

1 **Replies to comment by anonymous referee #1**

2  
3 Thank you very much for carefully reading the manuscript and for bringing up some important  
4 points. In the following, reviewer's comments are indicated by [RC]. Response to the comment  
5 and changes in the manuscript are indicated by [AC]. MS stands for manuscript.

6  
7 [RC] This paper discusses the decomposition of the changes in surface temperature into local and  
8 global feedback contributions, related to the different components of the surface energy balance.  
9 This decomposition is performed both for Mid-Holocene (compared to pre-industrial) and future  
10 warming (under RCP4.5 scenario).

11 As a general comment I would say that I found the paper difficult to read, although I cannot figure  
12 out exactly the reason (either the topic or the language).

13  
14 [AC] Taking also the suggestions by other reviewers into account, we revised following points to  
15 improve the readability.

16 (1) We changed the abstract to be more informative with emphasis on the specific new findings.

17 (2) We wrote terms in Eq. (4) explicitly after combining with Eq. (2), so that each term  
18 corresponds exactly to the description in Table 3 and each component in Figs. 5 and 10.

19 (3) We replaced Lambda in Eq. (7) by T so that it is obvious that the symbol represents  
20 temperature.

21 (4) In Sect. 4.3 in "Results", we describe the results season by season first, and then state  
22 important points afterward so that the reader can grasp the overall results in the sequential order  
23 in the revised MS.

24 (5) In Sect. 4.5 in "Results", we describe the results season by season first, and then state  
25 important points afterward for the same reason as (4) in the revised MS.

26  
27 [RC] I also found that the discussion is not really a discussion, but rather a perspective and  
28 conclusion. After reading the manuscript, and although I acknowledge similarities in climate  
29 changes between MH and the future, I still do not understand the 'relevance of mid-Holocene  
30 Arctic warming to the future '. This should be the major item in the discussion.

31  
32 [AC] We enlarged the discussion and conclusion with emphasis on the relevance in the Arctic  
33 response between the MH and future (RCP4.5). The discussion was substantially enlarged with  
34 separate points (1) in terms of the ensemble mean response, and (2) in terms of the model spread.  
35 We also increased discussion for the difference between the MH and future (when and how).

37 [RC] The conclusion of the paper is not very new. It has already been repeated many times that  
38 ‘improvement of the ability of the model to simulate the past will increase the confidence in their  
39 ability to simulate the future’. I would suggest to identify a ‘crispier’ conclusion.

40

41 [AC] We made the conclusion more specific and removed general statements from the conclusion.  
42 The main points are:

43 (1) It is found that many of the dominant processes that amplify Arctic warming over the ocean  
44 from late autumn to early winter are common between the two periods, despite the difference in  
45 the source of the forcing (insolation vs. greenhouse gases).

46 (2) A chain of processes responsible for the warming trend from summer to autumn is elucidated  
47 by the decomposition to factors associated with sea surface temperature, ice concentration, and  
48 ice surface temperature changes.

49 (3) The downward clear-sky longwave radiation is one of major contributors to the model spread  
50 throughout the year. Other controlling terms vary with the season, but they are similar between  
51 the MH and the future in each season.

52 (4) The MH Arctic change may not be analogous to the future in some seasons (spring in  
53 particular) when the temperature response differs, but it is still useful to constrain the future Arctic  
54 projection.

55 (5) The significant cross-model correlation found between summer albedo feedback and autumn-  
56 winter surface temperature response in both forcing cases suggests that feedbacks in preceding  
57 seasons, sea ice cover in particular, should not be overlooked as a constraint.

58

59 [RC] The manuscript is missing a data availability section. Moreover, data citations are also  
60 missing (in addition to the references that are indeed given). This is the case, at least for the data  
61 of Bartlein et al (2011) and Sundqvist et al (2010). Moreover, the code used to extract the values  
62 displayed in figure 5 should be made publicly available as well (with a reference in the data  
63 availability section).

64

65 [AC] We added Data Availability section. We also make the computer codes used for the analysis  
66 in Figs. 5 (, 7, and 10) available upon acceptance of the paper and upon request.

67

68 [RC] Specific comments.

69 P1-129 : is it solar forcing?

70

71 [AC] Marshall et al. (2014) suggests stratospheric ozone forcing. To be precise, we changed it to  
72 “stratospheric ozone change and cloud feedback play additional roles”.

73  
74 [RC] P2-11 : I assume that the ‘scenario’ refers to RCP scenarios. This should be made clear.  
75  
76 [AC] Change was made to “RCP scenario”.  
77  
78 [RC] P4-116 and P7-113 : there is a reference to Sect. 3a, which does not exist (at least as such).  
79  
80 [AC] “3a” should be “3.1”. It was corrected.  
81  
82 [RC] P6-133 : According to my reading of the figure, the simulated warming only occurs in the  
83 northern North Atlantic and Arctic oceans, where there is no data. It is therefore very difficult to  
84 say if it is under- or over-estimated. Or do the authors call ‘warming’ the negative values in the  
85 figure?  
86  
87 [AC] We changed it to “the warming indicated by the reconstruction is not captured by the model  
88 mean in January as well as in the annual mean.”  
89  
90 [RC] P7-116 : ‘plays an important role’. According to my reading, this is only true in JJA.  
91  
92 [AC] We moved the corresponding sentence to the description for JJA.  
93  
94 [RC] P7-132 : ‘exhibits a large contribution’. This does not really seem to be the case for MH.  
95  
96 [AC] The reviewer is correct. We made distinction between RCP4.5 and MH in the revised MS.  
97  
98 [RC] P8-19 : could the authors make the label coherent (Dt<sub>as</sub> in the text, Dt<sub>a</sub> in the figure).  
99  
100 [AC] The correction was made to the text.  
101  
102 [RC] P10-111 : PMIP3 instead of PMIM3  
103  
104 [AC] Corrected. Thank you.  
105  
106 [RC] P10-122 : The authors should make their conclusion readable by itself. It should be said that  
107 the Arctic warming is for the future (under RCP4.5).  
108

109 [AC] We made the conclusion readable by itself by adding words in the revised MS.  
110

111 [RC] P10-133 : ‘seeking possible analogues between physical processes in the past and future  
112 climate’. Do the authors mean that the climate processes are time dependent? I thought that they  
113 were based on basic physical principles valid through time. Moreover, as we do not know the  
114 future climate it is hard to look for analogues there and then.  
115

116 [AC] While physical principles are same throughout the time, what we meant is, it is not trivial  
117 that the dominating processes for the climate variations are the same for different climate forcing  
118 and change cases. We rephrased the sentence.  
119

120 [RC] P15 : A reference is missing here.  
121

122 [AC] We added the reference.  
123

124 [RC] P 21 : The figure is misleading because the Y-axis (scale) is not the same for MH and RCP4.5.  
125

126 [AC] We added the note on the caption. The difference in magnitude does not preclude the use of  
127 these two different time periods, and rather it is of interest that such different climate responses  
128 still share the similar dominant processes.  
129

130 [RC] P23-14 : I do not see two (black and blue dashed) lines. Are they exactly superimposed? In  
131 that case, this should be mentioned in the caption.  
132

133 [AC] They are black polygonal solid-line and blue polygonal dashed-line. We made the caption  
134 more precise (and text) in the revised MS.  
135

136 [RC] P24-26 : Figures 6-8 are not using the same number of models. (1) the name of the models  
137 used should be mentioned. (2) Not using all the models (and not always the same models) may  
138 introduce a bias in the interpretation. Would the conclusion be the same if only the models (and  
139 their outputs) available for all the figures were used?  
140

141 [AC]

142 (1) All model names were given in Table 2, but the models used for Fig. 7 was only written in  
143 text. We will refer Table 2 for Figs. 6 and 8, and write names explicitly for Fig. 7 in the caption.  
144 (2) The main results shown in Figs. 5 and 10 are benefitted the most by using the models as many

145 as possible (10 models), but all variables are available only for 5 models: 5 models are missing  
146 for Fig. 7 and 1 model is missing for Fig. 8 (It was mistakenly written that 2 models were  
147 missing in the caption of Fig. 8. We corrected it). We checked the consistency of Figs. 5, 7  
148 and 8 by reducing the model numbers to 5. Figs. 5 and 8 were not qualitatively affected by  
149 this reduction. We also checked all figures by reducing the model numbers to 5: a few small  
150 terms lost their statistical significance in Fig. 10, but the conclusion remains the same.  
151

152 **Replies to comment by referee #2 (Dr. Massonnet)**

153

154 Thank you very much for carefully reading the manuscript and for pointing out some of the  
155 messages that need to be sharpened. In the following, reviewer's comments are indicated by [RC].  
156 Response to the comment and changes in the manuscript are indicated by [AC]. MS stands for  
157 manuscript.

158

159 [RC] In this study, the authors conduct a diagnostic surface balance analysis based on output from  
160 the PMIP3 and CMIP5 simulations. They wish to test the extent to which past Arctic warming  
161 could be used as an analogue for future warming, which could then make the basis for a more  
162 objective model selection. The authors find that despite different forcing mechanisms, several  
163 common feedbacks operate between the two periods, making the case that these periods can  
164 indeed be compared to one another.

165

166 The paper is interesting to read but is quite descriptive: the differences between the MH-PI and  
167 RCP4.5-Historical simulations are stated and described, but not a lot of attention is given to try  
168 to explain why patterns differ and, more importantly, why this would have implications for the  
169 scientific community.

170

171 [AC] In the original manuscript, the similarity in feedbacks in particular season (autumn) might  
172 have been too emphasized, and less attention was paid to the difference between the MH and  
173 future. We enlarged the discussion and conclusion with emphasis on the relevance in the Arctic  
174 response between the MH and future (RCP4.5). The discussion was substantially enlarged with  
175 separate points (1) in terms of the ensemble mean response, and (2) in terms of the model spread.  
176 In the revised MS, we also discuss not only the similarities but also for the difference between  
177 the MH and future (when and how). A particular attention was paid to spring when the ensemble  
178 mean response differs between the two periods. In addition, we increased quantitative description.

179

180 [RC] The conclusions fall a bit short, for example. The authors explain that the MH period could  
181 be used to evaluate the models, but they do not state what type of constraints could be applied.  
182 Based on their results, can the authors make a step forward and come with recommendations on  
183 such constraints?

184

185 [AC] We do not claim any new 'emergent constraints' in the current study although that would  
186 offer more practical implication. We believe that the application of such constraint should go hand  
187 in hand with mechanism understanding, statistical identification of the link between the past and

188 the future (e.g., Schmidt et al., 2014), and paleoclimate proxy searches suitable to constrain the  
189 link. Nevertheless, in the revised MS, we add “recommendations” that the seasonal evolution of  
190 surface temperature response (cold season in practice) and likely summer sea ice cover are likely  
191 useful constraints based on the current analysis. In addition, we made the conclusion (and  
192 abstract) more specific so that the messages become clearer. The main points are:

193 (1) It is found that many of the dominant processes that amplify Arctic warming over the ocean  
194 from late autumn to early winter are common between the two periods, despite the difference in  
195 the source of the forcing (insolation vs. greenhouse gases).

196 (2) A chain of processes responsible for the warming trend from summer to autumn is elucidated  
197 by the decomposition to factors associated with sea surface temperature, ice concentration, and  
198 ice surface temperature changes.

199 (3) The downward clear-sky longwave radiation is one of major contributors to the model spread  
200 throughout the year. Other controlling terms vary with the season, but they are similar between  
201 the MH and the future in each season.

202 (4) The MH Arctic change may not be analogous to the future in some seasons (spring in  
203 particular) when the temperature response differs, but it is still useful to constrain the future Arctic  
204 projection.

205 (5) The significant cross-model correlation found between summer albedo feedback and autumn-  
206 winter surface temperature response in both forcing cases suggests that feedbacks in preceding  
207 seasons, sea ice cover in particular, should not be overlooked as a constraint.

208

209 [RC] A few general comments:

210 \* The analysis relies on four types of runs: Mid-Holocene (MH), Pre-industrial (PI), Historical  
211 and RCP4.5. I understand that MH simulations are taken from PMIP3, and so are PI simulations.  
212 I understand that Historical and RCP4.5 simulations are taken from CMIP5. Is that correct?  
213 Something confusing is that the authors write that "For the MH and PI simulations, we use  
214 monthly climatological data averaged over periods longer than a century, which were archived as  
215 part of the CMIP5 dataset" but also write that MH simulations were taken from PMIP3: "The MH  
216 simulation was designed and coordinated by the PMIP3 project". Could the authors clarify this at  
217 p. 2, line 30 (I did not find the explanations very clear).

218

219 [AC] We apologize for the confusion between PMIP3 and CMIP5. The MH experiment was  
220 designed by PMIP3, and that was endorsed as a part of CMIP5. All the data were downloaded  
221 from CMIP5 data base. We clarified this point in the revised MS.

222

223 [RC] \* There is an important negative feedback that is not mentioned in the study: the negative

224 ice growth-ice thickness feedback, which states that sea ice grows faster when it is thin. The  
225 existence of this feedback is a safeguard for sea ice, which would otherwise disappear much faster  
226 due to the positive albedo feedback. I'm unclear if the aforementioned negative feedback is  
227 covered at all by the authors and if so, to which term of Eq. 2 it belongs.

228

229 [AC] The negative ice growth-ice thickness feedback is not quantified explicitly in the current  
230 analysis. Therefore, it does not appear in the decomposed terms in Eq. (2) although they are  
231 closely linked to the sea ice related terms including the magnitude of albedo feedback (a function  
232 of ice cover among others) and heat release from the ocean (a function of ice thickness among  
233 others). Our analysis is based on the surface energy balance as in many other previous studies.  
234 The quantification of ice thickness feedback would require energy budget analysis for sea ice  
235 itself and probably for mixed-layer of the ocean as well. This does not mean that we think the  
236 feedback is unimportant. We mention this point as a future perspective in the revised MS.

237

238 [RC] \* There are two references missing that deal with high-latitude changes and the role of  
239 feedbacks, that I think should appear in the text:

240 - DOI:10.1038/s41598-017-04623-7

241 - DOI: 10.1038/s41467-018-04173-0

242

243 [AC] Thank you for pointing out uncited references. We found these references useful and cite  
244 them in the revised MS.

245

246 [RC] Specific comments (Syntax: 22-03 = line 22, page 3)

247 19-01: "indirect atmospheric stratification" might be unclear to many. Please rephrase or explain.

248

249 [AC] "indirect" was removed.

250

251 [RC] 06-02: "time periods" -> "periods" (a period is always referring to time)

252

253 [AC] Corrected.

254

255 [RC] 07-02: "discouraged general comparisons": do you mean that the studies found that  
256 comparisons were not simple to make? Please rephrase.

257

258 [AC] We meant that the studies generally refuted to regard past warm periods as the analogue.  
259 We rewrote it.

260  
261 [RC] 23-02: "time periods" -> cf. 06-02.  
262  
263 [AC] Corrected.  
264  
265 [RC] 26-03: "effect" -> effects  
266  
267 [AC] We changed it as suggested.  
268  
269 [RC] 24-02: The last sentence of the paragraph is not quite clear; consider removing it.  
270  
271 [AC] We removed it.  
272  
273 [RC] 22-04: "ts" should be  $T_s$  in mathematical form.  
274  
275 [AC] We corrected it.  
276  
277 [RC] 07-05: Why using the  $\Delta$  sign for temperature differences, and not  $\Delta T$ ? It is not  
278 clear how  $\Delta$  relates to Eqs (6) and (4).  
279  
280 [AC] We replaced  $\Delta$  by  $T$ .  
281  
282 [RC] 28-05: Can you elaborate on how the ERF was computed precisely? It is said that an AGCM  
283 was used, but which one? What was the exact setup? It would be impossible to reproduce your  
284 results if the readers do not have this information.  
285  
286 [AC] The model information was only given in the figure caption. We moved this into the text,  
287 and also added more detailed description as to the setting in the revised MS.  
288

289 **Replies to comment by anonymous referee #3**

290

291 Thank you very much for carefully reading the manuscript and for various helpful suggestions  
292 which would improve the manuscript and pointing out places that need to be clarified or discussed.  
293 In the following, reviewer's comments are indicated [RC]. Response to the comment and changes  
294 in the revision are indicated by [AC]. MS stands for manuscript.

295

296 [RC] General comment

297

298 This manuscript proposes an in depth analysis of the different radiative and turbulent latent and  
299 sensible heat fluxes terms that constraint the seasonal changes in surface temperature in the Arctic.  
300 The analysis considers the mid-Holocene climate and the RCP4.5 scenario for the future, with the  
301 objective to derive emerging constraints from the mid-Holocene climate that can be used to assess  
302 the results of future climate projections. This analysis is interesting, but the conclusion is not  
303 strong enough about the analogies between the two periods and what can be done out of it. It is  
304 only during the ice melting period, when albedo decreases and water vapor increases in the  
305 atmosphere, that similar feedbacks occur. The forcing factors are very different between the two  
306 periods. Even though the different elements are found in the text, similarities and differences  
307 could be better discussed.

308

309 [AC] The objective of the current study is not to derive a specific emerging constraint but to reveal  
310 similarities and differences in processes, based on the detailed diagnosis, which are not obvious  
311 from the forcing and response patterns alone. The derivation of emerging constraints is one of  
312 ultimate goals beyond the scope of the current study, and that would require several steps from  
313 statistical approach, mechanism understanding, and proxy searches. Even if similarities are found  
314 to be weaker or limited and they are unfavorable signs to find the specific emerging constraints  
315 in some cases, we do not think that would be fundamentally critical. The mechanism  
316 understanding of different periods under the same framework is really the most important aspect  
317 of the current study (which have not usually been done). For that, as the reviewer pointed out, it  
318 is also important to discuss differences between MH and future and the limitation of the use of  
319 MH climate information as well. As the differences were expected from the beginning due to  
320 different radiative forcing patters, we placed less emphasis on the differences. This might have  
321 led the impression that we were trying to stress the similarities. Therefore, we added more  
322 discussion on the differences in the revised MS.

323

324 [RC] The abstract could also be more informative on the results and better stress the role of the

325 clear sky long wave radiation.

326

327 [AC] We made the abstract (and conclusion) more specific so that the messages become clearer.

328 The main points are:

329 (1) It is found that many of the dominant processes that amplify Arctic warming over the ocean  
330 from late autumn to early winter are common between the two periods, despite the difference in  
331 the source of the forcing (insolation vs. greenhouse gases).

332 (2) A chain of processes responsible for the warming trend from summer to autumn is elucidated  
333 by the decomposition to factors associated with sea surface temperature, ice concentration, and  
334 ice surface temperature changes.

335 (3) The downward clear-sky longwave radiation is one of major contributors to the model spread  
336 throughout the year. Other controlling terms vary with the season, but they are similar between  
337 the MH and the future in each season.

338 (4) The MH Arctic change may not be analogous to the future in some seasons (spring in  
339 particular) when the temperature response differs, but it is still useful to constrain the future Arctic  
340 projection.

341 (5) The significant cross-model correlation found between summer albedo feedback and autumn-  
342 winter surface temperature response in both forcing cases suggests that feedbacks in preceding  
343 seasons, sea ice cover in particular, should not be overlooked as a constraint.

344

345 [RC] The different figures are difficult to follow, because there is no direct relationship between  
346 the names of the different terms plotted in figure 5 (a key figure in this manuscript) and the  
347 decomposition done using equation 2 to 7. I therefore consider that this manuscript is worth  
348 publishing, but that an effort should be made to clarify the expression of the different terms and  
349 better explain their role.

350

351 [AC] We changed following points to improve the readability associated with Fig. 5 and equations.

352 (1) We wrote terms in Eq. (4) explicitly after combining with Eq. (2), so that each term  
353 corresponds exactly to the description in Table 3 and each component in Figs. 5 and 10.

354 (2) We replaced Lambda in Eq. (7) by T so that it is obvious that the symbol represents  
355 temperature.

356

357 [RC] The discussion should also be enlarged, so that the paper more clearly address the point  
358 listed in the title.

359

360 [AC] The discussion was substantially enlarged with separate points (1) in terms of the ensemble

361 mean response, and (2) in terms of the model spread. In the revised MS, We also discuss not only  
362 the similarities but also for the difference between the MH and future (when and how). A  
363 particular attention was paid to spring when the ensemble mean response differs between the two  
364 periods.

365

366 [RC] Other comments:

367

368 - P2 make sure you properly refer CMIP or PMIP everywhere.

369

370 [AC] We revised the mixed use of terms, “CMIP” and “PMIP” to avoid confusion.

371

372 [RC] - P3 l 15. And section 4.3. The comparison of the MH results with observations is not fully  
373 used in the manuscript. Is there a way to go one step further by provided an evaluation that could  
374 really inform on the relevant processes between past and future?

375

376 [AC] It would be ideal to derive a specific emergent constraint and apply that to the model  
377 selection or to narrower quantitative uncertainty range, but the practical application is beyond the  
378 scope of the current paper. Nevertheless, in the revised MS, we added “recommendations” that  
379 the seasonal evolution of surface temperature response (cold season in practice) and likely  
380 summer sea ice cover are likely useful constraints based on the current analysis.

381

382 [RC] - P3 bl29. Could you provide an order of magnitude of the uncertainty related to emissivity  
383 for models that have a variable emissivity?

384

385 [AC] As shown by the good match of superimposed black solid and blue dashed lines in Fig. 5,  
386 the simulated temperature change and the sum of partial temperature changes calculated with unit  
387 emissivity are very similar for the ensemble mean, and also for all individual models (not shown).  
388 For both annual and October-November-December means averaged over the Arctic ocean, for  
389 example, mismatches for all models are smaller than 0.06°C. Therefore, it is safe to assume the  
390 constant emissivity as in many previous studies.

391

392 [RC] - P4 2 Is the equation correct for S?

393

394 [AC] Thank you for catching this typographical error. In the second and third terms on the right  
395 side of Eq. (2), Delta alpha should be alpha, and S should be Delta S. The analysis was made  
396 correctly and the results are not affected. We corrected them in the revised MS.

397

398 [RC] - P4 l15 what do you call sect. 3a?

399

400 [AC] We corrected it to "Sect. 3.1".

401

402 [RC] - P4 end of section 3.1. It could be worth mentioning that the approach is direct because  
403 there is no change in land-sea mask between the different simulations.

404

405 [AC] Thank you for the suggestion. We added this point at the place suggested.

406

407 [RC] - P4. L 27 are your referring to ice concentration or to ice fraction?

408

409 [AC] As in the original manuscript, it is ice concentration, and it also represents fraction of ice  
410 cover for each grid cell. To clarify the procedure, we added the remark that the analysis of Eq. (6)  
411 is applied for each grid and each month in the revised MS.

412

413 [RC] - P5 Would it be possible to rewrite equation 7 so that there is a more direct link with  
414 temperature ? or use one example to fully explain what is done and the strength of the diagnosis.  
415 This could also be needed to present the different terms of equation (4) and make sure there is no  
416 ambiguity on global or local anomaly (or their relative strength).

417

418 [AC] As to Eq. 7, we replaced the symbol  $\Lambda$  by  $\Delta T$  so that it becomes clearer that the equation  
419 directly evaluates the temperature change  $\Delta T$  and avoid any potential confusion. As written above,  
420 we wrote terms in Eq. (4) explicitly after combining with Eq. (2) in the revised MS, so that each  
421 term corresponds exactly to the description in Table 3 and each component in Figs. 5 and 10.

422

423 [RC] - P4 l 8. May be you could site Hewitt and Mitchell 1997 for the definition of the MH  
424 insolation forcing.

425

426 [AC] It is nice to cite one of the earliest MH simulations in this context. We believe that it is  
427 Hewitt and Mitchell (1996, not 1997) in Journal of Climate. We added it.

428

429 [RC] - P7 There is a large emphasize on clouds before showing the effect of `lw_clr`. This later  
430 term reflects both changes in water vapor and in atmospheric lapse rate. The cloud `cld_effect`  
431 arrives later (in season) compared to albedo and `lw_clr`. I would suggest reconsidering the way  
432 the whole section is written, to better discuss the relationship between the different terms and

433 their monthly evolution.

434

435 [AC] In Sect. 4.3 in “Results”, we describe the results season by season first, and then state  
436 important points afterward so that the reader can grasp the overall results in the sequential order  
437 first in the revised MS.

438

439 [RC] - P8 section 4.5. I am not entirely convinced that OND are the best months to look at to infer  
440 model spread. Sea-ice and temperature result certainly of what happens during the preceding  
441 months in terms of forcing and feedbacks. This needs to be clarified.

442

443 [AC] We agree with the reviewer that the Arctic warming processes are not independent during  
444 each season. As briefly stated, we do not eliminate the possibility of links between feedbacks in  
445 other seasons and OND, for example: “this result does not mean that the summer albedo feedback  
446 is irrelevant to the OND model spread.” Without numerical experiments, it is difficult to entangle  
447 the feedback links across seasons. In the revised MS, we discuss the inter-seasonal linkage  
448 between summer albedo feedback and OND response which adds the important point.

449

450 [RC] - P9 section 4.5 I am lost in the call to the different figures.

451

452 [AC] In the revised MS, we add explanation on the relevance of these figures in the discussion  
453 here.

454

455 [RC] Figure 10 also show a large model spread in the lw\_clr, not only in clouds. This should be  
456 highlighted. The cloud cover is important but results certainly from the other conditions: sea-ice  
457 fraction, temperature, lapse rate, water vapor, changes in atmospheric convection or large scale  
458 condensation. This should be discussed, at least to tell when there is an analogy or not between  
459 the different feedbacks between mid-Holocene and future climates.

460

461 [AC] In the revised MS, we stress the role of lw\_clr throughout the paper. As stated in Sect. 4.5,  
462 the dominance of lw\_clr was expected as most of downward longwave radiation comes from near-  
463 surface, and the near-surface temperature is thermally coupled with the surface temperature.  
464 Therefore, constraining lw\_clr is equally difficult to constrain the surface temperature change.  
465 We added the statement on lw\_clr in abstract and conclusions so that the paper does not give false  
466 impression that the term is small or unimportant. In the revised MS, we mention that the cloud  
467 feedbacks are related to other feedbacks as pointed out by the reviewer. The discussion will be  
468 substantially enlarged with separate points (1) in terms of the ensemble mean response, and (2)

469 in terms of the model spread. In the revised MS, we also discuss not only the similarities but also  
470 for the difference between the MH and future (when and how). A particular attention was paid to  
471 spring when the ensemble mean response differs between the two periods but factors for the model  
472 spread are similar. Consequently, as the reviewer suggests, discussion on the existence and non-  
473 existence of analogy in feedbacks between MH and future for different seasons were added in the  
474 revised MS.  
475

# The relevance of mid-Holocene Arctic warming to the future

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**Abstract.** There remain substantial uncertainties in future projections of Arctic climate change. Schmidt et al. (2014) demonstrated the potential to constrain these uncertainties using a combination of paleoclimate simulations and proxy data. They found a weak correlation between sea ice changes in the mid-Holocene (MH) and in future projections, relative to the modern period. In the current study, we examine the relevance of an Arctic warming mechanism in the MH to the future through process understanding, rather than seeking a statistical relation. We conducted a surface energy balance analysis on 10 atmosphere and ocean general circulation models under the MH and future RCP4.5-scenario forcings. It is found that many of the dominant processes that amplify Arctic warming over the ocean from late autumn to early winter are common between the two periods, despite the difference in the source of the forcing (insolation vs. greenhouse gases). The positive albedo feedback in summer, partially counteracted by the sunshade effect from clouds, results in an increase in oceanic heat release in the colder season when the atmospheric stratification is strong, and an increased greenhouse effect from clouds helps amplify the warming during the season with least insolation. The seasonal progress was elucidated by the decomposition of the factors associated with sea surface temperature, ice concentration, and ice surface temperature changes, whose temporal links cannot be clearly understood from conventional surface energy balance analysis alone. We also quantified the contribution of individual components to the inter-model variance in the surface temperature changes. The downward clear-sky longwave radiation is one of major contributors to the model spread throughout the year. Other controlling terms for the model spread vary with the season, but they are similar between the MH and the future in each season. This result suggests that the MH Arctic change may not be analogous to the future in some seasons when the temperature response differs, but it is still useful to constrain the model spread in the future Arctic projection. The significant cross-model correlation found between the summer albedo feedback and autumn-winter surface temperature response in both forcing cases suggests that the feedbacks in preceding seasons, particularly sea ice cover, should not be overlooked when determining constraints.

## 1 Introduction

The magnitude of climate change has been shown to be larger at high latitudes with paleoclimate evidence (Masson-Delmotte et al. 2013; Masson-Delmotte et al. 2006) and climate model equilibrium simulations (Manabe and Wetherald 1975;

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Stouffer and Manabe 1999). The Arctic is currently experiencing a more rapid warming than the rest of the world (Screen and Simmonds 2010; Serreze and Barry 2011), and this Arctic amplification is expected to continue at least until the end of this century (Collins et al. 2013; Laine et al. 2016). A much slower rate of warming occurs in the Southern Ocean primarily due to oceanic processes (Armour et al. 2016) although it is possible that stratospheric ozone change and cloud feedback play additional roles (Marshall et al. 2014; Yoshimori et al. 2017). A substantial part of the uncertainty in the future Arctic warming projections is attributed to the differences among numerical models (Hodson et al. 2013). In addition, the projected range of future Arctic warming within each RCP scenario is much larger than that for the global mean. For example, the 90% confidence interval for the annual mean surface air temperature (SAT) change from the late 20<sup>th</sup> century to the late 21<sup>st</sup> century for the Arctic mean (67.5–90°N) is estimated as 1.6–6.9 °C, while that for the global mean is 1.1–2.6 °C under the RCP4.5 scenario (Collins et al. 2013).

It is often assumed that the study of paleoclimate, particularly of warm periods, is useful for understanding future climate change projections. It is, however, nontrivial to demonstrate the relation between these two different periods. Earlier studies discussed whether past climate can be used as an analogue for the future and refuted the use of past warm periods as an analogue (Crowley 1990; Mitchell 1990). A relatively large number of studies have been conducted on the link between the past, including the last glacial maximum (LGM), and the future in the context of climate sensitivity based on processes and statistical correlation (Crucifix 2006; Hargreaves and Annan 2009; Hargreaves et al. 2007; Hargreaves et al. 2012; Yoshimori et al. 2009; Yoshimori et al. 2011). More recently, broader applications of the relation between paleo and future climate were summarized by Schmidt et al. (2014) who demonstrated the potential to constrain uncertainties using both paleoclimate simulations and proxy data. Indeed, they found a weak statistical inter-model correlation between the sea ice changes in the mid-Holocene (MH) and in future projections (RCP8.5 scenario) relative to the modern period. Such an “emergent constraint” provides a powerful tool to directly reduce the range of uncertainty, provided that the necessary paleoenvironmental information is available. We note that Hargreaves and Annan (2009) also found statistically significant correlations between the mid to high northern latitude temperature for the MH and an elevated CO<sub>2</sub> scenario (2×CO<sub>2</sub>). The mechanism behind these emergent relations, however, remains unclear.

The purpose of the current study is to investigate commonalities and differences in the Arctic warming mechanisms in the past (MH) and future, and to discuss the relevance of Arctic warming in the MH for understanding future warming based on physical processes. We aim to obtain insight into the feasibility of constraining uncertainty in future climate change projections using paleoclimate data. It is not, however, the purpose of the current study to derive a specific emergent constraint. The MH was chosen because proxy records suggest this period had a warmer Arctic state relative to the pre-industrial period, and multi-model simulation data are available from the Coupled Model Intercomparison Project (CMIP5) data archive (<https://cmip.llnl.gov/cmip5/>).

The data, models, and experiments are briefly explained in the next section. Analysis methods for diagnosing factors contributing to the surface temperature change in each model and to the inter-model differences are described in Sect. 3. Results are presented in Sect. 4, followed by discussion and conclusion in Sects. 5 and 6, respectively.

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## 2 Climate models, experiments, and proxy data

The main analysis in the current study relies on the multi-model simulation data available from the CMIP5 data archive. The preindustrial control (c.a., 1850 C.E.), historical (c.a., 1850-2005 C.E.), and RCP4.5 scenario (2006-2100 C.E.) simulations were designed and coordinated by the CMIP5 project (Taylor et al. 2012). The MH simulation was designed and coordinated by the Paleoclimate Modelling Intercomparison Project (PMIP3) (Braconnot et al. 2012), and later endorsed and archived as part of CMIP5. The MH aims to simulate the climate of approximately 6000 years ago, and the PMIP3 forcing differs only in the earth's orbital configuration (obliquity, seasonal timing of precession, and eccentricity, Table 1) compared to the preindustrial simulations. The difference between the MH and preindustrial (PI) simulations (hereafter,  $\Delta$ MH) and the difference between the RCP4.5 and historical (HIST) simulations (hereafter,  $\Delta$ RCP4.5) are compared throughout the paper.

For the MH and PI simulations, we use monthly climatological data averaged over periods longer than a century, which were already available. The climatological data are constructed from monthly time series if these data are unavailable from the CMIP5 dataset (Table S1). The 20-year averages for 1980–1999 are used from the HIST simulations and those for 2080–2099 are used from the RCP4.5 simulations, so that  $\Delta$ RCP4.5 represents the climate change for the entire 21<sup>st</sup> century, as in Laíné et al. (2016). We use 10 models that produced data for all four experiments (Table 2), and we analyze one simulation run (r1i1p1) for each model and each experiment. Prior to the analysis, all model output data are interpolated onto the T42 Gaussian grid (nominally  $2.8^\circ \times 2.8^\circ$ ) as in Laíné et al. (2016). A common land mask is constructed in such a way that a grid point is judged as ocean if more than 50% of models (that have fractional land cover data) indicate the grid point as ocean. The same procedure is used for the ocean mask, and consequently a small number of grid points are classified as neither ocean nor land.

The simulated  $\Delta$ MH is compared with temperature reconstructions based on proxy data. Sundqvist et al. (2010) compiled such a dataset primarily based on pollen and chironomids records. The oxygen isotope ratio from ice cores and borehole temperature are also used for the Greenland temperature. Another dataset is compiled by Bartlein et al. (2011) based on pollen records. We use the extended dataset of Bartlein et al. (2011) for the annual mean, which includes additional data from Schmittner et al. (2011) and Shakun et al. (2012) as in Harrison et al. (2014) and is available from the PMIP3 web site (<https://pmip3.lscce.ipsl.fr/>). The model ensemble mean data are further interpolated onto  $2^\circ \times 2^\circ$  grids for comparison with Bartlein et al. (2011).

## 3 Analysis method

### 3.1 Surface energy balance and partial temperature changes

Processes contributing to the surface temperature difference between two experiments are evaluated based on the surface energy balance equation. The basic formulation follows Lu and Cai (2010). The surface energy balance equation for a reference climate is given by

$$(1 - \alpha)S + F - R - H - L - Q = 0 \quad (1)$$

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where  $S = S^{clr} + S^{cld}$  and  $F = F^{clr} + F^{cld}$  are the downward shortwave (SW) and longwave (LW) radiation at the surface, respectively, with the superscripts, “clr” and “cld”, denoting the clear-sky and cloud (total-sky – clear-sky) radiative effects, respectively. The upward LW radiation is given by the Stefan-Boltzmann law,  $R = \sigma T_s^4$ , where  $\sigma$  is the Stefan-Boltzmann constant and  $T_s$  is the surface temperature. The surface emissivity is assumed to be one.  $H$  and  $L$  are the net upward sensible and latent heat fluxes, respectively, and  $Q$  represents the net downward surface energy flux including the latent heat consumed by snow/ice melting. In the ocean,  $Q$  is stored locally or transported. For the difference ( $\Delta$ ) between the two experiments, Eq. (1) becomes

$$4\sigma T_s^3 \Delta T_s = \sqrt{\begin{matrix} -\Delta\alpha S - \Delta\alpha\Delta S + (1-\alpha)\Delta S^{clr} + (1-\alpha)\Delta S^{cld} \\ +\Delta F^{clr} + \Delta F^{cld} - \Delta H - \Delta L - \Delta Q \end{matrix}} \equiv \sum_j \Delta R_j \quad (2)$$

where  $\Delta R_j$  represents the individual energy terms.

The Stefan-Boltzmann law implies that a larger surface warming ( $\Delta T_s$ ) is required to balance the same amount of energy flux anomaly ( $\Delta R$ ) by emitting LW radiation at a colder background temperature ( $T_s$ ). Lainé et al. (2016) called this effect the “surface warming sensitivity”, whose importance for the Arctic amplification has been pointed out in multiple studies (Lainé et al. 2016; Lainé et al. 2009; Ohmura 1984, 2012; Pithan and Mauritsen 2014). The warming sensitivity and other energy flux terms may be converted to the same temperature scale (partial surface temperature changes) by

$$\Delta T_s = \left(\frac{\partial T_s}{\partial R}\right) \sum_j \Delta R_j' + \left(\frac{\partial T_s}{\partial R}\right)' \sum_j \Delta \bar{R}_j + \left(\frac{\partial T_s}{\partial R}\right)' \sum_j \Delta R_j' \quad (3)$$

where overbars and dashes represent the global mean and deviations from the global mean (local anomaly), respectively, and

$$\frac{\partial T_s}{\partial R} = \frac{1}{4\sigma T_s^3} \quad (4)$$

Equation (3) enables the quantification of the effect of a colder winter Arctic requiring more warming to balance the anomalous surface energy flux on the same partial temperature change scale as other components. The left side of Eq. (3) is the simulated surface temperature change. The first, second, and third terms on the right side of Eq. (3) represent local feedbacks evaluated with the global mean warming sensitivity, global mean feedbacks with the local warming sensitivity, and local feedbacks with the local warming sensitivity, respectively. Note that previous studies used the tropical mean in place of the global mean (Lainé et al. 2016; Pithan and Mauritsen 2014). In Sect. 3.1, each component of the first term is evaluated separately, and the second and third terms are evaluated collectively as the “S-B” effect and “synergy” effect, respectively (Table 3). Accordingly, the surface temperature change formulated by Eqs. (2) and (3) can be written in a more explicit form as

$$\begin{aligned} \Delta T_s &= \left(\frac{\partial T_s}{\partial R}\right) \left\{ -(\Delta\alpha S)' - (\Delta\alpha\Delta S)' + [(1-\alpha)\Delta S^{clr}]' + [(1-\alpha)\Delta S^{cld}]' \right\} + \left(\frac{\partial T_s}{\partial R}\right)' \sum_j \Delta \bar{R}_j + \left(\frac{\partial T_s}{\partial R}\right)' \sum_j \Delta R_j' \\ &\equiv (\text{alb}) + (\text{alb} \cdot \text{clr\_sw}) + (\text{clr\_sw}) + (\text{cld\_sw}) + (\text{clr\_lw}) + (\text{cld\_lw}) + (\text{sens}) + (\text{evap}) + (\text{surface}) \\ &\quad + (\text{S-B}) + (\text{synergy}) \quad (5) \end{aligned}$$

We use the average of  $T_s$  from the paired experiments (PI and MH, or HIST and RCP4.5) to calculate  $\partial R / \partial T_s$ . Although using the average of two experiments or a single experiment for this term has little impact on the results of the current study, we found that the average provided better agreement between the two sides of Eq. (5) for larger perturbations such as a quadrupling

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of the CO<sub>2</sub> experiment. The diagnosis is made for each grid point and each month. All models are used in this analysis. [We note that direct comparisons between different forcing simulations are possible as there is no change in the land-sea mask among the simulations.](#)

### 3.2 Interpretation of surface temperature change at partially ice-covered ocean grid points

5 The surface temperature archived in the CMIP5 dataset represents the grid-mean “skin” temperature. At the fractionally ice-covered ocean grid points, this variable is a mixture of the sea surface temperature (SST) and ice surface temperature. We assume that the surface temperature  $T_s$  at each grid point is reconstructed by

$$T_s = (1 - A)T_o + AT_i \quad (5)$$

where  $T_o$  and  $T_i$  are the SST and ice surface temperature, respectively, and  $A$  is the ice concentration. The factors contributing to the surface temperature difference for the paired experiments are then diagnosed by

$$\Delta T_s = (1 - A)\Delta T_o + A\Delta T_i + (T_i - T_o)\Delta A. \quad (6)$$

The first and second terms on the right side represent the effect of SST and ice surface temperature changes, respectively. The last term on the right side represents the effect of the ice concentration change, which is weighted by the surface temperature difference between ice and water: the reduction of sea ice cover ( $\Delta A < 0$ ) and the exposure of the warmer ocean surface to the atmosphere ( $T_i - T_o < 0$ ) lead to an increase in the grid-mean surface temperature ( $\Delta T_s$ ). In the current analysis,  $T_o$ ,  $T_i$ , and  $A$  are obtained from the average of paired experiments. We use  $T_o$  in place of  $T_i$  for ice-free ocean grids. Only five models (bcc-sm-1, CCSM4, CNRM-CM5, IPSL-CM5A-LR, and MRI-CGCM3) are used for this analysis due to the availability of the required variables, and the consistency of the analysis is verified by agreement between the left and right sides of Eq. (6). [The diagnosis is made for each grid point and each month.](#)

### 20 3.3 Factors responsible for the model spread

The fractional contribution of individual partial surface temperature changes (or feedbacks [in other words](#)) to the inter-model spread of the simulated surface temperature change is given by

$$V_j = \sum_{k=1}^n \frac{(\overline{\Delta T_{jk} - \Delta T_j})(\overline{\Delta T_k - \Delta T_j})}{\sigma^2(n-1)} \times 100 [\%] \quad (7)$$

where  $V_j$  is the fractional contribution and  $\Delta T$  is the surface temperature change [\(the subscript “s” in  \$\Delta T\_s\$  is omitted here\)](#). The subscripts  $j$  and  $k$  denote indices for feedbacks ( $j$ th feedback) and models ( $k$ th model out of  $n$  models), respectively. The overbars denote the average over the feedbacks ( $\overline{\Delta T_j}$ ), or over both the feedbacks and models ( $\overline{\Delta T}$ ).  $\sigma$  is the inter-model standard deviation of the total surface temperature change. The numerator represents the product of the model spread for each feedback and the model spread for the total feedback, while the denominator represents the ensemble variance of the total feedback. Here, the key points are: 1)  $V_j$  accounts for 100% of the surface temperature change when summed over the feedbacks; 2) positive  $V_j$  means that the  $j$ th feedback amplifies the model spread, while negative  $V_j$  means that it suppresses the model spread. We note that the same formula was used in Yoshimori et al. (2011) and the references therein. The statistical

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significance of the fractional contribution is tested using the Monte Carlo method by randomly shuffling the model index ( $k$ )  $10^5$  times. The null hypothesis is that the  $V_j$  neither amplify nor suppress the model spread. When the original  $V_j$  is outside the range of the 5–95th percentile of  $V_j$  resulting from the shuffling, it is considered significant. The diagnosis is made separately for ocean and land averages in the Arctic region (north of 60°N). All models are used for this analysis.

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## 4 Results

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### 4.1 Simulated surface air temperature response

Figure 1 shows the ensemble mean of the annual mean SAT response for  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$ . In both cases, the warming in the polar regions is larger than for the rest of the world, particularly in the Arctic. The Arctic mean response is 0.4 °C and 3.9 °C for  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$ , respectively, whereas the global mean response is -0.2 °C and 1.9 °C for  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$ , respectively (see Table 2 for individual models). This feature reflects the so-called Arctic warming amplification in  $\Delta\text{RCP4.5}$ . The warming at high latitudes and cooling at low latitudes in  $\Delta\text{MH}$  are consistent with the annual mean insolation anomaly caused by the obliquity difference. From this figure it is unclear whether the Arctic warming in  $\Delta\text{MH}$  is due to forcing and/or feedbacks.

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Figures 2a and 2b show the seasonal progress of the effective radiative forcing (ERF) for  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$ , respectively. The ERF is the top-of-the-atmosphere (TOA) radiation change induced by the forcing constituents and is computed here using the atmospheric GCM (MIROC4m) of Yoshimori et al. (2018), with prescribed climatological SST and sea ice distribution. The ERF for  $\Delta\text{MH}$  was computed by applying the PI and MH insolation to the AGCM separately with other boundary conditions held fixed. The TOA net radiation in the MH was averaged for 20 years after a 10-year spin-up and the difference from the PI was taken as  $\Delta\text{MH}$  ERF. The ERF for  $\Delta\text{RCP4.5}$  was drawn using the data from Yoshimori et al. (2018) in which the time-varying historical and RCP4.5 forcing were applied continuously to the AGCM with other boundary conditions held fixed. The 3-ensemble-member mean of the differences between the 2080–2099 and 1980–1999 averages was taken as  $\Delta\text{RCP4.5}$  ERF. While this so-called Hansen-style method (Flato et al. 2013; Hansen et al. 2005) is one of the standard procedures for calculating future scenario forcing, e.g.,  $\Delta\text{RCP4.5}$ , it is uncommon in paleoclimate applications. With this method, the ERF includes both rapid stratospheric and tropospheric adjustments as well as the land surface response to the instantaneous radiative forcing. Although the land surface response should not be considered as a forcing, we present the ERF to facilitate a consistent comparison between different perturbation experiments. As a supplementary reference, another measure of radiative forcing evaluated by  $\Delta S(1 - \alpha_p)$  is presented for  $\Delta\text{MH}$  in Fig. S1. Here,  $\Delta S$  is the insolation anomaly and  $\alpha_p$  is the pre-industrial planetary albedo. The  $\Delta\text{MH}$  forcing patterns in both Fig. 2a and Fig. S1 are qualitatively similar to the familiar insolation anomaly  $\Delta S$  (e.g., Hewitt and Mitchell 1996; Ohgaito and Abe-Ouchi 2007): an increase and a decrease in summer and autumn, respectively, in the Northern Hemisphere, and an increase and a decrease in autumn and summer, respectively, in the Southern Hemisphere. For the Arctic average (> 60 °N), the peak positive ERF of about 19.9 W m<sup>-2</sup> occurs

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in July and the peak negative ERF of about  $-4.8 \text{ W m}^{-2}$  occurs in September. The  $\Delta\text{RCP4.5}$  ERF is, in contrast, spatially and seasonally more homogeneous with an annual mean of about  $3.0 \text{ W m}^{-2}$  for the Arctic region. Figures 2c and 2d show the ensemble mean of the seasonal progress of SAT changes for  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$ , respectively. A common and striking feature is that the maximum Arctic warming occurs in autumn (though the magnitude differs substantially) when the ERF is negative or weakly positive. This result suggests that feedbacks play an important role in shaping the seasonality of the Arctic warming for both  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$ . This interpretation is in line with Zhang et al. (2010) for  $\Delta\text{MH}$  and Lainé et al. (2016) for  $\Delta\text{RCP4.5}$ .

Figure 3 shows SAT changes over the land and ocean for individual models. The seasonality of the SAT change over land is distinct between  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$ , but there are some similarities over the ocean: the warming is modest in summer and largest in autumn. Significantly, the model spread over the ocean is also larger in autumn than in summer. The maximum land warming in summer for  $\Delta\text{MH}$  corresponds to the maximum local insolation anomaly, and it thus may appear that the SAT warming over land is not related to the SAT warming over the ocean. However, there are strong cross-model correlations at the 5% statistical significance level (Student's two-tailed  $t$ -test) between the Arctic land and ocean for the October-November-December (OND) mean as well as for the annual mean (0.95 for OND and 0.94 for the annual mean). The statistically significant cross-model correlations at the 5% level also exist for  $\Delta\text{RCP4.5}$  (0.92 for OND and 0.89 for the annual mean). In addition, the inter-model variance of the Arctic-mean SAT anomaly is larger over the ocean than over land. Although the available surface temperature proxy data for the mid-Holocene Arctic are more abundant on land than over the ocean (Bartlein et al. 2011; Sundqvist et al. 2010), it is useful to focus our analysis on the oceanic region, which has a larger response, and to explore which processes are responsible for the model difference there. We note that there is no statistically significant correlation at the 5% significance level between  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$  for either the OND or annual means (for both the Arctic ocean and land).

#### 4.2 Comparison with proxy data

Figure 4 shows the ensemble mean of the simulated  $\Delta\text{MH}$  annual mean, July, and January SAT anomalies superimposed with the reconstructed SAT anomaly at proxy sites taken from Sundqvist et al. (2010). We note that a detailed comparison with earlier PMIP1 and PMIP2 simulations was given by Zhang et al. (2010). There is substantial disagreement between the model and the reconstruction: the warming indicated by the reconstruction is not captured by the model mean in January as well as in the annual mean. The discrepancies are on the order of a few degrees. Although better agreement is seen in July, the simulated warming is overestimated at some North American sites. O'Ishi and Abe-Ouchi (2011) reported that the model-data discrepancy improved substantially when the interaction between the MH climate change and vegetation distribution change is included in one model although the improvement is somewhat limited in other models (Zhang et al. 2010). Unfortunately, none of the models analyzed in the current study include this dynamic vegetation feedback. Comparisons of the model ensemble mean with Bartlein et al. (2011) for the  $\Delta\text{MH}$  annual mean, warmest month, and coldest month are shown in Fig. S2. We note that a more comprehensive comparison with PMIP2 and PMIP3 simulations was presented in Harrison et al.

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(2014). Again, the model-data discrepancy is large although the qualitative tendencies of the warming in parts of Scandinavia appear in both. While these limitations need to be kept in mind, they do not reduce the significance of the following results on the understanding of the Arctic warming process. As stated in the introduction, it is not the purpose here to derive a specific emergent constraint using these proxy data, as such a study requires a rigorous statistical approach in parallel to the mechanism understanding and appropriate proxy searches, and is beyond the scope of this article.

#### 4.3 Partial temperature changes

Figure 5 shows the contribution of individual energy flux components to the surface temperature change (partial  $T_s$  changes) in the Arctic ocean diagnosed by the feedback analysis described in Sect. 3.1. As expected, the simulated  $T_s$  changes (black polygonal solid lines) are reproduced by the sum of the individual contributions (blue polygonal dashed lines), indicating that the decomposition is useful.

In spring (March-April-May), the total surface temperature change is negative for the case of  $\Delta MH$ , whereas it is positive for  $\Delta RCP4.5$ . Therefore, there is no analogy in the response between the two cases. While the synergy effect of local Arctic feedbacks and local warming sensitivity (synergy) slightly contributes to the warming in both cases, the contributions from the downward clear-sky LW radiation components (clr\_lw) have opposite signs between the two cases. The albedo feedback (alb) exhibits a relatively large warming effect for  $\Delta RCP4.5$ , accompanied by cooling due to the surface effect in late spring (net surface heat flux component, or equivalently ocean heat storage and dynamics components). On the other hand, the surface effect is positive for  $\Delta MH$ , and is accompanied by anomalous turbulent heat fluxes from the ocean to the atmosphere (evap and sens).

In summer (June-July-August), the total surface temperature change is positive but small for both  $\Delta MH$  and  $\Delta RCP4.5$ . The albedo feedback is distinctly positive for both cases. An even larger clear-sky SW radiation component (clr\_sw) contributes to the additional warming for the case of  $\Delta MH$ , which is largely driven by the astronomical forcing but it plays little role in  $\Delta RCP4.5$ . The increased SW radiation reaching the sea surface through the albedo feedback and/or increased seasonal insolation is counteracted by the increased net surface heat flux component, implying that the extra energy is likely stored in the form of ocean heat content. The net result is a small surface warming in summer. It is a common feature of both  $\Delta MH$  and  $\Delta RCP4.5$  that the SW cloud radiative effect (cld\_sw) weakens warming by the albedo feedback. This cancelling role of clouds in the warm season is consistent with previous studies using future climate projections (Crook et al. 2011; Láiné et al. 2016; Lu and Cai 2009). In both cases, the downward clear-sky LW radiation component plays a substantial role in warming the surface (except for  $\Delta MH$  in June).

From September to January, the total surface temperature change is larger than in other seasons for both  $\Delta MH$  and  $\Delta RCP4.5$ . From September to November, the clear-sky SW radiation component associated with the astronomical forcing contributes to the surface cooling for  $\Delta MH$ , which is absent for  $\Delta RCP4.5$ . From October to January for both  $\Delta MH$  and  $\Delta RCP4.5$ , the positive surface effect is counteracted by the negative surface turbulent flux components, indicating that the heat is released from the

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ocean to the atmosphere in the form of latent and sensible heat fluxes. It is, however, unclear how the heat release to the atmosphere leads to the surface warming (or, more precisely, grid-mean skin temperature rise). This point is discussed in the next subsection in detail. It is a common feature of both  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$  that the LW cloud radiative effect (cld\_lw) helps warming by the surface effect. This amplifying role of clouds in the cold season is consistent with previous studies using future climate projections (Lainé et al. 2016; Yoshimori et al. 2014). The general increase of cloud cover in autumn to winter for both  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$  is consistent with the enhanced greenhouse effect of clouds (Figs. 6a and 6c).

Throughout the year, the downward clear-sky LW radiation component exhibits a large contribution and follows the shape of the seasonal progress of the total response for  $\Delta\text{RCP4.5}$ . This component is, however, not large in winter (and June) for  $\Delta\text{MH}$ . While this term includes the effect of the water vapor feedback (and also the radiative forcing of greenhouse gases for the case of  $\Delta\text{RCP4.5}$ ), obtaining a physical interpretation of its role in the surface temperature change is difficult. The difficulty arises because the primary component of clear-sky LW radiation is emitted from the atmospheric layer near the surface (Ohmura 2001) where the temperature is tightly coupled with the surface, thus obscuring the causality. Nevertheless, the importance of this component has been reported in previous studies (Pithan and Mauritsen 2014; Sejas and Cai 2016). The positive local feedbacks in the cold season with a larger local warming sensitivity make the synergy term an important contributor to the total response for both  $\Delta\text{MH}$  and  $\Delta\text{RCP4.5}$ , as found by Lainé et al. (2016) in future climate projections. For completeness, the same analysis for the land surface temperature is shown in Fig. S3.

#### 4.4 Interpretation of surface temperature change in partially ice-covered ocean grids

Figure 7 shows the surface temperature change (left side of Eq. (6),  $\Delta T_s$ ) and the individual contributions of surface conditions (the individual terms on the right side of Eq. (6)). The surface air temperature change ( $\Delta T_a$ ) is also plotted for reference. The seasonal progress of  $\Delta T_s$  closely follows that of  $\Delta T_a$ , suggesting the importance of understanding the grid-mean surface temperature change. The surface and surface air temperature changes have maximum values of 3.3 and 2.9 °C, respectively, in October for  $\Delta\text{MH}$ . They have maximum values of 10.2 and 9.3 °C in November for  $\Delta\text{RCP4.5}$ . The figure indicates that the large increase in grid-mean surface temperature during winter is largely due to the ice surface temperature increase when the SST anomaly decreases seasonally through oceanic heat release after its peak value (Figs. 8a and 8c). The contribution from ice temperature change has a maximum value of 2.2 °C in October for  $\Delta\text{MH}$  and 6.8 °C in November for  $\Delta\text{RCP4.5}$ . The contribution from SST change has a maximum value of 0.7 °C for  $\Delta\text{MH}$  and 2.0 °C for  $\Delta\text{RCP4.5}$ , both in August. The magnitude of the SST anomaly effect on the grid-mean surface temperature change is small as the SST change itself is small because SST is fixed at the melting point where sea ice is present and due to the large heat capacity of sea water. The reduction of sea ice cover makes an important contribution to the grid-mean surface temperature increase during autumn. Its peak contribution does not, however, coincide with the timing of the maximum ice concentration anomaly ( $\Delta A$ , Figs. 9a and 9c) as the effect is weighted by the surface temperature difference between the sea ice and ocean ( $T_i - T_o$ ). The interpretation of the results of the feedback analysis in the previous section is that the oceanic heat release in the cold season

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represented by the positive net surface heat flux term in Fig. 5 contributes to the surface air temperature rise and subsequent ice (and grid-mean) surface temperature rise. This diagnosis is simple but reveals a chain of processes whose temporal links are less clear from the conventional analysis on surface energy balance alone.

#### 4.5 Factors for the inter-model difference in surface temperature changes

5 Figure 10 shows the fractional contribution of the partial surface temperature changes to the model spread in the total surface temperature changes. The average is taken for the Arctic ocean areas, and positive or negative values indicate factors increasing or reducing the model differences, respectively. In the following, individual components whose contributions are either small or inconsistent between the  $\Delta$ MH and  $\Delta$ RCP4.5 cases are not discussed, after considering the statistical significance.

10 In spring (Fig. 10a), large contributions to the model spread are made by the albedo feedback (alb) and the downward clear-sky LW radiation component (clr\_lw) for both  $\Delta$ MH and  $\Delta$ RCP4.5. Each of these factors contributes to more than 50% of the model spread. LW cloud feedback (cld\_lw) and the synergy effect of local Arctic feedbacks and local surface warming sensitivity (synergy) also contribute to the model spread, but to a lesser degree. In contrast, the turbulent heat flux components (evap and sens) as well as the cloud SW radiation component (cld\_sw) tend to suppress the model spread.

15 In summer (Fig. 10b), the albedo feedback (alb) exhibits by far the largest (more than 170%) contribution to the model spread for both  $\Delta$ MH and  $\Delta$ RCP4.5. Note that the vertical scale in Fig. 10b is enlarged three-fold compared to other plots. As in spring, the downward clear-sky LW radiation component also contributes to more than 50% of the model spread. The surface effect (net surface heat flux component, or equivalently ocean heat storage and dynamics components) substantially suppresses the model spread for  $\Delta$ MH, but it is insignificant for  $\Delta$ RCP4.5.

20 In autumn and winter (Figs. 10c and 10d), the downward clear-sky LW radiation component, LW cloud feedback, and surface effect contribute to the model spread, whereas the turbulent heat flux components tend to suppress it for both  $\Delta$ MH and  $\Delta$ RCP4.5. As the oceanic heat content is reduced in these seasons through latent and sensible heat fluxes, it is understandable that these two terms have opposite sign to the surface effect, similar to how the albedo feedback and surface effect have opposite signs in summer. The surface effect contributes to more than 40% of the model spread in autumn and more than 50% in winter for both  $\Delta$ MH and  $\Delta$ RCP4.5. In contrast to spring and summer, the contribution by the albedo  
25 feedback is small in autumn and winter.

The downward clear-sky LW radiation consistently exhibits a large positive contribution (more than 50%) in all seasons for both  $\Delta$ MH and  $\Delta$ RCP4.5. The clear-sky LW radiation is often dominant for  $\Delta$ MH and  $\Delta$ RCP4.5 even in spring when the ensemble mean shows surface cooling in  $\Delta$ MH and warming in  $\Delta$ RCP4.5. It is also one of major contributors to the model spread even in winter when there is little contribution from the clear-sky LW radiation to the ensemble mean response of  $\Delta$ MH.  
30 The large contribution of this term to the model spread is somewhat expected because this radiative flux largely reflects the surface air temperature, which is thermally coupled with the surface temperature as stated in the previous section. This term, however, also includes the effect of water vapor and lapse-rate changes, whose contributions are not evaluated separately here.

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It is also important to point out that the LW cloud feedback contributes positively to the model spread in almost all seasons for both  $\Delta$ MH and  $\Delta$ RCP4.5. While the inter-model variability in cloud cover peaks in summer for  $\Delta$ MH and late autumn for  $\Delta$ RCP4.5 (Figs. 6b and 6d), the result suggests that the correct representation of LW cloud feedback is important throughout the year. It is important to recognize that the cloud response is not, however, independent of other feedbacks such as sea ice cover, water vapor, lapse rate, large-scale condensation, and convection (cf. Abe et al. 2016; Yoshimori et al. 2017). It is also important to notice that the synergy term contributes positively to the model spread. As the surface warming sensitivity depends on the background temperature, this result may suggest that the differences in the reference surface temperature, i.e., model bias, has the potential to reduce the simulated model response. Taken together, attention needs to be paid to the models' representation of surface albedo, turbulent heat fluxes (and thus the atmospheric stratification including inversion), clouds, and temperature bias to reduce the differences in the models' response.

These results suggest that the processes responsible for the model spread may depend on the season. While the albedo feedback shows only a small contribution to the autumn-winter model spread, this result does not mean that the summer albedo feedback is irrelevant to the model spread in autumn-winter, however. As the reduction of sea ice cover is considered to enhance the oceanic heat uptake through the enhanced albedo feedback, and the reduction of sea ice cover is also considered to enhance the oceanic heat release through the reduced thermal insulating effect, a chain of processes is expected. The model variances of the sea ice concentration change are large from late summer to early autumn with peaks in September-October for both  $\Delta$ MH and  $\Delta$ RCP4.5 (Figs. 9b and 9d), and the model variances of the ocean heat content change are also large in late summer to early autumn, although the peaks occur slightly earlier (Figs. 8b and 8d). These results are not sufficient to prove the existence of inter-seasonal linkage, but they are consistent with its existence. We calculate cross-model correlations between the summer albedo feedback and October-November-December (OND) feedbacks. The correlations of the summer albedo feedback with the OND surface effect are 0.72 ( $\Delta$ MH) and 0.60 ( $\Delta$ RCP4.5), 0.66 ( $\Delta$ MH) and 0.69 ( $\Delta$ RCP4.5) with the OND LW cloud feedback, and 0.85 ( $\Delta$ MH) and 0.87 ( $\Delta$ RCP4.5) with the OND surface temperature response (i.e., sum of all feedbacks). These values are statistically significant at the 5% level according to a Student's two-tailed t-test. The significant correlations with the surface effect and with the cloud greenhouse effect are consistent with the chain of processes discussed in Sect. 4.4 and in previous studies (e.g., Abe et al. 2016). Therefore, the model spread in the OND surface temperature response is closely related to the summer sea ice distribution, indicating that feedbacks in preceding seasons should not be overlooked. The recent sensitivity experiment with a single model by Park et al. (2018) demonstrates the dominant influence of sea ice albedo feedback on the MH Arctic winter and annual mean warmings. For completeness, the same analysis for the land surface temperature is shown in Fig. S4.

## 5 Discussions

While the ensemble mean surface temperature response over the Arctic ocean shows a consistent warming trend from summer to autumn for both  $\Delta$ MH and  $\Delta$ RCP4.5, the temperature anomaly in spring is neutral or negative for  $\Delta$ MH and positive

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**Deleted:** In the current study, the energy balance analysis is conducted for the surface level, and hence the effect of air temperature change and the associated downward longwave radiation in the Arctic region is not separated into local or remote origins. Therefore, the current study provides only a local feedback perspective with regard to the relevance of mid-Holocene Arctic warming to the future.

for  $\Delta$ RCP4.5. Although the source of the peak negative anomaly occurring in April for  $\Delta$ MH is unclear without dedicated numerical experiments, the zonal mean patterns of ERF and surface air temperature change in Fig. 2 suggest that it may originate from a negative insolation anomaly at lower latitudes. This interpretation is consistent with the downward clear-sky LW radiation contributing to the surface cooling. The significant remote influence on the Arctic temperature change has been suggested by previous studies in the context of future climate change (Stuecker et al. 2018; Yoshimori et al. 2017). The opposite signs in the total surface temperature change and also in the partial temperature change by downward clear-sky LW radiation between  $\Delta$ MH and  $\Delta$ RCP4.5 do not suggest a strong similarity between MH and future Arctic response in this season. While the ensemble mean surface temperature response over the Arctic ocean shows relatively small warming in summer for both  $\Delta$ MH and  $\Delta$ RCP4.5, they are the downward clear-sky SW radiation for  $\Delta$ MH and albedo feedback for  $\Delta$ RCP4.5 that dominate in the partial temperature changes. Nevertheless, the increased absorption of SW radiation by the ocean and increased reflection of SW radiation by clouds occur for both  $\Delta$ MH and  $\Delta$ RCP4.5, suggesting that the relevant processes are controlling the Arctic response in summer. The positive partial temperature changes by the surface effect, cloud greenhouse effect, and synergy effect are common in  $\Delta$ MH and  $\Delta$ RCP4.5 in autumn. Together with the concurrent largest warming, it is suggested that the MH Arctic warming in this season is strongly relevant to the future Arctic warming. While the contribution from downward clear-sky LW radiation to the partial temperature change is large throughout the year for  $\Delta$ RCP4.5, it plays a role only in some months for  $\Delta$ MH. As the near-surface air temperature is thermally coupled to the surface temperature as shown in Fig. 7, it was thought that the partial temperature change by downward LW radiation behaves similarly to the total surface temperature change. In the  $\Delta$ MH, however, the contribution by this component is small in winter. As this term consists of vertically uniform temperature change, lapse rate change, and water vapor change, the different behavior does not immediately mean that the mean tropospheric temperature response is decoupled from the surface. Nevertheless, it is possible that the different behavior is caused by the remote influence from lower latitudes where insolation is reduced for  $\Delta$ MH. In any case, this difference may weaken the similarity in the surface temperature response between  $\Delta$ MH and  $\Delta$ RCP4.5.

As expected from the magnitude of the influence, the processes found to be important for the warming trend from summer to autumn in  $\Delta$ MH and  $\Delta$ RCP4.5 are also primarily responsible for the model spread in these seasons. What is interesting is that the processes contributing to the model spread in other seasons are relatively similar between  $\Delta$ MH and  $\Delta$ RCP4.5 even when the ensemble mean surface temperature response is very different. The most notable example is spring when cooling occurs in  $\Delta$ MH and warming occurs in  $\Delta$ RCP4.5. Such a discordance can occur because the feedback with the largest magnitude is not necessarily the feedback with the most uncertainty. In the global mean radiative feedback analogy, for example, Planck and water vapor feedbacks have large magnitude but the response to the smaller SW cloud feedback is thought to contain the most uncertainty. In spring, the albedo feedback and downward clear-sky LW radiation are the major contributors to the model spread. As discussed in the above, the temperature response in  $\Delta$ MH is not highly similar to the future Arctic response in this season. Nevertheless, the model spread occurs through similar feedback processes. This result suggests that if the models are constrained by  $\Delta$ MH proxy reconstruction in this season, there is a potential that the constraint may affect the

future Arctic projection in the same season even though the response is not alike. In this sense,  $\Delta$ MH Arctic change is useful for constraining future Arctic projection in all seasons. However, the confirmation of this statement requires a rigorous statistical analysis.

In the current analysis, the target variable of interest is surface temperature change, and an emphasis was made on atmospheric feedbacks. Previous studies reported that many important feedbacks also reside in the interaction of sea ice and ocean (Goosse et al. 2018). For example, sea ice grows faster when it is thin and this feedback works to counter warming. While sea ice related terms such as albedo feedback (a function of ice cover among others) and heat release from the ocean (a function of ice thickness among others) are diagnosed, the ice thickness feedback itself was not quantified in the current study. Such a diagnosis would require an energy budget analysis for sea ice and probably for the mixed-layer ocean as well, and it is worth further investigation in the future.

Recently, Hu et al. (2017) argued that “the global warming projection spread...is inherited from the diversity in the control climate state.” They also pointed out a possibility that the diversity of feedbacks can arise from the same control climate state which may be constructed from the compensation of different processes. We add to these points that there may be a systematic bias or uncertainty due to common, missing feedbacks in many climate models that do not appear as the model spread. The paleoclimate has the potential to provide a constraint for the future projections in the second and third cases, beyond the emergent constraint. Related to this discussion, there remains an outstanding issue to be explored, O’Ishi and Abe-Ouchi (2011) showed that the vegetation change in response to climate change in both the mid-Holocene and elevated CO<sub>2</sub> experiments amplifies the Arctic warming. In particular, the expansion of boreal forest in place of tundra lowers the surface albedo through earlier snow melting and leads to the amplification of continental warming in spring and subsequent maritime warming in winter. None of the models analyzed in the current study include the effect of climate-vegetation interaction. Therefore, the conclusion of the current study needs to be verified by models with a dynamic vegetation component.

The current study focuses on the mid-Holocene partly because multi-model simulations for this period are easily accessible through the CMIP5 data archive, and the compiled reconstruction dataset is also available. There are, however, other periods that appear to exhibit larger Arctic warming such as the last interglacial (MIS5e), MIS11, and mid-Pliocene (Berger et al. 2016; Dutton et al. 2015; Lunt et al. 2013). These warm periods surely would be useful for expanding the analysis conducted in this study. While the energy balance feedback analysis has been applied to the MH, LGM, and mid-Pliocene (Braconnot and Kageyama 2015; Hill et al. 2014), which are very useful for understanding past climate change, a study focusing on the relevance to the future is encouraged. It should be straightforward to expand the current study to other periods once the multi-model simulations are easily accessible. In addition, the current analysis does not separate the downward LW radiation in the Arctic region into local and remote origins, and thus provides only a local feedback perspective. As the change in orbital configurations redistributes the insolation latitudinally, a significant change in the meridional heat transport is expected. The change in the meridional heat transport by both the atmosphere and ocean in response to the wider variety of orbital configurations is worth further investigation in the future. Furthermore, expanding the current study to cases with more general

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We note that the processes responsible for the  $\Delta$ RCP4.5 Arctic warming amplification for CMIP5 models was investigated in detail in Lainé et al. (2016) with a larger sample size (32 instead of 10). Their result is summarized as follows. The extra solar radiation reaches the sea surface through the surface albedo feedback due to the sea ice decrease in summer, which was then absorbed by the ocean (and used partially for further melting of sea ice). The maximum reduction of sea ice cover occurs in September when the sea surface temperature anomaly is also largest. As the colder season approaches, the exposed warm open water without the insulating effect of sea ice releases heat vigorously to the colder atmosphere, including the extra heat that was stored during the summer. The warming is amplified in winter near the surface because of 1) the strong atmospheric stratification that confines the warming to near the surface, 2) the cloud-induced greenhouse effect, which does not invoke the sun-shade effect when there is little insolation, and 3) the larger temperature response required by the nonlinearity of the Stefan-Boltzmann law at colder temperatures to achieve energy balance. Although our analysis method does not quantify the effect of atmospheric stratification, the ice surface temperature rise following the winter oceanic heat release suggests the importance of stratification and the current results for  $\Delta$ MH and  $\Delta$ RCP4.5 are consistent with the above summary. ¶  
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astronomical forcing (e.g., only considering the effect of the obliquity change or precession change), and to consider the implications for the mechanism for glacial-interglacial cycles (e.g., Abe-Ouchi et al. 2013) may also be valuable.

## 6 Conclusions

The relevance of Arctic warming mechanisms in the MH to the future under the RCP4.5 scenario was investigated. The emphasis was placed on the surface temperature change over the ocean where peak warming occurs nearly in the same season for both periods and the model spread is large. Although the insolation in the Arctic region decreases in autumn for the MH relative to the modern period, the largest MH Arctic warming occurs in autumn. Although the elevated CO<sub>2</sub> radiative forcing is rather uniform globally and seasonally, the largest future Arctic warming also occurs almost in the same season as for the MH. Within the limited range of processes investigated, the current study suggests that the dominant processes causing the Arctic warming trend from summer to autumn in the MH and in the future are common: positive albedo feedback in summer, (though partially counteracted by the sunshade effect from clouds), the consequent increase in heat release from the ocean to the atmosphere in the colder season when the atmospheric stratification is strong, and an increased greenhouse effect from clouds during the season with least insolation. A chain in the seasonal progress was elucidated by a decomposition into factors associated with SST, ice concentration, and ice surface temperature changes, whose temporal links are less clear from the conventional surface energy balance analysis alone. In addition, the synergy effect of local Arctic feedbacks and local warming sensitivity contributes to the enhanced warming during the cold season for both cases. There are some differences, however. The contribution from the downward clear-sky SW radiation is large positive in summer and negative in autumn for the MH, but it plays only a minor role in the future. Furthermore, the large contribution from the downward clear-sky LW radiation occurs throughout the year for the future projections, but it is only distinct in April-May and July-October for the MH.

The downward clear-sky LW radiation is one of the major contributors to the model spread for surface temperature changes throughout the year. Although whether this term originates from remote sources or local feedbacks is unclear from the current analysis, the importance of this term is common for the model spread in the MH and the future simulations. The processes found to be important for the warming trend from summer to autumn (albedo feedback, surface effect, cloud greenhouse effect, and synergy effect) are also found to be primarily responsible for the model spread in these seasons. The dominant feedbacks for the model spread depends on the season—albedo feedback for spring and summer, and surface effect for autumn and winter—although the importance of the inter-seasonal linkage of feedbacks is not excluded. Cloud feedbacks are less important for the model spread in summer and a small contribution from downward clear-sky SW radiation is found throughout the year.

The fact that MH Arctic ocean warming is moderate in all seasons except for late autumn to early winter and the model spread is large in the cold season underlines the importance of model validation with proxy reconstruction in the cold season. However, the factors contributing to the model spread are also common between the MH and the future in other seasons, including spring, when opposite signs of temperature response occur. This result suggests that the MH Arctic change may not be directly relevant to the future in some seasons but it is still useful to constrain the future Arctic projection. In this sense, the

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seasonal evolution of surface temperature response in the MH Arctic is a useful variable. In practice, however, the available constraint would be limited to the cold season when the temperature response over the ocean is well correlated with that over land across models. The significant correlation found between the summer albedo feedback and autumn-winter temperature response across models suggests that feedbacks in preceding seasons should not be overlooked and the sea ice cover may be another useful constraint.

The relevance between past and future climate arises not only from a common forcing to the climate system but also from the feedbacks inherent in the climate system. While basic physical principles do not change with time, it is not trivial that the dominating processes for the climate variations are the same for different climate forcing and response. Therefore, more effort should be made in seeking possible analogues in the dominant physical processes between the past and future climate, rather than in the past forcing. The following points are highlighted from the current study.

- Many of the dominant processes that amplify Arctic warming over the ocean from late autumn to early winter are common between the two periods, despite the difference in the source of the forcing (insolation vs. greenhouse gases).
- A chain of processes responsible for the warming trend from summer to autumn can be elucidated by the decomposition to factors associated with SST, ice concentration, and ice surface temperature changes.
- The downward clear-sky longwave radiation is one of major contributors to the model spread throughout the year. Other controlling terms vary with the season, but they are similar between the MH and the future in each season.
- The MH Arctic change may not be analogous to the future in some seasons when the temperature response differs, but it is still useful to constrain the model spread in the future Arctic projection.
- The significant cross-model correlation found between the summer albedo feedback and autumn-winter surface temperature response in both forcing cases suggests that the feedbacks in preceding seasons, particularly sea ice cover, should not be overlooked when determining constraints.

#### **Data availability**

The PI, MH, HIST, and RCP4.5 simulation data can be downloaded from the ESGF server (<https://esgf-node.llnl.gov/search/cmip5/>, last access: 12 March 2019) (ESGF, 2019) as piControl, midHolocene, historical, and rcp45. Temperature reconstructions from proxy data used in Fig. 4 are taken from Table 1a of Sundqvist et al. (2010). Temperature reconstructions from proxy data used in Fig. S2 can be downloaded from the PMIP3 web site (<https://pmip3.lscce.ipsl.fr/>, last access: 12 March 2019) (PMIP3, 2019). ERF data calculated with MIROC4m-AGCM are available from the corresponding author upon request. Computer codes used for the analysis for Figs. 5, 7, and 10 were written in Fortran and they are also available by request except for a random number generator (ran3) taken from Press et al. (1992).

### Author contribution

This study was developed based on parts of MS's bachelor and master theses at Hokkaido University. MY designed the analysis. MS conducted [the](#) initial analysis which was completed by MY. MY prepared the manuscript with contributions from MS. Both authors contributed to the interpretation of the results.

### 5 Competing interests

The authors declare that they have no conflict of interest.

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Table 1. Orbital configurations for the PI and MH experiments. The PI and MH values here represent the values for the years 1850 C.E. and 6000 years before 1950 C.E., respectively, taken from the PMIP3 web page (<https://pmip3.lsce.ipsl.fr/>). They originate from Berger (1978). Parameters for PI may vary slightly with the model.

<u>x</u>	<u>Eccentricity</u>	<u>Obliquity (°)</u>	<u>Longitude of perihelion</u> <u>from the vernal equinox</u> <u>= 180 (°)</u>
<u>PI</u>	<u>0.016764</u>	<u>23.459</u>	<u>100.33</u>
<u>MH</u>	<u>0.018682</u>	<u>24.105</u>	<u>0.87</u>

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Table 2 Models used in the current study and the annual, global and Arctic (north of 60°N) mean surface air temperature changes (°C).

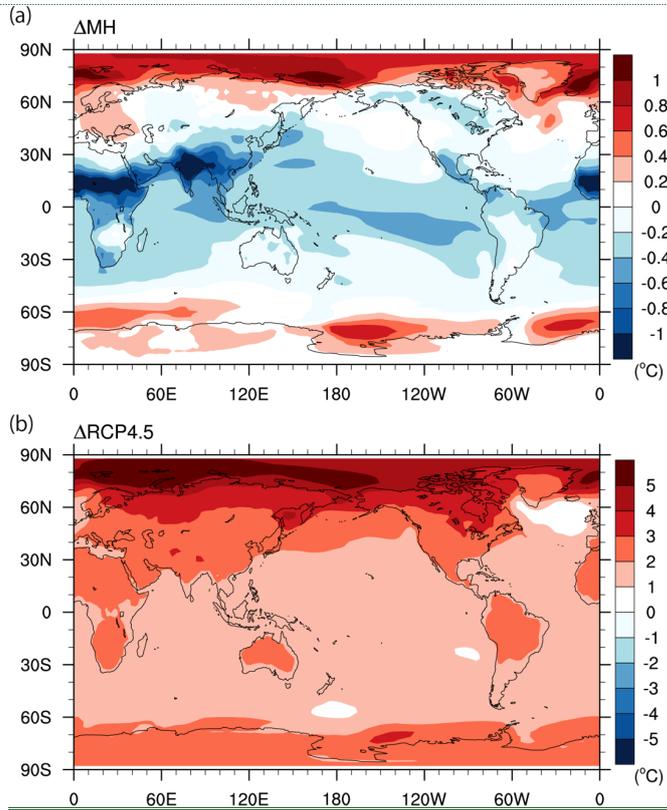
<u>Model</u>	<u>ΔMH</u>		<u>ARCP4.5</u>	
	<u>Global</u>	<u>Arctic</u>	<u>global</u>	<u>Arctic</u>
<u>bcc-csm1-1</u>	<u>-0.13</u>	<u>0.87</u>	<u>1.74</u>	<u>4.27</u>
<u>CCSM4</u>	<u>-0.22</u>	<u>0.01</u>	<u>1.83</u>	<u>3.89</u>
<u>CNRM-CM5</u>	<u>0.18</u>	<u>1.42</u>	<u>2.07</u>	<u>5.02</u>
<u>CSIRO-Mk3-6-0</u>	<u>0.02</u>	<u>0.43</u>	<u>2.37</u>	<u>3.06</u>
<u>FGOALS-g2</u>	<u>-0.75</u>	<u>-0.48</u>	<u>1.43</u>	<u>3.57</u>
<u>FGOALS-s2</u>	<u>-0.16</u>	<u>0.46</u>	<u>1.66</u>	<u>2.34</u>
<u>GISS-E2-R</u>	<u>-0.10</u>	<u>0.77</u>	<u>1.34</u>	<u>2.45</u>
<u>IPSL-CM5A-LR</u>	<u>-0.13</u>	<u>0.25</u>	<u>2.37</u>	<u>4.84</u>
<u>MIROC-ESM</u>	<u>-0.25</u>	<u>-0.27</u>	<u>2.58</u>	<u>6.00</u>
<u>MRI-CGCM3</u>	<u>-0.02</u>	<u>0.81</u>	<u>1.70</u>	<u>3.84</u>
<u>Mean</u>	<u>-0.16</u>	<u>0.43</u>	<u>1.91</u>	<u>3.93</u>

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Table 3 A list of the energy flux terms used in Figs. 5 and 10. Row #1 represents the strength of the global mean feedback calculated with local warming sensitivity. Rows #2–10 represent the strength of local feedback calculated with global mean warming sensitivity.

<u>#</u>	<u>Symbol</u>	<u>Definition</u>
<u>1</u>	<u>S-B</u>	<u>nonlinearity of Stefan-Boltzmann law</u>
<u>2</u>	<u>alb</u>	<u>surface albedo change</u>
<u>3</u>	<u>alb*clr_sw</u>	<u>nonlinear effect of surface albedo and clear-sky shortwave radiation changes</u>
<u>4</u>	<u>clr_sw</u>	<u>clear-sky shortwave radiation change</u>
<u>5</u>	<u>clr_lw</u>	<u>clear-sky longwave radiation change</u>
<u>6</u>	<u>cld_sw</u>	<u>shortwave cloud radiative effect</u>
<u>7</u>	<u>cld_lw</u>	<u>longwave cloud radiative effect</u>
<u>8</u>	<u>evap</u>	<u>surface evaporation</u>
<u>9</u>	<u>sens</u>	<u>surface sensible heat flux</u>
<u>10</u>	<u>surface</u>	<u>net surface energy flux including latent heat for snow/ice melting and heat exchange with the subsurface</u>
<u>11</u>	<u>synergy</u>	<u>synergy term for local feedbacks and local warming sensitivity</u>

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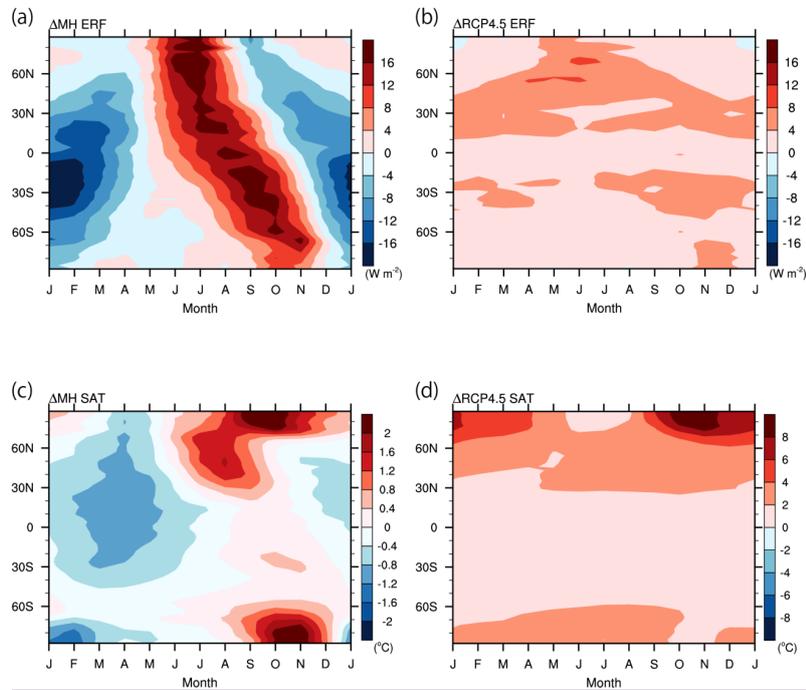


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Figure 1 Multi-model mean (all 10 models listed in Table 2) annual mean surface air temperature response ( $^{\circ}C$ ): (a)  $\Delta MH$ ; and (b)  $\Delta RCP4.5$ .

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5 Figure 2 Seasonal progress of the zonal mean effective radiative forcing, ERF (top,  $\text{W m}^{-2}$ ) and surface air temperature change (bottom,  $^{\circ}\text{C}$ ): (a) & (c)  $\Delta\text{MH}$ ; and (b) & (d)  $\Delta\text{RCP4.5}$ . The ERF for  $\Delta\text{RCP4.5}$  is drawn using the data from Yoshimori et al. (2018), and it is computed in the current study for  $\Delta\text{MH}$ . Both ERFs are constructed with a single model, MIROC4m-AGCM (Yoshimori et al., 2018). The surface air temperature changes are the means of all 10 models listed in Table 2.

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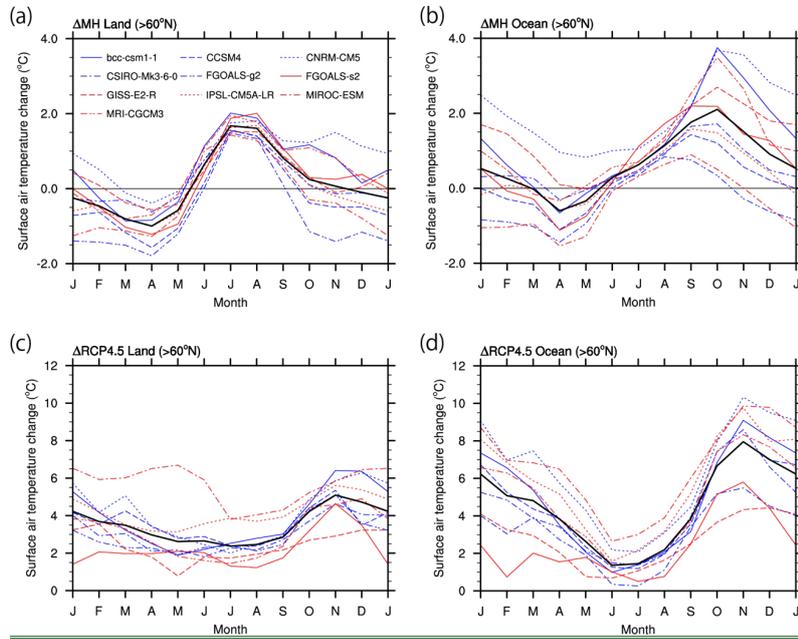


Figure 3 Seasonal progress of the surface air temperature change ( $^{\circ}\text{C}$ ) in the Arctic (north of  $60^{\circ}\text{N}$ ): (a)  $\Delta\text{MH}$  land; (b)  $\Delta\text{MH}$  ocean; (c)  $\Delta\text{RCP4.5}$  land; and (d)  $\Delta\text{RCP4.5}$  ocean. Thick black lines show the multi-model mean. Note that the range of vertical axis is different for  $\Delta\text{MH}$  (a and b) and  $\Delta\text{RCP4.5}$  (c and d).

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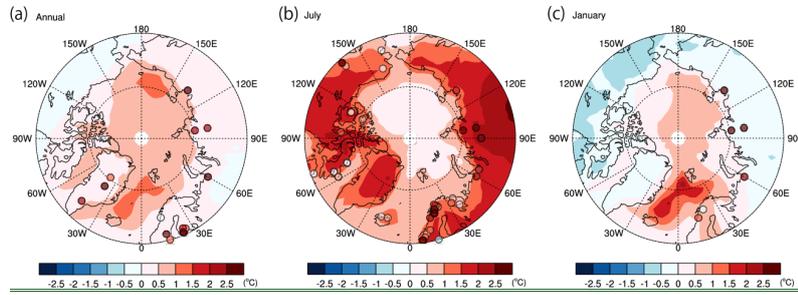
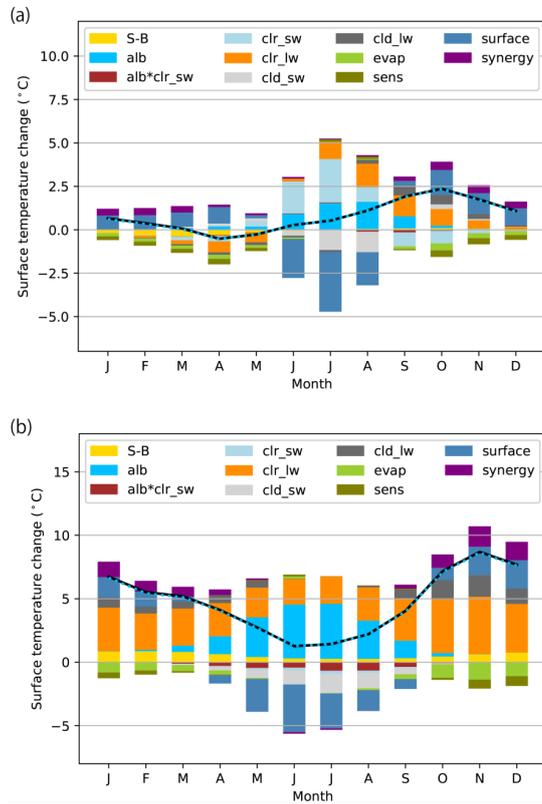


Figure 4 Surface air temperature anomaly (°C) for  $\Delta MH$  from the simulations (shading) and reconstruction (solid circles): (a) annual mean; (b) July; and (c) January. The reconstruction data are taken from Sundqvist et al. (2010). The mean of all 10 models listed in Table 2 was used.

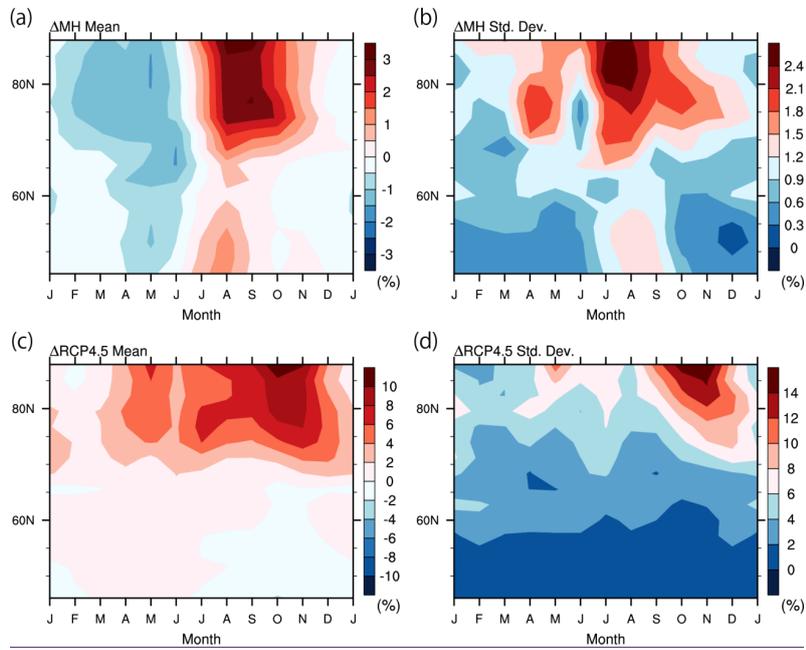


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Figure 5 Simulated and diagnosed surface temperature changes ( $^{\circ}C$ ) for the ocean (north of  $60^{\circ}N$ ): (a)  $\Delta MH$ ; and (b)  $\Delta RCP4.5$ .

- 5 The black polygonal solid lines denote simulated changes and blue polygonal dashed lines denote the sum of the diagnosed partial changes; the two lines are superimposed. The graphs represent the means of all 10 models listed in Table 2. See Table 3 for the interpretation of each component.

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Figure 6 Seasonal progress of the total cloud fraction change (%) over the ocean (north of 60°N): (a) ΔMH ensemble mean; (b) ΔMH ensemble standard deviation; (c) ΔRCP4.5 ensemble mean; and (d) ΔRCP4.5 ensemble standard deviation. All 10 models listed in Table 2 are used.

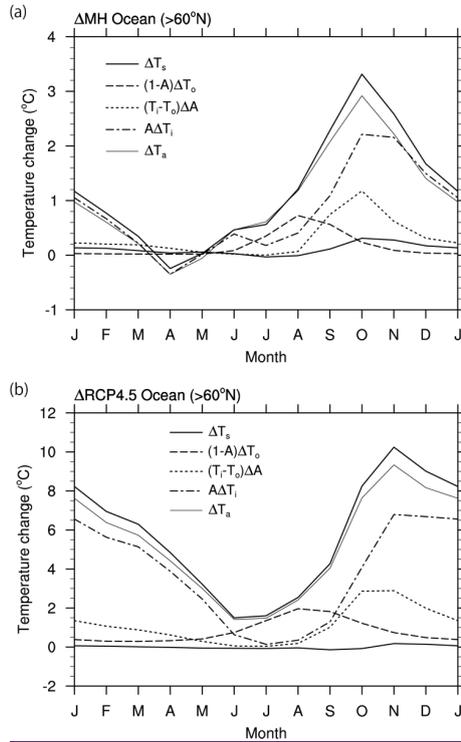
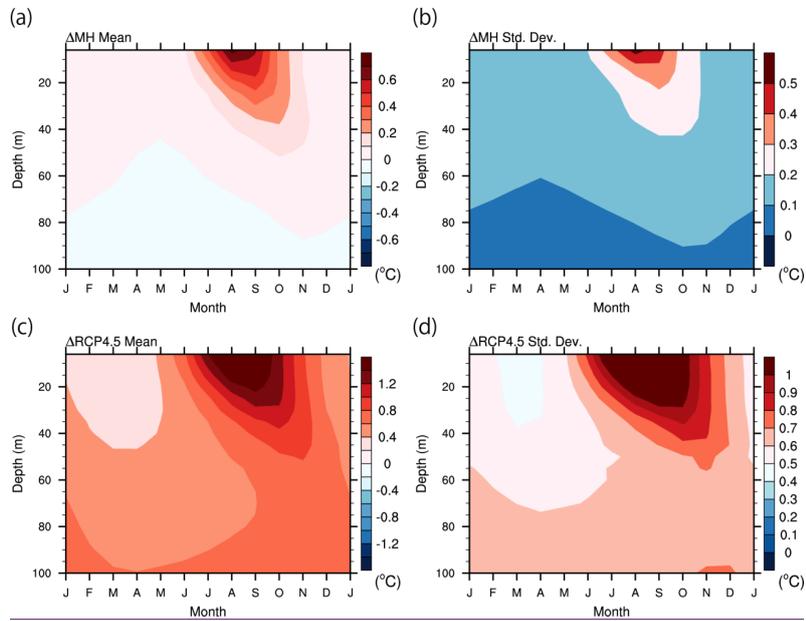


Figure 7. Contribution of the individual components to the surface temperature change (°C) over the ocean (north of 60°N): (a) ΔMH; and (b) ΔRCP4.5. The surface temperature change is decomposed into the components of the SST change  $(1 - A)\Delta T_o$ , sea ice concentration change  $(T_i - T_o)\Delta A$ , and sea ice surface temperature change  $A\Delta T_i$ . Simulated surface temperature  $(\Delta T_s)$  and surface air temperature changes  $(\Delta T_a)$  are also plotted for reference. Only 5 models (bcc-csm-1, CCSM4, CNRM-CM5, IPSL-CM5A-LR, and MRI-CGCM3) are used.

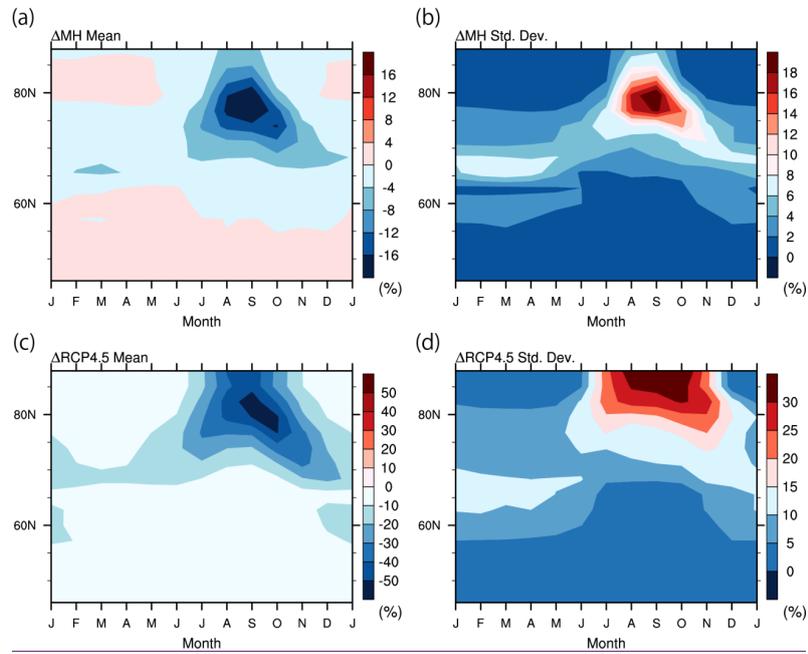
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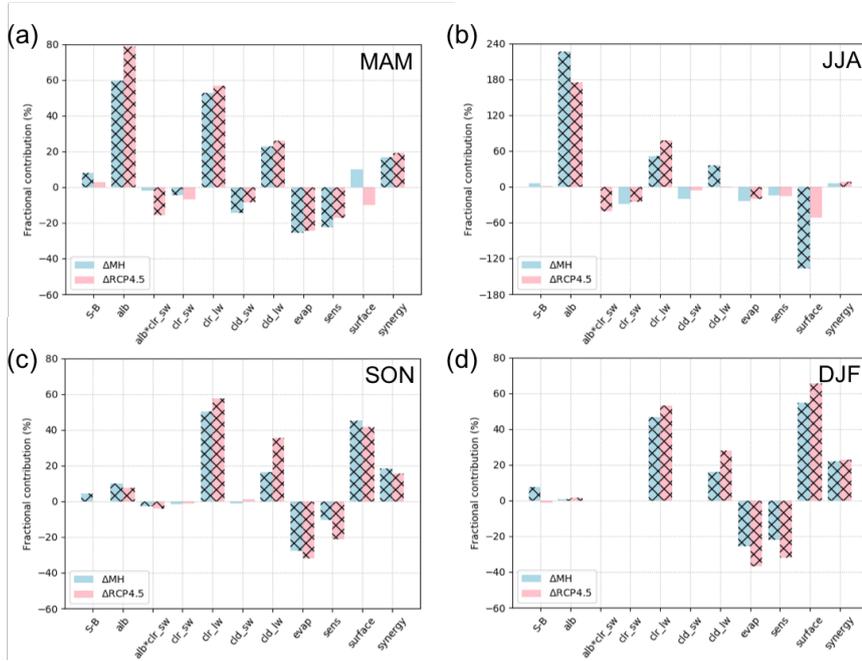
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Figure 8. Same as in Fig. 6 but for the upper ocean temperature change ( $^{\circ}\text{C}$ ) (north of  $60^{\circ}\text{N}$ ). 9 models except for FGOALS-g2 listed in Table 2 are used.



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Figure 9 Same as in Fig. 6 but for the sea ice concentration (%) (north of 60°N). All 10 models listed in Table 2 are used.



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Figure 10 Fractional contribution of individual processes to the model spread in the simulated surface temperature change (%) over the ocean (north of 60°N) for  $\Delta MH$  and  $\Delta RCP4.5$ : (a) spring (March-April-May); (b) summer (June-July-August); (c) autumn (September-October-November); and (d) winter (December-January-February) means. The sum of the bar graphs in the same color for each plot adds up to 100%. The hatching indicates the contribution is statistically significant at the 10% level. All 10 models listed in Table 2 are used. See Table 3 for the interpretation of each component. Note that the vertical scale for (b) is three-fold larger than in the other plots.

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**The relevance of mid-Holocene Arctic warming to the future**

(Supplementary [table and figures](#))

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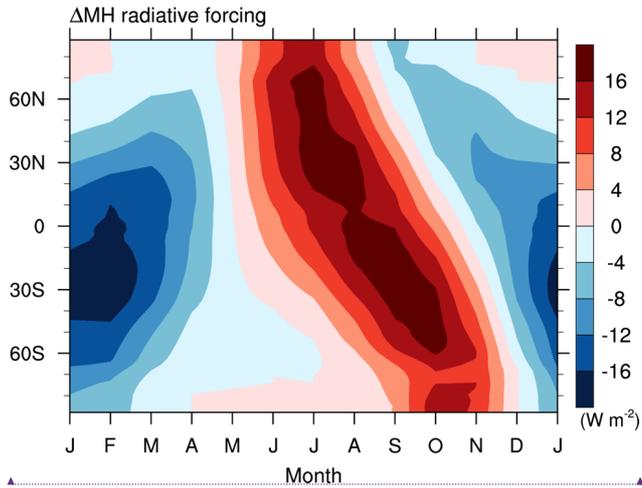
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Phone: +81-4-7136-4380, Fax: +81-4-7136-4375, E-mail: [masakazu@aori.u-tokyo.ac.jp](mailto:masakazu@aori.u-tokyo.ac.jp)

1 Table S1 Model years used to construct the long-term climatology for the PI and MH simulations from  
 2 “r1i1p1” runs in the CMIP5 dataset.

<u>Model</u>	<u>PI</u>	<u>MH</u>
<u>bcc-csm1-1</u>	<u>0001 - 0500</u>	<u>0001 - 0100</u>
<u>CCSM4</u>	<u>0800 - 1300</u>	<u>1000 - 1300</u>
<u>CNRM-CM5</u>	<u>1850 - 2699</u>	<u>1950 - 2149</u>
<u>CSIRO-Mk3-6-0</u>	<u>0001 - 0500</u>	<u>0001 - 0100</u>
<u>FGOALS-g2</u>	<u>0001 - 0900</u>	<u>3400 - 1024</u>
<u>FGOALS-s2</u>	<u>1850 - 2350</u>	<u>0001 - 0100</u>
<u>GISS-E2-R</u>	<u>3331 - 4530</u>	<u>2500 - 2599</u>
<u>IPSL-CM5A-LR</u>	<u>2370 - 2799</u>	<u>2710 - 2800</u>
<u>MIROC-ESM</u>	<u>1800 - 2429</u>	<u>2330 - 2429</u>
<u>MRI-CGCM3</u>	<u>1851 - 2350</u>	<u>1951 - 2050</u>

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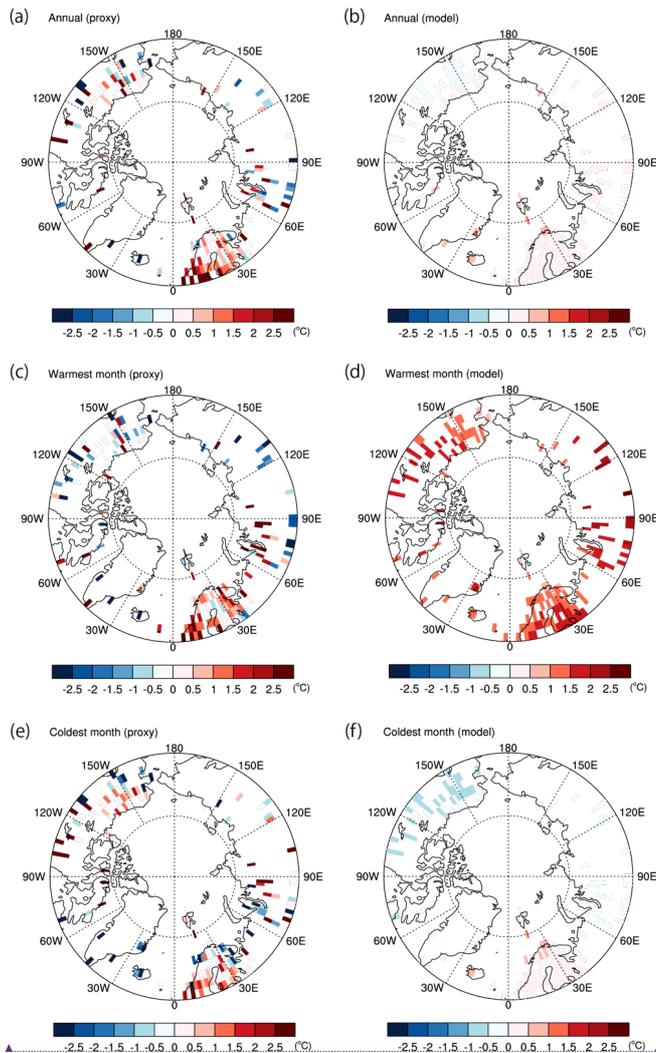


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Fig. S1 Seasonal progress of the zonal mean radiative forcing calculated with the insolation anomaly for  $\Delta MH$  and planetary albedo from the PI experiment ( $W m^{-2}$ ). The mean of all 10 models was used. See main text for details.

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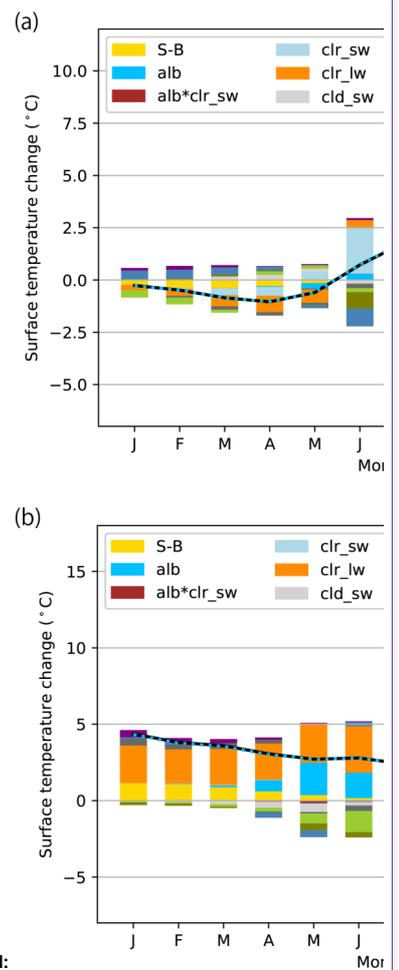
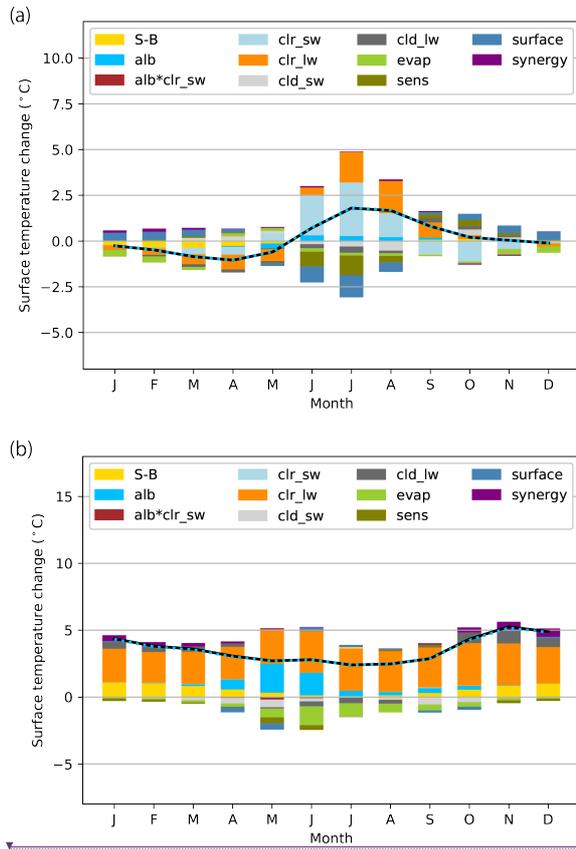


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Fig. S2 Surface air temperature anomaly (°C) for  $\Delta$ MH from the reconstruction (left) and simulations\* (right): (a) & (b) annual mean, (c) & (d) warmest month, and (e) & (f) coldest month. The reconstruction data are taken from the extended data of Bartlein et al. (2011). The mean of all 10 model simulations was used.

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Figure S3 Simulated and diagnosed surface temperature changes ( $^{\circ}\text{C}$ ) for the land (north of  $60^{\circ}\text{N}$ ): (a)  $\Delta\text{MH}$ ; and (b)  $\Delta\text{RCP4.5}$ . The black polygonal solid lines denote simulated changes and blue polygonal dashed lines denote the sum of diagnosed partial changes; two lines are superimposed. The graphs represent the means of all 10 models listed in Table 2. See Table 3 for the interpretation of each component.

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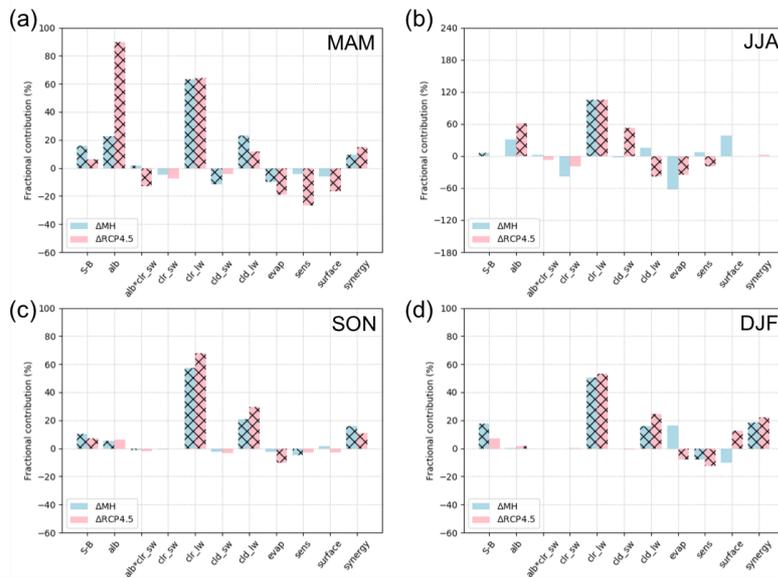
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Figure S4 Fractional contribution of individual processes to the simulated surface temperature change\* (%) over the land (north of 60°N) for ΔMH and ΔRCP4.5: (a) spring (March-April-May), (b) summer (June-July-August), (c) autumn (September-October-November), and (d) winter (December-January-February) means. The sum of the bar graphs in the same color for each plot adds up to 100%. The hatching indicates the contribution is statistically significant at the 10% level. All 10 models listed in Table 2 are used. See Table 3 for the interpretation of each component. Note that the vertical scale for (b) is three times larger than others.

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43 **Bartlein, P. J., and Coauthors:** Pollen-based continental climate reconstructions at 6 and 21 ka: a global  
44 synthesis. *Climate Dynamics*, **37**, 775-802. DOI 10.1007/s00382-010-0904-1, 2011

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