Dear Mr. Denis-Didier Rousseau,

dear reviewers,

attached you will find a marked-up manuscript version highlighting the changes which were made
in the revised manuscript version compared to the discussion paper.
Additionally we provide a version, where changes according to the corresponding reviewers are
marked in altering colors:

reviewer 1 cyan
reviewer 2 magenta
reviewer 3 blue
reviewer 4 red

A point-by-point reply to the comments was already posted directly in the responses to the
reviewers.

Kind regards,
Sabine Egerer
Marine sediment records reveal an abrupt and strong increase in dust deposition in the North Atlantic at the end of the African Humid Period about 5.5 ka ago. The change in dust flux has been attributed to varying Saharan land surface cover. Alternatively, variability in climate and ocean conditions, for example changes in sea surface temperature, have been proposed to explain the enhanced dust deposition. Here we demonstrate for the first time the direct link between dust accumulation in marine cores and changes in Saharan land surface. We simulate the mid-Holocene (6 ka BP) and pre-industrial (1850 AD) dust cycle as a function of Saharan land surface cover and atmosphere-ocean conditions using the coupled atmosphere-aerosol model ECHAM6-HAM2.1. Mid-Holocene surface characteristics, including vegetation cover and lake surface area, are derived from proxy data and simulations. In agreement with data from marine sediment cores, our simulations show that mid-Holocene dust deposition fluxes in the North Atlantic were two to three times lower compared with pre-industrial fluxes. We identify Saharan land surface characteristics to be the main control on dust transport from North Africa to the North Atlantic. We conclude that the variation in dust accumulation in marine cores is likely related to a transition of the Saharan landscape during the Holocene and not due to changes in atmospheric or ocean conditions alone.

1 Introduction

The transition from the ‘green’ Sahara of the early to mid-Holocene, about 9 to 6 ka BP, to today’s hyperarid conditions was triggered by a steady shift in orbital forcing. Thereby, the Northern
hemisphere received about 4.2 in average about 4.5% more summer insolation during the early to mid-Holocene compared to present times (Berger 1978) causing a higher temperature gradient between the North African subcontinent and the Eastern Atlantic Ocean prior to monsoon onset in late spring. This led to a strengthening of the West African summer monsoon and a consequent northward shift of the West African rain belt (Kutzbach 1981). A wet climate supported the establishment of permanent vegetation cover and lakes in the area of today’s hyperarid Sahara (Kutzbach and Street-Perrott 1985; Jolly et al. 1998; Kohfeld and Harrison 2000). Pollen records indicate a considerable expansion of vegetation in North Africa north of 15°N at that time (Prentice et al. 2000) with steppe, savanna and temperate xerophytic woods and shrubs extending up to 23°N (Jolly et al. 1998). Lakes and wetlands were widespread up to 30°N and covered about 7.6% of North Africa (Street-Perrott et al. 1989; Hoelzmann et al. 1998; Jolly et al. 1998; Kröpelin et al. 2008). The largest water body was lake Mega-Chad with an area of at least 350 000 km² presumably (Schuster et al. 2005).

Marine sediment cores along the northwest African margin reveal an abrupt and strong increase in dust accumulation in the North Atlantic of about 50-140% some 5.5 ka ago (Adkins et al. 2006) up to a factor of 5 about 4.9 ± 0.2 ka BP (McGee et al. 2013) some 5500 years ago. The change in dust flux has been attributed to varying Saharan vegetation cover predicted by (Brovkin et al. 1998) and (Claussen et al. 1999) or was related to a change in lake surface area (Cockerton et al. 2014; Armitage et al. 2015). Alternatively, variability in climate or ocean circulation, for example sea surface temperature deviations, could explain the enhanced dust accumulation is linked to enhanced surface winds and a consequent intensification of coastal upwelling (Adkins et al. 2006). However, until now there has been no modeling study that explicitly simulated the mid-Holocene dust cycle to assure explore the link between Saharan land surface cover and North Atlantic dust deposits at the particular location of the marine cores.

Two modeling studies of the dust cycle using general circulation models (GCMs) have covered the mid-Holocene era. Albani et al. (2015) performed two simulations of a 6 ka BP and a pre-industrial time slice using the Community Earth System Model (CESM) including a Bulk Aerosol Model (CAM4-BAM). Vegetation was set to pre-industrial conditions according to PMIP/CMIP prescriptions for both time slices. The soil erodibility was then scaled for each grid cell based on vegetation cover, which was obtained offline by BIOME4 simulations. The other GCM study was published by Sudarchikova et al. (2015) using the ECHAM5-HAM model. They performed simulations of the global dust cycle for several time slices including pre-industrial and mid-Holocene with focus on Antarctica. Paleoclimatic vegetation was simulated with the dynamic vegetation model LPI-GUESS. They obtained a similar fractional vegetation cover distribution in North Africa for mid-Holocene and pre-industrial, which is at variance with reconstructions. Both models underestimate the extent of mid-Holocene vegetation cover suggested by pollen data. This is in contradiction with paleorecords that specify extensive vegetation indicating a much higher vegetation cover fraction between 15°N and 23°N (Hoelzmann et al. 1998; Jolly et al. 1998). As sparse or non-vegetated areas are poten-
tial dust sources, dust emission from North Africa Saharan dust emission was thus overestimated for the mid-Holocene (results for North African dust emission presented in Sudarchikova (2012)). Additionally, the extent of paleolakes was not taken into account in either study, despite the fact that areas covered by lakes lose their potential as a dust source. Accordingly, marine sediment records along the West African margin northwest African margin (deMenocal et al., 2000; Adkins et al., 2006; McGee et al., 2013; Albani et al., 2015) indicate a lower global dust accumulation rate and less dust emission in North Africa than suggested in the modeling studies. Also in Albani et al. (2015), deviations between modeled and observed dust depositions in the North Atlantic could arise from an underestimation of vegetation cover as models typically fail to capture mid-Holocene vegetation cover as indicated by proxies (Hoelzmann et al., 1998) to its full extent (Doherty et al., 2000; Irizarry-Ortiz et al., 2003; Rachmayani et al., 2015).

To overcome the shortcomings of previous simulation studies on the mid-Holocene dust cycle, we account for a more realistic land surface cover prescribing. We prescribe mid-Holocene vegetation conditions in North Africa based on reconstructions of Hoelzmann et al. (1998) and specifying the distribution of paleolakes from simulations (Tegen et al., 2002). We investigate Holocene dust emission, transport and deposition explicitly as a function of Saharan land surface characteristics. To quantify changes in marine dust deposition, we perform equilibrium simulations of the mid-Holocene (6k) and pre-industrial (0k) dust cycle using the coupled climate-aerosol model ECHAM6.1-HAM2.1. The investigations are guided by the following questions: Can we support the interpretation of enhanced dust accumulation seen in the marine sediment cores as a consequence of changes in North African landscape? Or can already changes in climate alone explain these observations? Technically, we separate the importance of land surface and climate on dust emission and deposition following the factor separation method of Stein and Alpert (1993).

In section 2, the model and the experimental setup is described and the factor separation method is introduced briefly. The model is evaluated by comparing present day global dust emission quantitatively and qualitatively with the AEROCOM Intercomparison study (Huneeus et al., 2011). Results are presented in section 3. Simulated mid-Holocene and pre-industrial dust deposition rates are compared to those indicated from marine sediment records along the northwest African margin. A factor analysis is conducted to determine the influence and weighting of land surface conditions and orbital-forcing induced climate conditions, respectively. A discussion of the results, conclusions and suggestions for future studies follow in section 4.
2 Experimental design

2.1 Model description

We employ the comprehensive climate-aerosol model ECHAM-HAM2 (ECHAM-HAM (echam6.1.0-ham2.1-moz0.8) [Steer et al. 2005] at a model resolution of T63L31 corresponding to a horizontal resolution of approximately 1.9° x 1.9° and 31 vertical (hybrid)sigma-pressure levels in the atmosphere. Sea surface temperature (SST), sea ice cover (SIC), vegetation and lake cover are prescribed. The aerosols included in the model are mineral dust, sulfate, black carbon, organic carbon and sea salt. Here, the aerosol concentrations from natural sources are calculated interactively in the model. Additionally, emissions from anthropogenic sources are prescribed. In the analysis, we focus only on the mineral dust cycle, mineral dust.

We use a model version equivalent to Stanelle et al. (2014) where the standard version is extended to determine potential dust source areas directly depending on land surface cover. Potential dust source areas are regions where dust can be emitted if certain conditions are fulfilled (e.g. the wind velocity has to exceed a threshold). Define several rules to identify potential dust source areas, for example dust can not be emitted from areas covered with the soil is not covered by snow, the upper soil layer has to be dry).

The amount of emitted aeolian dust from potential dust source areas is calculated following Tegen et al. (2002). Dust particles are emitted from preferential and potential source regions if specific criteria are fulfilled (e.g. the wind velocity has to exceed a threshold). Define several rules to identify potential dust source areas, for example dust can not be emitted from areas covered with lakes and only from regions with sparse vegetation, as grasses and shrubs, or no vegetation, the soil is not covered by snow, the upper soil layer has to be dry).

The critical threshold-explicit formulation of the calculation of horizontal fluxes is following Marticorena and Bergametti (1995). The main mechanism considered in the scheme is salination bombardment. The ratio between vertical and horizontal emission fluxes is prescribed for different soil types based on empirical measurements and depends on particle size, soil moisture and texture. Additionally, the role of dry paleolakes as preferential sources of dust is accounted for in the model. Distribution and surface properties Marticorena et al. (1997). Soil types are clay, silt, medium/fine sand and coarse sand Tegen et al. (2002). Vertical emission fluxes are then integrated over all size classes and divided into aerosol modes, for which log-normal distributions are prescribed: accumulation mode (mass mean radius (mmr)=0.37 μm, standard derivation σ=1.59 μm) and coarse mode (mass mean radius (mmr)=1.75 μm, standard derivation σ=2 μm).
into the super-coarse mode is neglected because of the short life time of particles. Aerosol transport and interaction with the atmosphere is calculated according to Stier et al. (2005). Dust is removed from the atmosphere via dry deposition, wet deposition or sedimentation.

2.2 Model validation

Within the framework of the AEROCOM global dust model intercomparison project, the results of several global aerosols models are compared to observations to detect uncertainties and shortcomings in the simulation of the global dust cycle under present day climate (Huneeus et al. 2011). There still remain large uncertainties in modeling the global dust cycle. Among the models, simulated dust emission, deposition and the atmospheric burden vary by about an order of magnitude, for example emissions in North Africa range from 204 to 2888 Tga⁻¹. For comparison with the results from AEROCOM, we perform a simulation under present day conditions (averaged for the years 2000-2009: Table I).

A detailed evaluation of the current model version is presented by Stanelle et al. (2014). Emission and deposition fluxes as well as the atmospheric burden are within the range of the AEROCOM results for ECHAM6.1-HAM2.1 for present day climate, but results of the ECHAM-HAM model are found to be lower than the AEROCOM median in general (see their Table I). A detailed evaluation of the current model version is presented by...

2.3 Experimental setup

We perform equilibrium simulations to study the mid-Holocene (6k) and pre-industrial (0k) global dust cycle. The main setup consists of four experiments (Table 2) to 1) compare with marine sediment records for both 6k and 0k (section 3.1) and 2) identify the drivers of a change in dust flux between 6k and 0k (section 3.2). Thereby, we separate two factors: a) Saharan land surface conditions (vegetation cover and lake surface area) and b) atmosphere-ocean conditions including orbital forcing, sea surface temperature and sea ice cover.

AO refers to atmosphere and ocean conditions. Orbital parameters are adapted to 0k and 6k respectively following Berger (1978) (Table 3). Prescribed sea surface temperature and sea ice cover for the pre-industrial era and the mid-Holocene respectively are taken from CMIP5 simulation runs with MPI-ESM (Giorgetta et al. 2013). The setup is defined following the CMIP5 protocol (Taylor et al., 2011). LV defines land surface conditions including lake and vegetation cover. Mid-Holocene vegetation cover reconstruction in North Africa (17°W - 40°E; 10°N - 30°N) is based on a vegetation map of Hoelzmann et al. (1998). In this approach, pollen data is linked to corresponding biomes (e.g., steppe and savanna). Roughly, steppe vegetation is assumed between 10°N and 20°N and savanna vegetation between 20°N and 30°N. In the land surface component JSBACH of ECHAM, biomes are represented as a composition of plant functional types (PFT). Vegetation fraction and cover fractions of all eleven PFTs, surface albedo and water conductivity are set accord-
ingly. Thereby, steppe is linked to C4 grasses and a vegetation cover of 58%. Savanna is composed of 80% C4 grasses and 20% tropical evergreen forest, where vegetation covers 80% of the land (Hagemann 2002). In JSBACH, a standard vegetation map for pre-industrial conditions was derived from Hagemann (2002) based on satellite data. Pre-industrial and reconstructed mid-Holocene vegetation fraction are plotted in Fig. 1. During the mid-Holocene the extent of lakes was much more pronounced than it is today (Hoelzmann et al. 1998; Gasse 2000). Thus, the fractional lake mask in the model is adapted to a reconstruction of paleolakes from Tegen et al. (2002). They calculated the maximum possible lake extent by filling up closed topographic basins using a high-resolution water routing and storage model (see Fig. 1 for 0k and 6k lake fraction).

In addition to the main simulations, we perform two simulations to separate the effect of altering vegetation and lake cover under mid-Holocene atmosphere-ocean conditions. In the fifth simulation, \( A0k_{L0kV0k} \), mid-Holocene vegetation is set and paleolakes are neglected. In the sixth simulation, \( A0k_{L6kV0k} \), only paleolakes are considered, whereas vegetation cover is set to the pre-industrial state (Table 2).

Each simulation is run for 31 years including one year of spin-up time. Thus, all results refer to an average of 30 years. The 6k setup, including orbital forcing parameters and greenhouse gases, is following the PMIP project standards (Harrison et al. 2001; Table 3). 0k and 6k greenhouse gas concentrations of \( CO_2 \), \( CH_4 \) and \( N_2O \) are set equally to 6k values of the PMIP protocol. The control run is denoted by \( A0k_{LV0k} \).

### 2.4 Factor separation

To isolate the impacts of a) land surface conditions and b) atmosphere-ocean conditions on dust emission in North Africa and deposition fluxes in the North Atlantic along the northwest African margin, we apply the factor separation method of Stein and Alpert (1993) to the four main simulations \( A0k_{LV0k} \), \( A0k_{LV0k} \), \( A0k_{LV0k} \), and \( A0k_{LV0k} \). We explain the methodology exemplified for dust emission. The amount of emitted dust in North Africa is

\[
f(s) = \int\int e_s(x,y)dx\,dy, \quad s \in \{A0k_{L0kV0k}, A0k_{L6kV0k}, A0k_{L6kV0k}, A0k_{L6kV0k}\}
\]

(1)

where \( e_s(x,y) \) is the simulated dust emission at point \((x,y)\) for simulation \(s\).

The total difference in dust emission in North Africa between 6k and 0k

\[
\Delta_{6k-0k} = f(A0k_{L6kV0k}) - f(A0k_{L0kV0k})
\]

(2)

is divided into three components

\[
\Delta_{6k-0k} = \Delta_{AO} + \Delta_{LV} + \Delta_{SYN}.
\]

(3)
The contribution $\Delta_{AO}$ due to differences in orbital forcing, sea surface temperature and sea ice cover and the contribution $\Delta_{LV}$, which captures the effects of changed land surface cover, are given by

$$
\Delta_{AO} = f(AO_{0k}LV_{0k}) - f(AO_{0k}LV_{0k}),
$$

(4)

$$
\Delta_{LV} = f(AO_{0k}LV_{0k}) - f(AO_{0k}LV_{0k}).
$$

(5)

The synergy between both factors reads

$$
\Delta_{SYN} = f(AO_{0k}LV_{0k}) - f(AO_{0k}LV_{0k}) - (\Delta_{AO} + \Delta_{LV})
$$

(6)

$$
= f(AO_{0k}LV_{0k}) - f(AO_{0k}LV_{0k}) - f(AO_{0k}LV_{0k}) + f(AO_{0k}LV_{0k}).
$$

(7)
3 Results

The Sahara is today one of the largest dust sources worldwide, which is captured by our simulations depicted in Fig. 2. In agreement with satellite data (Middleton and Goudie 2001, Engelstaedter and Washington 2007), we find especially the dry non-vegetated areas in Western Africa and the Bodélé Depression in the central Sahara to be highly productive dust sources. The patterns of deviations in dust emission between the 6k simulation and the pre-industrial control are clearly related to differences in lake fraction, which we show in section 2 (Fig. 1, bottom). Obviously, during the mid-Holocene no dust could be emitted from areas covered with lakes, e.g. lake Mega-Chad covered the area where we find the Bodélé Depression today (Schuster et al. 2005). Also in West Africa smaller lakes and wetlands were widespread preventing dust emission. In contrast, areas with low stature vegetation allow for some dust emission.

While land surface conditions were modified solely in North Africa, we notice a small area with changing dust emission in the south of the Arabian peninsula and dust depositions expanding from the south of the Arabian peninsula to the Himalaya. Detailed investigations (not shown here) reveal that these anomalies only appear during boreal summer and we conclude that they are a consequence of a changed West African summer monsoon and corresponding wind patterns (Kutzbach and Otto-Bliesner 1982, Weldeab et al. 2007).

Simulated deposition patterns in Fig. 2 reveal that Saharan dust is transported across the Atlantic to the Amazon basin for 0k. They are in agreement with patterns from other modeling studies for the pre-industrial era (Mahowald et al. 1999, Tegen et al. 2002).

3.1 Dust deposition rates in the North Atlantic: Comparison with marine sediment records

We verify our simulation results by comparing with data from marine sediment cores for the pre-industrial control (experiment 0k) and for the mid-Holocene (experiment 6k). An evaluation for both time slices is important because we are interested in differences in dust fluxes between 0k and 6k.

Numerous studies of marine sediment records provide data of dust deposition rates in the North Atlantic Ocean which are comparable to our pre-industrial control simulation (see Table 4 and Fig. 3 for site locations). Only a few studies present transient Holocene records of lithogenic dust fluxes in the Atlantic along the northwest African margin between 19°N and 31°N. They (deMenocal et al. 2000, Adkins et al. 2006, McGee et al. 2013) investigate fluxes at core ODP Site 658C, but the latter study accounts for sediment redistribution via 230Th normalization similar to McGee et al. (2013). Additionally, McGee et al.
(2013) apply grain size endmember modeling to separate eolian and hemipelagic fluxes. Further, Albani et al. (2015) provides an updated observational dataset with higher temporal resolution and information about particle size distribution. All studies found large differences in dust accumulation between the mid-Holocene and the pre-industrial era.

We obtain simulated dust deposition rates in the grid cell whose midpoint is closest to the corresponding site location. The order of magnitude of the simulated fluxes is in agreement with data for both 0k and 6k (Fig. 4). For the mid-Holocene, slightly higher values are found in our simulations compared to marine sediment cores by those indicated by marine sediments (McGee et al., 2013). The spatial log correlation coefficient of observed and modeled values at different sites (Fig. 3) is 0.8 for 0k and 0.64 for 6k. Exceptional high dust deposition rates were found both 0.89 for 0k and 0.85 for 6k at Site ODP 658 by and . Compared to surrounding sites, about five to ten times more dust was deposited here due to enhanced supply of fluvial deposits additional to the high supply of eolian dust from the Sahara. This local anomaly is not captured by the global model causing deviations between data and simulations results for site ODP-658. When neglecting this site, correlation coefficients increase to 0.88 and 0.96 respectively.

According to our 0k simulation, dust deposition fluxes vary between 5.1 gm⁻²a⁻¹ and 18.5 gm⁻²a⁻¹ compared to an observed data range of 3.4 gm⁻²a⁻¹ to 22 gm⁻²a⁻¹. For 6k, they vary between 2.5 gm⁻²a⁻¹ and 6 gm⁻²a⁻¹ compared to 0.92 gm⁻²a⁻¹ to 4.1 gm⁻²a⁻¹ in the sediment cores (Table 5). In order to analyze changes in dust deposition between the mid-Holocene and pre-industrial era, we calculate the ratio between the 0k and 6k simulated dust deposition rates corresponding to the sediment cores of McGee et al. (2013) and Adkins et al. (2006) (Table 5). The incremental factor of dust deposition simulated dust deposition fluxes between 0k and 6k varies from 2.1 to 3.1 and increases monotonically from north to south. McGee et al. (2013) estimate a ratio of about 5 calculated a ratio between 3.7 and 5.4 between 0k and 6k, whereas a ratio of 2.4 was found in the study of Adkins et al. (2006).

An increase of dust fluxes from north to south was observed by McGee et al. (2013). This is also seen in our model results (Fig. 5). To determine the north-south gradient, simulated dust deposition rates in the three ocean grid cells that are closest to the northwest African margin between 19°N and 27°N are considered (Fig. 5). We interpolate the simulated dust deposition fluxes linearly as a function of latitude applying the least square method (straight line in Fig. 5). For 0k, simulated dust deposition rates increase thus by 1.76 gm⁻²a⁻¹ per degree latitude; for 6k, they increase by 0.67 gm⁻²a⁻¹ per degree latitude. The north-south gradient obtained from marine sediment core data (Table 4) differs slightly from ours with dust accumulation increasing by 2.47−2.55 gm⁻²a⁻¹ per degree latitude for 0k and 0.52−1.47 gm⁻²a⁻¹ per degree latitude for 6k. The increase in dust deposition with decreasing latitude can tentatively be attributed to the wind climatology. According to the NCEP reanalysis, present day surface winds are increasing from north to south along the West African margin and can thus transport higher amounts of dust to the ocean.
Additional to dust accumulation rates, [Albani et al., 2015] have presented particle size distributions in the marine cores. We have plotted the size distribution of simulated atmospheric surface aerosol concentrations in the coarse mode (accounting for 98% of all aerosols) for 0k and 6k at the position of marine core GC68 (Fig. ??) and compared to the observed dust size distribution in the sediment core of [Albani et al., 2015]. Marine core GC68 is representative for the cores GC49 and GC37, since simulations and observations show a similar distribution for those cores (not shown). Note that in our model output it was not possible to separate the size distribution of dust from the one of all aerosols. However, most other aerosols exist primary in the nucleation, aitken and accumulation mode with a smaller median diameter. Dust is the only representative of the insoluble coarse mode. In the soluble coarse mode, only sea salt particles exist with an approximately similar mass mixing ratio as mineral dust, the concentration of the remaining aerosols is much lower in comparison. In our model output, we find a similar aerosol median diameter for soluble and insoluble particles. Thus, we assume that the aerosol size distribution obtained from our model results is in principle representative for the dust size distribution.

We notice a quite similar particle distribution for 0k and 6k in our model results (Fig. ??). This is in agreement with observations and model results of [Albani et al., 2015], who stated that during the Holocene the temporal variability of the dust size distribution is very limited. Compared to observations of [Albani et al., 2015], the simulated mean aerosol diameter is relatively small (Fig. ??). [Mahowald et al., 2014] pointed out that the atmospheric surface concentrations are in general finer than the ones deposited in marine cores because coarser particles are removed preferentially from the atmosphere whereas finer particles are transported further downwind to the Atlantic Ocean. The mean diameter of our simulated size distribution is in average higher than the one of the modeled size distribution of atmospheric surface concentrations along the northwest African margin of Mahowald et al. (2014; Fig. 8k,1) but smaller than of observed values (Mahowald et al., 2014; Fig. 8k).

3.2 Influence of land surface conditions and atmosphere-ocean conditions on dust emission, transport and deposition

The simulated dust emission, atmospheric burden, total deposition and precipitation in North Africa (defined as the area 17°W - 40°E; 10°N - 30°N) and the global life time of dust in the atmosphere for the conducted experiments are summarized in Table ?? Additionally, percentages of wet deposition, dry deposition and sedimentation of the total deposition are presented. Standard deviations of the 30 year dust emission ensemble are given.

Pre-industrial land surface conditions result in much higher dust emission compared to mid-Holocene land surface conditions. This is valid independently of atmospheric and ocean boundary conditions. Emissions in North Africa are 3.3 to 3.8 times higher for \( AO_x \) \( LV_{6k} \) compared to \( AO_x \) \( LV_{6k} \) with \( x \in \{0k; 6k\} \). Rates of deposition and the dust burden in the atmosphere increase by factor in North Africa increase by a factor of 2.1 to 2.3 and 2.5 to 2.8, respectively. When
atmosphere and ocean are adjusted to 6k and the land surface is fixed to pre-industrial (In experiment $AO_{6k}LV_{0k}$, the dust cycle is enhanced only slightly compared to the pre-industrial control ($AO_{0k}LV_{0k}$). On the other hand, for mid-Holocene land surface cover ($LV_{6k}$), mid-Holocene atmosphere-ocean conditions reduce emission and enhance deposition slightly (compare $AO_{6k}LV_{6k}$ and $AO_{0k}LV_{6k}$ in Table 6).

Is the suppression of dust emission by land surface conditions due to increased lake surface area or rather linked to enhanced vegetation cover? In experiments $AO_{6k}LV_{6k}$ and $AO_{6k}LV_{0k}$, we change lake surface area and vegetation cover separately, one is set to 6k conditions, while the other one remains in the pre-industrial state, respectively. In either experiment, dust emission is approximately halved and deposition reduces to about 70% compared to the pre-industrial control (compare Table 6). Emission and deposition fluxes are still higher than fluxes obtained with fully mid-Holocene land surface cover. The burden is slightly higher for $AO_{6k}LV_{0k}$ compared to $AO_{6k}LV_{6k}$. In conclusion, paleolakes and mid-Holocene vegetation contributed both and both contributed nearly to the same extent to a reduced dust cycle during the mid-Holocene.

Emission, transport and deposition of dust are closely linked to each other. Land surface characteristics and surface winds determine primarily the emission of dust. Furthermore, climatic conditions have an impact on dust transport and deposition. Differences in the type of deposition point to meteorological conditions. A higher fraction of wet deposition compared to dry deposition and sedimentation indicates enhanced rainfall. About 20.6% of the simulated total deposition in North Africa is due to wet deposition for the pre-industrial control ($AO_{0k}LV_{0k}$) compared to about 51.1% for mid-Holocene conditions ($AO_{6k}LV_{6k}$) corresponding to increased annual rainfall from 0.66 mm day$^{-1}$ to 1.97 mm day$^{-1}$ (Table 6). Consequently, the global life time of dust in the atmosphere decreases (from 4.4 to 3.7 days) when mid-Holocene land surface is prescribed because particles are washed out more rapidly from the atmosphere. This result is almost unaffected by a change in orbit and ocean conditions. Only about 41% of Saharan dust is deposited in the emission area for pre-industrial conditions. Hence, a large quantity amount of dust is transported downwind beyond North Africa to the North Atlantic and even reaching to the Amazon area as seen shown in Fig. 2. In contrast, the ratio of deposited versus emitted dust in North Africa is about 75% for mid-Holocene conditions, which is related to shorter life times, enhanced rainfall and a higher impact of wet deposition.
3.3 Factor analysis of controls on dust emission and deposition

To isolate the impacts of a) land surface conditions and b) atmosphere-ocean conditions on dust emission in North Africa and deposition fluxes in the North Atlantic along the northwest African margin, we apply the factor separation method of Stein and Alpert [1993] to the four main simulations: \( AO_{60k} LV_{60k} \), \( AO_{00k} LV_{00k} \), \( AO_{00k} LV_{60k} \) and \( AO_{60k} LV_{00k} \). We explain the methodology exemplified for dust emission. Dust emission in North Africa is defined as:

\[
f(s) = \int_{10^\circ N}^{30^\circ N} \int_{17^\circ W}^{40^\circ E} e_s(x,y) dxdy, \quad s \in AO_{00k} LV_{00k}, AO_{00k} LV_{60k}, AO_{60k} LV_{60k}, AO_{60k} LV_{00k}
\]

where \( e_s(x,y) \) is the simulated dust emission at point \( (x,y) \) for simulation \( s \) as briefly introduced in section 2.4.

The total difference in dust emission in North Africa between \( 60k \) and \( 00k \):

\[
\Delta_{60k-00k} = f(AO_{60k} LV_{60k}) - f(AO_{00k} LV_{00k})
\]

is divided into three components:

\[
\Delta_{60k-00k} = \Delta_{AO} + \Delta_{LV} + \Delta_{SYN}.
\]

The In Table 7 the total difference \( \Delta_{60k-00k} \) the contribution \( \Delta_{AO} \) due to differences in orbital forcing, sea surface temperature and sea ice cover and \( \Delta_{LV} \), the contribution \( \Delta_{LV} \), which captures the effects of changed land surface cover, are given by:

\[
\Delta_{AO} = f(AO_{60k} LV_{60k}) - f(AO_{00k} LV_{00k}),
\]

\[
\Delta_{LV} = f(AO_{60k} LV_{60k}) - f(AO_{00k} LV_{00k}).
\]

The synergy between both factors reads:

\[
\Delta_{SYN} = f(AO_{60k} LV_{60k}) - f(AO_{00k} LV_{00k}) - (\Delta_{AO} + \Delta_{LV})
\]

In Table 7 the total difference \( \Delta_{60k-00k} \) and the percentages of \( \Delta_{AO} \), \( \Delta_{LV} \) and \( \Delta_{SYN} \) are presented for dust emission in North Africa and deposition along the northwest African margin. Differences due to changes in land surface conditions \( \Delta_{LV} \) differ not more than 5% from the total differences \( \Delta_{60k-00k} \). We conclude that land surface cover was the main control on dust emission in North Africa and associated deposition along the northwest African margin during the mid-Holocene. The impact of atmosphere-ocean conditions \( \Delta_{AO} \) is even slightly negative for dust emission and has a negative effect of 16.5% of the total differences for dust deposition in the North Atlantic. The synergy effect is 7.6% for dust emission and 20.4% for dust deposition.

Comparing patterns of dust emission in North Africa (Fig. 9) and dust deposition in the North Atlantic (Fig. 9) visually, emphasizes the high impact of land surface conditions. The patterns of the
contribution $\Delta_{LV}$ and the total difference $\Delta_{6k-0k}$ are almost identical. Mid-Holocene atmosphere-ocean conditions with fixed pre-industrial land surface ($AO_{6k}L_{0k}$) lead to a change in dust emission only locally. Interestingly, there is an increase in dust emission from the Western Sahara, whereas less dust is emitted from the Bodélé Depression. Dust deposition in the North Atlantic does not differ much from the control and is even slightly enhanced between $10^\circ$N and $15^\circ$N. The change in dust sources and deposition patterns is linked to a changed seasonal cycle (see Appendix A).

Relating Fig.6 to Fig.7 this analysis demonstrates that emission in North Africa is directly linked to deposition in the North Atlantic along the northwest African margin. In our simulations, we find land surface conditions to be the main control on dust emission and deposition with a contribution of more than 95%. Distraction of changes in dust transport due to changes in atmospheric processes play a minor role.

4 Discussion and conclusion

We have explored the question whether variations in North African land surface cover resulted in a significant difference in dust deposition fluxes in the North Atlantic Ocean between the pre-industrial (1850 AD) and mid-Holocene (6 ka BP) as indicated by marine sediments (de Menocal et al., 2000; Adkins et al., 2006; McGee et al., 2013; Albani et al., 2015) were induced by variations in North African land surface cover.

Therefore, we have simulated the dust cycle for both eras. We have analyzed the contribution of a change in land surface conditions, including vegetation cover and lake surface area, and the contribution of differing atmosphere-ocean conditions to a difference in dust emission and deposition between the mid-Holocene and the pre-industrial control. In our simulations, orbital forcing parameters and ocean conditions are adjusted respectively and mid-Holocene land surface conditions are fixed according to vegetation reconstructions of Hoelzmann et al. (1998) and simulations of lake surface area (Tegen et al., 2002).

Our simulation results support the hypothesis of decreased dust activity in North Africa during the African Humid Period (AHP) at 6 ka BP compared to pre-industrial times with reduced dust emission fluxes from the Saharan desert and an associated decrease of dust accumulation in the North Atlantic. Simulated mid-Holocene dust emission fluxes are reduced to about 27% of pre-industrial fluxes and simulated deposition fluxes are lower by a factor between 2.1 and 3.1 for specific site locations. Marine sediment records indicate lower deposition fluxes. This result is in agreement with a marine sediment record of Adkins et al. (2006) that indicates a lower deposition flux by a factor of 2.4 for the mid-Holocene compared to pre-industrial by factors between about two and five. For the other sites, McGee et al. (2013) argue that the amplitude of a change in dust flux is underestimated by Adkins et al. (2006) because the record does not separate eolian and fluvial/shelf inputs. The
relatively low contrast of mid-Holocene and pre-industrial fluxes of our study compared to McGee et al. (2013) arise from higher mid-Holocene deposition rates in the North Atlantic, slightly higher than indicated by our simulation results, resulting in a somewhat lower contrast when compared with pre-industrial fluxes are approximately similar. However, within a range of uncertainty and with respect to magnitude and sign, our simulation results are in agreement with data from marine cores for pre-industrial and prescribing land surface cover according to paleorecords (Hoelzmann et al., 1998) reduces the deviation between simulated deposition and dust accumulation from marine records for the mid-Holocene times compared to previous simulation studies (Albani et al., 2015). Comparing dust deposition fluxes at the surface to deep sea sediment accumulations while disregarding ocean currents and other disturbances could entail biases in the fluxes. However, Ratmeyer et al. (1999) argued that in the area of the chosen cores, there is a fast and mostly undisturbed downward transport of lithogenic material in the water column. Thus, sedimentation fluxes mostly correlate well between upper and lower ocean depths and the surface. A particular exception are fluxes at site ODP 658: they are found to be five to ten times larger than those from surrounding sites. suggest additional fluvial inputs are responsible for the deviation.

Further, we find a north-south increase of dust deposition rates along the northwest African margin during the mid-Holocene and pre-industrial era, which is consistent with observations of McGee et al. (2013). The increase in dust deposition with decreasing latitude can presumably be attributed to the wind climatology. According to the NCEP reanalysis (Kalnay et al., 1996), present day surface winds are increasing from north to south along the northwest African margin and can thus transport higher amounts of dust to the ocean. Additionally, we have compared the particle size distribution in the marine sediment cores presented by Albani et al. (2015) with the particle size distribution of simulated aerosol concentrations at the surface. In agreement with observations (Albani et al., 2015), we find neither large spatial nor temporal variability in Holocene particle size distribution.

We identify land surface cover to be the main control on dust emission in North Africa and associated dust deposition in the North Atlantic. A factor separation analysis confirms this finding and illustrates the direct link between patterns of dust emission fluxes in North Africa and deposition fluxes in the North Atlantic along the northwest African margin. Differences in lake surface area and vegetation cover respectively appear to contribute by about the same amount to the reduced dust cycle of the mid-Holocene. Atmosphere-ocean conditions only affect, although paleolakes covered a much smaller area than vegetation. Paleolakes suppressed dust emission completely on a particular area, whereas vegetation was spread out in the whole Sahara, but its type and distribution still enabled dust emission. The vegetation at 6k consisted mainly of grasses and some shrubs and thus vegetation of low stature with a relatively low roughness length (compared to e.g., trees), which was somehow distributed in patches (Jolly et al., 1998). Thus, there still remained larger areas of bare soil, which served as sources of dust.
In the model, a grid box is divided into fractions of bare soil and vegetation. Bare soil areas are potential dust sources. Additionally, Stanelle et al. (2014) account for ‘gaps’ within the vegetated area, where dust emission can occur. Thus, although a relatively high vegetation fraction is prescribed for the mid-Holocene (58% for steppe and 80% for savanna), our model predicts a reasonable amount of emitted dust. Biases may occur from the rather simplistic reconstructed vegetation cover of Hoelzmann et al. (1998) as homogenous vegetation is prescribed for a large area due to a lack of detailed information on vegetation cover. A more diverse vegetation cover could influence near surface winds. Dust emission occurs only above a threshold wind velocity and is very sensitive to changes in near surface winds. Hence, the distribution of vegetation surely influences dust emission locally. Nevertheless, we assume that the total amount of emitted dust and the corresponding deposited amount of dust in the North Atlantic is not significantly affected by a uniform vegetation distribution.

The prescribed mid-Holocene lake surface area rather represents the potential maximum areal lake extent obtained from filling up topographic depression assuming unlimited water supply (Tegen et al. 2002). This results in a lake surface area of about 12% of North Africa, whereas paleoreconstructions assume a total lake surface area of about 7.6% (Hoelzmann et al. 1998). Thus, dust emission is underestimated in our simulations due to suppression by lake coverage. Considering this bias, it seems likely that the relative importance of vegetation cover on the suppression of dust emission is higher than the one of lakes.

A change to mid-Holocene atmosphere-ocean conditions alone (experiment \(AO_{06k}LV_{06k}\)) affects the total amount of emitted and deposited dust only marginally compared to the control. They have, however, an impact on the seasonal dust cycle and dust source regions. In experiment \(AO_{06k}LV_{06k}\), precipitation in the southern Sahara is enhanced by about 1 mm/day compared to 0k and the monsoon propagates further north during summer. Nevertheless, the amount of precipitation and the northward propagation of the West African monsoon during summer is underestimated in comparison with paleoevidence (Bartlein 2011). This bias appears in most simulations of the PMIP intercomparison study (Braconnot et al. 2007). We found that in experiment \(AO_{06k}LV_{06k}\), where additionally a more realistic land surface is prescribed for 6k, precipitation is even overestimated in the southern Sahara and is in agreement with paleodata of Bartlein (2011) north of 20°N. A weakening of south-west winds in experiment \(AO_{06k}LV_{06k}\) of about 3-4 m/s compared to the control run and of 2 m/s in experiment \(AO_{06k}LV_{06k}\) was found during summer, which is related to the enhanced monsoon and precipitation. Weakened surface winds are related to a reduction in coastal upwelling during the mid-Holocene as noted by Adkins et al. (2006). We conclude that changes in orbital forcing alone are not the driver of changes in precipitation and surface winds, but land surface-climate feedbacks play an important role, which was earlier suggested by Coe and Bonan (1997), Claussen et al. (1999) and Rachmayani et al. (2015).
Emission, transport and deposition of dust are closely linked to each other. Land surface characteristics and surface winds are the major controls of dust emission. Meteorological conditions determine dust transport and deposition. Enhanced rainfall results in a higher fraction of wet deposition compared to dry deposition and sedimentation. In our simulations, the fraction of wet deposition of the total deposition increases from about 20% during 0k to about 51% during 6k corresponding to a three times higher amount of rainfall and a decrease in global life time of dust. Additionally to the direct suppression of dust emission by extended land surface cover, land surface-precipitation feedbacks enhance rainfall and dust particles are washed out more rapidly from the atmosphere, reducing dust transport further.

Uncertainties in the simulated physical climate that arise from model biases for pre-industrial times are reported in Giorgetta et al. (2013) for MPI-ESM (including ECHAM6 as atmospheric general circulation model) in the frame of CMIP5. They mention a dry bias in the tropics over land north of the equator. However, since differences in precipitation between 6k and 0k are in agreement with paleoevidence, we assume the bias not to have a significant effect.

By explicitly modeling global dust emission, transport and deposition, our results add additional confidence to the hypothesis that higher sedimentation rates during the early to mid-Holocene in marine sediment cores close to the northwest African margin must be interpreted as a result of either more extensive vegetation (‘green Sahara’), a result of extended paleolakes or a combination of both.

The issue of the abruptness of increased dust accumulation in the marine cores during the Holocene remains to be solved. Do land surface-climate feedbacks generate a sudden reduction of vegetation cover or lake surface area, resulting in an abrupt exposure of dust source areas? Or can the abrupt change in dust deposition in the North Atlantic be interpreted as a nonlinear response of Saharan dust emission to a steadily changing surface? Do multiple equilibria or bifurcations exist in the dynamic interaction of dust, vegetation and climate? These questions will have to be addressed by transient climate simulations including interactive vegetation and a scheme that dynamically simulates the extent of surface water areas following Stacke and Hagemann (2012) into the climate-aerosol model.

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References


Appendix A

Wind patterns and annual cycle

An analysis of the seasonal cycle of dust emission in relation to meteorological conditions is provided to get a deeper understanding of our simulation results. We present the seasonal cycle of dust emission for all our main experiments and relate them to seasonal wind patterns.

North African dust emission is linked to a distinct seasonal cycle (Engelstaedter and Washington, 2007). Northeasterly near surface trade winds below 1000m height are responsible for the majority of dust transport from the Saharan desert toward the North Atlantic during the winter months (Ratmeyer et al., 1999; Engelstaedter and Washington, 2007). In our simulations, northeasterly winds are strongest along the coast during winter (Fig. 8, top). Accordingly, maximum dust emission rates occur from January till April (Fig. 9). Dust production in the Western Sahara becomes active towards the summer. Dust is then lifted up and transported by the Harmattan or Saharan Air Layer (SAL) (Carlson and Prospero, 1972), that is coupled to the African Easterly Jet at 1000m to 5000m height (Tiedemann et al., 1989). Accordingly, the convergence belt is shifted northwards during boreal summer. We notice a second smaller peak of dust emission around June in the control run. Dust activity is decreasing at the end of the year in all regions (Fig. 9). The Bodélé Depression in central Chad is active throughout most of the year. In this region, dust is emitted and lifted up by Harmattan winds.

Mid-Holocene wind patterns hardly change during winter compared to the pre-industrial control, whereas during the summer months the ITCZ propagates further north (Fig. 8, middle). Wind fields from the Eastern Atlantic ocean to the Sahel area in the southwest induced by the West African monsoon extend further north. Consequently, the transport of dust from North Africa to the North Atlantic is reduced.

If orbital forcing is adjusted to mid-Holocene conditions and pre-industrial land surface is kept (AO0k LV0k), we obtain only a slight increase in annual dust emission (section 3.2) in our simulations, but the seasonal cycle changes significantly (Fig. 9, bottom left). The corresponding patterns of simulated dust emission show an enhanced dust productivity in the Western Sahara compared to the control run (section 3.3), where dust productivity increases toward the summer (Engelstaedter and Washington, 2007). Accordingly, dust emission is highest during summer in our simulation (June to August). Although the total amount of annual dust emission hardly changes, there is a clear shift in source regions and the seasonal cycle — when only mid-Holocene atmosphere-ocean conditions are set. Dust emission is strongly prevented throughout the year — when mid-Holocene vegetation and lakes are prescribed (LV0k). Hereby, the seasonal cycle of dust emission is closely linked to the seasonal plant growth. The leaf area index and the soil moisture increase during the summer months, when the West African monsoon becomes active. Nonetheless, the change of atmosphere-ocean conditions from 0k to 6k tends to shift the time of maximal dust productivity from March-May to May-July (compare AO6k LV6k and AO0k LV0k).
The analysis of the seasonal cycle of dust emission shows that mid-Holocene land surface cover suppresses dust emission throughout the year, which results in reduced annual dust emission. Although mid-Holocene atmosphere-ocean conditions do not provoke a significant change of the total annual amount of emitted dust in North Africa, they affect the atmospheric circulation, what is reflected in a changed seasonal cycle and a shift of dust source regions.

Appendix B

Precipitation and wind changes

We investigate changes in simulated wind and precipitation between experiment $AO_{6k}LV_{6k}$ and $AO_{6k}LV_{6k}$ and the control run, respectively, more in detail and compare to paleoevidence (Bartlein 2011) to ensure that Holocene climate variability is not underestimated by our model.

Precipitation is increased up to 1 mm/day in the $AO_{6k}LV_{6k}$ simulation compared to the control run (Fig. 22), which is consistent with the PMIP results (Braconnot et al. 2007). In general, global circulation models (GCM) underestimate the extent of the North African summer monsoon and precipitation during the mid-Holocene (Braconnot et al. 2007; Perez-Sanz et al. 2014). Thus, several studies emphasize the role of land cover-precipitation feedbacks to be crucial when simulating mid-Holocene climate in North Africa (Claussen et al. 1999; Irizarry-Ortiz et al. 2003; Rachmayani et al. 2015).

In experiment $AO_{6k}LV_{6k}$, the increase in precipitation compared to the pre-industrial control is up to 4 mm/day in the southern Sahara due to enhanced vegetation and lake surface area and related feedbacks. Between 10°N and 20°N the model overestimates the increase in precipitation compared to paleoevidence (Bartlein 2011), but north of 20°N an increase of 1-2 mm/day in North Africa seems realistic.

In conclusion, enhanced vegetation cover and lake surface area do not only have a direct effect by covering source areas and hence suppressing dust emission, but additionally land surface-precipitation feedbacks cause enhanced washing out of particles by rainfall.

We notice a weakening of south-west winds of about 3-4 m/s during the summer in experiment $AO_{6k}LV_{6k}$ compared to the control (Fig. 8 middle), whereas south-west winds decrease about 2 m/s in experiment $AO_{6k}LV_{6k}$. Changes in wind patterns are most likely related to a northward shift of the monsoon and enhanced precipitation during the summer. Thus, we ensure that wind changes are not underestimated by the model, because in contrast to most GCM, the increase in precipitation is not underestimated in experiment $AO_{6k}LV_{6k}$, when prescribing a more realistic mid-Holocene land surface cover.
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| ECHAM5-HAM2-ECHAM6.1-HAM2                 | 797.5 ± 94.8 ± 420.2 ± 75.6 9.9 ± 1.1 419.6 ± 47.4 74.8 ± 11.3 306.1 ± 39.9 | 420.2 ± 75.6 | 10.9 | 473 | 83 | 358 | 37

Table 1. Global dust emission, burden and deposition, and emission in North Africa (NA) from the AEROCOM models (Huneeus et al., 2011) including ECHAM5-HAM for the year 2000 and from ECHAM6-HAM2-ECHAM6.1-HAM2 averaged for 2000-2009. Uncertainties in the last two rows are standard deviations of the 10 year ensemble.
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Table 4, Dust accumulation Position, dust deposition fluxes for 0k and 6k and the corresponding flux ratio between 0k and 6k obtained from marine sediment cores close to the northwest African margin for 0k and 6k.
Table 5. Simulated dust deposition flux close to site GC37, GC49, ODP 658C, GC49 and GC68 for 0k and 6k and the corresponding flux ratios between 0k and 6k.

<table>
<thead>
<tr>
<th>No</th>
<th>Site</th>
<th>Dep. flux [gm$^{-2}$a$^{-1}$]</th>
<th>0k : 6k</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>GC37</td>
<td>GC49</td>
</tr>
<tr>
<td>5.19</td>
<td>GC68</td>
<td>8.3</td>
<td>6.0</td>
</tr>
<tr>
<td>6k 10</td>
<td>ODP 658C</td>
<td>2.5</td>
<td>3.2</td>
</tr>
<tr>
<td>ratio 11</td>
<td>GC49</td>
<td>2.1</td>
<td>8.3</td>
</tr>
<tr>
<td>12</td>
<td>GC37</td>
<td>4.4</td>
<td>5.1</td>
</tr>
<tr>
<td>Experiment</td>
<td>Emission [Tg yr$^{-1}$]</td>
<td>Burden [Tg]</td>
<td>Wet Dep. [%]</td>
</tr>
<tr>
<td>------------</td>
<td>-----------------</td>
<td>-------------</td>
<td>-------------</td>
</tr>
<tr>
<td>AO$_{6k}$</td>
<td>352.6 ± 44.3</td>
<td>2.62</td>
<td>20.6</td>
</tr>
<tr>
<td>LV$_{6k}$</td>
<td>360.5 ± 29.4</td>
<td>2.73</td>
<td>34.4</td>
</tr>
<tr>
<td>AO$_{6k}$</td>
<td>107.8 ± 12.3</td>
<td>1.04</td>
<td>43.4</td>
</tr>
<tr>
<td>LV$_{6k}$</td>
<td>96.1 ± 15.4</td>
<td>0.99</td>
<td>51.1</td>
</tr>
<tr>
<td>AO$_{6k}$</td>
<td>174.2 ± 28.8</td>
<td>1.69</td>
<td>47.2</td>
</tr>
<tr>
<td>LV$_{6k}$</td>
<td>177.7 ± 18.7</td>
<td>1.38</td>
<td>41.0</td>
</tr>
</tbody>
</table>

Table 6. Dust emission, burden, deposition and precipitation in North Africa (17°W - 40°E; 10°N - 30°N) and global life time of dust for altering atmospheric and ocean (AO) and land surface conditions (LV).
Table 7. Total difference in dust emission in North Africa (17°W - 40°E; 10°N - 30°N) and dust deposition along the northwest African margin (30°W - 17°W; 5°N - 35°N) between 6k and 0k and percentages of land surface conditions, atmosphere-ocean conditions and synergy effects to the total difference.
The link between marine sediment records and changes in Holocene Saharan landscape: simulating the dust cycle

Sabine Egerer 1,2, Martin Claussen 1,3, Christian Reick 1, and Tanja Stanelle 4

1Max Planck Institute for Meteorology, Bundesstraße 53, 20146 Hamburg, Germany
2International Max Planck Research School on Earth System Modelling, Bundesstraße 53, 20146 Hamburg, Germany
3Center for Earth System Research and Sustainability, Universität Hamburg, Bundesstraße 53, 20146 Hamburg, Germany
4Center for Climate System Modeling, ETH Zurich, Universitätsstrasse 16, 8092 Zurich, Switzerland

Correspondence to: Sabine Egerer (Sabine.Egerer@mpimet.mpg.de)

Abstract.

Marine sediment records reveal an abrupt and strong increase in dust deposition in the North Atlantic at the end of the African Humid Period about 4.9 ka to 5.5 ka ago. The change in dust flux has been attributed to varying Saharan land surface cover. Alternatively, the enhanced dust accumulation is linked to enhanced surface winds and a consequent intensification of coastal upwelling. Here we demonstrate for the first time the direct link between dust accumulation in marine cores and changes in Saharan land surface. We simulate the mid-Holocene (6 ka BP) and pre-industrial (1850 AD) dust cycle as a function of Saharan land surface cover and atmosphere-ocean conditions using the coupled atmosphere-aerosol model ECHAM6.1-HAM2.1. Mid-Holocene surface characteristics, including vegetation cover and lake surface area, are derived from proxy data and simulations. In agreement with data from marine sediment cores, our simulations show that mid-Holocene dust deposition fluxes in the North Atlantic were two to three times lower compared with pre-industrial fluxes. We identify Saharan land surface characteristics to be the main control on dust transport from North Africa to the North Atlantic. We conclude that the variation in dust accumulation in marine cores is likely related to a transition of the Saharan landscape during the Holocene and not due to changes in atmospheric or ocean conditions alone.

1 Introduction

The transition from the ‘green’ Sahara of the early to mid-Holocene, about 9 to 6 ka BP, to today’s hyperarid conditions was triggered by a steady shift in orbital forcing. Thereby, the Northern hemisphere received in average about 4.5% more summer insolation during the early to mid-Holocene compared to present times (Berger, 1978) causing a higher temperature gradient between the North
African subcontinent and the Eastern Atlantic Ocean prior to monsoon onset in late spring. This led to a strengthening of the West African summer monsoon and a consequent northward shift of the West African rain belt (Kutzbach 1981). A wet climate supported the establishment of permanent vegetation cover and lakes in the area of today’s hyperarid Sahara (Kutzbach and Street-Perrott 1985; Jolly et al. 1998; Kohfeld and Harrison 2000). Pollen records indicate a considerable expansion of vegetation in North Africa north of 15°N at that time (Prentice et al. 2000) with steppe, savanna and temperate xerophytic woods and shrubs extending up to 23°N (Jolly et al. 1998). Lakes and wetlands were widespread up to 30°N and covered about 7.6% of North Africa (Street-Perrott et al. 1989; Hoelzmann et al. 1998; Jolly et al. 1998; Kröpelin et al. 2008). The largest water body was lake Mega-Chad with an area of at least 350 000 km² presumably (Schuster et al. 2005).

Marine sediment cores along the northwest African margin reveal an abrupt and strong increase in dust accumulation in the North Atlantic of about 140% some 5.5 ka ago (Adkins et al. 2006) up to a factor of 5 about 4.9 ± 0.2 ka BP (McGee et al. 2013). The change in dust flux has been attributed to varying Saharan vegetation cover predicted by Brovkin et al. (1998) and Claussen et al. (1999) or was related to a change in lake surface area (Cockerton et al. 2014; Armitage et al. 2015). Alternatively, the enhanced dust accumulation is linked to enhanced surface winds and a consequent intensification of coastal upwelling (Adkins et al. 2006). However, until now there has been no modeling study that explicitly simulated the mid-Holocene dust cycle to explore the link between Saharan land surface cover and North Atlantic dust deposits at the particular location of the marine cores.

Two modeling studies of the dust cycle using general circulation models (GCMs) have covered the mid-Holocene era. Albani et al. (2015) performed two simulations of a 6 ka BP and a pre-industrial time slice using the Community Earth System Model (CESM) including a Bulk Aerosol Model (CAM4-BAM). Vegetation was set to pre-industrial conditions according to PMIP/CMIP prescriptions for both time slices. The soil erodibility was then scaled for each grid cell based on vegetation cover, which was obtained offline by BIOME4 simulations. The other GCM study was published by Sudarchikova et al. (2015) using the ECHAM5-HAM model. They performed simulations of the global dust cycle for several time slices including pre-industrial and mid-Holocene with focus on Antarctica. Paleoclimatic vegetation was simulated with the dynamic vegetation model LPJ-GUESS. They obtained a similar fractional vegetation cover distribution in North Africa for mid-Holocene and pre-industrial. This is in contradiction with paleorecords that specify extensive vegetation indicating a much higher vegetation cover fraction between 15°N and 23°N (Hoelzmann et al. 1998; Jolly et al. 1998). As sparse or non-vegetated areas are potential dust sources, Saharan dust emission was thus overestimated for the mid-Holocene (results for North African dust emission presented in Sudarchikova 2012). Additionally, the extent of paleolakes was not taken into account in either study, despite the fact that areas covered by lakes lose their potential as a dust source. Accordingly, marine sediment records along the northwest African margin (deMenocal et al. 2000; Adkins et al. 2006)
2006 McGee et al., 2013 Albani et al. 2015 indicate a lower dust accumulation rate and less dust emission in North Africa than suggested in the modeling studies. Also in Albani et al. 2015, deviations between modeled and observed dust depositions in the North Atlantic could arise from an underestimation of vegetation cover as models typically fail to capture mid-Holocene vegetation cover as indicated by proxies Hoelzmann et al. 1998 to its full extent Doherty et al. 2000, Irizarry-Ortiz et al. 2003 Rachmayani et al. 2015.

To overcome the shortcomings of previous simulation studies on the mid-Holocene dust cycle, we account for a more realistic land surface cover. We prescribe mid-Holocene vegetation conditions in North Africa based on reconstructions of Hoelzmann et al. 1998 and specify the distribution of paleolakes from simulations Tegen et al. 2002. We investigate Holocene dust emission, transport and deposition explicitly as a function of Saharan land surface characteristics. To quantify changes in marine dust deposition, we perform equilibrium simulations of the mid-Holocene (6k) and pre-industrial (0k) dust cycle using the coupled climate-aerosol model ECHAM6.1-HAM2.1. The investigations are guided by the following questions: Can we support the interpretation of enhanced dust accumulation seen in the marine sediment cores as a consequence of changes in North African landscape? Or can already changes in climate alone explain these observations? Technically, we separate the importance of land surface and climate on dust emission and deposition following the factor separation method of Stein and Alpert 1993.

In section 2, the model and the experimental setup is described and the factor separation method is introduced briefly. The model is evaluated by comparing present day global dust emission quantitatively and qualitatively with the AEROCOM Intercomparison study Huneeus et al. 2011. Results are presented in section 3. Simulated mid-Holocene and pre-industrial dust deposition rates are compared to those indicated from marine sediment records along the northwest African margin. A factor analysis is conducted to determine the influence and weighting of land surface conditions and orbital-forcing induced climate conditions, respectively. A discussion of the results, conclusions and suggestions for future studies follow in section 4.
2 Methodology

2.1 Model description

We employ the comprehensive climate-aerosol model ECHAM-HAM (echam6.1.0-ham2.1-moz0.8) (Stier et al., 2005) at a model resolution of T63L31 corresponding to a horizontal resolution of approximately 1.9° x 1.9° and 31 vertical (hybrid)sigma-pressure levels in the atmosphere. Sea surface temperature (SST), sea ice cover (SIC), vegetation and lake cover are prescribed.

The aerosols included in the model are mineral dust, sulfate, black carbon, organic carbon and sea salt. The aerosol concentrations from natural sources are calculated interactively in the model. Additionally, emissions from anthropogenic sources are prescribed. In the analysis, we focus only on mineral dust.

We use a model version equivalent to Stanelle et al. (2014) where the standard version is extended to determine potential dust source areas directly depending on land surface cover. Regions which are not covered by any vegetation or which are covered by sparse vegetation as grass, shrubs or crops are potential source regions. Additionally, the role of exposed paleolake beds as preferential sources of dust under dry conditions is accounted for in the model. The surface material deposited in the paleolake basins is assumed to consist of silt-sized aggregates, which makes them a highly productive source of dust (Tegen et al., 2002). Dust particles are emitted from preferential and potential source regions if specific criteria are fulfilled (e.g. the wind velocity has to exceed a threshold, the soil is not covered by snow, the upper soil layer has to be dry).

The amount of emitted aeolian dust areas is calculated following Tegen et al. (2002). Dust particles are grouped in 192 dust size classes with diameters ranging from 0.2 to 1300 µm. After exceeding a threshold friction wind velocity, that is specific for each size class and depends on soil moisture and texture, dust fluxes increase nonlinearly as a function of wind velocity. The explicit formulation of the calculation of horizontal fluxes is following Marticorena and Bergametti (1995). The main mechanism considered in the scheme is saltation bombardment. The ratio between vertical and horizontal emission fluxes is prescribed for different soil types based on empirical measurements and depends on particle size distribution and surface properties (Marticorena et al., 1997). Soil types are clay, silt, medium/fine sand and coarse sand (Tegen et al., 2002). Vertical emission fluxes are then integrated over all size classes and divided into aerosol modes, for which log-normal distributions are prescribed: accumulation mode (mass mean radius (mmr)=0.37 µm, standard derivation σ=1.59 µm) and coarse mode (mass mean radius (mmr)=1.75 µm, standard derivation σ=2 µm). Emission into the super-coarse mode is neglected because of the short life time of particles. Aerosol transport and interaction with the atmosphere is calculated according to Stier et al. (2005). Dust is removed from the atmosphere via dry deposition, wet deposition or sedimentation.
2.2 Model validation

Within the framework of the AEROCOM global dust model intercomparison project, the results of several global aerosols models are compared to observations to detect uncertainties and shortcomings in the simulation of the global dust cycle under present day climate (Huneeus et al., 2011). There still remain large uncertainties in modeling the global dust cycle. Among the models, simulated dust emission, deposition and the atmospheric burden vary by about an order of magnitude, for example emissions in North Africa range from 204 to 2888 Tga\(^{-1}\).

A detailed evaluation of the current model version is presented by (Stanelle et al., 2014). Emission and deposition fluxes as well as the atmospheric burden are within the range of the AEROCOM results for ECHAM6.1-HAM2.1 for present day climate, but results of the ECHAM-HAM model are found to be lower than the AEROCOM median in general (see their Table 1).

2.3 Experimental setup

We perform equilibrium simulations to study the mid-Holocene (6\(\text{k}\)) and pre-industrial (0\(\text{k}\)) global dust cycle. The main setup consists of four experiments (Table 2) to 1) compare with marine sediment records for both 6\(\text{k}\) and 0\(\text{k}\) (section 3.1) and 2) identify the drivers of a change in dust flux between 6\(\text{k}\) and 0\(\text{k}\) (section 3.2). Thereby, we separate two factors: a) Saharan land surface conditions (vegetation cover and lake surface area) and b) atmosphere-ocean conditions including orbital forcing, sea surface temperature and sea ice cover.

AO refers to atmosphere and ocean conditions. Orbital parameters are adapted to 0\(\text{k}\) and 6\(\text{k}\) respectively following Berger (1978) (Table 3). Prescribed sea surface temperature and sea ice cover for the pre-industrial era and the mid-Holocene respectively are taken from CMIP5 simulation runs with MPI-ESM (Giorgetta et al., 2013). The setup is defined following the CMIP5 protocol (Taylor et al., 2011). LV defines land surface conditions including lake and vegetation cover. Mid-Holocene vegetation cover reconstruction in North Africa (17°W - 40°E; 10°N - 30°N) is based on a vegetation map of Hoelzmann et al. (1998). In this approach, pollen data is linked to corresponding biomes; roughly, steppe vegetation is assumed between 10°N and 20°N and savanna vegetation between 20°N and 30°N. In the land surface component JSBACH of ECHAM, biomes are represented as a composition of plant functional types (PFT). Vegetation fraction and cover fractions of all eleven PFTs, surface albedo and water conductivity are set accordingly. Thereby, steppe is linked to C4 grasses and a vegetation cover of 58%. Savanna is composed of 80% C4 grasses and 20% tropical evergreen forest, where vegetation covers 80% of the land (Hagemann, 2002). In JSBACH, a standard vegetation map for pre-industrial conditions was derived from Hagemann (2002) based on satellite data. Pre-industrial and reconstructed mid-Holocene vegetation fraction are plotted in Fig. 1. During the mid-Holocene the extent of lakes was much more pronounced than it is today (Hoelzmann et al., 1998; Gasse, 2000). Thus, the fractional lake mask in the model is adapted to a
reconstruction of paleolakes from Tegen et al. (2002). They calculated the maximum possible lake extent by filling up closed topographic basins using a high-resolution water routing and storage model (see Fig. 1 for $0k$ and $6k$ lake fraction).

In addition to the main simulations, we perform two simulations to separate the effect of altering vegetation and lake cover under mid-Holocene atmosphere-ocean conditions. In the fifth simulation, $AO_{0k}L_{0k}V_{6k}$, mid-Holocene vegetation is set and paleolakes are neglected. In the sixth simulation, $AO_{0k}L_{6k}V_{0k}$, only paleolakes are considered, whereas vegetation cover is set to the pre-industrial state (Table 2).

Each simulation is run for 31 years including one year of spin-up time. Thus, all results refer to an average of 30 years. The setup, including orbital forcing parameters and greenhouse gases, is following the PMIP project standards (Harrison et al. (2001); Table 3).

2.4 Factor separation

To isolate the impacts of a) land surface conditions and b) atmosphere-ocean conditions on dust emission in North Africa and deposition fluxes in the North Atlantic along the northwest African margin, we apply the factor separation method of Stein and Alpert (1993) to the four main simulations $AO_{0k}L_{0k}V_{0k}$, $AO_{0k}L_{0k}V_{6k}$, $AO_{0k}L_{6k}V_{0k}$ and $AO_{0k}L_{6k}V_{6k}$. We explain the methodology exemplified for dust emission. The amount of emitted dust in North Africa is

$$ f(s) = \int_{10^\circ_\text{N}}^{30^\circ_\text{N}} \int_{17^\circ_\text{W}}^{40^\circ_\text{E}} e_s(x,y)dydx, \quad s \in \{AO_{0k}L_{0k}V_{0k}, AO_{0k}L_{0k}V_{6k}, AO_{0k}L_{6k}V_{0k}, AO_{0k}L_{6k}V_{6k}\} \quad (1) $$

where $e_s(x,y)$ is the simulated dust emission at point $(x,y)$ for simulation $s$.

The total difference in dust emission in North Africa between $6k$ and $0k$

$$ \Delta_{6k-0k} = f(AO_{0k}L_{6k}V_{0k}) - f(AO_{0k}L_{0k}V_{0k}) \quad (2) $$

is divided into three components

$$ \Delta_{6k-0k} = \Delta_{AO} + \Delta_{LV} + \Delta_{SYN}. \quad (3) $$

The contribution $\Delta_{AO}$ due to differences in orbital forcing, sea surface temperature and sea ice cover and the contribution $\Delta_{LV}$, which captures the effects of changed land surface cover, are given by

$$ \Delta_{AO} = f(AO_{0k}L_{0k}V_{0k}) - f(AO_{0k}L_{0k}V_{6k}), \quad (4) $$

$$ \Delta_{LV} = f(AO_{0k}L_{0k}V_{0k}) - f(AO_{0k}L_{0k}V_{0k}). \quad (5) $$

The synergy between both factors reads

$$ \Delta_{SYN} = f(AO_{0k}L_{6k}V_{0k}) - f(AO_{0k}L_{0k}V_{6k}) - (\Delta_{AO} + \Delta_{LV}) \quad (6) \\
= f(AO_{0k}L_{6k}V_{0k}) - f(AO_{0k}L_{0k}V_{0k}) - f(AO_{0k}L_{0k}V_{6k}) + f(AO_{0k}L_{0k}V_{0k}). \quad (7) $$
3 Results

The Sahara is today one of the largest dust sources worldwide, which is captured by our simulations depicted in Fig. 2. In agreement with satellite data (Middleton and Goudie 2001; Engelstaedter and Washington 2007), we find especially the dry non-vegetated areas in Western Africa and the Bodélé Depression in the central Sahara to be highly productive dust sources. The patterns of deviations in dust emission between the 6k simulation and the pre-industrial control are clearly related to differences in lake fraction, which we show in section 2 (Fig. 1). Obviously, during the mid-Holocene no dust could be emitted from areas covered with lakes, e.g. lake Mega-Chad covered the area where we find the Bodélé Depression today (Schuster et al. 2005). Also in West Africa smaller lakes and wetlands were widespread preventing dust emission. In contrast, low-vegetated areas allow for some dust emission.

While land surface conditions were modified solely in North Africa, we notice a small area with changing dust emission in the south of the Arabian peninsula and dust depositions expanding from the south of the Arabian peninsula to the Himalaya. Detailed investigations (not shown here) reveal that these anomalies only appear during boreal summer and we conclude that they are a consequence of a changed West African summer monsoon and corresponding wind patterns (Kutzbach and Otto-Bliesner 1982; Weldeab et al. 2007).

Simulated deposition patterns in Fig. 2 reveal that Saharan dust is transported across the Atlantic to the Amazon basin for 0k. They are in agreement with patterns from other modeling studies for the pre-industrial era (Mahowald et al. 1999; Tegen et al. 2002).

3.1 Dust deposition rates in the North Atlantic: Comparison with marine sediment records

We verify our simulation results by comparing with data from marine sediment cores for the pre-industrial control (experiment AO0k LV0k; referred to as 0k) and for the mid-Holocene (experiment AO6k LV6k; referred to as 6k). An evaluation for both time slices is important because we are interested in differences in dust fluxes between 0k and 6k.

Numerous studies of marine sediment records provide data of dust deposition rates in the North Atlantic Ocean which are comparable to our pre-industrial control simulation (see Table 4 and Fig. 3 for site locations). Only few studies present transient Holocene records of lithogenic dust fluxes in the Atlantic along the northwest African margin between 19°N and 31°N (deMenocal et al. 2000). In the studies, the terrigenous fraction of the sediments was calculated by subtracting the carbonate, opal and organic carbon percentages from the total flux following Wefer and Fischer (1993). The studies of deMenocal et al. (2000) and Adkins et al. (2006) both investigate fluxes at core ODP Site 658C, but the latter study accounts for sediment redistribution via 230Th normalization similar to McGee et al. (2013). Additionally, McGee et al. (2013) apply grain size endmember modeling to separate eolian and hemipelagic fluxes. Further,
Albani et al. (2015) provides an updated observational dataset with higher temporal resolution and information about particle size distribution. All studies found large differences in dust accumulation between the mid-Holocene and the pre-industrial era.

We obtain simulated dust deposition rates in the grid cell whose midpoint is closest to the corresponding site location. The order of magnitude of the simulated fluxes is in agreement with data for both $0k$ and $6k$ (Fig. 4). For the mid-Holocene, slightly higher values are found in our simulations compared to those indicated by marine sediments (McGee et al., 2013). The spatial log correlation coefficient of observed and modeled values at different sites (Fig. 3) is 0.89 for $0k$ and 0.85 for $6k$.

According to our $0k$ simulation, dust deposition fluxes vary between 5.1 gm$^{-2}$a$^{-1}$ and 18.5 gm$^{-2}$a$^{-1}$ compared to an observed data range of 3.4 gm$^{-2}$a$^{-1}$ to 22 gm$^{-2}$a$^{-1}$. For $6k$, they vary between 2.5 gm$^{-2}$a$^{-1}$ and 6 gm$^{-2}$a$^{-1}$ compared to 0.92 gm$^{-2}$a$^{-1}$ to 4.1 gm$^{-2}$a$^{-1}$ in the sediment cores (Table 5). In order to analyze changes in dust deposition between the mid-Holocene and pre-industrial era, we calculate the ratio between the $0k$ and $6k$ simulated dust deposition rates corresponding to the sediment cores of McGee et al. (2013) and Adkins et al. (2006) (Table 5). The incremental factor of simulated dust deposition fluxes between $0k$ and $6k$ varies from 2.1 to 3.1 and increases monotonically from north to south. McGee et al. (2013) calculated a ratio between 3.7 and 5.4 between $0k$ and $6k$, whereas a ratio of 2.4 was found in the study of Adkins et al. (2006).

An increase of dust fluxes from north to south was observed by McGee et al. (2013). This is also seen in our model results (Fig. 5). To determine the north-south gradient, simulated dust deposition rates in the three ocean grid cells that are closest to the northwest African margin between 19$^\circ$N and 27$^\circ$N are considered (Fig. 5). We interpolate the simulated dust deposition fluxes linearly as a function of latitude applying the least square method (straight line in Fig. 5). For $0k$, simulated dust deposition rates increase thus by 1.76 gm$^{-2}$a$^{-1}$ per degree latitude; for $6k$, they increase by 0.67 gm$^{-2}$a$^{-1}$ per degree latitude. The north-south gradient obtained from marine sediment core data (Table 4) differs slightly from ours with dust accumulation increasing by 2.55 gm$^{-2}$a$^{-1}$ per degree latitude for $0k$ and 1.47 gm$^{-2}$a$^{-1}$ per degree latitude for $6k$.

Additional to dust accumulation rates, Albani et al. (2015) have presented particle size distributions in the marine cores. We have plotted the size distribution of simulated atmospheric surface aerosol concentrations in the coarse mode (accounting for 98% of all aerosols) for $0k$ and $6k$ at the position of marine core GC68 (Fig. 6) and compared to the observed dust size distribution in the sediment core of Albani et al. (2015). Marine core GC68 is representative for the cores GC49 and GC37, since simulations and observations show a similar distribution for those cores (not shown). Note that in our model output it was not possible to separate the size distribution of dust from the one of all aerosols. However, most other aerosols exist primarily in the nucleation, aitken and accumulation mode with a smaller median diameter. Dust is the only representative of the insoluble coarse mode. In the soluble coarse mode, only sea salt particles exist with an approximately similar mass mixing ratio as mineral dust, the concentration of the remaining aerosols is much lower in com-
In our model output, we find a similar aerosol median diameter for soluble and insoluble particles. Thus, we assume that the aerosol size distribution obtained from our model results is in principle representative for the dust size distribution.

We notice a quite similar particle distribution for 0k and 6k in our model results (Fig. 6). This is in agreement with observations and model results of Albani et al. (2015), who stated that during the Holocene the temporal variability of the dust size distribution is very limited. Compared to observations of Albani et al. (2015), the simulated mean aerosol diameter is relatively small (Fig. 6). Mahowald et al. (2014) pointed out that the atmospheric surface concentrations are in general finer than the ones deposited in marine cores because coarser particles are removed preferentially from the atmosphere whereas finer particles are transported further downwind to the Atlantic Ocean. The mean diameter of our simulated size distribution is in average higher than the one of the modeled size distribution of atmospheric surface concentrations along the northwest African margin of Mahowald et al. (2014; Fig. 8k,l) but smaller than of observed values (Mahowald et al. 2014; Fig. 8k).

3.2 Influence of land surface conditions and atmosphere-ocean conditions on dust emission, transport and deposition

The simulated dust emission, atmospheric burden, total deposition and precipitation in North Africa (defined as the area 17°W - 40°E; 10°N - 30°N) and the global life time of dust in the atmosphere for the conducted experiments are summarized in Table 6. Additionally, percentages of wet deposition, dry deposition and sedimentation of the total deposition are presented. Standard deviations of the 30 year dust emission ensemble are given.

Pre-industrial land surface conditions result in much higher dust emission compared to mid-Holocene land surface conditions. This is valid independently of atmospheric and ocean boundary conditions. Emissions in North Africa are 3.3 to 3.8 times higher for \( AO_x LV_{0k} \) compared to \( AO_x LV_{6k} \) with \( x \in \{0k, 6k\} \). Rates of deposition and the dust burden in the atmosphere in North Africa increase by a factor of 2.1 to 2.3 and 2.5 to 2.8, respectively. In experiment \( AO_{6k} LV_{0k} \), the dust cycle is enhanced only slightly compared to the pre-industrial control (\( AO_{0k} LV_{0k} \)). On the other hand, for mid-Holocene land surface cover (\( LV_{6k} \)), mid-Holocene atmosphere-ocean conditions reduce emission and enhance deposition slightly (compare \( AO_{0k} LV_{6k} \) and \( AO_{6k} LV_{6k} \) in Table 6).

Is the suppression of dust emission by land surface conditions due to increased lake surface area or rather linked to enhanced vegetation cover? In experiments \( AO_{6k} L_{0k} V_{6k} \) and \( AO_{6k} L_{6k} V_{0k} \), we change lake surface area and vegetation cover separately; one is set to 6k conditions, while the other one remains in the pre-industrial state. In either experiment, dust emission is approximately halved and deposition reduces to about 70% compared to the pre-industrial control (compare Table 6). Emission and deposition fluxes are still higher than fluxes obtained with fully mid-Holocene land surface cover. The burden is slightly higher for \( AO_{6k} L_{6k} V_{0k} \) compared to \( AO_{6k} L_{0k} V_{6k} \). In
conclusion, paleolakes and mid-Holocene vegetation both contributed nearly to the same extent to a reduced dust cycle during the mid-Holocene.

About 20.6% of the simulated total deposition in North Africa is due to wet deposition for the pre-industrial control \( AO_{0k} LV_{0k} \) compared to about 51.1% for mid-Holocene conditions \( AO_{6k} LV_{6k} \) corresponding to increased annual rainfall from 0.66 mm day\(^{-1}\) to 1.97 mm day\(^{-1}\) (Table 6). Consequently, the global life time of dust in the atmosphere decreases (from 4.4 to 3.7 days) when mid-Holocene land surface is prescribed because particles are washed out more rapidly from the atmosphere. This result is almost unaffected by a change in orbit and ocean conditions. Only about 41% of Saharan dust is deposited in the emission area for pre-industrial conditions. Hence, a large amount of dust is transported downwind beyond North Africa to the North Atlantic and even reaching to the Amazon area as shown in Fig. 2. In contrast, the ratio of deposited versus emitted dust in North Africa is about 75% for mid-Holocene conditions, which is related to shorter life times, enhanced rainfall and a higher impact of wet deposition.
3.3 Factor analysis of controls on dust emission and deposition

We separate the impacts of a) land surface conditions and b) atmosphere-ocean conditions on dust emission in North Africa and deposition fluxes in the North Atlantic along the northwest African margin. Therefore, we use the factor separation method of Stein and Alpert (1993) as briefly introduced in section 2.4.

In Table 7, the total difference \( \Delta_{6k-0k} \), the contribution \( \Delta_{AO} \) due to differences in orbital forcing, sea surface temperature and sea ice cover, the contribution \( \Delta_{LV} \), which captures the effects of changed land surface cover, and the synergy between both factors \( \Delta_{SYN} \) are presented for dust emission in North Africa and deposition along the northwest African margin. Differences due to changes in land surface conditions \( \Delta_{LV} \) differ not more than 5% from the total differences \( \Delta_{6k-0k} \).

We conclude that land surface cover was the main control on dust emission in North Africa and associated deposition along the northwest African margin during the mid-Holocene. The impact of atmosphere-ocean conditions \( \Delta_{AO} \) is even slightly negative for dust emission and has a negative effect of 16.5% of the total differences for dust deposition in the North Atlantic. The synergy effect is 7.6% for dust emission and 20.4% for dust deposition.

Comparing patterns of dust emission in North Africa (Fig. 7) and dust deposition in the North Atlantic (Fig. 8) visually, emphasizes the high impact of land surface conditions. The patterns of the contribution \( \Delta_{LV} \) and the total difference \( \Delta_{6k-0k} \) are almost identical. Mid-Holocene atmosphere-ocean conditions with fixed pre-industrial land surface \( (AO_{6k}L_{0k}) \) lead to a change in dust emission only locally. Interestingly, there is an increase in dust emission from the Western Sahara, whereas less dust is emitted from the Bodélé Depression. Dust deposition in the North Atlantic does not differ much from the control and is even slightly enhanced between 10°N and 15°N. The change in dust sources and deposition patterns is linked to a changed seasonal cycle (see Appendix A).

Relating Fig. 7 to Fig. 8, this analysis demonstrates that emission in North Africa is directly linked to deposition in the North Atlantic along the northwest African margin. In our simulations, we find land surface conditions to be the main control on dust emission and deposition with a contribution of more than 95%. Changes in dust transport due to changes in atmospheric processes play a minor role.

4 Discussion and conclusion

We have explored the question whether differences in dust deposition fluxes in the North Atlantic Ocean between the pre-industrial (1850 AD) and mid-Holocene (6 ka BP) as indicated by marine sediments (deMenocal et al., 2000; Adkins et al., 2006; McGee et al., 2013; Albani et al., 2015) were induced by variations in North African land surface cover.

Therefore, we have simulated the dust cycle for both eras. We have analyzed the contribution of a change in land surface conditions, including vegetation cover and lake surface area, and the con-
tribution of differing atmosphere-ocean conditions to a difference in dust emission and deposition between the mid-Holocene and the pre-industrial control. In our simulations, orbital forcing parameters and ocean conditions are adjusted respectively and mid-Holocene land surface conditions are fixed according to vegetation reconstructions of Hoelzmann et al. (1998) and simulations of lake surface area (Tegen et al., 2002).

Our simulation results support the hypothesis of decreased dust activity in North Africa during the African Humid Period (AHP) at 6 ka BP compared to pre-industrial times with reduced dust emission fluxes from the Saharan desert and an associated decrease of dust accumulation in the North Atlantic. Simulated mid-Holocene dust emission fluxes are reduced to about 27% of pre-industrial fluxes and simulated deposition fluxes are lower by a factor between 2.1 and 3.1 for specific site locations. This result is in agreement with a marine sediment record of Adkins et al. (2006) that indicates a lower deposition flux by a factor of 2.4 for the mid-Holocene compared to pre-industrial, but not with the values of McGee et al. (2013), who find an average factor of 4.5 for those sites. McGee et al. (2013) argue that the amplitude of a change in dust flux is underestimated by Adkins et al. (2006) because the record does not separate eolian and fluvial/shelf inputs. The relatively low contrast of mid-Holocene and pre-industrial fluxes of our study compared to McGee et al. (2013) arise from higher mid-Holocene deposition rates in the North Atlantic, whereas pre-industrial fluxes are approximately similar. However, prescribing land surface cover according to paleorecords (Hoelzmann et al., 1998), reduces the deviation between simulated deposition and dust accumulation from marine records for the mid-Holocene compared to previous simulation studies (Albani et al., 2015).

Comparing dust deposition fluxes at the surface to deep sea sediment accumulations while disregarding ocean currents and other disturbances could entail biases in the fluxes. However, Ratmeyer et al. (1999) argued that in the area of the chosen cores, there is a fast and mostly undisturbed downward transport of lithogenic material in the water column. Thus, sedimentation fluxes mostly correlate well between upper and lower ocean depths and the surface.

Further, we find a north-south increase of dust deposition rates along the northwest African margin during the mid-Holocene and pre-industrial era, which is consistent with observations of McGee et al. (2013). The increase in dust deposition with decreasing latitude can presumably be attributed to the wind climatology. According to the NCEP reanalysis (Kalnay et al., 1996), present day surface winds are increasing from north to south along the northwest African margin and can thus transport higher amounts of dust to the ocean. Additionally, we have compared the particle size distribution in the marine sediment cores presented by Albani et al. (2015) with the particle size distribution of simulated aerosol concentrations at the surface. In agreement with observations (Albani et al., 2015), we find neither large spatial nor temporal variability in Holocene particle size distribution.

We identify land surface cover to be the main control on dust emission in North Africa and associated dust deposition in the North Atlantic. A factor separation analysis confirms this finding and illustrates the direct link between patterns of dust emission fluxes in North Africa and deposition
fluxes in the North Atlantic along the northwest African margin. Differences in lake surface area and vegetation cover respectively appear to contribute by about the same amount to the reduced dust cycle of the mid-Holocene, although paleolakes covered a much smaller area than vegetation. Paleolakes suppressed dust emission completely on a particular area, whereas vegetation was spread out in the whole Sahara, but its type and distribution still enabled dust emission. The vegetation at 6k consisted mainly of grasses and some shrubs and thus vegetation of low stature with a relatively low roughness length (compared to e.g. trees), which was somehow distributed in patches. Thus, there still remained larger areas of bare soil, which served as sources of dust.

In the model, a grid box is divided into fractions of bare soil and vegetation. Bare soil areas are potential dust sources. Additionally, (Stanelle et al., 2014) account for 'gaps' within the vegetated area, where dust emission can occur. Thus, although a relatively high vegetation fraction is prescribed for the mid-Holocene (58% for steppe and 80% for savanna), our model predicts a reasonable amount of emitted dust. Biases may occur from the rather simplistic reconstructed vegetation cover of (Hoelzmann et al., 1998) as homogenous vegetation is prescribed for a large area due to a lack of detailed information on vegetation cover. A more diverse vegetation cover could influence near surface winds. Dust emission occurs only above a threshold wind velocity and is very sensitive to changes in near surface winds. Hence, the distribution of vegetation surely influences dust emission locally. Nevertheless, we assume that the total amount of emitted dust and the corresponding deposited amount of dust in the North Atlantic is not significantly affected by a uniform vegetation distribution.

The prescribed mid-Holocene lake surface area rather represents the potential maximum areal lake extent obtained from filling up topographic depression assuming unlimited water supply (Tegen et al., 2002). This results in a lake surface area of about 12% of North Africa, whereas paleoreconstructions assume a total lake surface area of about 7.6% (Hoelzmann et al., 1998). Thus, dust emission is underestimated in our simulations due to suppression by lake coverage. Considering this bias, it seems likely that the relative importance of vegetation cover on the suppression of dust emission is higher than the one of lakes.

A change to mid-Holocene atmosphere-ocean conditions alone (experiment $AO_{6k}LV_{0k}$) affects the total amount of emitted and deposited dust only marginally compared to the control. They have, however, an impact on the seasonal dust cycle and dust source regions. In experiment $AO_{6k}LV_{0k}$, precipitation in the southern Sahara is enhanced by about 1 mm/day compared to 0k and the monsoon propagates further north during summer. Nevertheless, the amount of precipitation and the northward propagation of the Westafrikan monsoon during summer is underestimated in comparison with paleoevidence (Bartlein, 2011). This bias appears in most simulations of the PMIP intercomparison study (Braconnot et al., 2007). We found that in experiment $AO_{6k}LV_{0k}$, where additionally a more realistic land surface is prescribed for 6k, precipitation is even overestimated in the southern Sahara and is in agreement with paleodata of Bartlein (2011) north of 20°N. A weakening of south-west winds in experiment $AO_{6k}LV_{0k}$ of about 3-4 m/s compared to the control run and of 2
m/s in experiment $AO_{6k}LV_{0k}$ was found during summer, which is related to the enhanced monsoon and precipitation. Weakened surface winds are related to a reduction in coastal upwelling during the mid-Holocene as noted by [Adkins et al., 2006]. We conclude that changes in orbital forcing alone are not the driver of changes in precipitation and surface winds, but land surface-climate feedbacks play an important role, which was earlier suggested by [Coe and Bonan, 1997], [Claussen et al., 1999] and [Rachmayani et al., 2015].

Emission, transport and deposition of dust are closely linked to each other. Land surface characteristics and surface winds are the major controls of dust emission. Meteorological conditions determine dust transport and deposition. Enhanced rainfall results in a higher fraction of wet deposition compared to dry deposition and sedimentation. In our simulations, the fraction of wet deposition of the total deposition increases from about 20% during 0k to about 51% during 6k corresponding to a three times higher amount of rainfall and a decrease in global life time of dust. Additionally to the direct suppression of dust emission by extended land surface cover, land surface-precipitation feedbacks enhance rainfall and dust particles are washed out more rapidly from the atmosphere, reducing dust transport further.

Uncertainties in the simulated physical climate that arise from model biases for pre-industrial times are reported in [Giorgetta et al., 2013] for MPI-ESM (including ECHAM6 as atmospheric general circulation model) in the frame of CMIP5. They mention a dry bias in the tropics over land north of the equator. However, since differences in precipitation between 6k and 0k are in agreement with paleoevidence, we assume the bias not to have a significant effect.

By explicitly modeling global dust emission, transport and deposition, our results add confidence to the hypothesis that higher sedimentation rates during the early to mid-Holocene in marine sediment cores close to the northwest African margin must be interpreted as a result of either more extensive vegetation (‘green Sahara’), a result of extended paleolakes or a combination of both.

The issue of the abruptness of increased dust accumulation in the marine cores during the Holocene remains to be solved. Do land surface-climate feedbacks generate a sudden reduction of vegetation cover or lake surface area, resulting in an abrupt exposure of dust source areas? Or can the abrupt change in dust deposition in the North Atlantic be interpreted as a nonlinear response of Saharan dust emission to a steadily changing surface? Do multiple equilibria or bifurcations exist in the dynamic interaction of dust, vegetation and climate? These questions will have to be addressed by transient climate simulations including interactive vegetation and a scheme that dynamically simulates the extent of surface water areas following [Stacke and Hagemann, 2012] into the climate-aerosol model.

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Appendix A

Wind patterns and annual cycle

An analysis of the seasonal cycle of dust emission in relation to meteorological conditions is provided to get a deeper understanding of our simulation results. We present the seasonal cycle of dust emission for our main experiments and relate them to seasonal wind patterns.

North African dust emission is linked to a distinct seasonal cycle (Engelstaedter and Washington, 2007). Northeasterly near surface trade winds below 1000m height are responsible for the majority of dust transport from the Saharan desert toward the North Atlantic during the winter months (Ratmeyer et al., 1999; Engelstaedter and Washington, 2007). In our simulations, northeasterly winds are strongest along the coast during winter (Fig. 9, top). Accordingly, maximum dust emission rates occur from January till April (Fig. 10). Dust production in the Western Sahara becomes active towards the summer. Dust is then lifted up and transported by the Harmattan or Saharan Air Layer (SAL) (Carlson and Prospero, 1972), that is coupled to the African Easterly Jet at 1000m to 5000m height (Tiedemann et al., 1989). Accordingly, the convergence belt is shifted northwards during boreal summer. We notice a second smaller peak of dust emission around June in the control run. Dust activity is decreasing at the end of the year in all regions (Fig. 10). The Bodélé Depression in central Chad is active throughout most of the year. In this region, dust is emitted and lifted up by Harmattan winds.

Mid-Holocene wind patterns hardly change during winter compared to the pre-industrial control, whereas during the summer months the ITCZ propagates further north (Fig. 9, middle). Wind fields from the Eastern Atlantic ocean to the Sahel area in the southwest induced by the West African monsoon extend further north. Consequently, the transport of dust from North Africa to the North Atlantic is reduced.

If orbital forcing is adjusted to mid-Holocene conditions and pre-industrial land surface is kept ($AO_{6k}$ $LV_{0k}$), we obtain only a slight increase in annual dust emission (section 3.2) in our simulations, but the seasonal cycle changes significantly (Fig. 10, bottom left). The corresponding patterns of simulated dust emission show an enhanced dust productivity in the Western Sahara compared to the control run (section 3.3), where dust productivity increases toward the summer (Engelstaedter and Washington, 2007). Accordingly, dust emission is highest during summer in our simulation (June to August). Although the total amount of annual dust emission hardly changes, there is a clear shift in source regions and the seasonal cycle when only mid-Holocene atmosphere-ocean conditions are set. Dust emission is strongly prevented throughout the year when mid-Holocene vegetation and lakes are prescribed ($LV_{6k}$). Hereby, the seasonal cycle of dust emission is closely linked to the seasonal plant growth. The leaf area index and the soil moisture increase during the summer months, when the West African monsoon becomes active. Nonetheless, the change of atmosphere-ocean conditions from $0k$ to $6k$ tends to shift the time of maximal dust productivity from March-May to May-July (compare $AO_{6k}$ $LV_{6k}$ and $AO_{6k}$ $LV_{6k}$).
The analysis of the seasonal cycle of dust emission shows that mid-Holocene land surface cover suppresses dust emission throughout the year, which results in reduced annual dust emission. Although mid-Holocene atmosphere-ocean conditions do not provoke a significant change of the total annual amount of emitted dust in North Africa, they affect the atmospheric circulation, what is reflected in a changed seasonal cycle and a shift of dust source regions.

Appendix B

Precipitation and wind changes

We investigate changes in simulated wind and precipitation between experiment $AO_{6k} LV_{0k}$ and $AO_{6k} LV_{6k}$ and the control run, respectively, more in detail and compare to paleoevidence (Bartlein, 2011) to ensure that Holocene climate variability is not underestimated by our model.

Precipitation is enhanced up to 1 mm/day in the $AO_{6k} LV_{0k}$ simulation compared to the control run (Fig. 11), which is consistent with the PMIP results Braconnot et al. (2007). In general, global circulation models (GCM) underestimate the extent of the North African summer monsoon and precipitation during the mid-Holocene (Braconnot et al., 2007; Perez-Sanz et al., 2014). Thus, several studies emphasize the role of land cover-precipitation feedbacks to be crucial when simulating mid-Holocene climate in North Africa (Claussen et al., 1999; Irizarry-Ortiz et al., 2003; Rachmayani et al., 2015).

In experiment $AO_{6k} LV_{6k}$, the increase in precipitation compared to the pre-industrial control is up to 4 mm/day in the southern Sahara due to enhanced vegetation and lake surface area and related feedbacks. Between 10°N and 20°N the model overestimates the increase in precipitation compared to paleoevidence (Bartlein, 2011), but north of 20°N an increase of 1-2 mm/day in North Africa seems realistic.

In conclusion, enhanced vegetation cover and lake surface area do not only have a direct effect by covering source areas and hence suppressing dust emission, but additionally land surface-precipitation feedbacks cause enhanced washing out of particles by rainfall.

We notice a weakening of south-west winds of about 3-4 m/s during the summer in experiment $AO_{6k} LV_{6k}$ compared to the control (Fig. 9, middle), whereas south-west winds decrease about 2 m/s in experiment $AO_{6k} LV_{0k}$. Changes in wind patterns are most likely related to a northward shift of the monsoon and enhanced precipitation during the summer. Thus, we ensure that wind changes are not underestimated by the model, because in contrast to most GCM, the increase in precipitation is not underestimated in experiment $AO_{6k} LV_{6k}$, when prescribing a more realistic mid-Holocene land surface cover.
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<td>Model</td>
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<td>Emission NA [Tg a⁻¹]</td>
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<td>-------------------------------------------</td>
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<td>AEROCOM median (range)</td>
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<td>ECHAM6.1-HAM2.1 (Stanelle et al., 2014)</td>
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<td>491 ± 66</td>
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<td>3</td>
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<thead>
<tr>
<th>No</th>
<th>Site</th>
<th>Dep. flux [gm$^{-2}$a$^{-1}$]</th>
<th>0k</th>
<th>6k</th>
<th>0k : 6k</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>GC 68</td>
<td>18.5</td>
<td>6.0</td>
<td>3.1</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>ODP 658C</td>
<td>11.9</td>
<td>5.0</td>
<td>2.4</td>
<td></td>
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<tr>
<td>11</td>
<td>GC 49</td>
<td>8.3</td>
<td>3.7</td>
<td>2.3</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>GC 37</td>
<td>5.1</td>
<td>2.5</td>
<td>2.1</td>
<td></td>
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</tbody>
</table>

Simulated dust deposition flux close to site
<table>
<thead>
<tr>
<th>Experiment</th>
<th>Emission [Tg(^{-1})]</th>
<th>Burden [%]</th>
<th>Wet Dep. [%]</th>
<th>Dry Dep. [%]</th>
<th>Sedi. [%]</th>
<th>Total Dep. [Tg(^{-1})]</th>
<th>Global life time [day]</th>
<th>Precip. [mm day(^{-1})]</th>
</tr>
</thead>
<tbody>
<tr>
<td>(AO_{0k},LV_{0k})</td>
<td>352.6 ± 44.3</td>
<td>2.62</td>
<td>20.6</td>
<td>9.6</td>
<td>69.8</td>
<td>144.9</td>
<td>4.4</td>
<td>0.66</td>
</tr>
<tr>
<td>(AO_{0k},LV_{6k})</td>
<td>360.5 ± 29.4</td>
<td>2.73</td>
<td>34.4</td>
<td>6.6</td>
<td>59.0</td>
<td>165.3</td>
<td>4.3</td>
<td>0.93</td>
</tr>
<tr>
<td>(AO_{6k},LV_{0k})</td>
<td>107.8 ± 12.3</td>
<td>1.04</td>
<td>43.4</td>
<td>4.7</td>
<td>51.9</td>
<td>70.2</td>
<td>3.7</td>
<td>1.79</td>
</tr>
<tr>
<td>(AO_{6k},LV_{6k})</td>
<td>96.1 ± 15.4</td>
<td>0.99</td>
<td>51.1</td>
<td>3.9</td>
<td>45.0</td>
<td>72.0</td>
<td>3.7</td>
<td>1.97</td>
</tr>
<tr>
<td>(AO_{6k},L_{0k},V_{6k})</td>
<td>174.2 ± 28.8</td>
<td>1.69</td>
<td>47.2</td>
<td>3.2</td>
<td>49.6</td>
<td>100.9</td>
<td>4.1</td>
<td>1.72</td>
</tr>
<tr>
<td>(AO_{6k},L_{6k},V_{0k})</td>
<td>177.7 ± 18.7</td>
<td>1.38</td>
<td>41.0</td>
<td>6.4</td>
<td>52.6</td>
<td>101.6</td>
<td>3.6</td>
<td>1.24</td>
</tr>
</tbody>
</table>

Table 6. Dust emission, burden, deposition and precipitation in North Africa (17°W - 40°E; 10°N - 30°N) and global life time of dust for altering atmospheric and ocean (\(AO\)) and land surface conditions (\(LV\)).
<table>
<thead>
<tr>
<th></th>
<th>( \Delta_{6k-0k} ) [Tg (^{-1})]</th>
<th>( \Delta_{AO}/\Delta_{6k-0k} )</th>
<th>( \Delta_{LV}/\Delta_{6k-0k} )</th>
<th>( \Delta_{SYN}/\Delta_{6k-0k} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Emission</td>
<td>-256.5</td>
<td>-3.1%</td>
<td>95.4%</td>
<td>7.6%</td>
</tr>
<tr>
<td>Deposition</td>
<td>-26.6</td>
<td>-16.5%</td>
<td>96.1%</td>
<td>20.4%</td>
</tr>
</tbody>
</table>

**Table 7.** Total difference in dust emission in North Africa (17\(^\circ\)W - 40\(^\circ\)E; 10\(^\circ\)N - 30\(^\circ\)N) and dust deposition along the northwest African margin (30\(^\circ\)W - 17\(^\circ\)W; 5\(^\circ\)N - 35\(^\circ\)N) between 6\(k\) and 0\(k\) and percentages of land surface conditions, atmosphere-ocean conditions and synergy effects to the total difference.