

The authors declare no competing financial interests.

7 Acknowledgments

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8 Data and materials availability

All data needed to evaluate the conclusions in the paper are presented in the paper. Additional data related to this paper may be requested from the authors. The data will be archived at the NOAA National Climate Data Center (https://www.ncdc.noaa.gov/data-access/paleoclimatology-data). Correspondence and requests for materials should be addressed to G.K. (kathayat@xjtu.edu.cn) and H.C. (cheng021@xjtu.edu.cn).

References:


**Figures**

**Figure 1. Location map and spatial structure of mean JJAS precipitation and low-level winds.** (A) JJAS precipitation from the Tropical Rainfall Measuring Mission (TRMM). The locations of Mawmluh Cave (white circle) and other proxy records mentioned in the text (yellow circles and numbers). The numbering scheme is as follows: 1, Sahiya cave (Kathayat et al., 2017); 2, Lake Rara (Nakamura et al., 2016); 3, Kotla Dhar (Dixit et al., 2014); 4, Mawmluh Cave (Berkelhammer et al., 2012); and 5, Indus Delta (Staubwasser et al., 2003). (B) 850 hPa-level monsoon vector from zoomed Laboratoire de Meteorologie Dynamique (LMDZ) general circulation model with telescoping zooming (figure adapted and modified from Sabin et al., 2013). The zoom version shows a well-defined cyclonic circulation with westerlies on the southern flanks and easterly winds on the northern flanks of the Monsoon Trough. The Mawmluh Cave is ideally located to record upstream variations in the overall strength of the ISM (see text).
Figure 2. Proxy records from the Indian subcontinent. The select proxy records from the Indian monsoon domain as follows: from the top are Kotla Dhar (Dixit et al., 2014), Lake Rara (Nakamura et al., 2016), Lonar Lake (Sarkar et al., 2015), Indus Delta (Staubwasser et al., 2003), Mawmluh Cave (Berkelhammer et al., 2012, purple) and this study (orange), Sahiya Cave (Kathayat et al., 2017). The yellow bar highlights the temporal duration of the 4.2 ka BP event.
Figure 3. Samples photograph: The total length of ML.1 and ML.2 samples is 315 & 311mm respectively. The arrows indicate the dating sub-sampling location and the $^{230}$Th dates with the 2σ analytical error (also see, Table 1 and Supplementary Table 1). The cm scale indicates the location of isotopic measurements, enclosing the interval of interest within both the samples.
Figure 4. Age Models of ML.1 and ML.2 records. We adopted COPRA and generated 2000 realizations of age models to account for the dating uncertainties (2.5 and 97.5% quantile) confidence limits. (A) ML-1 age models and modeled age uncertainties using 3 different age-modeling algorithms, COPRA (black), Bchron (purple) and ISCAM (red). The gray band depicts the 95% confidence interval using COPRA. Error bars on $^{230}$Th dates represent a 2σ analytical error. (B) ML.2 age model and modeled age uncertainties using COPRA.
Figure 5. Comparison between ML.1 and ML.2 δ¹⁸O profiles over the period of overlap. The ML.1 (A) and ML.2 (B) profiles are on their independent age models. The circles with horizontal error bars depict $^{230}$Th dates and errors (2σ) (also see Table 1 and Supplementary Tables 1 and 2). (C) Comparison between the ML.1 and ML.2 δ¹⁸O profiles based on ISCAM algorithm (Fohlmeister, 2012).
Figure 6. The $\delta^{18}O$ and $\delta^{13}C$ profiles of ML.1 and ML.2. (A) The ML.1 $\delta^{18}O$ (orange) and $\delta^{13}C$ (green). (B) The ML.2 $\delta^{18}O$ (purple) and $\delta^{13}C$ (blue) on their independent age models. The Pearson correlation ($r$) and its 95% confidence interval together with actual and effective sample size (after considering autocorrelation in each profile) are shown on the figure.
Figure 7. Comparison between the KM-A, ML.1, and ML.2 δ¹⁸O profiles: (A) An image of KM-A stalagmite (Berkelhammer et al., 2012). The yellow dots indicate three ²³⁰Th dates. The black curve marks the potential dissolution surface. The white aragonite layer above the dissolution surface was deposited after the 1950s with the advent of limestone mining above the Mawmluh Cave (Breitenbach et al., 2010). (B) The dotted lines delineate the portion of KM-A δ¹⁸O record (red; ~4.4 ka to 3.654 ka BP) (Berkelhammer et al., 2012) discussed in the text. (C) The ML.2 δ¹⁸O profile (blue) (this study) is overlaid by 6-years interpolated ML.1 δ¹⁸O profile (green) (this study, also see, Supplementary Table 2). The horizontal error bars (red, green and blue) on the ²³⁰Th dates represent a 2σ analytical error. The vertical grey bar indicates the inferred duration of weakest (driest phase) of ISM as indicated by the KM-A and ML δ¹⁸O records. The yellow bar indicates the interval of anomalously depleted δ¹⁸O values in KM-A record.
Figure 8. The inferred pattern of ISM variability during the 4.2 ka BP Event: The ML.1 δ¹⁸O record is shown here as z-score (left y-axis) and anomalies (right y-axis). The horizontal dashed lines indicate one-standard deviation and the vertical color saturated shaded bars denote periods of inferred drier (yellow) pluvial (green) and variable conditions. The vertical red bars delineate the periods of multidecadal droughts (z-score > 1). The horizontal dashed double arrows mark the commonly accepted duration of the 4.2 ka event (see text) and the horizontal shaded bars indicate broad hydroclimate patterns inferred from other regional proxy records as mentioned in the text (also see Figs. 1 and 2). The circles with 2σ error bars show a subset of ²³⁰Th dates (see Table 1 and Supplementary Table 1 for a complete listing of ²³⁰Th dates).
Table 1: $^{230}$Th dating results with the 2σ analytical error.

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<th>Sample</th>
<th>$^{238}$U (ppb)</th>
<th>$^{232}$Th (ppt)</th>
<th>$^{234}$U* (measured)</th>
<th>$^{230}$Th / $^{238}$U (activity)</th>
<th>$^{230}$Th Age (yr) (corrected)</th>
<th>$^{234}$U Initial** (corrected)</th>
<th>$^{230}$Th Age (yr BP)*** (corrected)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ML.1.1F</td>
<td>6106 ±6</td>
<td>164 ±7</td>
<td>-274.2 ±0.9</td>
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<td>-277 ±1</td>
<td>3779 ±14</td>
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<tr>
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<td>123 ±5</td>
<td>-272.3 ±0.9</td>
<td>0.0256 ±0.0001</td>
<td>3917 ±13</td>
<td>-275 ±1</td>
<td>3855 ±13</td>
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<tr>
<td>ML.1.3F</td>
<td>6981 ±9</td>
<td>72 ±4</td>
<td>-271.8 ±1.0</td>
<td>0.0257 ±0.0001</td>
<td>3923 ±12</td>
<td>-275 ±1</td>
<td>3861 ±12</td>
</tr>
<tr>
<td>ML.1.4F</td>
<td>6378 ±7</td>
<td>170 ±6</td>
<td>-270.3 ±0.9</td>
<td>0.0258 ±0.0001</td>
<td>3938 ±14</td>
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<td>3876 ±14</td>
</tr>
<tr>
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<td>111 ±4</td>
<td>-271.5 ±0.9</td>
<td>0.0259 ±0.0001</td>
<td>3948 ±11</td>
<td>-275 ±1</td>
<td>3886 ±11</td>
</tr>
<tr>
<td>ML.1.6F</td>
<td>7702 ±10</td>
<td>189 ±5</td>
<td>-270.1 ±1.0</td>
<td>0.0260 ±0.0001</td>
<td>3964 ±11</td>
<td>-273 ±1</td>
<td>3902 ±11</td>
</tr>
<tr>
<td>ML.1.7F</td>
<td>6455 ±7</td>
<td>90 ±6</td>
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<td>ML.1.8F</td>
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<td>-270.3 ±1.0</td>
<td>0.0261 ±0.0001</td>
<td>3978 ±13</td>
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</tr>
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<td>ML.1.9F</td>
<td>6363 ±7</td>
<td>122 ±6</td>
<td>-270.0 ±1.0</td>
<td>0.0262 ±0.0001</td>
<td>3990 ±15</td>
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<td>ML.1.10F</td>
<td>6825 ±9</td>
<td>778 ±16</td>
<td>-271.5 ±1.0</td>
<td>0.0264 ±0.0001</td>
<td>4031 ±12</td>
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<tr>
<td>ML.1-9a</td>
<td>6395 ±6</td>
<td>154 ±5</td>
<td>-268.7 ±0.9</td>
<td>0.0267 ±0.0001</td>
<td>4069 ±13</td>
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<tr>
<td>ML.1-10a</td>
<td>7574 ±8</td>
<td>132 ±4</td>
<td>-267.8 ±0.9</td>
<td>0.0272 ±0.0001</td>
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</tr>
<tr>
<td>ML.1-11a</td>
<td>6744 ±6</td>
<td>52 ±3</td>
<td>-266.2 ±0.8</td>
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<tr>
<td>ML.1-12a</td>
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<tr>
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<td>4437 ±12</td>
</tr>
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</table>

* $\Delta^{234}U = (\frac{^{234}U}{^{238}U}_{\text{activity}} - 1) \times 1000$.  ** $\Delta^{234}U_{\text{initial}}$ was calculated based on $^{230}$Th age (T), i.e., $\Delta^{234}U_{\text{initial}} = \Delta^{234}U_{\text{measured}} \times e^{\Delta^{234}T}$.  Corrected $^{230}$Th ages assume the initial $^{232}$Th / $^{238}$U atomic ratio of 4.4 ±2.2 x10^-6. Those are the values for a material at secular equilibrium, with the bulk earth $^{232}$Th / $^{238}$U value of 3.8. The errors are arbitrarily assumed to be 50%.

*** B.P. stands for “Before Present” where the “Present” is defined as the year 1950 A.D.