



1 **Interactions between climate change and human activities during the Early to Mid Holocene in**  
2 **the East Mediterranean basins**

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12 Anatolia, geomorphic impact, mobility, adaptation.

13 **Abstract**

14 This paper focuses on Early Holocene Rapid Climate Changes (RCC) records in the Mediterranean  
15 zone, which are under-represented in continental archives (9.2 to 8.2 ka events) and on their impact on  
16 prehistoric societies. This lack of data handicaps indeed assumptions about climate impact on human  
17 societies which flourished in recent years. Key questions remain about the impact of Early Holocene  
18 cooling events on the Mediterranean climate, ecosystems and human societies. In this paper, we  
19 discuss some examples from river and lake systems from the eastern to central Mediterranean area  
20 (Central Anatolia, Cyprus, NE and NW Greece,) that illustrate some paleohydrological and erosion  
21 variations that modified the sustainability of the first Neolithic populations in this region. Results  
22 allow us to present direct land-sea correlations, and to reconstruct regional long-term trends as well as  
23 millennial to centennial-scaled climatic changes. In this context, we question the socio-economic and  
24 geographical adaptation capacities of these societies (mobility, technology, economic practices, social  
25 organisation) during the “Early Holocene” interval (11.7 to 8.2 ka) which corresponds partly to the  
26 Sapropale 1 deposition in the Eastern Mediterranean sea.

27

28 **Introduction**

29

30 Expected to have had a large impact on past societies, RCC is often considered as one of the main  
31 factors causing socio-economic and cultural changes, migrations, and even collapses (Weiss et  
32 al., 1993, Cullen et al. 2000, Staubwasser and Weiss, 2006, Weninger et al., 2006). According to  
33 this climatic determinism, a RCC would be much harder (if not impossible), for a human society  
34 to adapt to, thus leading to radical societal transformations. In the course of this debate, recent  
35 and ongoing researches on Neolithic societies point to the necessity to focus simultaneously on  
36 (i) the economic, socio-cultural, technological and cognitive transformations of the human group  
37 living on site(s), (ii) the sharpening of old and new chronological series within the site(s), (iii)  
38 the development of contextual analyses associated with geoarchaeological researches, and (iv)  
39 investigating, with a high resolution and multi-proxy approach, the vicinity of Neolithic sites which  
40 are yet poorly studied in connections with the settlements at site. Such approach and methodology are  
41 indeed the most appropriate for reconstructing and interpreting the relationships between  
42 environmental and societal event records which have accompanied (or not) a rapid climate change  
43 and to better estimate adaptability to changing environments. As a matter of fact, a lack of a RCC  
44 signature in the climatic and environmental proxies studied in any sediment record may have  
45 several meanings: an incorrect assessment of a signal, an insufficient chronology control, a  
46 disconnection between the *locus* studied and neighbouring areas where sedimentary archives  
47 would be more favourable for recording a rapid climate change, etc. These are the reasons why  
48 it is often suspected that the absence of signature of a RCC event in continental archives, is more  
49 often due to the low temporal resolution of the available records rather than to the absence of  
50 the climatic signal on the local scale. This problematic situation is now increasingly addressed by  
51 new results focusing on high-resolution analyses and chronologies, as well as on records  
52 associating both the archaeological sites and their surrounding geomorphologic/environmental



53 archives. In this paper, the goal is to highlight the variety of occurrences of Early Holocene RCC  
54 records using (i) interconnected water-related systems (rivers and wetlands) associated with  
55 Neolithic sites in contrasted areas of the Eastern Mediterranean basin, and (ii) the  
56 characteristics of the main morphogenic and hydrosedimentary responses to RCC on the  
57 catchment or lacustro-palustrine scales. We present below four recently-investigated  
58 continental fieldwork areas, where new data have been acquired concerning the 9.5 to 7 ka  
59 timespan. These data are discussed in the context of their proximity with excavated  
60 archaeological sites or with regional cultural trends on the regional scale (Central Anatolia,  
61 Cyprus, Eastern Macedonia, Corfu island). Using different spatial scales, from the site to the  
62 region and from the eastern to the central Mediterranean, the hydrogeomorphic and ecological  
63 impacts of these EH RCCs are evaluated, along with their potential impacts on the first Neolithic  
64 societies.

65

### 66 1. State of the Art

67

68 During the first half of the Holocene, the Eastern Mediterranean regions experienced a climate regime  
69 significantly wetter than today, coherently indicated by regional marine and terrestrial isotopes (Bar-  
70 Matthews et al. 1997, Roberts et al. 2008, Robinson et al. 2006), the Dead Sea level maximum  
71 (Migowski et al. 2006) and the sapropel S1 formation period in the Eastern Mediterranean sea  
72 favoured by freshwater high runoff of tropical monsoonal origin (Rossignol-Strick 1999, Rohling et al.  
73 2015). During this period, changes in Mediterranean cyclogenesis would have been potentially  
74 influenced by lower Sea Surface Temperature (SST) and evaporation (Brayshaw et al. 2011, Rohling  
75 et al. 2015). The general trend toward climate amelioration after the Younger Dryas, favored the  
76 development and diffusion of agriculture from nuclear areas in the Near-East (Willcox et al. 2009), the  
77 Levant (Bar Yosef, Belfer-Cohen 1989) and Anatolia (Özdoğan, 2011; Kuzucuoğlu, 2014). This Early  
78 Holocene phase was nevertheless rhythmized by several pluricentennial abrupt climatic pulsations.  
79 Compared to today, the climate was then much more sensitive to freshwater forcing than to solar  
80 activity (Teller and Livingston 2002, Fletcher et al. 2013). For example, in the Greenland ice cores,  
81 three “rapid events” (RCC) caused by meltwater pulses (MWP) are recorded ca 10.2, 9.2 and 8.2 ka  
82 ago, together with at least 11 other similar events documented for the entire Early Holocene (Teller et  
83 al., 2002, Fleitmann et al., 2008). In the eastern Mediterranean, extension of the Siberian anticyclone  
84 to the Eastern Mediterranean (regular influx of cold air masses) also played a major role during the  
85 Holocene period. For example, cold air from the Siberian High (SH) extension created a rapid sea  
86 surface (SST) cooling (Rohling et al. 2002) (fig. 1). The multi-centennial variability of the GISP2  
87 terrestrial potassium (K<sup>+</sup>), a proxy recording the strength and temporality of the SH (Mayewski et al.,  
88 1997), shows a stronger SH during some Holocene cold periods in the Eastern Mediterranean, with  
89 repetitive impacts on the Anatolian/Aegean areas (Rohling et al., 2002, Weninger et al., 2006, 2014).  
90 These latter authors identify a ‘RCC-corridor’, which runs from the Ukraine, through south-eastern  
91 Europe, into the Aegean and large parts of Anatolia and the Levant, as well as onto the islands of  
92 Cyprus and Crete. Rogers (1997) linked cyclogenesis in the Mediterranean with positive (strong) SH  
93 anomalies, while eastern Mediterranean flood activity shows periodically a positive relationship with  
94 an increasing trend in the K<sup>+</sup> proxy (Benito et al. 2015).

95 The potential impact of the 9.2 ka abrupt climatic event on human societies during the Neolithic  
96 “revolution” has rarely been explored, in any case much less so than the 8.2 ka event. In this debate,  
97 the effects of the worldwide “8.2” climatic event on the Mesolithic and Neolithic societies have been  
98 under discussion for a decade, with interpretations varying from abandonment of sites to collapse,  
99 from large-scale migration to sustainability of occupation and social adaptation... (for a complete  
100 overview see Gehlen and Schön, 2005, Staubwasser and Weiss, 2006, Weninger et al., 2006, 2014,  
101 Berger and Guilaine, 2009, Flohr et al. 2015). Climatic records show that the 8.2 ka event resulted in  
102 some of the most extreme environmental perturbations of the Holocene. For this reason, it has been  
103 subject to an abundant literature since being first discussed (Alley et al. 1997). Extended over a time-  
104 span of 100-150 years in GISP2-GRIP polar archives (Thomas et al. 2007), its duration has been found  
105 longer in numerous marine and continental proxies (fig01). In the eastern Mediterranean and other  
106 regions, the RCC interval between 8.6 and 8.0 ka spans a longer time period than in the ice record,



107 supporting the idea of an enhanced Siberian high-pressure anticyclone over Asia (Rohling and Pälike,  
108 2005, Weninger et al. 2014) controlling a global intensification of atmospheric circulation with cooler  
109 temperatures in polar regions (Mayewski et al., 2004) and drier and cooler conditions in the  
110 Mediterranean basin (Rohling et al., 2002; Bar-Matthews et al., 2003; Fletcher and Zielhofer, 2013;  
111 Gómez-Paccard et al., in press). Meanwhile, pollen and SST data have been increasingly studied in  
112 marine mediterranean archives for 15 years.

113 In parallel to these ice and marine records, Mediterranean basin scaled continental records reveal a  
114 paucity of evidence of Early Holocene RCC. For examples, Berger (2015) and Berger et al. (in press)  
115 underline episodes of lateral mobility/erosion of rivers and successive entrenchments of active beds  
116 although the period is dominated by a multi-millennial-long predominance of pedogenic processes.  
117 Although Early Holocene earth-surface processes are rarely documented in clear geomorphological  
118 and chronological frameworks from the Southern Levant, there is some evidence for abrupt  
119 geomorphological responses in the most fragile (semi-arid) regions during Holocene RCCs (Cohen-  
120 Seffera et al., 2005). But there is a general lack of very precise geomorphological studies for this  
121 period (Berger and Guilaine 2009, Zielhoffer et al. 2008, 2012).

122 Divergent information from different proxy records and chronological uncertainties are often major  
123 limitations to our understanding of abrupt climatic changes and their impact on continental  
124 environment (Desprat et al. 2013). Early Holocene palaeoenvironmental data derive first from inferred  
125 changes in lake hydrology (isotopes and salinity changes, water level variations; Magny 2004,  
126 Eastwood et al. 2007, Roberts et al., 2008 and 2011; Kuzucuoğlu et al. 2011), quantitative pollen  
127 studies (Eastwood et al., 1999; Roberts et al., 2001; Pross et al. 2009, Peyron et al. 2011, Bordon et al.  
128 2009), fire analysis (Vanniere et al. 2011), and also from cave speleothems records (Bar-Matthews et  
129 al. 1997, Verheyden et al. 2008, Göktürk et al. 2011, Frisia et al. 2006) and marine cores (Kothoff et  
130 al. 2008, Combourieu-Nebout et al. 2013, Desprat et al. 2013, Fletcher et al. 2012, etc.) (fig02). Multi-  
131 proxy comparisons (pollen-inferred changes in plant functional types vs modern analogues), help  
132 identifying a strong connectivity with the Mediterranean watersheds, in particular when deciduous  
133 woodland switches to sclerophyllous woodland and scrub, or when mountainous assemblages increase  
134 during colder events (Peyron et al. 2011, Combourieu-Nebout et al. 2013....).

135 Despite these many recent paleoclimate studies, it is still difficult to imagine the relationships between  
136 climate and hydrogeomorphology in the eastern part of the Mediterranean basin at the secular scale  
137 during the Early Holocene. For example, is it possible to consider a synchronous and similar  
138 hydroclimatic and geomorphic functioning all through the area from the Ionian-Aegean basin to the  
139 Levant regions? Is there a latitudinal climatic barrier between a north part and a south part of the  
140 eastern Mediterranean, as there is between the central and western Mediterranean (Magny et al.,  
141 2013)? How much seasonal or annual water is available for soil and vegetation, notably during the  
142 main RCCs ? What links can be found between changes in practices or in population movements, that  
143 may be connected to past hydrological changes in the continental areas?

144 An archaeological laboratory dedicated to vulnerability research in prehistoric periods is ongoing  
145 (Clare and Weninger 2008, Bocquet-Appel et al. 2014, Borrell et al. 2015, Flohr et al. 2015, “2010-  
146 2020” Paléomex project)..., looking for the widest possible field of alternative societal modes and  
147 responses to environmental changes/versus natural hazards. The RCCs-mechanism and their  
148 millennial cycles during the Holocene give opportunities to study the impact of rapid events on  
149 cultural transitions and/or migrations/mobility, and to explore the societal adaptability modes in stress  
150 conditions through time and in specific contexts. The current main hypotheses are based on regional  
151 chronocultural patterns defined by Cumulative Probability Density Function (CPDF) techniques on the  
152 one hand, and on the time parallelism between a decrease in Radiocarbon date clusters and the  
153 assertion of a RCC on the other hand. As proposed by Flohr et al. (2015), a more critical approach is  
154 now clearly needed to better characterise socioenvironmental relations with climate and environmental  
155 changes during RCC, an approach that would be more trustful than the use of regional <sup>14</sup>C-dates series  
156 which may be neither rigorously quality-checked nor solidly correlated in space and time. In order to  
157 face the need for highly constrained dating strategies in archaeological contexts, the intra-site scale is  
158 now being applied in sites such as Çatalhöyük (Clare and Weninger 2008, Marciniak et al. 2015),  
159 Aşıklı Höyük (Stiner et al., 2014), Tell Sabi Abyad in the Upper Euphrates (van der Plicht et al. 2011,  
160 Akkermans et al. 2014)...etc. Extensively applied in stratigraphy as well as in space at the site, this  
161 approach aims to establish continuity/discontinuity in occupation and cultural changes within a



162 sensitive timing. For example, Clare and Weninger (2008) and van der Plicht et al. (2011)  
163 demonstrated that a multiplication of  $^{14}\text{C}$  dates by CPDF at a single site, can fill or confirm the  
164 suspicion of a hiatus. A critical analysis of the state of regional radiocarbon databases is therefore  
165 essential, not only, as recently applied by Flohr et al. (2015), with a selection of shortlived dates, but  
166 by a systematised intra-site stratigraphic and taphonomic evaluation such as that recently conducted on  
167 the Dikili Tash and Sidari sites (Greece) (Lespez et al., 2013, submitted; Berger et al. 2014). We  
168 consider that this approach is the most reliable way to observe the degree of continuity of human  
169 occupation and thus to establish its possible links to local hydrogeomorphological dynamics during  
170 RCCs. But such archives are rare, and primarily dependant on the site position in the catchment area,  
171 on the proximity of the site with favourable sedimentary archive areas (like floodplains, swamps, foot  
172 slopes...) and on the type of site (tells being less favourable to hydrosedimentary records as soon as  
173 they emerge from the floodplains). In addition to a lack of  $^{14}\text{C}$  dates on site, the lack of archaeological  
174 data corresponding to the same timing as a rapid and short-lived event, may have other causes than the  
175 absence of a link: a prevailing theoretical bias, old wood effects (while dates on charcoal have long  
176 been privileged, seeds and other short-lived organic matter are preferred), restricted excavation of site  
177 surfaces and periods...

178 Not only many palaeoclimate and environmental records have neither sufficient temporal resolution  
179 nor chronological precision, but the sensitivity of a continental record to detect a decadal-scaled  
180 climatic anomaly is also rarely assessed. For this latter factor, more detailed geographical and  
181 bioclimatic local frameworks within regional assessments are needed. The availability of such  
182 assessments is necessary for discussing not only the local impacts of climate events on the resources  
183 and landscapes (Clare and Weninger 2010), the societal impact or non-impact of a RCC (Roberts et  
184 al., 2011; Kuzucuoğlu, 2015), and our knowledge of past adaptation strategies (Berger 2006; Berger  
185 and Guilaine 2009; Lespez et al., 2014, in press; Flohr et al. 2015). As far as the study of early farming  
186 societies is concerned, data about micro-regional and local effects of RCCs will usefully replace or  
187 complete, as far as the study of early farming societies is concerned, the information delivered by the  
188 key regional – and remote – climate references which are regularly called for in research papers  
189 (glacial, marine, continental dendrochronological series, speleothems...) (Weninger et al. 2006, 2009,  
190 Kuzucuoğlu, 2009). Local detection of RCC impacts are still too rarely attested to on archaeological  
191 sites or in continental river archives close to sites occupied by the first farmers or the last hunter-  
192 gatherers (Berger and Guilaine 2009, Zielhoffer et al. 2012, Lespez et al. 2013, Berger et al. in press).  
193 We thus propose here a “bottom-up approach” of the impact of climate changes on the Early Neolithic  
194 societies. We intend to demonstrate that precise geoarchaeological investigations in Neolithic sites,  
195 when based on systematic stratigraphy studies, rigorous radiocarbon series and on a contextual  
196 archaeological approach, end up proposing new socioenvironmental schemes on the local scale.  
197 Meanwhile, we explore new hypotheses about the impacts of the Early Holocene RCCs on the  
198 environments as well as the responses of Neolithic societies.

## 200 **2. Material and methods: new continental data with high chronological resolution in the centre** 201 **and east of the Mediterranean basin**

### 203 2.1. Central Anatolian and Cyprus cultural contexts

204  
205 These two regions neighbour the nuclear areas of the Pre-Pottery Neolithic A (PPNA) (11.7-10.5 ka)  
206 in the Levant and of SE Turkey (middle and upper Tigris and Euphrates valleys). Where identified (in  
207 the Levant, SE Turkey, Iran, Cyprus, central Anatolia), the “Pre-Pottery Neolithic” (PPN) corresponds  
208 to a “Neolithisation” period during which packages composed of several or all characteristics of the  
209 Neolithic are identified in excavated settlements: sedentism, housing, pre-domestication (followed  
210 possibly by domestication) of sets of plants and/or animals (Fuller et al., 2011, Zeder, 2011; Stiner et  
211 al., 2014), symbolism, art, social organisation and ritual behavior (Cauvin 2002 ; Simmons 2011).  
212 Increased sedentism and plant and animal domestication practices are asserted during the period of  
213 relative climate stability that follows rapidly the turmoil of the Holocene onset warming up and its  
214 consequences on the vegetation and water resources. This has greatly contributed to conceiving the  
215 Neolithisation processes in the Near East as an incremental continuum (including several and distinct  
216 successful and unsuccessful attempts: Willcox et al., 2012) in disconnected “cores” spread over the



217 region, with relatively minor disruptions (Borrell et al. 2015). Recently, a major cultural discontinuity  
218 has been observed in the archaeological PPN records of the northern Levant, that lasted from 10.2 to  
219 9.8 ka and was followed by a substantial cultural transformation indicating a break in the  
220 Neolithisation process (Weninger et al. 2009, Borrell et al. 2015). This early discontinuity corresponds  
221 to a hiatus in settlements, which covers almost the totality of the time span traditionally attributed to  
222 the Middle PPNB in the Levant (10.2 – 9.6 ka) (Borrell et al. 2015). In Cyprus, a cultural change is  
223 initiated ca. 9.6/9.5 ka (emergence of the Khirokitia culture: Le Brun et al. 2009). In the  
224 Shillourokambos site (fig02), the change occurs in the early C phase, initiating a different cultural  
225 package which lasted the 2<sup>nd</sup> half of the 10th mill. cal BP. The cultural change is visible in the quick  
226 decline of the beautiful lamellar tools obtained in the previous phase by bipolar knapping (a strong  
227 PPNB marker in the Levant), replaced by productions directed towards robust pieces (thick and  
228 irregular blades, pikes, sickles with parallel hafting to the edges) (Briois, 2011). Meantime, there is a  
229 decrease in grinding instruments (Perrin 2003). Imports of Cappadocian obsidian collapse. The habitat  
230 reduces in size, concentrating in the southern part of the site. Building materials evolve with the  
231 abandonment of the proto-brick for mud-building techniques. From 9.2 ka on, sheep husbandry plays  
232 an important part, perhaps in association with the development of pastoralism (Vigne *et al.* 2011).  
233 These cultural and economic changes have never been confronted with climato-environmental  
234 evolutions, in spite of their synchronicity with a first global signal (fig01).  
235 In central Anatolia, after the abandonment ca 9.5 ka of early PPNB sites in the Konya plain  
236 (Boncuklu, Can Hasan III) and Cappadocia (Aşıklı), younger PPNB sites appear at other locations : ca  
237 9.6/9.5 ka in Cappadocia (Musular site), and 9.4/9.3 ka in the Konya plain (Çatalhöyük East). This  
238 butchering-specialized site is abandoned ca 9.0 ka, before the apparition of the pottery. From the west  
239 of the Konya plain to the Lake district where sites are founded ca 9.2 ka without pottery (PPN) as in  
240 Bademağacı, and to the Aegean Anatolia (Ulucak), Neolithic occupation continues with no hiatus onto  
241 and during the Early Neolithic period which starts quickly, ca 9.0/8.9 ka, with appearance of pottery.  
242 Pottery appears also with a very similar timing in many other sites in Cappadocia (eg. Tepecik-Çiftlik;  
243 Aşıklı too, possibly...) to the Mediterranean (eg. Yumuktepe) and the Aegean (eg. Yeşilova etc.)  
244 (Fig02, and references herein, especially in Özdoğan et al., 2012a and 2012b). New results (eg. articles  
245 in Özdoğan et al., 2012a, 2012b; Stiner et al., 2015) and from on-going syntheses (eg. Özdoğan, 2011;  
246 Kuzucuoğlu, 2014) suggest that a long-distance neolithisation dynamics originated out of a core  
247 located in Konya plain and Cappadocia. This diffusion arrived in the Aegean region ca. 9.1-9.0 ka  
248 (Özdoğan, 2011). In the Near-East as well as in central Anatolia, Flohr et al. (2015) show that <sup>14</sup>C  
249 dates-based spatio-temporal reconstructions of sites distributions, do not provide evidence for  
250 widespread migrations ca. 9.2/9.0 ka. As a matter of fact, in Anatolia the apparent westward-  
251 progressing cultural influences do not mean automatically “departure” or “migration” from the large  
252 plains ca 9.2/9.0 ka, but rather “diffusion” (Kuzucuoğlu, 2014). For example, the typical “highly-  
253 populated and densely-built large PPN “villages” of Cappadocia (Aşıklı) and Konya plain  
254 (Çatalhöyük-East) do not exist anywhere else nor afterwards. In addition, the earliest Pottery Neolithic  
255 layers (continuing PPN) in the Lake District are culturally distinct from the contemporaneous ones in  
256 the Konya Plain located east (Duru, in Özdoğan et al., 2012b). Archaeological records that, even with  
257 Late PPN/Early PN starting early in the Konya Plain and Cappadocia, there is no direct influence from  
258 there during the transition to the Neolithic and during the Early Neolithic in the Lake Districts (Fig02).  
259 In addition, in western Anatolian, Early Neolithic cultural material from sites occupied at the  
260 beginning of the 9<sup>th</sup> mill. records the mixing of local traditions with other cultures from the Near East  
261 (diffused along the sea shores?) as well as from the Lake District (diffused westward?) with, again, no  
262 influence from the “core area” in central Anatolia (Konya Plain, Cappadocia).  
263 Consequently, any approach which aims to understand the relationships between climate and human  
264 societies during the time of the Neolithic development and expansion in Anatolia (Kuzucuoğlu, 2014)  
265 must take into account the regional dimension of the economic, technological and social  
266 characteristics of the Anatolian Neolithic, especially in the plains and plateaus of central Anatolia  
267 (Özbaşaran, 2011).

268

269 2.2. Northern Greece: cultural and archaeological contexts

270

271 The tell of Dikili Tash is located in the south-eastern part of the Drama plain, in eastern Macedonia,



272 northern Greece (fig02). It is one of the largest tells in northern Greece, covering an area of ca 4.5 ha,  
273 with its highest point standing at ca 15m above current ground surface. A freshwater spring lies  
274 immediately to the north-east of the tell, and it opens on a large swamp to the south (Tenaghi-  
275 Philippon) about which many environmental studies have been published. Ongoing excavations have  
276 provided a good insight into the long stratigraphic sequence of this settlement from the bottom of the  
277 plain, completed by coring surveys in the deeper humid zones at the southern periphery of the site  
278 (Lespez et al. 2013; submitted; Glais et al., 2016). The deepest archaeological I level, very close to the  
279 natural soil (a brown leached soil), has been dated 8.54–8.38 ka, ie Early Neolithic.

280 In Sidari (NW Corfu island), the archaeological excavation revealed in a deep small valley filling an  
281 initial Neolithic with red monochrome ceramics, domestic fauna, cereals and mud houses, whose  
282 economic status remains to be specified from the ongoing monographic publication of the French-  
283 Greek team (fig02). Together with Odmut (Bosnia and Herzegovina) and Konispol cave (Albania)  
284 (Sordinas 2003, Forenbaher et Miracle 2005), Sidari was originally considered as one of three sole  
285 sites in NW Greece and southern Adriatic area with an apparent Mesolithic/Early Neolithic  
286 stratigraphic continuity. On the basis of a new contextual geoarchaeological study (Berger et al. 2014),  
287 we recently discussed this aspect, refuting the original interpretation made by Sordinas (1966, 1973).

288

### 289 3. Results

290 The results of the local investigation in the four selected studied are presented from east to west  
291 following the Neolithic expansion.

292

#### 293 3.1. Central Anatolia

294

295 Questioning the role of climate on the Neolithic dynamics in central Anatolia from PPN to PN and  
296 during the Early PN during the 1st half of the 9th millennium cal BP, means that we have to define the  
297 climatic context and evolution from 9.5/9.4 ka to 9.2/9.0 ka. A similar question concerns the transition  
298 phase between PN and Chalcolithic ca 8.2-8.0 ka in Anatolia, although many archaeologists suspect  
299 the latter distinction between “Neolithic” and “Chalcolithic” to make no sense in Anatolia. Instead, the  
300 cultural turning-break that occurs through Neolithic Anatolia ca 8.6 ka, is much more distinct than  
301 changes happening ca 8.2/7.8 ka (Düring, 2011; charts in Özdoğan 2012a, 2012b). Nevertheless, the  
302 parallelism between cultural changes and the timing of the “9.3” and “8.2” ka RCCs suggest that there  
303 may have been a relationship between climate and cultural changes during the events.

304 The wide and endorheic plains of central Anatolia (Fig02 and 3) open in steppic plateaus ca. 1200 to  
305 1300 m altitude. The altitudes of the three main plains are ca 920 m a.s.l. (Tuz Gölü, to the north),  
306 1000 m a.s.l. (Konya and Ereğli, to the south), and 1050 m a.s.l. (Bor, to the east). In these plains, the  
307 current climate is semi-arid with mean annual precipitation ranging from 280 to 340 mm/yr  
308 (respectively Konya and Tuz Gölü plain, southern Cappadocia lowlands). This semi-aridity contrasts  
309 with the fact that, ca 11.3 ka on, the most ancient Neolithic sites of Anatolia are founded in these  
310 plains (Baird, 2012; Özbaşaran, 2011), in a timeframe similar to that of the PPN (Pre-Pottery  
311 Neolithic) in the Tigris headwaters (Özdoğan, 2011). Results from geomorphologic, geoarchaeologic  
312 and palaeoenvironmental researches during the 1990s in the Konya plain (Kuzucuoğlu et al., 1997,  
313 1998, 1999; Fontugne et al., 1999; Roberts et al., 1999), in the Tuz Gölü plain (Naruse et al., 1997;  
314 Kashima, 2002), and more recently in the Bor plain (Gürel & Lermi, 2010; Kuzucuoğlu 2015;  
315 Matessi et al., in press) today allows us to propose a chronological synthesis of the environmental  
316 context of the cultural dynamics between the 10<sup>th</sup> and the 7<sup>th</sup> millennium cal BP.

317 The palaeoenvironmental records in the three closed plains of central Anatolia (Fig04) show evidence  
318 of alternations of humid and dry phases during the Holocene. The chronological comparison between  
319 these phases and the global climatic record shows that, (a) there is a high variability of records in the  
320 humid areas sensitive to even slight changes in humidity; (b) some RCC have no correspondence in  
321 the environmental records; (c) when a signal occurs in parallel with one of the RCC, the signal varies  
322 in nature and magnitude (soil signaled by roots and vegetation, emersion out of wetlands, drying-off,  
323 drought, etc). The comparison between the locations of the sediment archives in such an evaporation-  
324 sensitive context as that of the central Anatolian endorheic plains shows that the geomorphologic  
325 settings of the records (cores and sections) control the signal, ie the type and sensitivity of the  
326 drying/wetting wetlands: sub-surficial water in alluvial fans, marshes fed by springs at the external



327 edges of alluvial fans, springs along faults, karstic outflows, ice and snow-melt from highlands, rivers  
 328 etc... (Fig04). Both the topographic specificities of the ecosystems, and the spatial variability of the air  
 329 masses transporting humidity in the area contribute to the importance of the regional and local scales  
 330 in the palaeoenvironmental records.

331 According to these records, the general environmental evolution in the region during the Early  
 332 Holocene is the following (Fig04):

333 - After the onset of the Holocene ca 11.4 until 9.5-9.0 ka, springs and rivers in the Konya plain collect  
 334 water originating in precipitation and snow/ice melt in the Taurus. This water is also discharged by the  
 335 karstic network of the range. This water accumulates into shallow depressions stretching at the foot of  
 336 the Taurus along the Konya-Ereğli-Bor plains. For example, the expansion of the Akgöl backswamps  
 337 at the southern border of the Ereğli plain (Bottema & Woldring, 1984) is such a signal of a humidity  
 338 rise triggered from the Taurus highlands.

339 - Towards 9.5 ka, alluvial fans start to expand over the LGM marls forming the Konya plain bottom  
 340 (Çarsamba and Karaman rivers: Boyer et al., 2006), as well as in the Çiftlik plain up in the  
 341 Cappadocian volcanoes (Kuzucuoğlu et al., 2013). This river dynamics-related change is the only  
 342 possible signal of a climatic change contemporaneous with the 9.3 ka RCC. This signal is produced by  
 343 a change in run-off indicating a rise in spring water and a possible increase in seasonal temperature  
 344 contrast. Such a change would have produced enough snow and ice meltwater to initiate the growth of  
 345 Holocene alluvial fans over the plain bottoms. During this period, the Adabağ pollen record is marked  
 346 by the expansion of an arboreal vegetation dominated by deciduous *Quercus* (Bottema & Woldring,  
 347 1984). This alluvial fan initiation corresponds to the abandonment of PPN sites in Cappadocia (Aşıklı)  
 348 and Konya (Boncuklu, Can Hasan III). One or several centuries later, Late PPN sites (Çatalhöyük-East  
 349 in Konya; Tepecik-Çiftlik in Cappadocia) are founded at locations close to the expanding alluvial fans.

350 - The soil dated 9.0-8.9 ka in the Adabağ core possibly marks the end of the period of change which  
 351 started ca 9.5 ka. With the exception of the Çarsamba fan which continues to grow until 8.6 ka, the  
 352 absence of sediment record dated first half of the 9<sup>th</sup> millennium cal BP suggest that the plains were  
 353 dry, with little or no water input from the central Anatolian highlands (Cappadocian volcanoes).

354 - The second half of the 9<sup>th</sup> millennium cal BP is characterised in Konya plain by the interruption of  
 355 the torrential dynamics in the Çarsamba fan between 8.6-8.2 ka. During this period, the marshes along  
 356 the edges of the Altunhisar fan in the Bor plain seem to have dried off too, although not for as long  
 357 since they are well watered (lakes and backswamps) before 8.2 ka when they dry up again. In a  
 358 generally dry 9<sup>th</sup> millennium cal BP in central Anatolia, this dry/wet/dry alternation in the northern  
 359 shores of the Bor plain (Bayat and Kayı cores), as also the continuing record at Adabağ (fed by Taurus  
 360 karstic waters), correspond to local signals.

361 - The 8.2 ka RCC is present in central Anatolian records as a one century-long dry signal interrupting  
 362 backswamps and lakes around the Altunhisar fan between 8.1 and 7.9 ka.

363 - The most humid climatic phase in central Anatolia starts ca 7.9 ka, and will last until ca 6.5 ka which  
 364 marks the beginning of the mid-Holocene dry phase (Kuzucuoğlu, 2015; Matessi et al., in press).

### 365 3.2. Khirokitia (Cyprus)

366  
 367  
 368 Khirokitia is a Cypriot Late Pre-pottery Neolithic village dated to 8.6-7.5 ka (Le Brun et al. 1987, Le  
 369 Brun & Daune-Le Brun 2009). The site is located on the southern foothills of the Troodos Mountains,  
 370 at about 6 km from the Mediterranean shoreline (fig05a). It occupies the flanks of a limestone rocky  
 371 mound (around 216m above sea level), bounded to the north and east by the Maroni River channel  
 372 (Fig05b). At the present time the river channel is ephemeral and forms a rather deep and narrow valley  
 373 cut down through a terrace series of Quaternary conglomerates and older fluvio-marine deposits. The  
 374 stratigraphic sequence of the site comprises two major series of occupational levels; the articulation  
 375 between which, dated to nearly the end of the seventh millennium cal. BC (around 8.2 ka), is marked  
 376 by a redistribution of the village space in form of shift and contraction and by a noticeable change in  
 377 the botanical and zoological records (Le Brun & Daune-Le Brun 2010; Le Brun *et al.* in press).

378 Detailed geoarchaeological investigations were performed, mainly at the foot of the eastern slope of  
 379 the site, where the archaeological remains meet the river, and on the surrounding river deposits  
 380 (Hourani 2008). Results from this research allowed recognition of at least two major sedimentary  
 381 events that occurred during the occupation of the site.



382 The first of these events is a major channel incision concurrent with torrential stream discharges  
383 (fig06). It is marked at the foot of the eastern slope by the deposition of a 3.5m thick layer of densely  
384 packed, non-sorted, rolled stones and gravel at the base and more stratified but relatively fine-grained  
385 gravel and sand near the top. Deposits here underlie the archaeological remains in this sector and  
386 unconformably overlie Miocene fluvio-marine sediments. One feature of note is the presence of  
387 Neolithic stone tools as well as charcoal lenses, ash and fine fragments of burnt bones and mud brick  
388 within the alluvial discharge near the top. A radiocarbon date obtained on ash specks from this unit  
389 indicates an age of  $8.518 \pm 55$  year BP.

390 The second and more prominent sedimentary event is a substantial erosional episode. It is particularly  
391 visible in the middle of the archaeological sequence overlying deposits of the first sedimentary event  
392 at the foot of the eastern slope (fig06). A 0.6 to 0.8m thick stratum of angular limestone gravel and  
393 other archaeological debris divide the 4 meter-high archaeological sequence in this area into two parts.  
394 Archaeological structures of the lower part are deeply gullied and appear to be less preserved than in  
395 the upper one. Two radiocarbon dates, obtained on charcoal lenses from the debris of two  
396 superimposed houses sited on top of the erosional layer, propose respectively the ages of  $8.276 \pm 55$   
397 and  $8.248 \pm 53$  year BP. To this later episode of erosion and surface flows might also be attributed a  
398 3m thick sequence of intersected clusters of alluvial discharges and of side gully debris observed on  
399 the river section, slightly upstream of the studied archaeological sequence and opposed to it (fig05b).  
400 Here alluvial deposits are composed of loosely packed and unsorted stones, gravel and coarse sand.  
401 Gully debris, triggered from the surrounding slopes, are more represented near the base of the  
402 sequence where they consist of compacted whitish to dark grey loam, mixed with small white angular  
403 and black rounded stones along with flakes of flint, bone fragments and lenses of charcoal. This gully  
404 debris was radiocarbon dated to  $8.105 \pm 55$  year BP. The top of the sequence is capped by alluvial  
405 dark grey sandy silt, 0.8-1.2m thick, and then by grey-brown loam indicating subsequent decrease in  
406 the energy of flows. However, incision during the Late Holocene led to the lowering of the river  
407 channel bed, producing a suite of at least two younger river terraces in the area.

408 The two sedimentary events described above indicate that the region of Khirokitia experienced strong  
409 modifications in the hydro-geomorphological configuration around 8.5 and more particularly 8.1 ka.  
410 The morphological distinction between these two events, and what could have been the situation  
411 before, is difficult to establish adequately in such a dissected area as older terraces are obscured by  
412 younger sedimentation and erosion. However, the nature and the extent of the events observed indicate  
413 erratic and heavy rainfall conditions that in all probability seem to have occurred on a wider regional  
414 scale. Not far from Khirokitia, down cutting by 6m was followed by a period of aggradation and  
415 alluviation between 8.3 to 7.9 ka in the Vasilikos Valley near Kalavassos (Gomez, 1987)(fig05a). A  
416 similar sequence was also observed in the Middle Jordan Valley (Jordan), where marshy deposits  
417 corresponding to the beginning of the Holocene were deeply truncated and then recovered after by the  
418 red soils associated with the first settlers of the Late Neolithic period (Hourani & Courty, 1997;  
419 Hourani 2005; 2010) (fig02).

420 Notwithstanding man's role in the weakening of the soil cover, neither tectonic activities that may also  
421 have facilitated the incision of the riverbed and (or) changes in the direction of the stream runoff as  
422 well as lowering of the riverbed both indicate that the Neolithic landscape at Khirokitia resulted  
423 predominantly from climatic factors. At Khirokitia, if this period of surface erosion and torrential  
424 discharges were to be integrated into a wider regional or global scale, it might then be seen as a  
425 regional expression of the worldwide-identified 8.2 ka event. Here, the first cultural implication that  
426 can be drawn from this erosional event is the shift and contraction in the village space along with the  
427 major changes observed in the botanical and zoological records towards the end of the seventh  
428 millennium cal. BC. The attribution of the end of the PPN occupation at Khirokitia to the 8.2 event  
429 (Weninger *et al.* 2006) thus cannot be sustained.

430

### 431 3.3. Eastern Macedonia

432

433 In Eastern Macedonia, investigations have been developed on the edges of Tenaghi-Philippon marsh.  
434 This large marsh located in Northern Greece has been subjected to numerous paleoenvironmental  
435 research (Wijmstra *et al.*, 1969; Greig and Turner, 1974; Tzedakis *et al.*, 2006; Pross *et al.*, 2009,  
436 Peyron *et al.*, 2011) which constitute reference records for the environmental history of the Eastern



437 Mediterranean area (fig07). The results of these studies have been focused mainly on climate impact  
438 on vegetation cover. In order to track the climatic changes but also the impact of the Neolithisation  
439 process, which is here dated from 8.5 ka onwards (Lespez et al., 2013), palaeoenvironmental  
440 investigations have been developed from the archeological site to the marsh. Geomorphological  
441 research has been focused on the tell and its surroundings (Lespez et al., 2013, submitted) while Pollen  
442 and Non-Pollen Palynomorphs (NPP) analyses come from core Dik12, at the bottom of the site, and  
443 Dik4 located 2km to the southwest on the edge of the Tenaghi-Philippon marsh (Glais et al., 2016).  
444 This core is 3m long and the sediments are mainly constituted by grey to black organic clay. The  
445 chronology based on 11 AMS Radiocarbon datings.

446 The pollen records (fig08) indicate a general decrease in steppe taxa (Artemisia and Chenopodiaceae)  
447 and the steady increase of other herbaceous plants such as Cichorioideae, and other ruderal taxa  
448 suggesting a return to more humid conditions at the end of the Younger Dryas (ca. 11.7 – 10.2 ka).  
449 This is also supported by the recorded appearance of lime trees, an increase of NPPs indicative of eu-  
450 mesotrophic conditions and a slight but continuous deciduous oak expansion. These observations are  
451 consistent with the regional climatic model (Kotthoff et al., 2008; Peyron et al, 2011). Around 10.2 ka  
452 the pollen indicate a gradual and long-term change with great development of arboreal vegetation and  
453 the decline of open vegetation cover (AP/NAP ratio increases from 20% to more than 50%). Wetter  
454 and warmer conditions have favoured the expansion of all broad-leaved trees, such as oaks, alders and  
455 subsequently the appearance of mesophilous taxa such as ostryas, birches, ulmus and evergreen oaks.  
456 After a delay in comparison with western Greece (Lawson et al., 2004), it indicates the onset of  
457 interglacial conditions. In this context, the first macrocharcoal peak extended (10.6-9.3 ka)  
458 corresponds to the biomass development in a still incomplete wooded landscape. Forest expansion was  
459 punctuated by a short-term centennial-scale dryer climatic events (9.6-9.3 ka) distinguishable at  
460 regional (Kotthoff et al., 2008) and local scale by the increase of xerothermophilous taxa and  
461 evergreen *Quercus* (Glais et al., 2016).

462 After 9.3-8.7 ka, the vegetation cover is marked by a peak of deciduous oaks, the appearance of fir on  
463 the top of surrounding mountains, the decrease of Poaceae, Aster type and Cichorioideae taxa and the  
464 retreat or even disappearance of woody species limited to Mediterranean contexts. This spread of  
465 forest cover was interrupted around 8.7-8.3 ka. The decrease of trees and increase of herbs could  
466 indicate the impact of the 8.2 ka RCC but this period also shows the first signs of human impact in the  
467 Early Neolithic. They are certainly due to the Early Neolithic settlement implantation in Dikili Tash  
468 (Lespez et al., 2013; Glais et al., 2016) benefitting from pristine forested environment with multiple  
469 available resources. This is attested to in the NPP record, by a first coprophilous species peak, but also  
470 by a decrease of deciduous forest species and increase of herbaceous taxa on the edge of the marsh.  
471 Furthermore, at the bottom of the site (Dik 12), high- percentage cereal pollen (around 9% at 8.4 ka)  
472 and the increase in ruderal taxa make it clear the anthropogenic impact on vegetation cover associated  
473 with agropastoral activities.

474 Nevertheless, the conjunction with the 8.2 ka event well established at the regional scale a few decades  
475 after makes the interpretation more complex and other causes can be evoked to explain the pollen and  
476 NPP records. The high percentage of hydro-hygrophytic taxa on the edge of the marsh suggest a  
477 contemporaneous rise in the water table level in a drier period well assessed at the regional scale  
478 (Pross et al., 2009). Furthermore, marshy deposits or oncolytic sands layer are interstratified within the  
479 anthropogenic layers of the first levels of occupation on several cores (Lespez et al., 2013). It indicates  
480 a rise of the water table of the little pond located at the bottom of the site which is feed by an  
481 exsurgence in the marble slopes which dominate the site (fig09). On C3, it corresponds to 2 high-  
482 stands. The first one is dated on C3 after 8.38/8.17 ka while the second is dated on C2 and C3 around  
483 8.0-7.9 ka. Additionally, the geomorphological observations in the Dikili Tash small valley which runs  
484 to the marsh show development of detrital carbonate sedimentation. On Dik4 core, it correspond to a  
485 carbonate silty layers which interrupted the organic sedimentation. It suggests an increase of flood  
486 flows from the small stream which runs from the Dikili Tash pond during the period 8.2-7.8 ka. These  
487 observations are close to the results obtained at Lake Doirani (130 km WNW) (fig02) which show a  
488 relatively high lake level during this period (Zhang et al., 2014). From the beginning of the 9<sup>th</sup>  
489 millennium cal BP, the vegetation cover shows the return of some pioneers or mesophilous taxa  
490 (hazel, elderberry and black haw trees), or their appearance (ash and broom) shortly before a closing  
491 landscape phase. Locally, the riparian vegetation increases considerably in relation to a drier



492 environment due to previous detritic sedimentation input which fill the edge of the marsh and the  
493 water level decrease begun from ca 7.5 ka. and the forest cover expanse more generally in the region  
494 in relation with climatic amelioration (Pross et al., 2009).

495

#### 496 3.4. NW Grece-Corfu Island

497

498 The prehistoric site of Sidari, located in a small coastal valley dug in marine Pliocene detrital  
499 formations of NW Corfu Island (fig02 and 10a), is a crucial milestone to explain the modalities of the  
500 Neolithisation phase in the Adriatic zone. It is the oldest Neolithic Site of Central Mediterranean (8.3  
501 ka) (Berger et al. 2014). This coastal sector is part of a vast tertiary sedimentary basin with a hilly  
502 morphology that displays vast and deep Holocene alluvial formations. Rainfall is today extremely  
503 important with an average of 1000mm/year, in the most humid region in Eurasia at this latitude (39°  
504 N). This situation is explained mainly by its location close to the Balkan mountain barrier to the east  
505 of the Adriatic zone. Both valleys studied (Sid. 1 and 2) are tributaries of the small coastal Peroulades  
506 River (fig010b), providing sustainable water resources, a rich wetland habitat and deep alluvial soils to  
507 its occupants.

508 The geoarchaeological study compares two lower rank watersheds close to 400m. The outdoor  
509 stratified archaeological site (Sid.1) and the neighbouring small valley (Sid.2), both located in the  
510 valley floor present a strong dilatation of the sedimentary sequence (5 to 7m), a succession of  
511 Holocene paleosols and a highly favourable hydromorphological context (interlocking channels).  
512 Sidari 1 is associated with a dense archaeological occupation and Sidari 2 with a much less  
513 anthropised and deeper archive (fig04a). A precise field geomorphological and palaeopedological  
514 approach, favoured by the presence of interbedded archaeological levels and charcoal beds which are  
515 systematically radiocarbon dated, allowed the construction of a solid micro-regional  
516 chronostratigraphic framework. A CPDF analysis is used to better specify the chronology of  
517 hydrosedimentary and pedological activity. A local database integrating Sidari 1 and 2 sites was  
518 compiled. It integrates 33 radiocarbon dates from 3 main contexts: channel fillings, floodplain  
519 overbank deposits and palaeosols. They were generated using the guidelines set out by Johnstone et al.  
520 (2006). BP calendar ages, including 1s error range, were summed using a macro excel software. This  
521 analysis provides a probabilistic assessment of centennial-length sedimentary aggradation episodes  
522 interrupting Early Holocene active pedogenic and landscape stability development favoured by a more  
523 humid Mediterranean climate within 2 individual catchments.

524 Sid.1 archive presents a 5m pedosedimentary sequence depth. The rescue archaeological excavation  
525 operated in the mid-2000s had uncovered 8 main archaeological layers from the Mesolithic to the  
526 Helladic periods that are interbedded in a complex polyphased sequence, with 16 main phases of river  
527 and colluvial activity and pedogenesis in 5 millennia (Berger et al. 2014) (fig10c). Sidari 2 is a natural  
528 transversal trench of a small dry valley, 80m wide and 7m deep, entrenched in cemented Pleistocene  
529 formations. The deposits are actively eroded by the current sea level change that allows a full  
530 observation of the Holocene filling to be performed. A first chronostratigraphical view of the sequence  
531 identified 2 abrupt limits at the Early-Mid Holocene (around 8.2 ka) and Mid-Late Holocene periods  
532 (around 4.0 ka) (fig10d) which refer to the recent tripartition of Holocene period (Wanner et al. 2008).  
533 In this paper we focus only on the lower half of the filling, consisting of a thick cumelic soil complex  
534 and the beginning of the mid-Holocene period marked by a rapid breakdown of pedosedimentary  
535 conditions, driving to a very erosive and detrital activity in the small marly basins during 1  
536 millennium.

537 The Sid.2 local chronostratigraphy building clearly presents a stairway age depth model with three  
538 phases of high acceleration of sedimentation rate (fig11b): from 10.4 to 10.0 ka, from 9.5 to 9.0 ka and  
539 after 8.4 ka. This environmental temporality clearly represents millennial pedogenesis/incision-  
540 aggradation rhythmicities, particularly well illustrated in the Sid.2D profile (fig11a) which represents a  
541 morphopedological synthesis of the events succession. A systematic sedimentological and  
542 geochemical multi-proxy approach that describes pedoclimatic conditions, hydrosedimentary  
543 environments, detrital fluxes and some ecological factors (fires) is still forthcoming.  
544 Hydrosedimentary and paleopedological interpretations presented in this paper should be viewed as  
545 preliminary.



546 The biostability phases that develop between erosive phases discussed are expressed in geological  
547 records of catchment heads by a black deep soil development (phases I, III et V, fig11a), often  
548 decarbonated and leached, as observed at the microscopic scale in Sid.1 (Berger et al. 2014). These  
549 kinds of pedogenesis and associated pedofeatures (hyaline cutans) illustrate a dense forest cover  
550 highly protective for soils (Macphail et al. 1987, Kühn 2003). Local charcoal assemblages (Delhon  
551 and Thiebault forthcoming) and the regional pollen spectra (Bordon et al. 2009, Triantaphyllou et al.  
552 2009, Combourieu-Nebout et al. 2013, Glais et al., 2016) reveal vegetation dominated by mesophile  
553 deciduous oakforest. Following a first broadly stable and humid Holocene, favourable to the  
554 development of a thick leached and humic cumulic palaeosol (Berger et al. 2014), the second half of  
555 the Early Holocene is punctuated by a succession of abrupt breaks in the hydromorphological  
556 functioning of the marly valleys, of centuries-terms, and of quasi-millennial cyclicity. They are  
557 characterised in the field by a sudden stop of soil formation processes, synchronous of deep gullies  
558 which fit into each other during the three EH climate events (fig11a). These gully activities (phases II,  
559 IV, VI) are followed by a rapid-filling phase of lighter tone alluvio-colluviation often still  
560 decarbonated (association of inherited soil material and marls) which palaeodynamic can be  
561 characterised by analysis of the sedimentary fill mode: (1) The slick or lenses sand and gravel  
562 deposits, rich in small well-rounded nodules of clay soil are associated with concentrated runoff  
563 causing gully and sapping upstream soil formations (fig11cd-IVb1-VIb) and (2) finer well-sorted  
564 deposits, often micro-laminated, associated with finer and regular rainfall generating diffuse runoff  
565 (fig11e-VIg). So we explain the formation of these two facies by the expression of different rainfall on  
566 largely bare surfaces by fire (regular charcoal beds presence). The transition between RCC events and  
567 the pedological stabilisation of the valley is generally dominated by more regular rainfall (fine  
568 granularity, diffuse laminations), as in the 8.2 ka event.

569 The 10.4-9.75, 9.5-9.1 and 8.35-7.9 ka active periods are individualised using cumulative probability  
570 density functions (CPDF) plots (fig11f). We interpret these morphological and hydrosedimentary  
571 signatures, regularly recorded in alluvio-colluvial archives at Sidari, as the manifestation of rapid  
572 climatic changes (RCC), which seem to form the rhythm of the evolution of Holocene north  
573 Mediterranean valleys.

574 It especially allows hypotheses to be proposed about the potential climate impacts on continental  
575 hydrology, soils, and vegetation dynamics in relation to the development of human societies on the  
576 micro-regional level. These new data establish the necessity of always reasoning from contextualised  
577 data, not to be taken hostage by temporal CPDF-type constructions, sometimes too schematic and  
578 occasionally disrupted by bias related to the organic material used for the <sup>14</sup>C. Indeed, we observe a  
579 constant time lag between chronocultural and morphological data (from 100/150 yrs) whose origin is  
580 probably to be found in the old wood effect (almost a predominance of oak in charcoal assemblages).  
581 The Sid.2 Mesolithic occupation centred on the 9.3 ka event is associated with a short intermediate  
582 RCC pedogenic episode. The new Sidari chronostratigraphical context does not identify one  
583 Mesolithic horizon, but probably 3 successive ones. Cultural continuity proposed by Sordinas (1969,  
584 2003) is only apparent, as produced by geomorphological impacts of the 8.2 ka event (Berger et al.  
585 2014). The Early Neolithic I “monochrome” occupation sets up on the paleosol (S3) before being  
586 partially eroded (fig11e), and the last diffuse occupation levels of EN.I then interbedded in the first  
587 aggradation levels of the 8.2 ka event (AP5). Finally, the Early “Impressa” Neolithic II level is clearly  
588 associated with the intra-8.2 ka episode of soil stabilisation in SID-1, then covered by the second stage  
589 of alluvial aggradation (AP6). If we think in radiocarbon time, the gap initially mentioned by Sordinas  
590 (1969) between the two horizons of Early Neolithic (Monochrome and Impressa) is very brief (a few  
591 decades at most). It is much more marked in the sedimentary archives studied, as amplified by the  
592 very rapid aggradation process of the 8.2 event. This second peak of 8.2 hydrosedimentary activity  
593 (AP6) seems to correspond to a durable site abandonment (until Late Neolithic) (cf. Berger et al.  
594 2014).

595

#### 596 **4. Discussion about Early to Mid-Holocene RCC impacts on terrestrial hydrosystems and** 597 **human societies at the North-Eastern Mediterranean scale**

598

599 The results obtained on the 4 sites studied assess the local environmental changes which can be linked



600 to the RCC changes. In particular, they underline the sensitivity of hydrosystems and vegetation to  
601 climatic changes at a secular scale. We show that the SH cooling event, correlated with glacial  
602 outburst in the Northern Atlantic, low values of total solar irradiance and K+ records in Greenland ice  
603 cores, have a major impact on the functioning of central to eastern Mediterranean continental  
604 hydrosystems (fig11a).

605 The 9.2 ka event matches one of the largest early Holocene meltwater pulses at  $9.17 \pm 0.11$  ka B.P.  
606 (Teller et al. 2002) which was probably triggered by a slowdown of thermohaline circulation. In the  
607 Asian monsoon domain (Qunf and Dongge caves) stalagmites shows a positive anomaly in  $d^{18}O$   
608 calcite at 9.2 ka reflecting lower monsoon precipitation (fig01). The duration of the event is less than  
609 150-200 years in all records discussed by Fleitmann et al. (2008). A recent metadata analysis of  
610 Holocene European river activity highlights the current lack of well-dated records for the Early  
611 Holocene with only two Iberian flood clusters (9.5–9.2 and ca 9.0-8.8 ka : Benito et al. 2015), in-phase  
612 with high lake levels in the Jura Mountains and the northern French Pre-Alps (9.55-9.15 ka : Magny,  
613 2004). Both records likely reflect their high sensitivity to North Atlantic circulation. In Sidari 2 valley,  
614 a large signal of gully erosion and vertical aggradation is synchronous to the European lakes and  
615 Iberian rivers record, with two activity peaks between 9.5 and 9.1 ka (fig01). Comparable signals  
616 before 9.0/8.9 ka do not occur in the hydrosystems of the central Anatolian plateaus (fig04) which  
617 respond to a high humidity in the Taurus range that feeds the high water levels in lakes and marshes  
618 located at the foot of the Taurus. But the strong drying signal from 9.0/8.9 ka is well registered by the  
619 hydrosystems in Sidari and central Anatolia as well as by the vegetation cover on the Aegean and SE  
620 Balkans areas.

621 The 8.2 ka Hudson event is recorded, at all the sites presented here. In the area, it occurs during a long  
622 cool interval beginning ca 8.6 ka (Rohling, Pälike 2005). Like the Northern Aegean and Ionian  
623 terrestrial archives discussed by Weninger et al. (2014) and Flohr et al. (2015), we discuss below the  
624 bi-partition of the event in an earlier phase (a cold phase from 8.5-8.4 to 8.2 ka amplified during a later  
625 phase (a RCC 8.2-8.05 ka) by the Hudson Bay outburst, followed by a third sub-phase between 8.05-  
626 7.9 ka in the northern Greek and central Anatolian archives that we call C (fig12a).

627

#### 628 4.1. Sidari/Dikili Tash and the EH northern Greece/southern Balkan regional pattern

629

630 The increase of erosion and fluvial activity observed on both archaeological sites around 8.2 ka has  
631 also been observed elsewhere in northern Greece as in the Lake Prespa (Panagiotopoulos et al., 2013)  
632 and Lake Doirani (Zhang et al., 2014) (fig02, 12a) areas. It confirms the trends of increase of soil  
633 erosion and sediment transfer to the wetland around 40-41° N during the 8.2 ka event. At the regional  
634 scale, these continental results seem consistent with the Adriatic climate data from NW Greece to the  
635 Po Valley in northern Italy. The confrontation with the nearest multi-proxy marine records (MD 90-  
636 917 in the central Adriatic sea) and northern Aegean Sea consolidates the regional climate-  
637 environmental mechanisms previously described (Rohling et al., 2002 ; Khotthoff et al. 2008,  
638 Combourieu-Nebout et al., 2013 ; Berger et al., 2014) (fig02,12a). The pollen of deciduous oak forests  
639 (reflecting tree cover peri-Adriatic mountain) sharply decrease to each hydromorphological failover  
640 observed in Sidari, in synchrony with the RCC, around 10.1, 9.2 et 8.3 ka (Combourieu-Nebout et al.  
641 2013). This functioning coincides with the dominance of coniferous forest (mainly firs) at high  
642 altitudes at ca. 8.5-7.8 ka (Lakes Ribno and Trilistnika, southwestern Bulgaria) (Tonkov et al. 2013)  
643 and with the replacement of *Quercus* dominated forests with mixed deciduous forests at around 8.3 ka.  
644 These regional evolutions underline the role of climate change and cooling more than the  
645 consequences of the onset of agropastoral activities during this period.

646 Nevertheless, the observations made on the edge of the Tenaghi-Philippon marsh evoke questions. In  
647 fact, from 8.4 to 8.1 ka, a general cooling has been recorded by recent Holocene palaeoclimatic studies  
648 in the Tenaghi-Philippon marsh (Pross et al., 2009) and northern marine Aegean region (Kotthoff et  
649 al., 2008) with an interruption in Sapropele 1 formation (fig02). They propose a scenario of  
650 deteriorated winter climate conditions with temperatures lowered by more than 4°C in winter, less  
651 than 2°C in summer (Pross et al., 2009). Sea surface temperature from the core MD 90-917 in the  
652 central Adriatic Sea (fig02) also indicates a decrease of at least 2° C between 8.3-8.1 ka (Combourieu-  
653 Nebout et al. 2013). Davies et al. (2003) identified a strong decrease of summer temperatures at the  
654 same time at the scale of Southern Europe (8.3-7.8 ka). This is explained by an increase of outbreaks



655 of cold and dry air from higher latitude (Siberian high) (Rohling et al. 2002; Marrino et al., 2009). The  
656 climate was drier and characterised by a decrease of annual rainfall by 800 to 600mm due mainly to a  
657 decrease of winter precipitation. To explain the apparent contradiction between the local pollen and  
658 geomorphological data and the regional climate reconstruction from pollen data, we suggest that the  
659 cooling was favourable to the development of snow cover and associated spring-flood flows and to  
660 reduction of evapotranspiration (Lespez et al., 2013) or Tenaghi Philipon sampling is not precise  
661 enough to describe the internal structure and moister episodes of the 8.2 ka event. Moreover, it appears  
662 that the summer rains increased during this period (Peyron et al., 2011) limiting summer  
663 evapotranspiration and probably the decrease of the water table as observed for Late Quaternary cold  
664 periods in Anatolia for example (Jones et al., 2007). Thus local water balance can be different of the  
665 regional trend which is, moreover, not indicative of the flood flows energy and frequency. It appears  
666 that cold air SH extension mixed with the warmer air over the Mediterranean, may have created a  
667 surplus of potential energy resulting in regional cyclogenesis (Makorgiannis et al., 1981) from spring  
668 to fall triggering significant flood flows in the studied areas. Increase of climatic instability and  
669 summer rains may explain the hydrogeomorphological signals of Sidari 1 and 2 valleys. The repeated  
670 succession of gullies and torrential discharges between 8.4 and 7.9 ka (fig11acd, 12a) could be  
671 associated with concentrated summer rains and increase of climatic instability.

672

#### 673 4.2. The potential impact of the 8.2 event on Societies

674

##### 675 4.2.1. An impact primarily focused on readability of archaeological archives

676

677 Firstly, our data show that the 8.2 ka event played a significant role in the archaeological records.  
678 Indeed truncature and hiatuses correspond to erosional events or riverscape changes more than  
679 abandonment of inhabited areas. It explains, for example, the archeological continuity which led the  
680 first archaeologists of the site to suggest the hypothesis of a “Sidarian” Neolithic inherited from an  
681 existing local Mesolithic. Alluvial truncations moved sedimentary horizons of these 2 cultural periods  
682 (by sediment ablation) and may even have associated them within alluvial formations where we found  
683 reworked Mesolithic and early Neolithic material and charcoal (Berger et al. 2014). New data and  
684 reinterpretation of old archaeological data illustrate a strong erosion phase at the Mesolithic-Early  
685 Neolithic transition in the Central Mediterranean area (Mlekuz et al. 2008, Berger et Guilaine 2009,  
686 Berger et al. 2014). A similar process is observed in the Eastern Mediterranean area in the Khirokitia  
687 sites (Cyprus) where at least 2 episodes of fluvial discharges, flash flood types, strongly impact the  
688 Neolithic village (Hourani this paper). The same dynamic is observed in Ain Ghazal, Wadi Shu'eib  
689 and Abu Thawwab in the Levant where densely-packed layers of cobble deposits are observed  
690 between late PPNB and PN archaeological horizons (Simmons and Mandel 1988), with a permanent  
691 uncertainty about the absolute chronology of these events after the remobilisation of <sup>14</sup>C dated old  
692 bones (Zielhoffer et al. 2012). Even in protected contexts such as Western Albanian mountains caves  
693 in front of Corfu Island, geoarchaeological research identified a long slope instability period  
694 responsible for a partial erosion of the archaeological deposits (8.2 event effects?) (Schuldenrein 1998)  
695 (fig10a, 13) synchronous of Sidari valleys geomorphic changes. In some floodplains, even if the  
696 fluvial activity did not imply high energy event, as in Dikili Tash, the increase of water level may  
697 change the location of the inhabited areas. There, the vegetation cover and hydrosedimentary changes  
698 were the result of change in climatic conditions and the development of anthropisation. The marshy  
699 and fluvial sedimentation interrupts the archaeological sedimentation on C3 and C2 and reaches 53-  
700 54m above sea level. However C10 and C1 located slightly higher on the former alluvial fan, 54m  
701 above sea level, show the continuation of the settlement during the 8.15-7.8 ka period (fig9, 13). So, it  
702 is noticeable that the climatic change and its geomorphological consequences do not infer a notable  
703 hiatus in human occupation, but probably merely a local displacement and relocation of the settlement  
704 on the tell (Lespez et al., 2013, in press). At the same time deep explorations of Macedonian  
705 floodplains attest to the presence of Neolithic levels under several metres of alluvial sediments  
706 (Lespez et al. 2014), that raise questions about the extent of the still-hidden archaeological reserve.  
707 Obviously the few examples discussed clearly illustrate that 8.2 event geomorphological evolution  
708 plays a major role in the distortion of the first Neolithic signal, in the NE to Central Mediterranean  
709 zone where Neolithisation occurs and advances just before the 8.2. event. The strong rainfall



710 irregularity that seems to characterise the period around the 8.2 event, could be the cause of these  
711 repeated impacts on Neolithic river sites (fig13). The greatest contribution of the summer rains  
712 (Peyron et al. 2013) may be an explanation for the observed hydrogeomorphological functioning  
713 between Cyprus and the Balkans and the difficulty to link environmental changes and settlement  
714 history as in the 3 sites evoked.

715

#### 716 4.2.2. 8.2 Event and “Neolithic go to West” onset?

717

718 The question that now arises is, in the case of western Anatolia, why and how the diffusion of  
719 Neolithic practices occurred from the central plateaus towards the Aegean region, and at what such a  
720 speed. The Early Neolithic is rooted in local PPN cultures at Catalhöyük-East ca 9.4/9.3 ka cal BP, in  
721 the Lake District ca 9.2/9.1 ka cal BP, and possibly in the Aegean region (Ulucak) around 9.1 ka cal  
722 BP (fig14). In these specifically local contexts, pottery appears about the same timing in all excavated  
723 sites between 9.0 and 8.8 ka cal BP. Would the answer to the “why” be related to the appearance of  
724 pottery, which may have increased the capacity of humans and animals to travel and start to develop  
725 contacts on the regional scales?

726 From 8.6 to 8.0 ka, the cultures of Yarmoukian (Southern Levant), Khirokitian (Cyprus),  
727 Monochrome (Western Anatolia, Aegean world) (fig02) are directly confronted by the climate change.  
728 There is also manifold evidence for population movements in coastal and low-lying locations in the  
729 Northern and Southern Levant, and finally with the abrupt appearance of Neolithic communities in the  
730 Aegean/Ionian zone, where Dikili Tash and Sidari are located (Weninger et al. 2014). Weninger et al.  
731 (2006, 2014) suggest that climate-induced crises may have forced early farming communities to  
732 fission and move in order to escape new conditions and possible related conflicts (scalar stress). In the  
733 first phase of the 8.2 RCC (8.6-8.3 ka : phase A), there is evidence of a push/pull to coastal and lower-  
734 lying locations in the Southern Levant and Anatolia after Clare (2013), but this trend hypothesis seems  
735 questionable from Flohr et al. (2015) and from the anatolian data discussed in this paper. As coastal  
736 and lower-lying areas would have been less affected by typical RCC-impacts (drought and severe  
737 winters) (Weninger et al. 2014), the related abandonment of sites in Jordan, in the northern Levant,  
738 Eastern Anatolia and Cyprus is referred to as ‘Late Yarmoukian Crisis’. This cultural event coincides  
739 for the authors with a further wave of Neolithic expansion into Southeast Europe in the second phase  
740 of RCC (8.3-8.0 ka : phase B). But in the light of 3 new radiocarbon data series (with charcoals and  
741 shortlived species) on the early Neolithic from northern Greece and of new clear geoaerchaeological  
742 contexts, we propose a different temporal timing for Northern Greece colonisation than Weninger et  
743 al. (2014) by demonstrating the anteriority of Neolithic migration from western Anatolia (Dikili Tash,  
744 Sidari, Mavropigi-Filotsairi and Nea Nikomedia) to the second phase (B) of 8.2 ka events, sometimes  
745 far to the West. This assertion is also based on local chronostratigraphic and geomorphic contextes in  
746 Sidari and Dikili Tash, which illustrate the posteriority of hydrogeomorphological and erosion  
747 signatures to Neolithic implantations (fig12a, 13). The chronology of this northern Greece Neolithic  
748 package implantation would no longer be synchronous with the strictly speaking 8.2 event (glacial  
749 outburst derived effects), whose minimum time is estimated between 8.2 and 8.05 ka in the more  
750 precise glacial and speleothem proxy data (fig01) but could be in adequation with the more general  
751 aridification/cooling from 8.6/8.5 to 8.0 (Rohling and Pälike 2005, Göktürk et al. 2011). The earliest  
752 spread of Neolithic packages to Western and Northwestern Anatolia occurred almost a thousand years  
753 before the 8.2 ka event as illustrated by recently-published robust chronological studies (Özdoğan et  
754 al., 2012a, 2012b; Düring, 2013; Clare 2013, Brami, 2014, Kuzucuoglu, 2014; Stiner et al., 2014;  
755 Weninger et al. 2014, Flohr et al. 2015) (fig12a, fig.14). The question that now arises is whether the  
756 diffusion of Neolithic practices which began in the Central Anatolian highlands around 8.7 ka did not  
757 include at the same time and in a same cultural stream the northern Aegean area to the southern  
758 Balkan borders (Thracia, Macedonia, Thessalia), but by taking the recent pattern of Weninger et al.  
759 (2014) from the middle of phase A (fig01, 12b) and not during phase B, in a rapid colonisation  
760 movement that fits in continuity from the highlands of central Anatolia (median speed of Neolithic  
761 wave of advance from 4 to 6 km/yr). We have not to forget in the general Neolithic mobility trend  
762 from Anatolia that Franchthi cave (Argolid) was occupied by farmers around 8.6 ka (new dates on  
763 seeds) (Perlès et al. 2013), not much later than the earliest occupation of Knossos in Crete (Efstratiou  
764 et al. 2004). These data out of doubt support a southern route and a model of multiple origins for the



765 introduction of the Neolithic in Europe. To temporally have hemispheric aridification identified in the  
766 various marine and terrestrial climate-environmental proxies coincide with the Neolithic population  
767 movement from Central Anatolia, should be according to the latest CPDF treatments proposed by  
768 Flohr et al. (2015) that aridification begins at least at 8.7 ka (by reasoning with either the total  
769 radiocarbon or “shortlived” dates available for western Anatolia. However, the overview of the current  
770 multi-Proxies data identifies a real general trend from 8.6-8.5 ka (fig12b) and real continental  
771 hydrogeomorphological evolutions seem to occur only from 8.4 ka (fig13). Can this lag be attributed  
772 to the age models used in the environmental series? The reservoir effects cannot be challenged here  
773 since western Anatolia chronocultural series are based on a robust set of shortlived dates. Furthermore,  
774 the results obtained in central Anatolia underline the contrasted response, in time and in space, of the  
775 local environment to RCC (fig14). Alluvial fans of the Taurus piemonts stops to aggrade from 8.5 ka  
776 to 8.0 and paleosols are recorded between 8.2/8.1 and 8.0/7.9 ka, illustrating a dryer period which  
777 seems to have begun earlier in other Central Anatolian highlands (Bor Plain, Tuz Gölü, Akgöl marsh)  
778 around 8.9 ka and, in the Bor plain, a humid period is recorded from 8.5 to 8.1 ka, before a fast, sharp  
779 drop in the aquifer. The hypothesis of a trigger foremost cultural shall also be considered ; the ball is  
780 now in the culturalists camp.

781 The second “European” step took Neolithic lifestyles away from the Aegean coastline all the way to  
782 continental Bulgaria and Serbia by the main river axis (Struma, Vardar, Maritsa) and could be  
783 associated to the Dfuljunica (Raiko Krauß et al. 2014), Anzabegovo (Gimbutas 1976) and Kovacevo  
784 (Lichardus-Itten in press) pre-Karanovo sites just after the Hudson Bay event (around 8.1 ka), i.e.  
785 almost 200/250 years after the first European Neolithic wave. We must now integrate into the coming  
786 socioenvironmental discussions on the steps of the Neolithic diffusion through the Balkans and the  
787 Adriatic a last shudder of 8.2 event between 8.05 and 7.9 ka (fig01-green, i.e Lake Maliq, Qunf cave,  
788 Sofular, Steregiou, marine core SL 21, Sidari). Episode clearly in step with a peak of [K +] on GISP2  
789 and a small bond event. We enter here in a temporality of 8.2 event that was little discussed, that of a  
790 possible tripartition of the event we are trying to argue based on Sidari (Berger et al. in progress) and  
791 Dikili Tash records. A two-stage cooling around the time of the 8.2 ka event has been identified in  
792 speleothems of Ireland (Baldini et al., 2002), in pollen diagrams from Central Europe (Lotter and  
793 Tinner, 2001), lacustrine records in Norway (Nesje and Dahl, 2001), and a two-step release of Lake  
794 Agassiz waters has been modelled by Clarke et al. (2004). The marine data of LC 21, SL21E and  
795 MD952043 also show two colder peaks separated by a temperate rise, while Dongge Cave  $\delta^{18}\text{O}$   
796 (Wang et al., 2005) and Qunf cave isotopic data illustrate two hyper-arid episodes separated by a  
797 wetter episode. We find here the most complex structure of the 8.2 event discussed by Thomas et al.  
798 (2007) based on isotopic data of GISP2 et GRIP. We must now integrate this new climatic and  
799 environmental temporality to the classical Neolithic wave of advance hypothesis, if they are linked.  
800 The challenge is open.

801

#### 802 4.2.3. Climatic event and social impact

803

804 More fundamentally, the impacts of climatic changes or natural extreme events have to be evaluated in  
805 terms of biophysical and social vulnerabilities. Burton et al. (1993, p35) refer to the seven dimensions  
806 of hazardous events: magnitude, frequency, duration, speed of onset, geographical extent, spatial  
807 dispersion, and temporal spacing. However, as underlined by Clare and Weninger (2008), impacts  
808 upon the resources of a society are primordial (availability of natural resources), and responses in  
809 terms of resources addressed (variety), of land use (management), technology (tool production,  
810 equipment progress, variety), housing quality and residence location adaptability have to be  
811 considered. Social vulnerability studies must consider the societal perception of the causes of  
812 environmental change (Blaikie et al. 1994) and the efficiency of social communication processing  
813 (Van der Leeuw et al. 2009). There is also a need for more site-specific detailed studies focusing on  
814 ecological bases and strategies (Flohr et al. 2015). Only such new trajectories, closely interlinked with  
815 the intra-archaeological sites multidisciplinary analyses will optimise our perception of forms of  
816 socioenvironmental resilience. Concretely, for the period and the studied areas, the abrupt global cold  
817 events might have affected the vegetative season time, growth of wild plants and predictability of food  
818 resources. Loss of soil cover potential (by erosion), dryness or wetness effects on soil productivity  
819 could be directly or indirectly documented by quantitative climate reconstructions from pollen



820 diagrams (Peyron et al. 2011) to discussion of the agrarian constraints during RCC events. Recent fire  
 821 signal studies in eastern Mediterranean (Van Lake (Wick et al. 2003), Dikili Tash, this study, Sidari in  
 822 progress) document dryness, available fuel and variations in vegetation cover and have to be  
 823 systematised in future research to better discuss their link with climate changes and human impact on  
 824 vegetation. Nevertheless, we must keep in mind that the geographical setting of the eastern  
 825 Mediterranean results in physically very contrasting environments in which it is often sufficient to  
 826 move over very short distances to find different environmental conditions (Willcox, 2005; Lespez et  
 827 al., accepted). In fact, a dry period could imply a move closer to water resources or, on the contrary, as  
 828 observed in Dikili Tash, a rise of water table and flood hazards might imply leaving the floodplain to  
 829 settle higher on the alluvial fans or lower slopes in the surrounding areas. The uneven exploratory and  
 830 excavation practices on sites and around sites are to question: the lack of extensive archaeological  
 831 excavations on most reference Neolithic sites (and our uncomplete knowledge of the other ones too,  
 832 an information crudely lacking when discussing occupation dates and periods) strongly hampers  
 833 interpretations on the continuity of Neolithic occupations and therefore and does not always decide on  
 834 climate impacts on societies. Furthermore, Neolithic communities rely on diverse subsistence  
 835 strategies including wild resources (Asouti and Fuller, 2013) even during more recent periods  
 836 (Valamoti, 2015). Finally, the resilience of the early farming societies should not be underestimated  
 837 (Flohr et al., 2015).

### 838 **Conclusion**

839

840 This study demonstrates the reality of hydrogeomorphological responses to early Holocene RCCs  
 841 derived from glacial outburst in valleys and alluvial fans and lake-marsh systems. It highlights the  
 842 importance of Holocene sedimentation and post-depositional disturbances on reading the Mesolithic-  
 843 Early Neolithic transition and attestation of the first true levels of Neolithic occupation in South East  
 844 Europe. Terrestrial records still reflect heterogeneities in paleoclimatic restitution across the north-  
 845 eastern Mediterranean during RCC events (from central Anatolia to southern Balkans). This signal  
 846 heterogeneity shall now be discussed in terms of quality of exploited archives, of sampling/measuring  
 847 time resolution and of regional climatic pattern variations. The widespread use of Core scanner  
 848 geochemical analysis will promote the identification of the finest Holocene variations. The issues are  
 849 important to better assess climate impact on the functioning of coastal and continental environments,  
 850 in major societal disruptions such as the Neolithisation of the Mediterranean. Research on the effects  
 851 and impacts of 10.2 and 9.2 RCCs are still in their infancy. They are potentially present in continental  
 852 sedimentary archives and shall be better understood in a socio-environmental perspective. Our  
 853 hypothesis of an early Neolithic colonisation of the North Aegean (around 8.4 ka), prior to the  
 854 assertion of the second and more marked part of the 8.2 RCC event should be supported by new data  
 855 in the coming years thanks to the increasing number of deep trenches and core drilling in regional  
 856 river and marshy areas, including the immediate vicinity of the main Neolithic tells whose first  
 857 sedimentary archives are still often unknown. The simultaneous achievement of pollen studies with  
 858 very high time resolution will complete the approach to attest to early agricultural practices. These  
 859 data must be compared to precise archaeological data in order to assess the impact of the climatic  
 860 changes on the environment and the farming societies at the local scale. Rather than collecting  
 861 radiocarbon dates in order to propose modelisation of Neolithic expansion, we need to have more case  
 862 studies at the regional and the Eastern Mediterranean scale if we want to discuss reasonably the role of  
 863 climatic changes in cultural transformation.

864

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874

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#### 1245 **Figures**

1246 Fig.1. Northern Hemisphere Palaeoclimate/pedosedimentary records illustrating Holocene Rapid  
1247 Climate Changes (RCCs); 1. Greenland GRIP ice-core  $\delta^{18}O$  (Grootes et al. 1993 ); 2. High-  
1248 Resolution GISP2 nss [K+] as proxy for the Siberian High (Mayewski et al. 1997), 3. Ice rafted debris  
1249 in Northern Atlantic (Bond et al. 2001), 4. Eastern Aegean SL21 (Sea Surface Temperature, SST)  
1250 fauna (Marino et al. 2009 ), 5. MD952043 SST, 6. (C) Eastern Mediterranean core LC21 (Sea Surface  
1251 Temperature, SST) fauna (Rohling et al. 2002 ); 7. Steregiou (Romania) Pollen-based temperature of  
1252 peat pollen (Feurdean et al. 2008), 8. Sufular Cave  $\delta^{13}C$  (Northern Turkey, Fleitmann et al. 2009 ), 9.  
1253 Lake Maliq Pollen-based temperature of the coldest month (Bordon et al. 2009), 10. Qunf cave-Q5,  
1254  $^{18}O$  (‰ VPDB) (Fleitmann et al. 2003), 11. Sidari valleys 1 and 2 (Corfu island) Gully erosion/fluvio-  
1255 colluvial aggradation (CPDF this study), 12. Sidari valleys 1 and 2 Soil formation phases (Corfu  
1256 island) (this study), 13. Tenaghi-Philippon N-Greece Tree-Pollen (%)(Pross et al. 2009). Yellow  
1257 vertical bars underline the 9.3 and 8.2 ka events phases. The yellow, orange and green bars (associated  
1258 to A, B, C letters) represent a possible tripartite temporal structure of the 8.2 ka event (discussed in the  
1259 text).

1260 Fig.2. Map of main sites cited in the text. 1.Lake Accessa, 2.CM-92-43, 3.MD90-917, 4.MD 04-2797,  
1261 5.Djuljunica, 6.Anzabegovo, 7.Lake Trilistnika, 8.Lake Ribno, 9.Kovacevo, 10.Lake Dojran, 11.Dikili  
1262 Tash, 12. Tenaghi-Philippon marsh, 13.Nea Nikomedia, 14.Mavropigi-Filotsairi, 15.Paliambela,  
1263 16.Lake Prespa, 17. Lake Maliq, 18.Sidari 1/2, 19.Konispol cave, 20.SL-152, 21.KL-71, 22. Ulucak,  
1264 23. Sofular cave, 24. NS-14, 25.LC-21, 26.Hacilar, 27.Lake Golishar, 28.Çatalhöyük, 29.Can Hasan,  
1265 30.Musular, 31.Aşıklı, 32.Khirokitia-Maroni River, 33.Vasilikos Valley, 34.Shillourokambos, 35.Tell  
1266 Sabi Abyad , 36.Soreq cave, 37.Wadi Shu'eib , 38.Ain Ghazal, 39.Dead sea., 40. Franchthi cave, 41.  
1267 Knossos. Main Neolithic cultures of the 9th millenium cal. BP are in blue.

1268 Fig. 3. The main large plains of endorheic central Anatolia and location of sites cited in the text and in  
1269 fig.7. Main cities: K: Konya; E: Ereğli; B: Bor; A: Aksaray. Palaeoenvironmental sites: 1: Yarma  
1270 (Kuzucuoğlu et al., 1999); 2: Çarsamba fan (Boyer et al., 2006); 3: Sultaniye (Kuzucuoğlu et al.,  
1271 1997); 4: Karapınar sand dunes (Kuzucuoğlu et al., 1998); 5: Düden (Fontugne et al., 1999;  
1272 Kuzucuoğlu et al., 1999); 6: Adabağ (Bottema and Woldring, 1984); 7: Zengen; 8: Bayat; 9: Kayı  
1273 (KKK); 10: Pınarbaşı; 11: Bahçeli; 12: Sazlıca; 13: Melendiz-Çiftlik (Kuzucuoğlu et al., 1993); 14:  
1274 Alluvial fans (Naruse et al., 1997; Kashima et al., 2002). Sources for 7 to 12: Kuzucuoğlu et al., in  
1275 prep. Excavated Neolithic sites cited in text: a: Boncuklu; b: Aşıklı; c: Can Hasan III; d: Çatalhöyük  
1276 East; e: Tepecik-Çiftlik; f: Pınarbaşı-Karadağ; g: Pınarbaşı-Bor; h: Köşk Höyük; i: Çatalhöyük West.

1277 Fig.4. Dated palaeoenvironmental records in the three main endorheic plains of central Anatolia: a  
1278 synthesis between 12.5 to 6.0 ka cal BP. Environmental records in sediment archives: 1. Deep lake; 2.  
1279 Backswamps; 3. Vegetated shallow marshes; 4. Palaeosol; 5. Alluvial fan (coarse sediment). Humidity  
1280 intensity (synthesis): 6. Dry to very dry; 7. Emersion of watered ecosystems and soil formation; 8.



1281 Semi-arid and/or contrasted seasonal climate (high seasonal run-off); 9. Humid (marshes); 10. Very  
 1282 humid (lakes, backswamps).

1283 Fig. 5. A/General location of the Pre-Pottery Neolithic site of Khiroktia and of the Vasilikos Valley,  
 1284 mentioned in the text ; B/Topographical map of Khiroktia illustrating the position of the site  
 1285 comparing to the River Maroni and the location of the different studied areas.

1286 Fig.6. A/Synthetic cross section of the Maroni Valley at the foot of the eastern slope of the site  
 1287 showing the depositional environments of the river and the situation of the studied archaeological  
 1288 sequence. The location of the section is shown in figure 5b ; B/North-South section through the  
 1289 occupation levels at the river border (operation 2) with the stratigraphic position of the major erosional  
 1290 event 2.

1291 Fig.7. The Tenaghi-Philippon (former) marsh, Dikili Tash archaeological sites and sample cores  
 1292 obtained from the marsh deposits mentioned in the references. Image from Google Earth (40°58'0N,  
 1293 24°15'0E).

1294 Fig.8. Diagram from the Dik4 core. LOI and Carbonate content of the sediment expressed in % of the  
 1295 total sediment. Charcoal influx expressed  $\text{cm}^2.\text{yr}^{-1}$ . Selected pollen and NPP groups expressed in %  
 1296 (see Glais et al. 2016) : 1) xerothermophilous taxa (*Ephedra fragilis* type, *Erica arborea* type); 2)  
 1297 ruderal taxa (*Asphodelus albus* type, *Asphodelus fistulosus* type, *Boraginaceae*, *Cannabis/humulus*  
 1298 type, *Cardueae*, *Centaurea nigra* type, *Fumaria officinalis*, *Malva sylvestris* type, *Rubiaceae*, *Rumex*  
 1299 *acetosa* type); 3) anthropozoogenous taxa (*Plantago lanceolata* type, *Plantago coronopus* type,  
 1300 *Polygonum aviculare* type, *Urtica dioica* type, *Vicia* type); perennial pasture plants (*Apiaceae*,  
 1301 *Brassicaceae*, *Caryophyllaceae*, *Fabaceae* undiff., *Gentianella campestris* type, *Helleborus foetidus*  
 1302 type, *Jasione* type, *Primulaceae*); coprophilous, NPPs (*Cercophora* sp. Type 112, *Podospora* sp type  
 1303 368, *Sordaria* sp. Type 55A, *Sporormiella* sp. type 113, *Coniochaeta cf. lignaria* type, *Ustilina deusta*  
 1304 Type 44); eu-mesotrophic NPPs (*Ceratophyllum* sp. Type 137, *Botryococcus* Type, *Gloetrichia* type  
 1305 146, *Spirogyra* Type, *Neorhabdocoela* undiff., Type 128A, Type 18 Type 151, *Zygnema* Type); meso-  
 1306 oligotrophic NPPs (*Anabaena* sp. Type 601, *Rivularia* Type 170); NPPs indicative of erosive  
 1307 processes (*Glomus cf. fasciculatum* type 207 and *Pseudoschizaea circula* type); NPPs indicative of fire  
 1308 events or dry conditions (*Chaetonium* sp Type 7A, *Neurospora* sp. Type 55c, *Pleospora* sp. Type 3B,  
 1309 Type 200).

1310 Fig.9. Map of the core drillings around Dikili Tash site and interpretation of the settlement dynamics  
 1311 during the early stages of the Neolithic.

1312 Fig.10. A/Map of the Corfu island with location of the site of Sidari on the northern coast, B/ Location  
 1313 of the Sidari 1 and 2 trenches in 2 small marlous valleys, tributaries of the Peroulades river, C/ Pedo-  
 1314 and chronostratigraphical contextes of the Sidari 1 sequence with the main Neolithic levels (after  
 1315 Berger et al. 2014), D/ Pedo- and chronostratigraphical contextes of the Sidari 2 sequence with the  
 1316 main Holocene lithostratigraphic disconnexions.

1317 Fig.11. A/ Mid-lower pedosedimentary sequence of Sidari 2 with early holocene paleosols (P1-P4),  
 1318 aggradation and gully phases (IIa-VIc). Yellow stars : AMS radiocarbone dates, B/ stairway Age depth  
 1319 model with three phases of high acceleration of sedimentation rate (phase II : 10.4-9.9 ka, phase IV :  
 1320 9.5-8.9 ka, phase VI : 8.4-8.1 ka), C/Field photo of gravel and sand filling of the 9.3 event gullying, D/  
 1321 Field photo of the 9.3 event gully filling with numerous rounded clay aggregates eroded in the upper  
 1322 catchment, E/ Field photo of the upper part of the 8.2 ka event filling with a regular alternation  
 1323 between silty and sandy beds, F/CPDF of Sidari 1 and 2 sites (33 AMS dates). Paleosols are located



1324 between main active peaks. Archaeological layers are represented as temporal coloured segments to  
1325 distinguish their cultural attribution.

1326 Fig.12. A/ Comparison of regional hydroclimatic pattern for Anatolia and Northern Aegean areas with  
1327 micro-regional and main sites cumulative probability density : 1.Endorheic plains of central Anatolia  
1328 (Kuzucuoglu, this paper), 2. Gully erosion/fluvio-colluvial aggradation in Sidari 1/2 (Berger this  
1329 paper), 3. Soil formation in Sidari 1/2 (Berger this paper), 4.Lake Maliq Pollen-based temperature of  
1330 the coldest month (Albania, Bordon et al. 2009), 5. Oncoliths deposits in Dikili Tash swamp  
1331 (Macedonia, Lespez et al. this paper), 6. Detritism in Lake Dojran (Macedonia) (Zhang et al. 2014), 7.  
1332 Tenaghi-Philippon Tree-Pollen (%) (Macedonia, Pross et al. 2011), 8.Central Anatolia Late Neolithic  
1333 sites (Shortlived dates, n=123), 9. N.W. Turkey (shortlived dates, n=83), 10. Nea Nikomedia  
1334 (Macedonia)(12 shortlived dates) Pyke and Yiouni 1996, 11. Sidari (Corfu island) (12 charcoal, 3  
1335 shortlived dates) Berger et al. 2014 and in progress (RM: red monochrome ware, IP : Impressa ware),  
1336 12. Dikili Tash (11 charcoal dates) (Macedonia, Lespez et al. 2013). B/ Comparison of time dynamic  
1337 of Neolitisation from Central Anatolia to Corfu island. 1. Central Anatolia, All n=285, Shortlived  
1338 n=123 (after Flohr et al. 2015), 2. Western Anatolia All n = 64, Shortlived n=31 (after Flohr et al.  
1339 2015), 3. NW Turkey, all n =136, shortlived n=83 (after Flohr et al. 2015), 4.Strong decline of site  
1340 occupation in Tell Sabi Abyad (North Syria) (from Weninger et al. 2014), 5. Paliambala (5 dates, after  
1341 Karamitrou-Mentessidi et al. 2013), 6. Nea Nikomedia, Thessalia (16 dates, Weninger et al.2006)(12  
1342 dates, “shortlived”, Pyke and Yiouni 1996), 7. Mavropigi-Filotsairi, Macedonia (12 dates, after  
1343 Karamitrou-Mentessidi et al. 2013), 8. Sidari, Corfu island (15 dates) Berger et al. 2014 and in  
1344 progress (RM: red monochrome, IP : Impressa ware), 9. Dikili Tash, Macedonia (11 dates) (Lespez et  
1345 al. 2013), 10. Achilleion, Thessalia (44 dates) (B. Weninger et al. 2006).

1346 fig. 13. Morpho- and pedosedimentary contextes of 4 Central to Eastern Mediterranean Early  
1347 Neolithic sites (Konispol cave, Sidari, Dikili Tash and Khirokitia) illustrating the 8.2 ka event effects  
1348 on the archaeological occupations. Geomorphological change applies on pure anthropogenic horizons  
1349 or paleosols, revealing an abrupt change of the local pedosedimentary functioning. 1. Gravels layer, 2.  
1350 sandy layer, 3. silty layer, 4. ashy layer, 5.oncolithic sands, 6.paleosols, 7. In-situ Neolithic layers, 8.  
1351 Slightly reworked Neolithic layer, 9.strongly reworked Neolithic layer, 10. Red silty clay colluvial  
1352 deposit (from Terra Rossa), 11. flints/ceramics, 12. Earth. Radiocarbne dates are in ka cal. BP.

1353 fig. 14. Neolithic dynamic and Early Holocene RCC in Anatolia. Note: Sites are selected on the basis  
1354 of being the oldest ones excavated in their region (ie, sites founded after 8.0 ka cal BP are not shown).  
1355 Sources: Fontugne et al. (1999), Kuzucuoglu et al. (1997, 1998, 1999), Düring (2002, 2011), Boyer et  
1356 al., 2006, Gürel & Lermi (2010), Özbaşaran (2011), Baird (2012), several articles in Özdoğan et al.  
1357 (2012a, 2012b), Kuzucuoglu (2013, 2014), Stiner et al. (2014).

1358

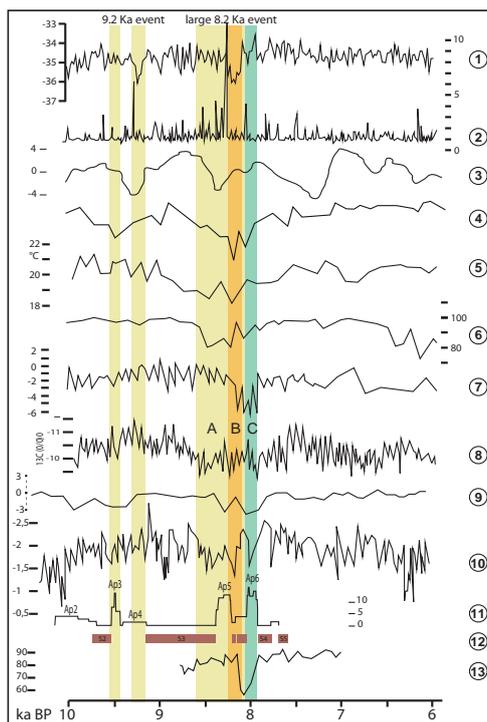


fig1

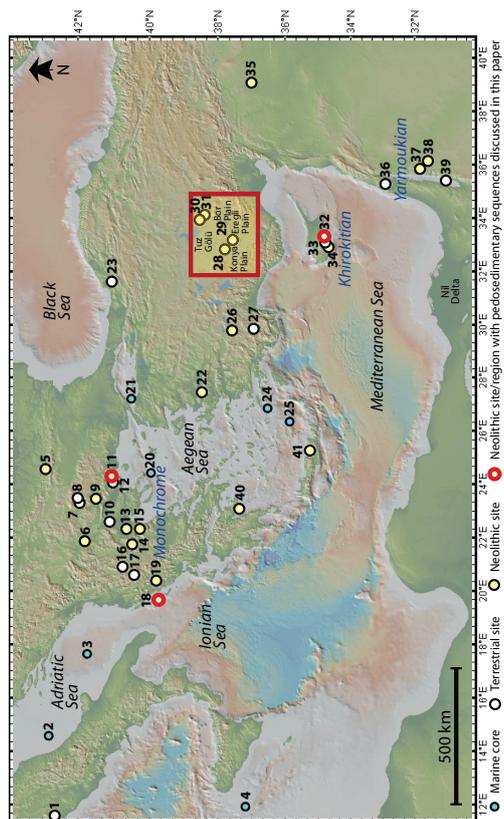
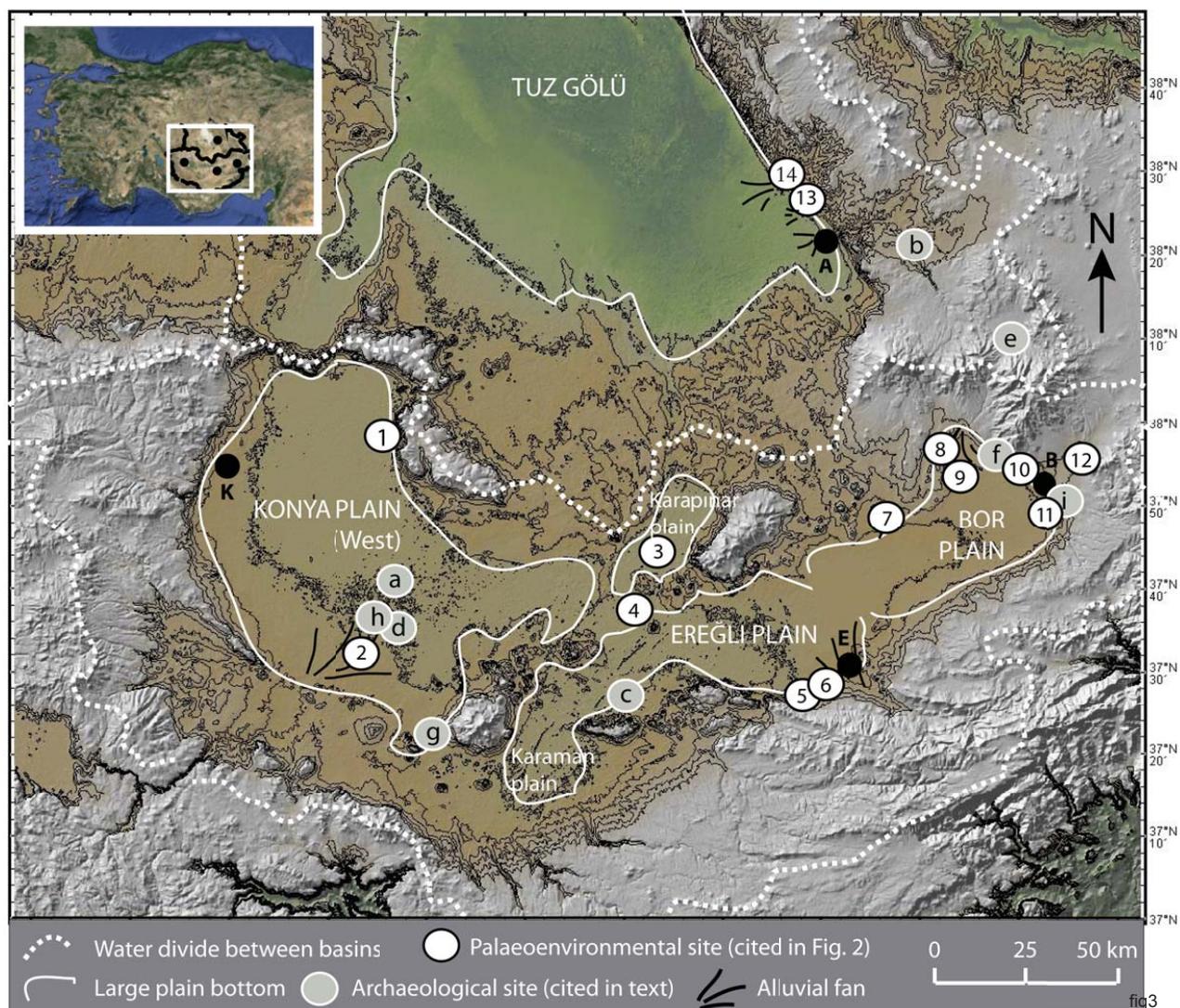
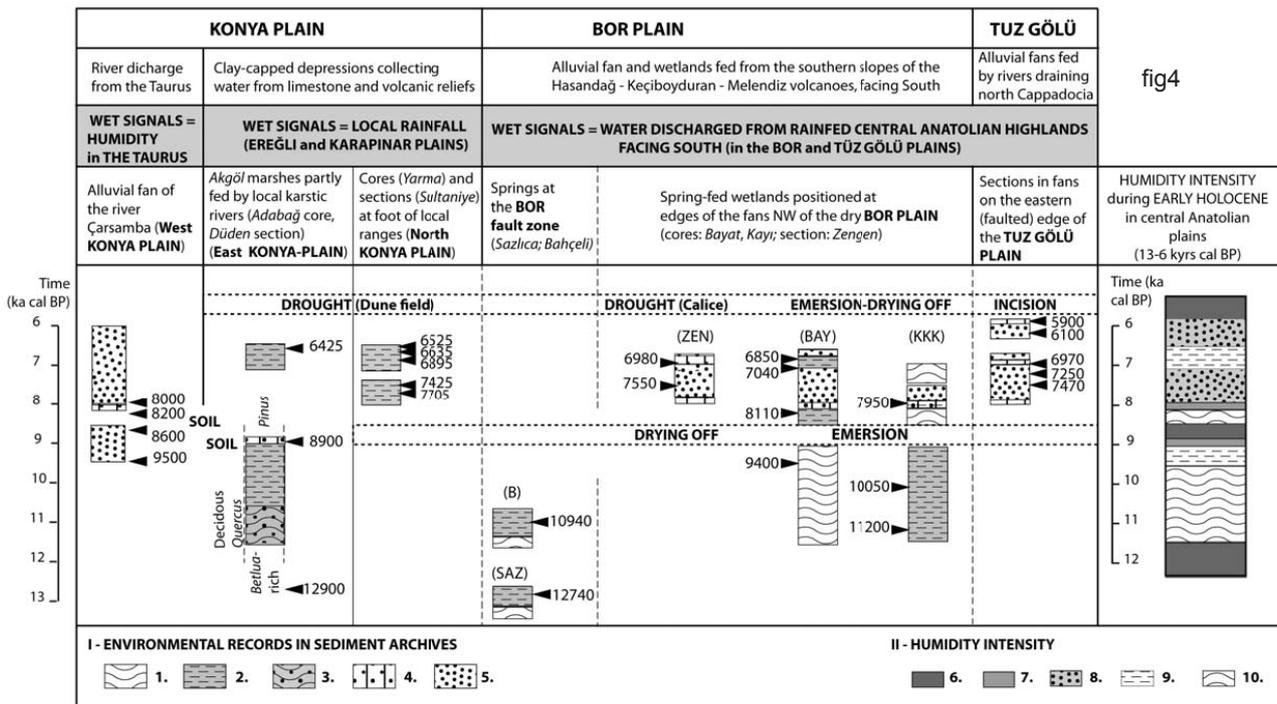


fig2





KUZUCUOĞLU, 2015

Sources are for (a) Konya and Ereğli (Bottema & Wo'dring, 1984; Kuzucuoğlu et al., 1997, 1998, 1999, in prep.; Fontugne et al., 1999; Boyer et al., 2006); (b) Tuz Gölü (Naruse et al., 1997; Kashima, 2002)  
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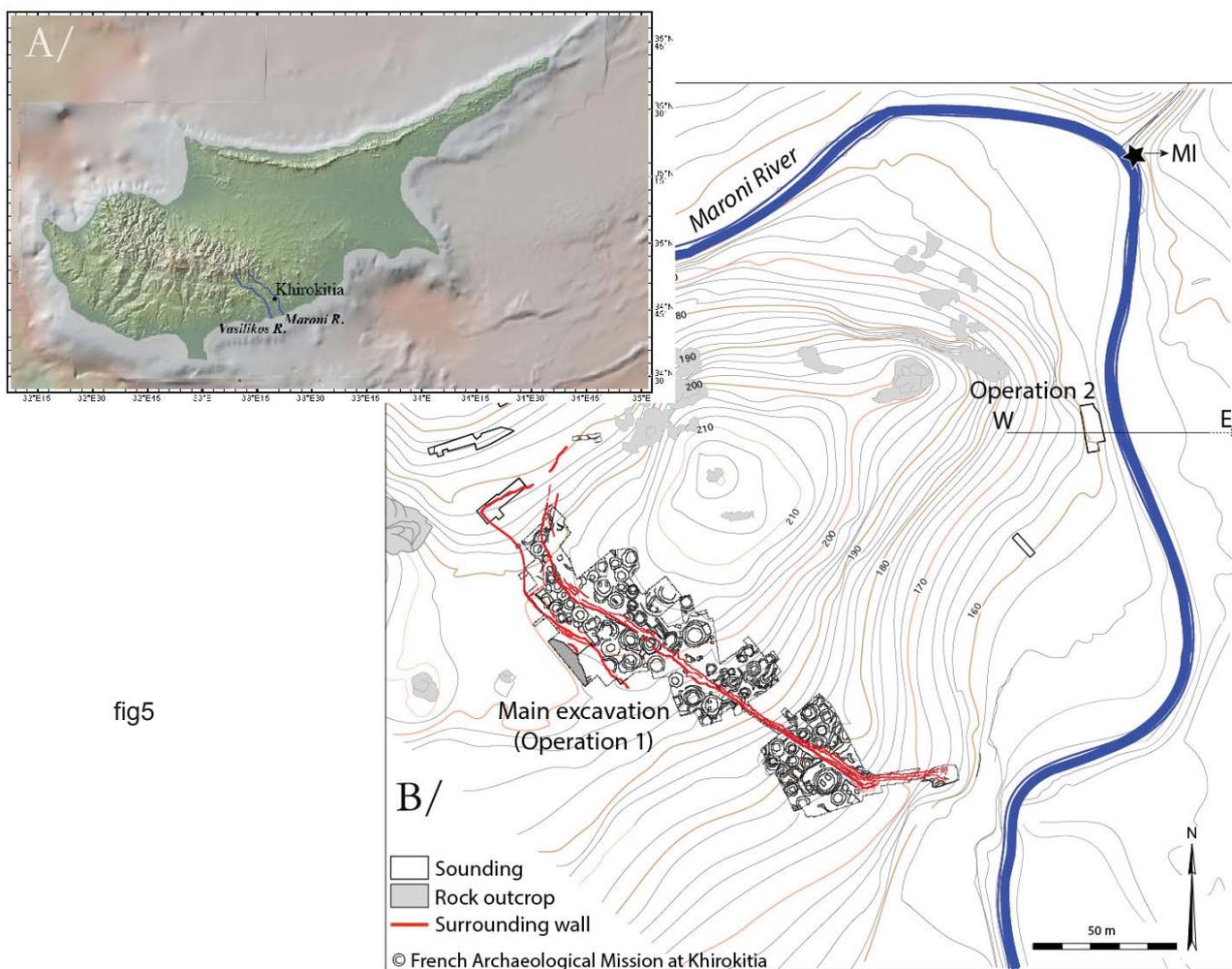
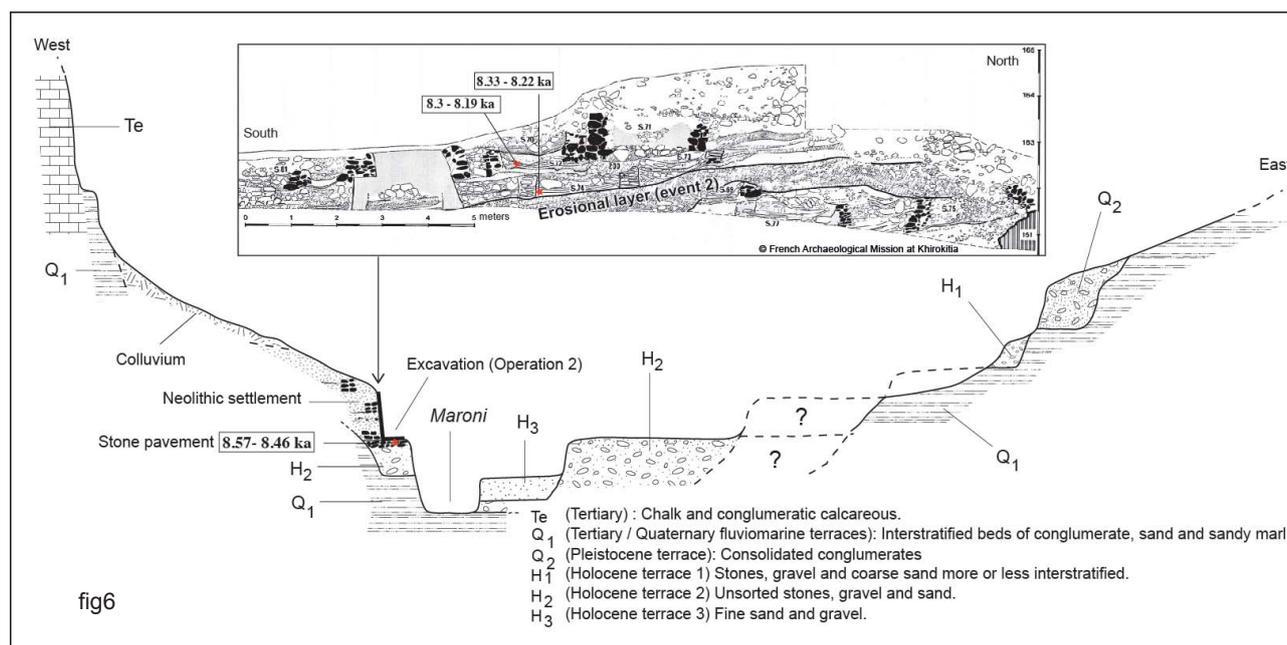


fig5



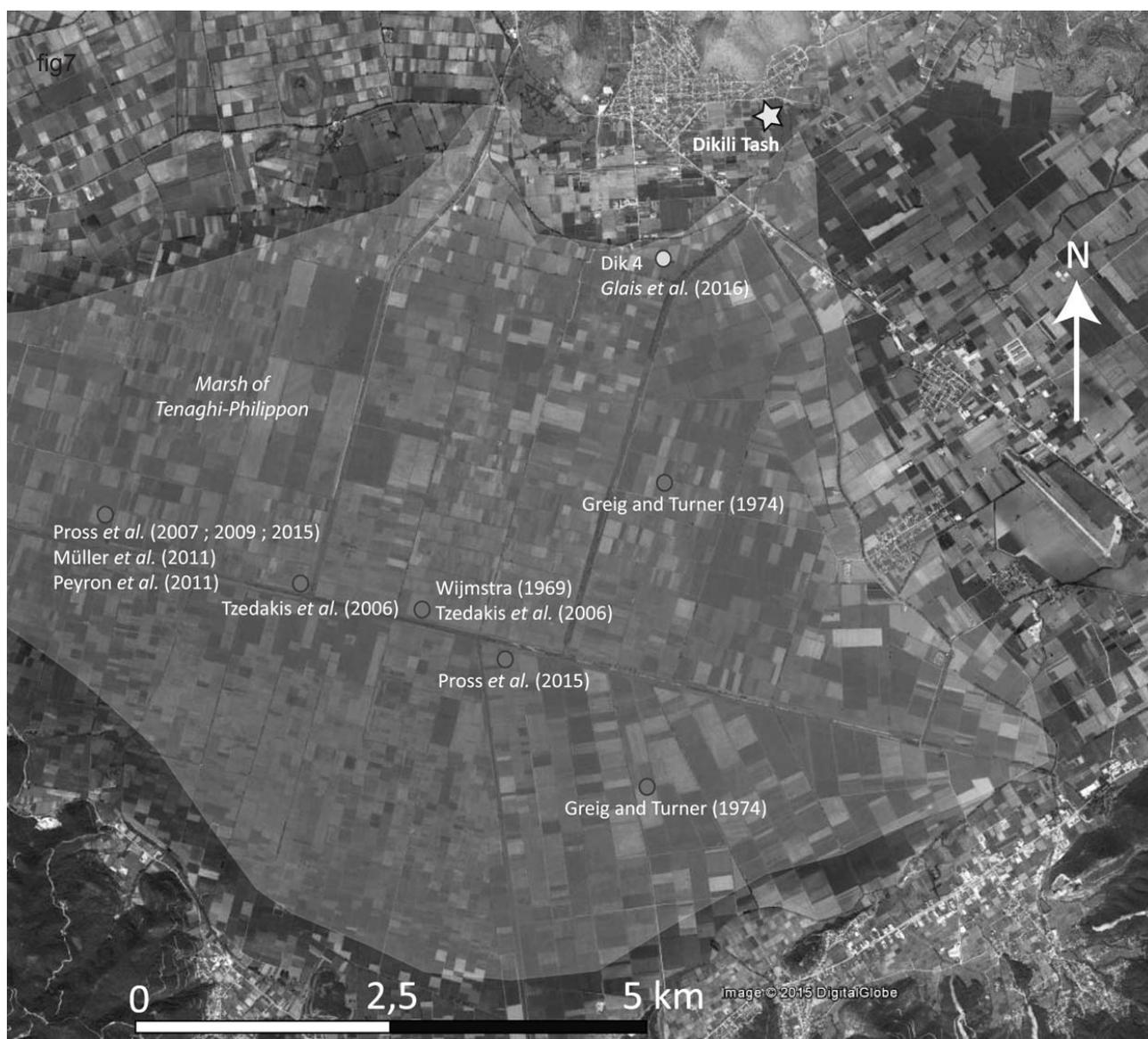
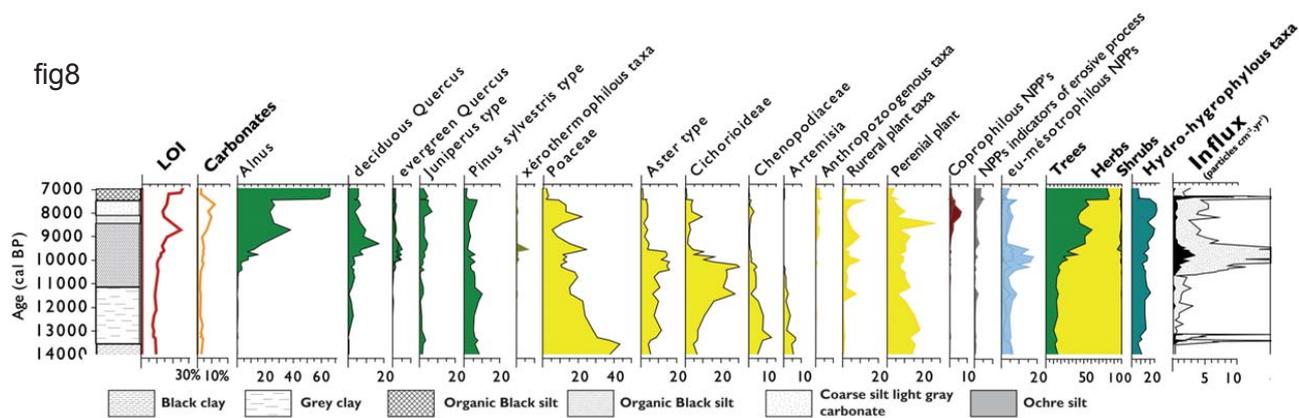
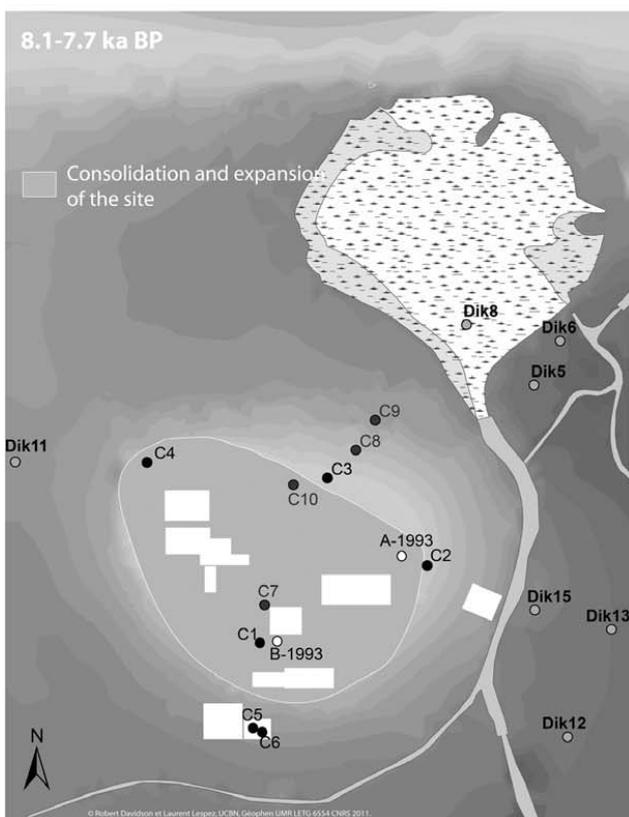
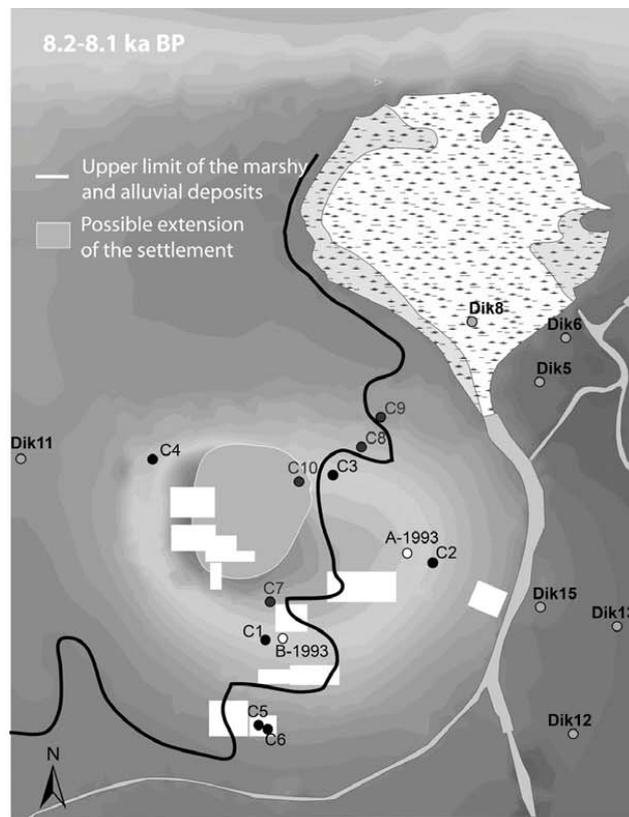
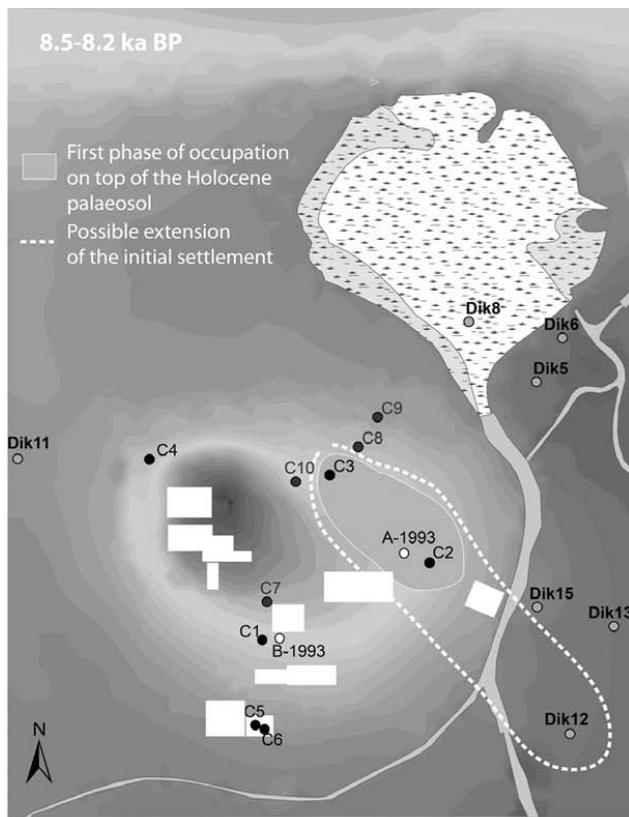




fig8





**Caption**

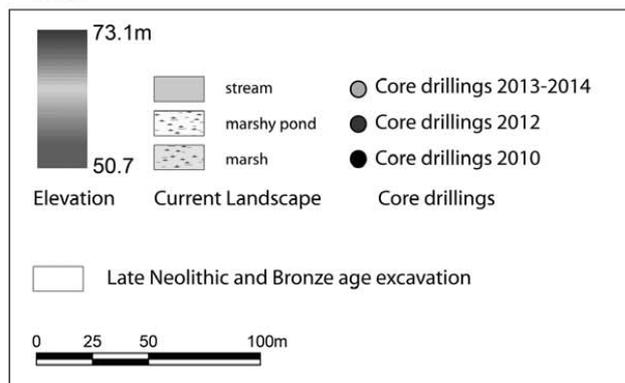


fig9

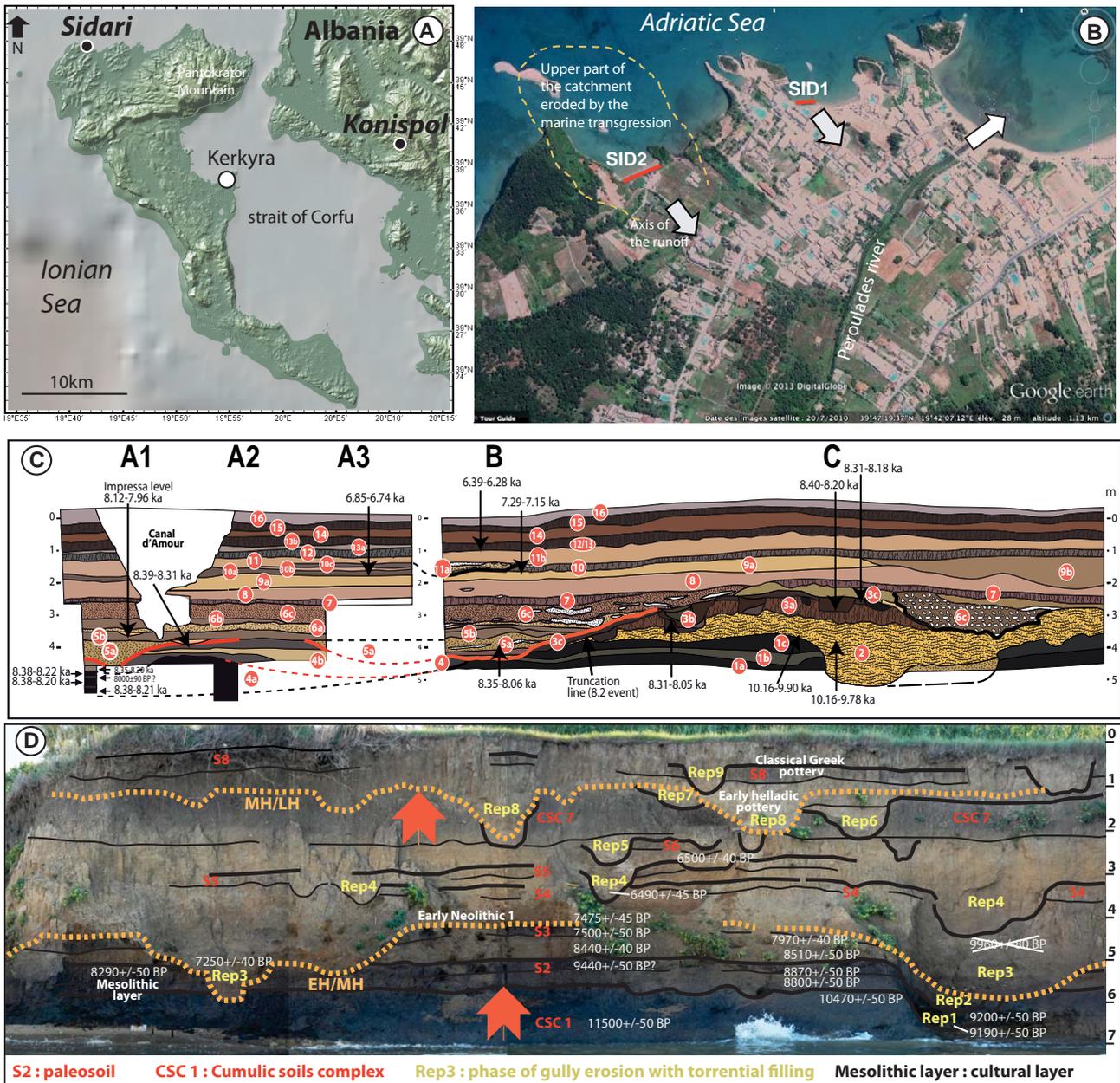
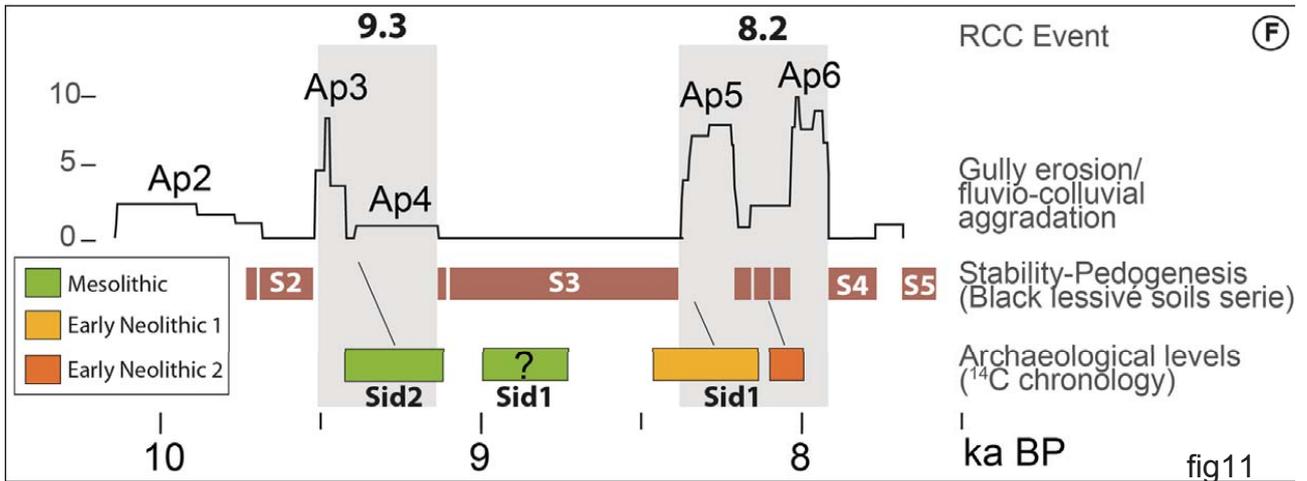
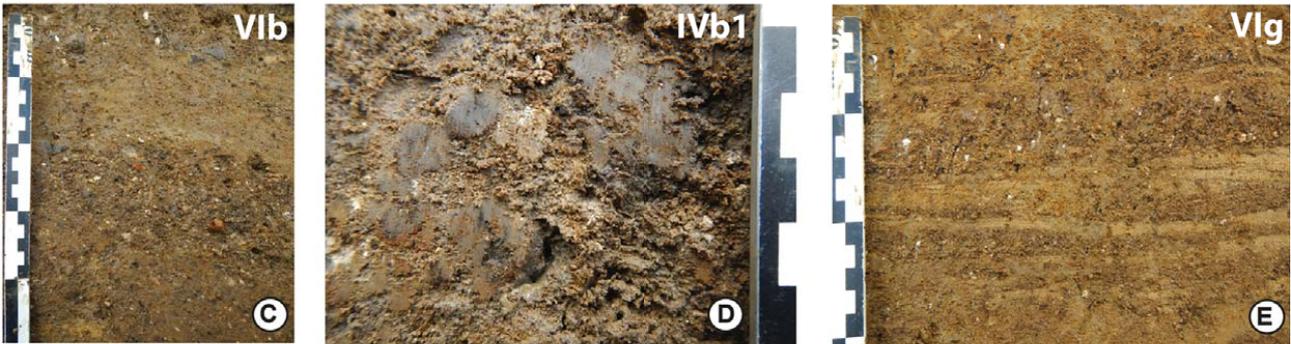
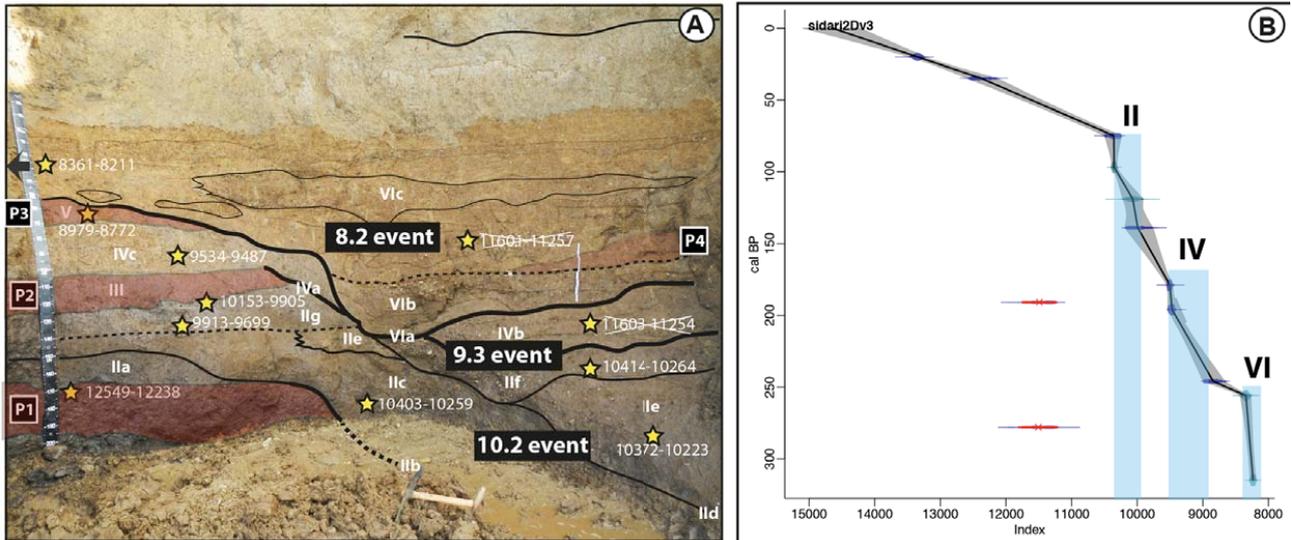


fig10



S : soil, Ap : Aggradation phase



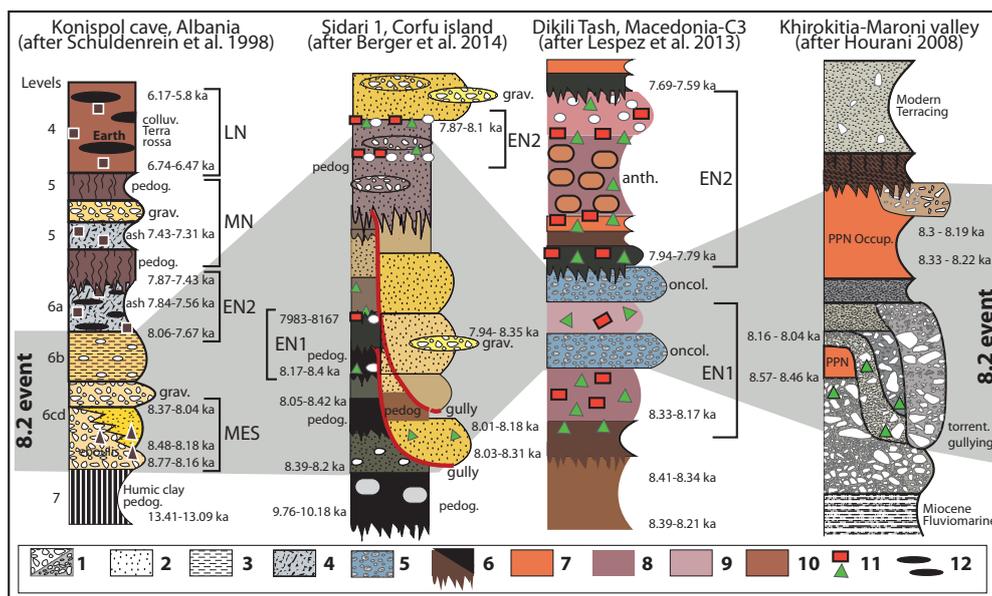


fig13

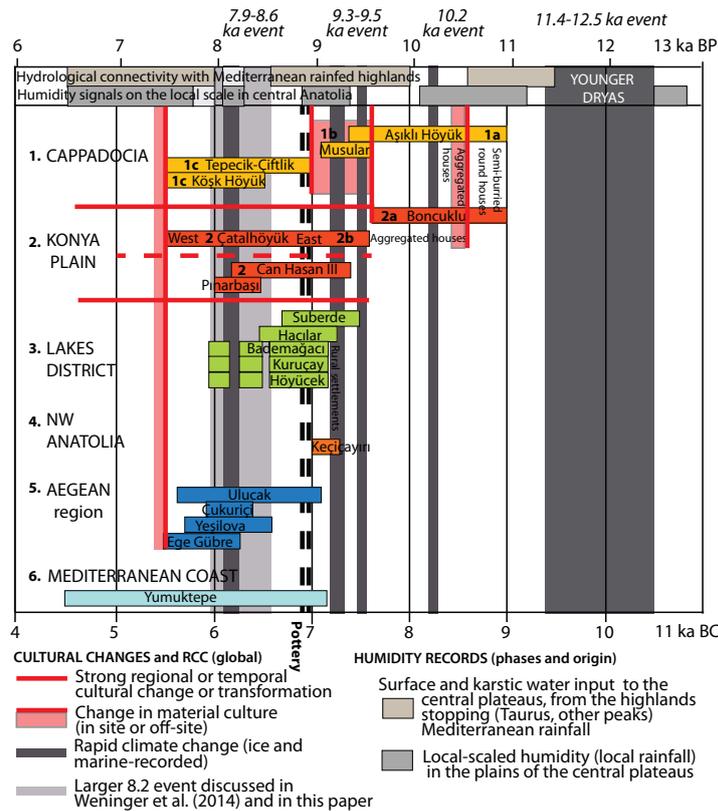


fig14