



1 **Autumn – winter minimum temperature changes in the**  
2 **southern Sikhote-Alin mountain range of northeast Asia since**  
3 **1509 AD**

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10  
11 **Abstract.** The aim of our research was to reconstruct climatic parameters (for the first time for the Sikhote-Alin  
12 mountain range) and to compare them with global climate fluctuations. As a result, we have found that one of the  
13 most important limiting factors for the study area is the minimum temperatures of the previous autumn-winter  
14 season (August-December), and this finding perfectly conforms to that in other territories. We reconstructed the  
15 previous August-December minimum temperature for 505 years, from 1509 to 2014. We found three cold periods  
16 (1650-1681, 1791-1853, 1865-1918) and four warm periods (1509-1529, 1562-1583, 1747-1781, and 1944-2014).  
17 These periods correlate well with reconstructed data for the Northern Hemisphere and the neighboring territories of  
18 China and Japan. Our reconstruction has 2-4-, 9-, 11-, 48 and 189-year periods, which are in line with high-frequency  
19 fluctuations in ENSO, the short-term solar cycle, PDO fluctuations and the de-Vier quazi-200 solar activity cycle,  
20 respectively. We have confirmed the climatic response to solar activity, which corresponds to cold periods during  
21 the solar minimum. These comparisons show that our climatic reconstruction based on tree-ring chronology for this  
22 area may potentially provide a proxy record for long-term, large-scale past temperature patterns for northeast Asia.  
23 The reconstruction reflects the global traits and local variations in the climatic processes of the southern territory of  
24 the Russian Far East for more than the past 500 years.

25 **1 Introduction**

26 Global climate change is the main challenge for human life and natural systems, which is why we should clearly  
27 understand climatic changes and their mechanisms. A retrospective review of climatic events is necessary for  
28 understanding the climatic conditions from a long-term perspective. At the same time, instrumental climate  
29 observations rarely cover more than a 100-year period and are often restricted to 50-70 years. This restriction forces  
30 the researchers to continuously find new ways and methods to reconstruct climatic fluctuations. Dendrochronology  
31 has been widely applied in climatic reconstruction for local territories and at the global scale for both climatic  
32 reconstructions of the past few centuries and paleoclimatic reconstructions because it is rather precise, extensively  
33 used and a replicable instrument (Corona et al.; Popa and Bouriaund, 2014; Kress et al., 2014; Lyu et al., 2016).

34 A great number of studies have focused on climatic change reconstruction for the northeastern parts of China based  
35 on *P. koraeensis* radial growth studies (e.g., Zhu et al., 2009; Wang et al., 2013; Wang et al., 2016; Zhu et al., 2015;  
36 Lyu et al. 2016). Climatic parameters were reconstructed for the whole Northern Hemisphere (Wilson et al., 2016),  
37 China (Ge et al., 2016), and temperature characteristics were reconstructed for northeastern Asia (Ohyama et al.,  
38 2013). Despite this, there are very few studies of Russian Far East climate (e.g., Willes et al., 2014; Jacoby et al.,  
39 2004; Shan et al., 2015); moreover, there is an absence of dendrochronological studies for the continental part of  
40 Russian Far East. Meanwhile, most of species present in northeastern China, the Korean peninsula and Japan grow in  
41 this region. In addition, the areals of these trees often end in the south of the Russian Far East, which increases the



42 climatic sensitivity of plants. Additionally, some parts of the forests in the Russian Far Eastern have not been subjected  
43 to human activity for the last 2000-4000 years. This makes it possible to forests extend the studied timespan. In  
44 addition, the southern territory of the Russian Far East is sensitive to global climatic changes as it is under the influence  
45 of cold air flow from northeastern Asia during the winter and summer monsoons. All of the factors listed above create  
46 favorable conditions for dendroclimatic studies.

47 It is well-known that warming of the climate is correlated with intensive solar activity (e.g., the Medieval Warm  
48 Period), while decreases in temperature occurs during periods of low solar activity (e.g., the Little Ice Age; Lean and  
49 Rind, 1999; Bond et al., 2001). According to findings from an area of China neighboring the territory studied here,  
50 the registered warming has been significantly affected by global warming since the 20th century (Ding and Dai, 1994;  
51 Wang et al., 2004; Zhao et al., 2009), which is often indicated by a faster rise in night or minimum temperatures (Karl  
52 et al., 1993; Ren and Zhai, 1998; Tang et al., 2005). To better understand and evaluate future temperature change  
53 trends, we should study the long-term history of climatic changes.

54 However, using tree-ring series for northeastern Asia (particularly temperature) is rather complicated due to the unique  
55 hydrothermal conditions of the region. Most reconstructions cover periods of less than 250 years (e.g., Shao and Wu,  
56 1997; Zhu et al., 2009; Wang et al., 2012; Li and Wang, 2013; Yin et al., 2009; Zhu et al., 2015), except for a few  
57 with periods up to 400 years (Lyu et al., 2016; Wiles et al., 2014). The short period of reconstructions is the reason  
58 why such reconstructions cannot capture low-frequency climate variations.

59 The warming of the climate (particularly minimum temperature increase) is registered across the whole territory of  
60 northeastern Asia (Lyu et al., 2016). In the Russian Far-East, such warming has been recorded for more than 40 past  
61 years (Kozhevnikova, 2009). However, the lack of detailed climatic reconstructions for the last few centuries makes  
62 it difficult to capture long-period climatic events for this territory and interpret the temperature conditions for the last  
63 500-1000 years.

64 Therefore, the main objectives of this study were (1) to develop the first tree-ring-width chronology for the southern  
65 part of the Russian Far East; (2) to develop for the first time a more than 500-year ring-width chronology in the far-  
66 eastern region; (3) to analyze the regime of temperature variation over the past 5 centuries in the southern part of the  
67 Russian Far East and compare this variation with that in neighboring territories; (4) to identify the recent warming  
68 amplitude in context of long-term changes; and (5) to analyze the periodicity of climatic events and their driving  
69 forces. Our new minimum temperature record supplements the existing data for northeast Asia and provides new  
70 evidence of past climate variability. There is the potential to better understand future climatic trajectories from these  
71 data in northeast Asia.

72

## 73 **2 Materials and methods**

### 74 **2.1 Study area**

75 We studied the western macroslope of the southern part of the Sikhote-Alin mountain range (Southeastern Russia) at  
76 the Verkhneussuriysky Research Station of the Federal Scientific Center of the East Asia terrestrial biodiversity Far  
77 East Branch of the Russian Academy of Sciences (4400 ha; N 44°01'35.3", E 134°12'59.8", Fig. 1).

78 The territory is characterized by a monsoon climate with relatively long, cold winters and warm, rainy summers. The  
79 average annual air temperature is 0.9 °C; January is the coldest month (−32 °C average temperature), and July is the  
80 warmest month (27 °C average temperature). The average annual precipitation is 832 mm (Kozhevnikova, 2009).

81 Southerly and southeasterly winds predominate during the spring and summer, while northerly and northwesterly



82 winds predominate in autumn and winter. The terrain includes mountain slopes with an average angle of  $\sim 20^\circ$ , and  
83 the study area is characterized by brown mountain forest soils (Ivanov, 1964) (Fig. 2).  
84 Mixed forests with Korean pine are the main vegetation type in the study area, and they form an altitudinal belt up to  
85 800 m above sea level. These trees are gradually replaced by coniferous fir-spruce forests at high altitudes  
86 (Kolesnikov, 1956). Korean pine-broadleaved forests are formed by up to 30 tree species, with *A. nephrolepis*, *B.*  
87 *costata*, *P. jezoensis*, *P. koraiensis*, and *T. amurensis* being dominant.  
88 Korean pine (*Pinus koraiensis* Siebold et Zucc.)-broadleaved forests are the main forest vegetation type in the Sikhote-  
89 Alin mountain range in the southern part of the Russian Far East. This area is the northeastern limit of the range of  
90 Korean pine-broadleaved forests, which are also found in northeastern China (the central part of the range), on the  
91 Korean peninsula, and in Japan. The Sikhote-Alin mountain range is one of the few places where significant areas of  
92 old-growth Korean pine-broadleaved forest remain. In the absence of volcanic activity, which is a source of strong  
93 natural disturbances in the central part of the range (Liu, 1997; Ishikava, 1999; Dai et al., 2011), wind is the primary  
94 disturbance factor on this territory. Wind causes a wide range of disturbance events, from individual treefalls to large  
95 blowdowns (Dai et al., 2011).  
96 Approximately 60% of the Research Station area had been subjected to selective clear-cutting before the station was  
97 established in 1972. The remaining 40% of its area has never been clear-cut and is covered by unique old-growth  
98 forest.

## 99 2.2 Tree-ring chronology development

100 Our study is based on data collected in a 10.5-ha permanent plot (Omelko and Ukhvatkina, 2012; Omelko et al., 2016),  
101 which was located in the middle portion of a west-facing slope with an angle of  $22^\circ$  at a gradient altitude 750-950 m  
102 above sea level. The forest in the plot was a late-successional stand belonging to the middle type of Korean pine-  
103 broadleaved forests at the upper bound of the distribution of Korean pine, where it forms mixed stands of Korean  
104 pine-spruce and spruce-broadleaved forests (Kolesnikov, 1956).  
105 Two cores per undamaged old-growth mature tree (50 cores from 25 trees) and one sample from dead trees (20  
106 samples) were extracted from *Pinus koraiensis* trees in the sample plots from the trunks at breast height. In the  
107 laboratory, all tree-ring samples were mounted, dried and progressively sanded to a fine polish until individual  
108 tracheids within annual rings were visible under an anatomical microscope according to standard dendrochronological  
109 procedures (Fritts, 1976; Cook and Kairiukstis, 1990). Preliminary calendar years were assigned to each growth ring,  
110 and possible errors in measurement due to false or locally absent rings were identified using the Skeleton-plot cross-  
111 dating method (Stokes and Smiley, 1968). The cores were measured using the semi-automatic Velmex measuring  
112 system (Velmex, Inc., Bloomfield, NY, USA) with a precision of 0.01 mm. Then, the COFECHA program was used  
113 to check the accuracy of the cross-dated measurements (Holmes, 1983). To mitigate the potential trend distortion  
114 problem in traditionally detrended chronology (Melvin and Briffa, 2008; Anchukaitis et al., 2013), we used a signal-  
115 free method (Melvin and Briffa, 2008) to detrend the tree-ring series using the RCSigFree program (<http://www.ideo.columbia.edu/tree-ring-laboratory/resources/software>).  
116  
117 Age-related trends were removed from the raw tree-ring series using an age-dependent spline smoothing method. The  
118 ratio method was used to calculate tree-ring indices, and the age-dependent spline was selected to stabilize the variance  
119 caused by core numbers. Finally, the stabilized signal-free chronology was used for the subsequent analysis (Fig. 3).  
120 The mean correlations between trees (*Rbt*), mean sensitivity (MS) and expressed population signal (EPS) were  
121 calculated to evaluate the quality of the chronology (Fritts, 1976). *Rbt* reflects the high-frequency variance, and MS  
122 describes the mean percentage change from each measured annual ring value to the next (Fritts, 1976; Cook and



123 Kairiukstis, 1990). EPS indicates the extent to which the sample size is representative of a theoretical population with  
124 an infinite number of individuals. A level of 0.85 in the EPS is considered to indicate a chronology of satisfactory  
125 quality (Wigley *et al.*, 1984). The statistical characteristics of the chronology are listed in Table 1.  
126 The full length of the chronology spans (VUS chronology) from 1451 to 2015. A generally acceptable threshold of  
127 the EPS was consistently greater than 0.85 from AD 1602 to 2015 (9 trees; Fig. 3b), which affirmed that this is a  
128 reliable period. However, although the EPS value from AD 1509 to 1602 was less than 0.85, it matches a minimum  
129 sample depth of 3 trees in this segment. It is very important to extend the tree-ring chronology as much as possible  
130 because there are only a few long climate reconstructions in this area. Therefore, we retained the part from 1509 to  
131 1602 in the reconstruction.

### 132 2.3 Climate data and statistical methods

133 Monthly precipitation and temperature were obtained from the Chuguevka meteorological station (44.151462 N,  
134 133.869530 E); monthly precipitation, monthly mean, minimum and maximum temperature data were obtained from  
135 the meteorological post at the Verkhneussuriisky research station of the Federal Scientific Center of the East Asia  
136 terrestrial biodiversity FEB RAS (Meteostation 7 – MP7) as well. The periods of monthly data available from the  
137 Chuguevka and Verkhneussuriisky stations are 1936-2004 and 1969-2004, respectively.

### 138 2.4 Statistical analyses

139 A correlation analysis was used to evaluate the relationships between the ring-width index and observed monthly  
140 climate records from the previous June to the current September. To identify the climate-growth relationships of  
141 Korean pine in the southern Sikhote-Alin mountain range, a Pearson's correlation was performed between climate  
142 variables and tree-width index. We used a traditional split-period calibration/verification method to explore the  
143 temporal stability and reliability of the reconstruction model (Fritts, 1976; Cook and Kairiukstis, 1990). The Pearson's  
144 correlation coefficient ( $r$ ), R-squared ( $R^2$ ), the redaction of the error (RE) the coefficient of efficiency (CE), and the  
145 product means test (PMT) were used to verify the results. Analyses were carried out in R using the treeclim (Zang  
146 and Biondi, 2015) and STATISTICA (StatSoft®) packages.

## 147 3 Results

### 148 3.1 Climate-radial growth relationship

149 Relationships between the VUS chronology and monthly climate data are shown in Fig4. To reveal the correlation  
150 between climatic parameters and radial growth change of *P. koraiensis*, we had two data sets: the first time series had  
151 a length of 68 years (1936-2004, Chuguevka) and the second had a length of 34 years (1966-2000, MP7). To select  
152 the appropriate parameters, we analyzed both datasets. As a result, we revealed a reliable but slight positive correlation  
153 between *P. koraiensis* growth and precipitation in May and June of the current year and September of the previous  
154 year in the territory of Chuguevka village (Fig. 4a). There is also a slight positive correlation with precipitation in  
155 September of the previous year and May of the current year at Metheostation 7 (MP7) (Fig. 4b). In addition, we  
156 revealed a slight negative correlation with precipitation in February-March of the current year.

157 As for the correlation between temperature and *P. koraiensis* growth, the analysis reveals a weak positive correlation  
158 with the average monthly temperature in June of the previous year and in February-April of the current year in the  
159 Chuguevka settlement and a slight negative correlation with the average monthly temperature in June-July as well



160 (Fig. 4c). The analysis of the correlation with the average monthly temperature at Metheostation 7 (MP7) shows us a  
161 weak positive correlation with temperature in August and December of the preceding year and a negative correlation  
162 with temperature in July of the current year (Fig. 4d). In addition, we analyzed the correlation with minimum and  
163 maximum average monthly temperatures at MP7. The revealed correlation with minimum and maximum temperature  
164 is reliable but weak (Fig. 4e,f).

165 Moreover, based on the weak interaction that was revealed, we analyzed the correlation with climatic parameters for  
166 selected ranges of months (Fig. 4h,g). The most stable correlation appears between growth and the minimum monthly  
167 temperature of August-December of the previous year at MP7 (Fig. 4h), on which we base our subsequent  
168 reconstructions.

### 169 3.2 Minimum temperature reconstruction

170 Basing on analysis of the correlation between climatic parameters and Korean pine growth, we constructed a linear  
171 regression equation to reconstruct the minimum monthly temperature of August-December of the previous year  
172 (VUSr). The transfer function was as follows:

$$173 \text{VUSr} = 7.749X_t - 16.384$$

$$174 (N=37, R=0.520, R^2=0.300, R^2_{\text{adj}}=0.247, F=11.81, p<0.001)$$

175 where  $Y$  is the August-December minimum temperature at MP7 and  $X$  is the tree-ring index of the Korean pine RSC  
176 chronology in year  $t$ . The comparison between the reconstructed and observed mean growing season temperatures  
177 during the calibration period is shown in Fig. 5(a). The value of the Durbin-Watson test (Durbin and Watson, 1951)  
178 is 1.843.

179 The cross-validation test for the calibration period (1966-1990) yielded a positive RE of 0.649, a CE of 0.448, and a  
180 PMT of 5.711, confirming the predictive ability of the model.

181 Although during the study period, the model shows the observed values very well, the short observation period (1967-  
182 2000) does not allow using split-sampling calibration and verification methods in full for evaluating quality and model  
183 stability. This limitation is why we used a bootstrapping resampling approach (Efron, 1979; Young, 1994) for stability  
184 evaluation and transfer function precision. The idea that this method is based on indicates that the available data  
185 already include all the necessary information for describing the empirical probability for all statistics of interest.  
186 Bootstrapping can provide the standard errors of statistical estimators even when no theory exists (Lui et al., 2009).  
187 The calibration and verification statistics are shown in Table 2.

188 The statistical parameters used in bootstrapping are very similar to those from the original regression model, and this  
189 proves that the model is quite stable and reliable and that it can be used for temperature reconstruction.

### 190 3.3 Temperature variations from AD 1509 to 2014 and temperature periodicity

191 Variations in the reconstructed average minimum temperature of the previous August-December (VUSr) since AD  
192 1509 and its 21-year moving average are shown in Fig. 5b. The 21-year moving average of the reconstructed series  
193 was used to obtain low-frequency information and analyze temperature variability in this region. The mean value of  
194 the 505-year reconstructed temperature was  $-7.73^\circ\text{C}$  with a standard deviation of  $\pm 1.13^\circ\text{C}$ . We defined warm and  
195 cold periods as when temperature deviated from the mean value plus or minus 0.5 times the standard deviation,  
196 respectively (Fig. 5b).

197 Hence, warm periods occurred in 1509-1529, 1562-1583, 1747-1781, 1944-2014, and cold periods appeared in 1650-  
198 1681, 1791-1853, 1865-1918. Among them, the four warmest years were in 1518 ( $-5.30^\circ\text{C}$ ), 1564 ( $-4.84^\circ\text{C}$ ), 1779



199 (-5.52° C), 2008 (-3.50° C), while the three coldest year were in 1662 (-10.3° C), 1799 (-9.98° C), 1883 (-10.00° C).  
200 The longest cold period extended from 1791 to 1853, and the longest warm period extended from 1944 to present day.  
201 The MTM spectral analysis over the full length (1509-2014) of our reconstruction revealed significant ( $p < 0.05$ ) cycle  
202 peaks at 2.3-year (95%), 2.5-year (99%), 2.9-year (99%), 3.0-year (99%), 3.3-year (95%), 3.7-year (95%), 8.9-year  
203 (99%) short periods and 20.4-year (95%), 47.6-year (95%), 188.7-year (99%) long periods (Fig. 6).

## 204 4 Discussion

### 205 4.1 Climate-growth relationships

206 The results of our analysis suggest that the radial growth of Korean pine in the southern part of the Sikhote-Alin  
207 mountain range is mainly limited by the pre-growth autumn-winter season temperatures, in particular the minimum  
208 temperatures of August-December (Fig. 4). It is widely known that tree-ring growth in cold and wet ecotopes, situated  
209 on sufficiently high elevation in the Northern Hemisphere, strongly correlate with temperature variability in large  
210 areas of Asia, Eurasia, North America (Zhu et al., 2009; Anchukaitis et al., 2013; Thapa et al., 2015; Wiles et al.,  
211 2014). The limiting influence of temperature on *P. koraiensis* growth has been mentioned in many studies (Wang et  
212 al., 2016; Yin et al., 2009; Wang et al., 2013; Zhu et al., 2009). However, the temperature has various limiting effects  
213 in different conditions, and these limiting effects manifest in different ways (Wang et al., 2016). For example, Zhu et  
214 al., 2016 indicates that in more northern and arid conditions of the Zhangguangcai Mountains, while precipitation is  
215 not the main limiting factor, precipitation is considerably below evaporation during the growth season. This finding  
216 is why a stable correlation between *P. koraiensis* growth and the growth season temperature is revealed. This finding  
217 is also why moisture availability in soil might be the main limiting factor for Korean pine growth (Zhu et al., 2016),  
218 but the emergence of this circumstance can be different in different conditions.

219 The correlation between growth and minimum temperatures in August-December of the previous year, as revealed  
220 in our research, was also mentioned for Korean pine in other works (Wang et al., 2016; Zhu et al., 2009). This  
221 finding may be explained by the following circumstances. Extreme temperatures limit the growth of trees at the tree  
222 line or in high-latitude forests (Wilson and Luckman, 2002; Körner and Paulsen, 2004; Porter et al., 2013; Yin et al.,  
223 2015). Taking into consideration the fact that the study area is situated at the altitudinal limit of Korean pine forest  
224 distributions, in particular the Korean pine (Kolesnikov, 1956), these findings seem to be reliable.

225 In addition, in the conditions close to extreme for this species, low temperatures in autumn-winter may lead to thicker  
226 snow cover, which melts far more slowly in spring (Zhang et al., 2015). The study area is notable for its dry spring,  
227 and the amount of precipitation is minimal during the most important period of tree-growth in April-May  
228 (Kozhevnikova, 2009). If the vegetation period of the plant cannot begin at the end of March and packed snow cover  
229 melting is impeded up until the beginning of May, plant growth may be reduced. Moreover, although cambial activity  
230 stops in the winter, organic components are still synthesized by photosynthesis. Low temperatures (in the territory of  
231 the VUSr it can reach -48°C in certain years) may induce to loss of accumulated materials, which adversely affects  
232 growth (Zhang et al., 2015). The study area is in the center of the vegetated area, where the conditions for Korean  
233 pine growth are optimal during the growing season, and only minimum temperature is regarded as an extreme factor.

### 234 4.2 Comparison with other tree-ring-based temperature reconstructions

235 At present, temperature reconstructions are uncommon for the Russian Far East, and research sites are located for  
236 thousands of kilometers away from one another. For example, Wiles et al. undertook a study of summer temperatures



237 on Sakhalin Island (Wiles et al., 2014). Unfortunately, it is impossible to compare our findings with theirs because  
238 Sakhalin Island is climatically far more similar to Japanese islands than to the Sikhote-Alin mountains, and  
239 temperature variations in their study area are mainly caused by oceanic currents.

240 In addition, instrumental observations from the study area rarely encompass a period longer than 50 years (and studies  
241 have only been conducted for large settlements). Consequently, the tree-ring record serves as a good indicator of the  
242 past cold-warm fluctuations in the Russian Far East, which is why during the first stage we found spatial correlations  
243 between the reconstruction and annual minimum temperatures from the CRU TS3.24.01 gridded  $T_{\min}$  dataset during  
244 the baseline period of 1955-2000. For this purpose, we used the KNMI climate explorer (<http://climexp.knmi.nl>). The  
245 results show that the reconstruction is significantly positively correlated with the minimum temperatures in the  
246 Sikhote-Alin mountain range (Fig. 7), indicating that our temperature reconstruction is representative of large-scale  
247 regional temperature variations.

248 To identify the regional representativeness of our reconstruction, we compared it with two temperature reconstructions  
249 for surroundings areas and a reconstruction for the Northern Hemisphere (Fig. 8). The first reconstruction was for  
250 summer temperatures in the Northern Hemisphere (Wilson et al., 2016). The second reconstruction was an April-July  
251 tree-ring-based minimum temperature reconstruction for Laobai Mountain (northeast China), which is approximately  
252 500 km northwest of our site. The third was a February-April temperature reconstruction for the Changbai Mountains  
253 (Zhu et al., 2009), which are approximately 430 km southwest of our site.

254 Cold and warm periods are shown in table 3 (the duration is given by the authors of the article).

255 The reconstructions show that practically all cold and warm periods coincide but have different durations and  
256 intensities. The data on Northern Hemisphere show considerable overlaps of cold and warm periods, and the  
257 correlation between reconstructions is 0.41 ( $p > 0.001$ ). At the same time, we found the warm periods 1509-1529 and  
258 1562-1583, which are not clearly shown in reconstruction for the Northern Hemisphere, though the general trend of  
259 temperature change is maintained during this period (Fig. 8). In other cases, warm periods and cold periods concur,  
260 though their durations are notably longer in the VUSr reconstruction, while in other areas, they are shorter: one period  
261 registered in the VUSr corresponded to 2 or 3 periods for other areas. For example, a cold period was observed in  
262 1791-1853 in the VUSr, while at Changbai Mountain (Zhu et al., 2009) there were two cold periods (1784-1815,  
263 1827-1851) separated by a short interval of a 12-year warm period. A long cold period in 1650-1681 that was revealed  
264 for another territory (Lyu et al., 2016; Wilson et al., 2016) coincided with the Maunder Minimum (1645–1715), an  
265 interval of decreased solar irradiance (Bard et al., 2000). The Dalton minimum period centered in 1810 is also notable.  
266 Interestingly that cold period of 1807-1846 is also registered in reconstructions for Asia (Ohayama et al., 2013) and  
267 by Japanese researchers (Fukaishi & Tagami, 1992; Hirano & Mikami, 2007). Moreover, instrumental observations  
268 reconstructed for western Japanese territories (the nearest to the study area) provide evidence of a cold period in the  
269 1830s-1880s with a short warm spell in the 1850s (Zaiki et al., 2006), which is in agreement with our data. For this  
270 period, there are contemporaneous records of severe hunger in Japan in 1832 and 1839, which was the result of a  
271 summer temperature decrease and rice crop failure (Nishimura & Yoshikawa, 1936).

272 In this case, the longer cold period for the study area can be explained by the relatively lower influence of the warm  
273 current and monsoon and generally colder climate in the south of the Russian Far East compared with Japanese islands.  
274 The differing opinion about the three cold periods in China in the 17<sup>th</sup>, 18<sup>th</sup> and 19<sup>th</sup> centuries (Wang et al., 2003) is  
275 also corroborated by our reconstruction. The cold period in the 19<sup>th</sup> century is even more pronounced than that reported  
276 by Lyu et al., 2016. Moreover, Lyu et al., 2016 corroborate that the ascertained cold period in 19<sup>th</sup> century is more  
277 evident in South China, but it is less clear in the northern territories or has inverse trend. Although the Russian Far  
278 East is further north than the southern Chinese provinces and is closer to the northern part of the country, the marked



279 monsoon climate likely made it possible to reflect the general cold trend in 19<sup>th</sup> century, which was typical both for  
280 China and the entire Northern Hemisphere. Because of this possible explanation, the cold period in the 19<sup>th</sup> century  
281 for the Changbai Mountains shows up more distinctly than for the northern and western territory of Laobai Mountain  
282 (рис. 8).

283 Apparently, this discrepancy in regional climate flow is the reason that our reconstruction agrees well with the general  
284 reconstruction for the whole hemisphere ( $r = 0.41, p < 0.001$ ) and to a lesser extent agrees with the regional curves for  
285 Laobai Mountain ( $r = 0.23, p < 0.001$ ) and Changbai Mountain ( $r = 0.32, p < 0.001$ ).

286 The changing dynamics of the 20<sup>th</sup> century temperature are also interesting to watch. The comparison of the minimum  
287 annual temperatures for the territory and the reconstructed data for the period of 1955-2000 shows significant data  
288 correlation (Fig. 7), including the northeast part of China. At the same time, for Chinese territory (both for southwest  
289 regions and for more northwestern regions), the warming is apparent only in the last quarter century (Zhu et al., 2009)  
290 or at the end of the 20<sup>th</sup> century (Lyu et al., 2016) (Fig. 8 c,d). This trend, revealed for the southern Sikhote-Alin  
291 mountains (a warm spell since 1944), is corroborated for the whole Northern Hemisphere (Wilson et al., 2016) (Fig. 8  
292 a,b). The maximum cold period is also corroborated, which we note for the 19<sup>th</sup> century (Fig. 8 a,b).

293 The probable explanation is in the regional climate flow differences in the compared data. The territory of northeastern  
294 China is more continental, though the influence of the Pacific Ocean is also notable. At the same time, the southern  
295 part of the Sikhote-Alin mountains is more prone to the influence of monsoons, as are the Japanese islands. According  
296 to paleoreconstructions, the Little Ice Age occurred in the Northern Hemisphere 600-150 years ago (Borisova, 2014).  
297 The period of landscape formation for the Sikhote-Alin range during the transition from the Little Ice Age to  
298 contemporary conditions occurred within the last 230 years (Razzhigaeva et al., 2016). The timeframe of the Little  
299 Ice Age is generally recognized as varying considerably depending on the region (Bazarova et al., 2014). However, it  
300 is certain that the Little Ice Age is accompanied by an increase in humidity in coastal areas of northeast Asia (Bazarova  
301 et al., 2014). Thus, in similar conditions on the Japanese islands, the Little Ice Age was accompanied by lingering and  
302 intensive rains (Sakaguchi, 1983), and the last typhoon activity was registered for the Japanese islands from the middle  
303 of the 17<sup>th</sup> century to the end of the 19<sup>th</sup> century (Woodruff et al., 2009). At the same time, the reconstruction of  
304 climatic changes for the whole territory of China for the last 2000 years (Ge et al., 2016) shows that the cold period  
305 lasted until 1920, which correlates with the data we obtained. This timespan wholly coincides with our data, and we  
306 can draw the conclusion that in the southern region of the Sikhote-Alin mountains, the Little Ice Age ended at the turn  
307 of the 19<sup>th</sup> century.

308 Unfortunately, when comparing temperature, different changes were also observed for some cold and warm years  
309 (Fig. 7). This finding may be attributed to differences in the reconstructed temperature parameters (such as average  
310 value, minimum temperature and maximum temperature) and environmental conditions in different sampling regions.  
311 Recent studies show that the oscillations in the medium, minimum and maximum temperature are often asymmetrical  
312 (Karl et al., 1993; Xie and Cao, 1996; Wilson and Luckman, 2002, 2003; Gou et al., 2008). The global warming over  
313 the past few decades has been mainly caused by the rapid growth of night or minimum temperatures but not maximum  
314 temperatures. Meanwhile, some differences between the reconstructed temperature values were well explained by a  
315 comparison with similar areas. So, for the first time, these results can characterize regional climate variations and  
316 provide reliable data for large-scale reconstructions for the northeastern portion of Eurasia.

#### 317 4.3 Periodicity of climatic changes and their links to global climate processes



318 Among the significant periodicities in the reconstructed temperature detected by the MTM analysis (Fig. 7), some  
319 peaks were singled out: 2.3-year (95%), 2.5-year (99%), 2.9-year (99%), 3.0-year (99%), 3.3-year (95%), 3.7-year  
320 (95%), 8.9-year (99%) short periods and 20.4-year (95%), 47.6-year (95%), and 188.7-year (99%) long periods.  
321 The 2–4-year cycle may be linked with the El Niño-Southern Oscillation (ENSO). These high-frequency (2–7-year)  
322 cycles (Bradley *et al.*, 1987) have also been found in other tree-ring-based temperature reconstructions in northeast  
323 Asia (Zhu *et al.*, 2009; Li and Wang, 2013; Zhu *et al.*, 2016; Gao *et al.*, 2015).  
324 The 2–3-year quasi-cycles may also correspond to the quasi-biennial oscillation (Labitzke and van Loon, 1999) and  
325 the tropospheric biennial oscillation (Meehl, 1987), whereas the 8.9–11.5-year cycles may correspond to solar activity.  
326 Considering the coincidence of these two episodes, the Maunder Minimum (1645–1715) and the Dalton minimum  
327 period centered in 1810, the revealed periodicity seems reliable.  
328 It seems that next low-frequency cycles of 20 and 48 years reflect processes influenced by Pacific Decadal Oscillation  
329 (PDO, Mantua and Hare 2002) variability, which has been found at 15–25-yr and 50–70-yr cycles (Ma, 2007).  
330 Given that many researchers working in the territory of northeast Asia have also revealed these cycles in relation to  
331 the Korean pine, we can draw a conclusion that Korean pine tree-ring series support the concept of long-term,  
332 multidecadal variations in the Pacific (e.g., D’Arrigo *et al.*, 2001; Cook, 2002) and that such variation or shifts have  
333 been present in the Pacific for several centuries.  
334 Despite the fact that it is quite difficult to reveal for certain long-period cycles in a 500-year chronology, we  
335 nonetheless revealed the 189-year cycle. Such periodicity is revealed in long-term climate reconstructions and is  
336 linked to the quasi-200-year solar activity cycle (Raspopov *et al.*, 2009). Such climate cycling, linked not only to  
337 temperature but also to precipitation, is revealed for the territories of Asia, North America, Australia, Arctic and  
338 Antarctic (Raspopov *et al.*, 2008). At the same time, the 200-year cycle (*de-Vries* cycle) may often have a phase shift  
339 from some years to decades and correlates not only positively but also negatively with climatic fluctuations depending  
340 on the character of the nonlinear response of the atmosphere-ocean system within the scope of the region (Raspopov  
341 *et al.*, 2009). According to Raspopov *et al.*, 2009, the study area is in the zone that reacts with a positive correlation  
342 to solar activity, though the authors note that we should not expect a direct response because of the nonlinear character  
343 of the atmosphere-ocean system reaction to variability in solar activity (Raspopov *et al.*, 2009). Taking into  
344 consideration this fact and that the cold and warm periods shown in our reconstruction are slightly shifted compared  
345 with more continental areas and the whole Northern Hemisphere, we can say that the reconstruction of minimum  
346 August-December temperatures reflects the global climate change process in aggregate with the regional  
347 characteristics of the study area.

## 348 **Conclusions**

349 Using the tree-ring width of *Pinus koraiensis*, the mean minimum temperature of the previous August-December has  
350 been reconstructed for the southern part of Sikhote-Alin Mountain Range, northeastern Asia, Russia, for the past 505  
351 years. This dataset is the first climate reconstruction for this region, and for the first time for northeast Asia, we present  
352 a reconstruction with a length exceeding 500 years.  
353 Notwithstanding the low explained variance (~ 25%) of our reconstruction, we believe that the result is noteworthy as  
354 it displays the respective temperature fluctuations for the whole region, including northeast China, the Korean  
355 peninsula and the Japanese archipelago. Our reconstruction is also in good agreement with the climatic reconstruction  
356 for the whole Northern Hemisphere. The reconstruction shows good agreement with the cold periods described by  
357 documentary notes in eastern China and Japan. All these comparisons prove that for this region, the climatic



358 reconstruction based on tree-ring chronology has a good potential to provide a proxy record for long-term, large-scale  
359 past temperature patterns for northeast Asia. The results display the cold and warm periods in the region, which are  
360 conditional on global climatic processes (PDO, ENSO), and reflect the influence of solar activity (we revealed the  
361 influence of the 11-year and 200-year solar activity cycles). At the same time, the reconstruction highlights the  
362 peculiarities of the flows of global process in the study area and helps in understanding the processes in the southern  
363 territory of the Russian Far East for more than the past 500 years. Undoubtedly, the results of our research are  
364 important for studying the climatic processes that have occurred in the study region and in all of northeastern Asia  
365 and for situating them within the scope of global climatic change.

366

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- 525
- 526



527 **Tables and Figures**

528 **Table 1.** The sampling information and statistics of the signal-free chronology

	VUSr
Elevation (m a.s.l.)	700-900
Latitude (N), Longitude (E)	44°01'32'', E 134°13'15''
Core (live trees) / sample (dead trees)	25/20
Time period / length (year)	1451-2014 / 563
MS	0.253
SD	0.387
AC1	0.601
R	0.691
EPS	0.952
Period with EPS>0.85 / length (year)	1602-2014 / 412
Period with EPS>0.70 / length (year)	1509-2014 / 505
Skew/Kurtosis	0.982/5.204

529 MS – mean sensitivity, SD – standard deviation, AC1 – first-order autocorrelation, EPS – expressed population signal

530

531 **Table 2.** Calibration and verification statistics of the reconstruction equation for the common period 1966-2000 of

532 Bootstrap

Statistical item	Calibration	Verification (Bootstrap, 99 iterations)
r	0.519	0.517 (0.208-0.688)
R <sup>2</sup>	0.297	0.268(0.043-0.575)
R <sup>2</sup> <sub>adj</sub>	0.247	0.265 (0.045-0.568)
Standard error of estimate	0.83	0.83
F	11.81	11.11
P	0.0001	0.0001
Durbin-Watson	1.843	2.101 (1.982-2.212)

533

534 **Table 3.** Cold and warm periods based on the results of this study compared with other researches

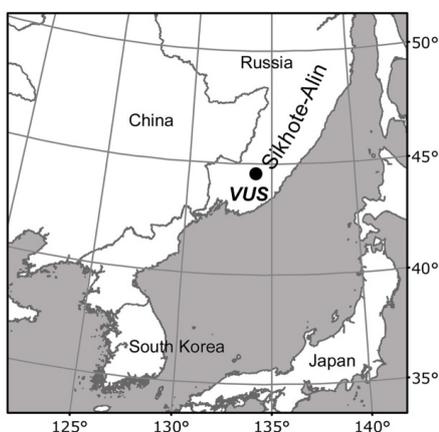
Period	Southern Sikhote- Alin (this study)	Laobai Mountain (Lyu et al., 2016)	Changbai Mountain (Zhu et al., 2009)
Cold	1605-1616**	1605-1616	—
	1650-1681	1645-1677	—
	1684-1691**	1684-1691	—
	1791-1853	—	1784-1815; 1827-1851
	1865-1918	—	1878-1889
	—	1911-1924; 1930-1942; 1951-1969	1911-1945
Warm	1509-1529	*	*
	1562-1583	*	*
	1747-1781	1767-1785	1750-1783



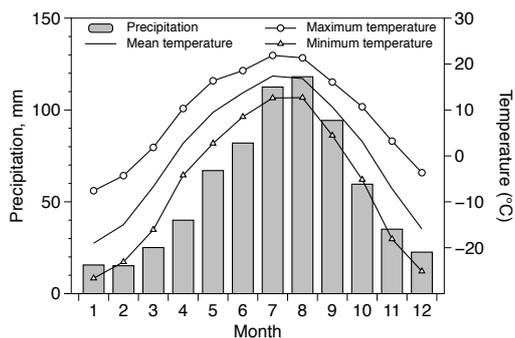
—	1787-1793	—
—	1795-1807	—
<i>1855-1864**</i>	—	1855-1877
1944-2014	1991-2008	1969-2009

535 Note: *italic \*\** – the periods which agreement with VUSr but not reliably for VUSr; \* - the reconstruction not  
 536 covering this period.

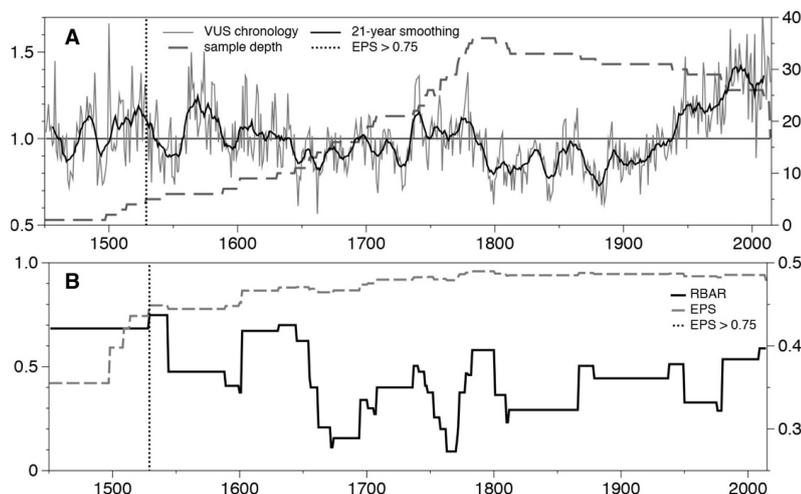
537



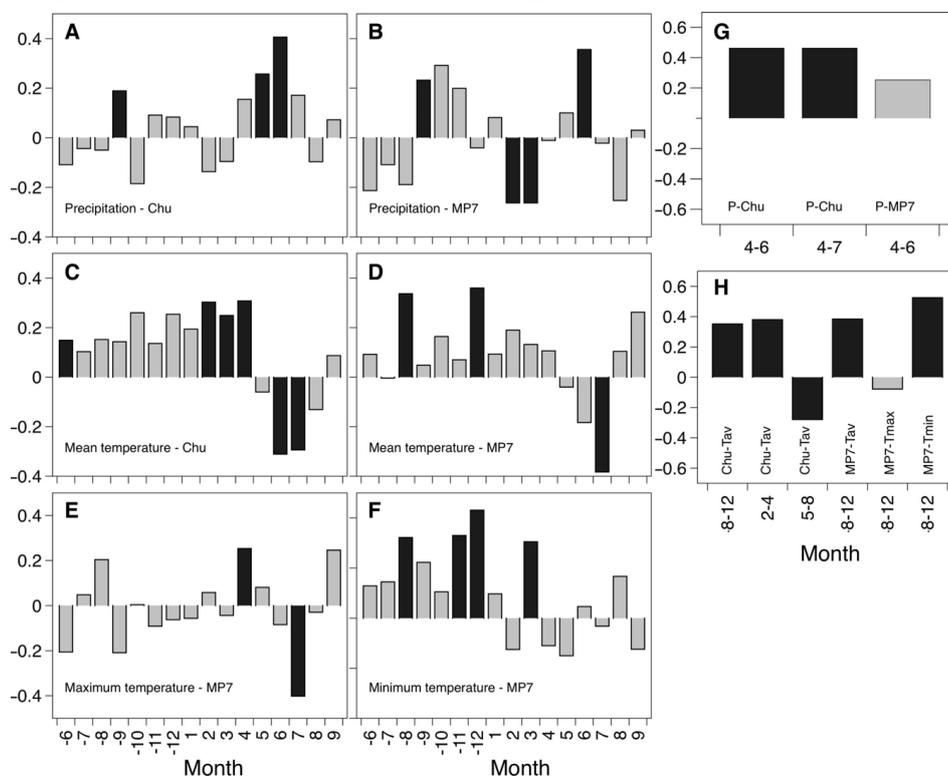
538 **Figure 1:** Location of the study area on the Sikhote-Alin Mountains, Southeastern Russia. VUS is Verkhneussyriysky  
 539 Research Station  
 540



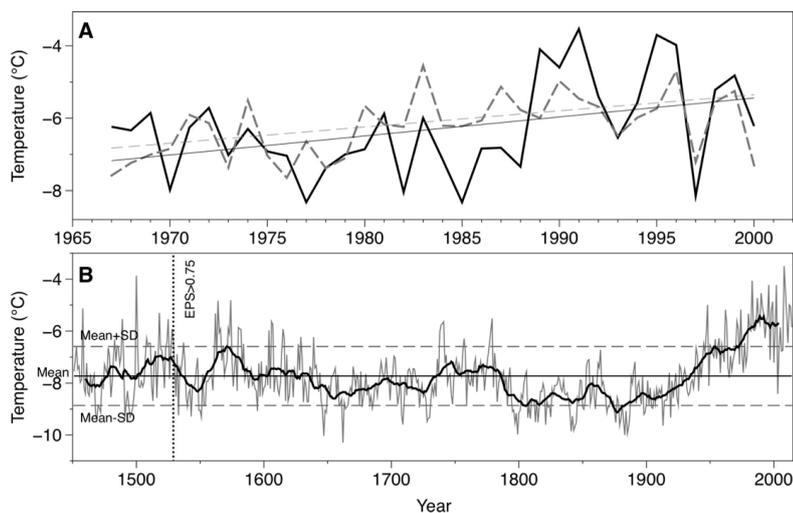
541 **Figure 2:** Mean monthly temperature (°C) and total precipitation (mm) at VUS meteorological station (MP7) for the  
 542 period from 1966 to 2000  
 543



544  
 545 **Figure 3:** Variations of the VUS chronology and sample depth (a) and the expressed population signal (EPS) and  
 546 average correlation between all series (Rbar) VUS chronology from AD 1451 to 2014 (b)

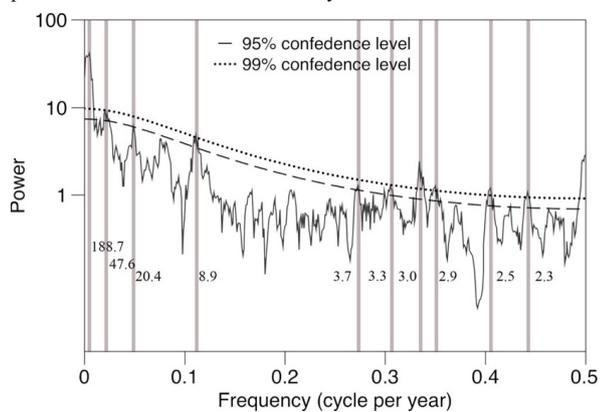


547  
 548 **Figure 4:** Correlations between the monthly mean meteorological data and VUS  
 549 A, C – Chuguevka (Chu) - VUS chronology; B, C, D, E, F - VUS meteorological station (MP7) and VUS chronology;  
 550 G – correlation coefficients between VUS and the precipitation of different month combinations; H – correlation  
 551 coefficients between VUS and the temperature of different month combinations. The black bars are significant value.



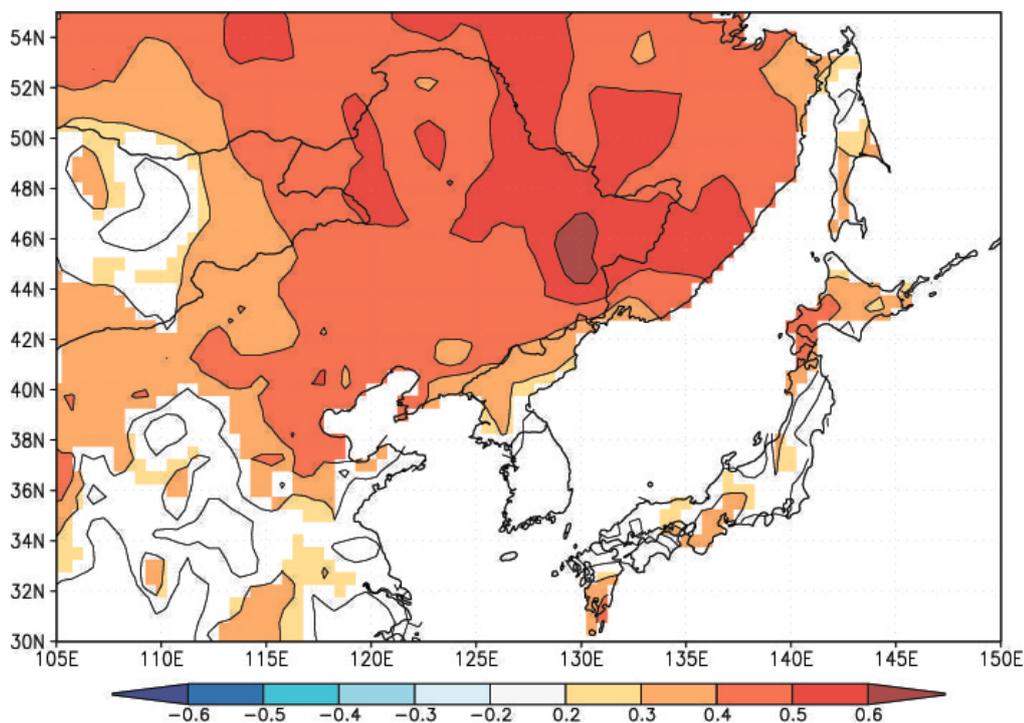
552

553 **Figure 5:** (a) Actual (black line) and reconstructed (dash line) August-December minimum temperature for the  
 554 common period of 1967-2000; (b) reconstruction of August-December minimum temperature (VUSr) to Southern  
 555 part of Sikhote-Alin for the last 563 years. The smoothed line indicates the 21-year moving average.



556

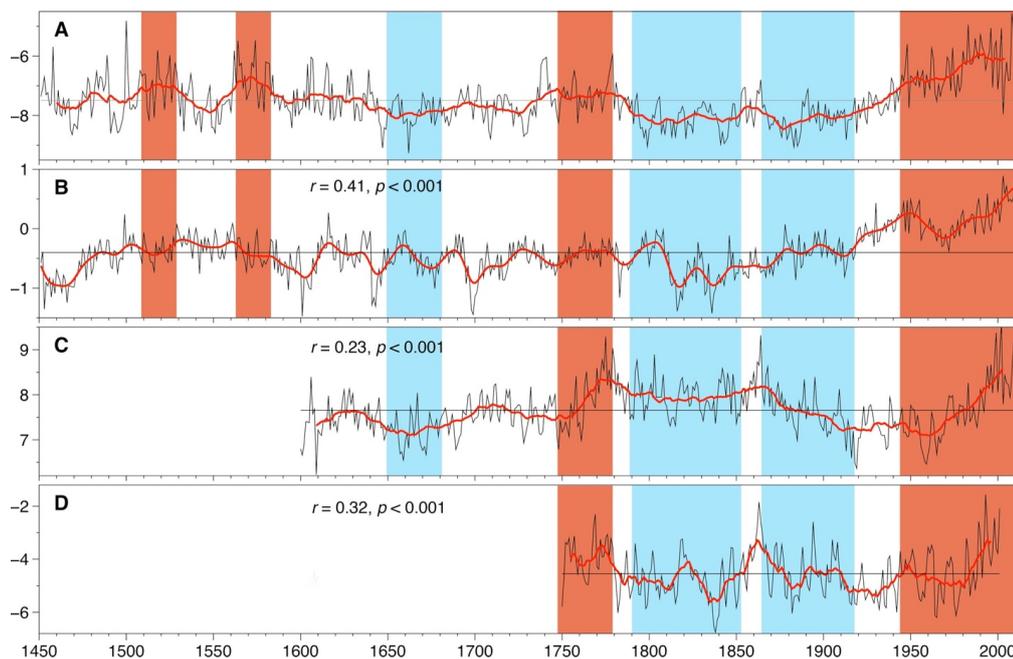
557 **Figure 6:** The MTM power spectrum of the reconstructed August-December minimum temperature (VUSr) from  
 558 1509 to 2014



559

560 **Figure 7:** Spatial correlations between reconstructed August-December minimum temperature (VUS) in this study  
561 and regional gridded annual minimum temperature from CRU TS 3.24.01 over their common period 1955–2001 ( $p <$   
562 0.10)

563



564



565 **Figure 8:** (a) August-December mean minimum temperature reconstructed (VUSr) on southern part of Sikhote-  
566 Alin, (b) Northern Hemisphere extratropical temperature (Willes et al., 2016), (c) April–July minimum temperature  
567 on Laobai Mountain by Lyu et al., 2016, and (d) February–April temperature established by Zhu et al. (2009) on  
568 Changbai Mountain. Black lines denote temperature reconstruction values, and red color lines indicate the 21-year  
569 moving average; red and blue fields – warm and cold period consequently (in this study)