Dear Editor,

Please find below our detailed replies (in blue) and corresponding adjustments of our manuscript consequently to the comments and suggestions formulated by the reviewers and your final advice.

We have made major adjustments and improvements to the manuscript especially considering the climate interpretation from the perspective of the pollen records, the descriptions of the vegetation dynamics during the last 2 ka and also the figures. Adjustments were made throughout the Introduction section, Results, Discussion, Conclusions and Figures+Tables, improving descriptions as suggested by the Reviewers and Editor. We expanded the Conclusion section to make the paper more accessible for a wider public, to motivate the reader to engage into a more detailed revision of the paper, and also to highlight the most important findings for increased citation.

We hope we successfully complied with the comments and suggestions and we appreciate the detailed reviews and suggestions by the reviewers and the Editor.

Kind regards,

Suzette Flantua

In name of all the co-authors.

We are very pleased to have received a review from the much appreciated Vera Markgraf and we are grateful for her positive feedback on the manuscript. Here we address each comment with explanation.

1) Is there a line missing on p. 3519 lines 19/20 about the easterly precipitation?

It concerns the following sentence: “Those sites located in the Patagonia receive extreme precipitation events from the east.”

Thank you for this observation, we understand that it is a bit confusing. We adjusted the sentence as followed: “Furthermore, sites located in Patagonia receive extreme precipitation events related to moisture coming from the east”.

2) Why is Harberton not plotted on Fig. 13?

How could we have missed that one! Of course Fig. 13 should display Harberton as well! In the next figure version, Harberton will be included, thank you for this observation. With special regards to the reviewer.


We appreciate it very much that S. Bertrand engaged in the discussion section of this manuscript to share his suggestion on the title.

He states that the title is rather misleading as climate variability syntheses are generally based on multi-proxy records. We received a similar comment from a reviewer and therefore we decided to adjust the title to:

Climate variability and human impact in South America during the last 2000 years: synthesis and perspectives from pollen records.

Thank you very much for being involved in the improvements of our paper.

We appreciate very much the very nice summary of the different sections of our manuscript and the detailed revision by G. Sottile. We incorporated the suggested grammar suggestions and are convinced that these details are very important for the overall quality of the end results so our gratitude to the reviewer for these corrections.

Here we address his specific comments and technical corrections with our responses.

1) Page 3478, line 18: I think you should use the word archive in plural: “archives”
It concerns the following sentence “Fortunately, tree rings studies have expanded their geographical coverage. These constitute a widely distributed and frequently used high resolution climate archive”.

The use of the singular “archive” is correct in this case.

2) Page 3492, line 21: correct “Ecuadorian” by Ecuatorian
It concerns the following sentence “A different kind of index to highlight vegetation-climate interaction was used in the eastern Ecuadorian Andes at Papallacta PA1-08.”

We feel that the correct use is “Ecuadorian”, as using “Ecuatorian” would refer to the Equator instead of Ecuador.

3) Page 3495, line 7: did you mean “suggests that people abandoned”
It concerns the following sentence “However, a drop in charcoal fragments (fire activity) coupled with the absence of archaeological evidence (1.9–1.4 ka), suggests that people abandoned the valley during 1.5–0.5 ka and, consequently, that the aridity signal from the pollen could be interpreted as a climatic one.”

Thank you for this observation, we corrected it to “abandoned”.

4) Page 3501, line 9: replace “a” by “an”
It concerns the following sentence: “However, a integrative multi-proxy approach allow inferring.....”

Thank you for this observation, we corrected it to “an”.

5) Page 3504, line 6: skip “dominance” after Poaceae
It concerns the following sentence: “To the N (westward Andes), the Lago Aculeo record (34°5 S) shows dominance of Poaceae dominance suggesting.....”

Thank you for this observation, we removed the duplicated “dominance” from the sentence.

6) Page 3505, line 10: do you mean: “can elucidate the correct origin....”. I think you should add a verb after “can”.
It concerns the following sentence: “Only by looking at pollen changes in context with other evidence – e.g. charcoal, limnology, sedimentology, archaeology- can the correct origin of these changes be identified.”
We understand that the sentence is a bit confusing. We changed the sentence to:
“It is only by looking at changes in pollen spectra in context with other evidence (e.g. charcoal, limnology, sedimentology, archaeology), that we can identify their origin.

7) Page 3507, line 25: do you mean “conquistadores”?
It concerns the following sentence: “This vegetation change could be related to the first arrival of the Spanish “conquistadors” (González-Carranza et al., 2012), or a set of different causes (Wille and Hooghiemstra, 2000).”

Thank you for this observation, we corrected it to “conquistadores”.

8) Page 3508, line 2-4: I think you should add a verb to this sentence.
It concerns the following sentence: “At many of the sites occupied by native Amazonians, evidence of land use as a decline in burning by or before 0.5 ka, probably in relation to first European contact.

Thank you for this observation, we corrected it to: “At many of the sites occupied by native Amazonians, evidence for land use comes from a decline in burning at or before 0.5 ka, probably in relation to first European contact.”

9) Fig. 7b. Correct PARAM4-D07 by PATAM4-D07 Fig 9b.
Corrected in the figure, thank you.

10) Record Urpi Cocha is mentioned between pages 3493-3496, but is missing in Fig9b, why?
Urpi Cocha is present along with Marcacocha in the top left of the figure.

11) Fig.10. Laguna El Cerrito, Laguna Frontera, Laguna San José and Maxus-1 are not mentioned in the manuscript?.
Correct. These records are only present in the figures and the tables because the entire record (or most of it) has anthropogenic signals. Due to the already high text volume we decided not to enter into detail but in the new version of the manuscript we added the following:

page 3515, lines 11-12 “The better-resolved late Holocene records tend to come from small lake basins (e.g. oxbows like Maxus-1, El Cerrito and Frontera), which have small pollen catchment areas”.

page 3515, lines 18: Examples of continuous anthropogenic signal during the last 2 ka are Laguna El Cerrito, Laguna Frontera and Laguna San José (Fig.10).

Page 3517, lines 5-10 “Most of these deep temporal pollen records, as they are published now, likely have sub-sample intervals of insufficient resolution to be able to discern high-frequency events, such as vegetation changes associated with ENSO variability. However, in some cases, such as Bella Vista, San José and Oricore, the potential for such fine temporal reconstructions may be limited by the low sedimentation rate of the basins”.

12) Fig. 12b: Is it possible that Hinojales- San Leoncio record colours are inverted? Because the climatic trend showed in the figure is opposite to the exposed between Page 3500, line 26 and Page 3501, line 5.
Thank you for this observation. We made adjustments to Figure 12b so that it will reflect correctly the description in the text.

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We much appreciate the review report on our paper and we found it very helpful to address the different questions in more details. We have included nearly all suggestions in the text and here we address each comment with explanation (in blue).

* The manuscript is well written (although it could largely benefit from proofreading and language revision) and the figures are clear.

Before submitting, the final version will go through several revisions. Thank you for this suggestion.

1) I think the title is a little misleading. From its content, I would expect the manuscript to review multiple climate proxies (possible models as well), provide a synthesis and discuss how anthropogenic impact has altered the landscape. As opposed to this, the authors a) provide an overview of modern South American climate; b) attempt to reconstruct climatic variations in seven sub-regions of South America; c) describe some indicators of land-use.

Thank you for this observation. We adapted the title to: Climate variability and human impact in South America during the last 2000 years: synthesis and perspectives from pollen records.

2) The climate overview is very good, concise and with enough details to avoid oversimplifications. I believe that it would be beneficial to include a short discussion of the similarities and differences of Figs 2 and 3, and 4 and 5, respectively. At the moment, those figures are always cited in pairs (i.e., 2 and 3, and 4 and 5), and the advantage of showing both correlation and regression is not obvious.

We have added a short explanation regarding the similarities and differences between correlation and regression maps and now discuss in greater detail how they provide different and complementary information.

3) For analytical purposes, the authors divided South America in seven sub-regions and provided a characterization of their modern setting. Although climate is described in all cases, the text is somehow unbalanced in as much as, in some cases, geological data are reported and modern climate-vegetation relationships are parameterized, while in other areas only a very superficial description of the dominant plant types is given. Whereas for this manuscript the geology of each sub-region might not be crucial, it might be important to discuss modern climate-vegetation relationships, at least, qualitatively.

We agree that it is important that the regional descriptions are consistent but we also feel that different environmental variables might be more relevant in some records than others. Following the reviewer’s suggestion, we will check on consistency and make the necessary adjustments to increase the overall readability of the manuscript. Thank you for this observation.
4) Pollen records from each sub-region are assessed in terms of their potential for climate reconstructions. Records are chosen according to very high standards (and I agree with the authors in that more flexible criteria could be applied). However, the authors state that, ‘To use pollen as a palaeoclimate proxy, the degree of human impact on the vegetation needs to be considered minimum or absent over the last 2 ka’ (p. 3840, lines 13-14).

I believe that the minimum requirements for using pollen as a climate proxy are:

a) vegetation needs to be assumed in equilibrium with climate (i.e., no disturbance – anthropogenic or natural-, no biotic interactions);

b) the pollen-vegetation (land cover)-climate relationship needs to be calibrated and

c) the existence of analytical and natural noise in the pollen time series needs to be taken into account (i.e., high frequency fluctuations do not necessarily represent changes in the landscape).

Although requirement (b) can be (and has often been) relaxed - qualitative reconstructions are highly informative-, (a) and (c) cannot be ignored. In the literature, there are plenty of examples of ways in which these limitations can be overcome (compositing, Bayesian and frequentist models, use of plant functional types) and robust climate reconstructions achieved (eg, Peyron et al. 2000, Quaternary Research; Davis et al. 2003 QSR, Trondman et al 2015 Global Change Biology).

My questions are:

4.1) Why are records with ‘signs’ of human impact discarded?

Disentangling natural and anthropogenic drivers of environmental change is an extremely challenging task. As the authors state ‘Indirect indicators such as change in forest composition (e.g. due to deforestation) or species known as disturbance indicators (Cecropia and Mauritia) need additional proxies to derive conclusive findings. Only by looking at pollen changes in context with other evidence – e.g. charcoal, limnology, sedimentology, archaeology can the correct origin of these changes be identified.’ (p. 3505, lines 5-10). I assume (and might be mistaken) that for most records there is no independent evidence of human impact (and, of course, absence of evidence is not evidence of absence). If that is the case, the climate reconstruction is likely to be biased towards sites with fewer proxies.

Records with signs of human impact were not discarded. To clarify this issue, we added to p. 3505, line 11 the following explanation: “Ambiguous records with fewer proxies were not immediately discarded, but considered within the context of the other records from their wider region. Based on this, an assessment could be made as to whether an anthropogenic signal may have obscured natural vegetation change trajectory.”

Additionally, the discussion results a little contradictory in that decreased arboreal pollen, for instance (but the same is true for Cyperaceae, Asteraceae and Chenopodiaceae, among other taxa) is sometimes interpreted as anthropogenic deforestation and in other occasions is inferred to be a response to decreased moisture.
I believe that perhaps a better approach would be to include all records, account for local-scale variability (see references above). They are a few of many examples of robust climate reconstructions in areas of long histories of intense human impact, such as Europe and Africa) and, when available, draw on the archeological record to test the assumptions of the chosen method.

Here we provide an answer to both 4.1 and 4.3 as they are similarly in context:

The reviewer presents an idealized set of criteria for the use of pollen as a climate proxy; and we agree that it is essential that our community strives for excellence in data collection for the pursuit of our research goals. These criteria, however, are unrealistic for South America, at least at this present time. The calibration of pollen to climate and/or land cover data (criterion ‘b’) requires good vegetation mapping, plant-pollen harmonization and well-resolved climate gauging data, much of which is unavailable for the existing modern pollen records from South America and varies greatly across the region (often associated with differences across political boundaries). At present, vegetation mapping is well-resolved by ecoregion (Olson et al., 2000), but these do not offer the spatial resolution necessary to understand climatic responses of individual taxa and/or to calibrate mechanistic pollen model for land cover reconstructions, as suggested by the reviewer. Pollen-plant harmonization is still under development in most ecosystems. For lake records especially, forest pollen taxa that can reflect a range of ecologically-distinctive vegetation formations (e.g., Moraceae/Urticaceae) often comprise a significant proportion of the signal, thus assigning a climatic or land cover value to these taxa is highly problematic, as is required by criterion ‘b’. Despite these problems, the qualitative approach adopted by tropical palaeoecologists, in the absence of better calibration data, has provided valuable information on past vegetation and climate change in the tropics. (NB. The absence of ‘better data’ is an issue that we are striving to address as a community but it takes time. The modern pollen trap data from the Amazon, for example, is not 10 years in publication (Gosling et al. 2005, 2009).). In the absence of calibration data, tropical palaeoecologists rely on a combination of indicator taxa (or pollen types unique to specific biomes) and decades of ecological studies and plot-level surveys to understand plant-pollen relationships within the biomes in which they work. The more stringent criterion ‘a’, that vegetation needs to be assumed in equilibrium with climate, with no anthropogenic or natural disturbance, or biotic interactions, has been given serious consideration by the co-authors. Firstly, to require ‘no biotic’ interactions within a functioning ecosystem is simply an impossibility. Ecosystems by their definition are systems of energy transfer through interactions with the constituent biological components; this is true of ecosystems in any part of the globe, including temperate Europe where quantitative climate reconstructions have been achieved from pollen. These studies have been carried out, despite the long standing knowledge that soils, which have biotic components, have controlled post-glacial vegetation movement and changes in community composition. In the Amazon, the hydrological cycle on which the rainforest depends is in turn dependent on evapotranspiration of this prominent ecosystem. In this respect, biotic interactions cannot be discounted in the use of pollen, or any, biological proxy. Instead, it is far more realistic to argue that it is required that climate plays a significant role in controlling the biogeography of tropical ecosystems. Ecosystems of South America are in equilibrium with climate, but like so many biogeographic enquiries, the scale of the vegetation unit under study needs to be considered in the interpretation of pollen data.

At continental scale, there are several biomes that occupy the same climatic space, but are differentiated by edaphic conditions. Savannas and seasonally-dry tropical forests, for example, require the same precipitation regime, but savannas occur on infertile soils. Similarly, hydrological savannas and rainforests
might co-exist where there are differences in soil conditions. At a continental scale, therefore, plant
distribution is controlled by climate, but soils exert a secondary level of control. Within each of the
edaphically-controlled vegetation formation, however, climate can exert a control over community
composition and vegetation structure, but to interpret the pollen records correctly requires a sound
understanding of the ecological functioning of the ecosystem in question. The requirement to understand
the unique functioning of several prominent tropical ecosystems is the reason we approached the study
through multiple author collaboration and asked coauthors to make their own qualitative assessments of
the records in their region, rather than attempting to compile datasets from across the continent and
interpret them in a uniform way.

As well as edaphic conditions, anthropogenic impacts are another key non-climatic driver of vegetation
change. Given that region-specific context is fundamental to sound interpretation for environmental
reconstructions, we involved co-authors with expertise in specific regions who are best positioned to
consider the drivers of vegetation. A criticism levelled by the reviewer is that anthropogenic deforestation
and decreased moisture might result in similar signals in the pollen record. We accept this is a possibility
and have highlighted these issues within the new version of the manuscript. An assessment was made of
the records, based on the original authors interpretations, and those where the dominant driver of
vegetation composition was likely anthropogenic impact, were deemed unsuitable for a reconstruction of
climate-driven vegetation change. In this respect, ‘sites with “signs” of human impact were not discarded’,
but are examples of how we controlled for non-climatic drivers of vegetation change through independent
assessment of each record. Whether our approach is qualitative or quantitative, this independent
assessment is required of each record to determine its suitability for climate reconstruction.

Thus, by involving co-authors appraised of the contextual information (archaeological, ecological,
geological, etc.) of each region, interpretations were made based upon all the available evidence; which
included modern environmental information, plant-environmental interactions, archaeology, and
additional proxy data. For the most part, pollen records contained additional proxy data to provide further
support in determining the driver of vegetation change. However, given the availability of different types
of contextual information, we disagree that ‘the climate reconstruction is likely to be biased towards sites
with fewer proxies’. The qualitative approach adopted to consider site context for each record, has allowed
us to account for ‘local scale’ variability for each record, as suggested by the reviewer.

Another key issue that limits the development of a continental-scale quantitative model for climate
reconstruction is the high degree of overlap in pollen types among biomes. Tropical palaeoecologists can
often confidently link specific environmental or climatic conditions to a particular pollen type, but given
the high degree of taxonomic overlap among different ecosystems and biomes, climatic reconstructions
can be problematic if we are comparing like pollen-types (families) among ecologically-distinctive
biomes. For example, to explore the ability to use pollen as a climate proxy, Punyasena (2008) linked the
spatial distribution of plant families (available from Gentry’s forest plot data) pollen data from the LAPD,
and restricted the taxonomic resolution to family level because this is the level to which most pollen is
identified. A significant relationship between plant family and temperature and/or precipitation was found
in the case of several families. In the application of the calibration dataset to fossil data, Punyasena et al.
(2008) were successful at temperature reconstruction, but the model was incapable of reconstructing
precipitation where there were taxonomically-similar forest formations that were tolerant of different
flooding regimes (Whitney et al., 2011, Suppl. Info), as demonstrated by independent proxy data. In the
case of high degree of taxonomic similarity at family level, a ‘one size fits all’ model has been demonstrated to give variable results.

Given the above constraints, we argue that it is unrealistic to apply a quantitative approach to reconstructing climate in the Neotropics at this time. Although the author list draws together some of the more experienced and published researchers from each region (able to comment on all the pollen studies in their region), there are several reasons why a quantitative reconstruction is not feasible. There simply are not enough calibration sites compared to Davis et al. (2003) and similar northern temperate reconstructions to produce sound calibration datasets. Land cover reconstructions, such as Trondman et al. (2015) require further data to modern pollen data, such as fall speeds and pollen productivity estimates, which have not be obtained for South America. (B.S. Whitney [pers. comm.] is currently calibrating mechanistic pollen models to Amazonian ecosystems but, due to data restrictions, has minimized the model to a forest/non-forest binary). Statistical tools are often highly prized and viewed as superior methods, but where there is limited calibration data, it is imprudent to push towards reconstructive models. The drive to provide quantitative values associated with climate change (however inaccurate) is neither wise nor necessary, especially given that statistical tools can only build upon, but cannot replace, sound ecological and context information for a given pollen record.

Additional references (co-authors from this paper in bold):


4.2) **Why is fire considered as anthropogenic disturbance?**

The reviewer poses a very general question that covers a wide topic extensively discussed in the literature. We are aware of the fact that fire is thought to be a transformative agent within tropical ecosystem, both as a result of human practices and natural climatic forcing. Conclusive evidence is more ambiguous further back in time, e.g. during postglacial times, but for many localities within our 2 ka review, fire is considered to be highly anthropogenic related. We follow the original interpretation of the authors about the possible causes of fires at each individual site. It is important to note, that the manuscript is solely based on climatic information derived from pollen records (excluding charcoal), thus the climate-human-fire feedbacks are not treated in depth.
The group of co-authors has published and supported a series of studies where the fire-human-relationship was evidenced by different proxies:


Additional relevant references:


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We would like to thank the Editor for accepting the manuscript with minor revisions and for providing additional comments to the manuscript to improve the final outcome of the paper. Here we address the different suggestions posed within the letter of the Editor Decision as also within the cp-2015-85-comments-to-author.pdf. Comments from the editor are in black and our responses in blue.

1) My major concern deals with the imbalance between the high levels of detail provides in the Climatic settings section and the infrequent use of this information in the discussion of climatic signal present in the pollen records. The detailed information about the influence of the eight modes of climate variability on the South American climate, including four composite figures (Figs. 2-5), contrasts with the poor use of these patterns in the climatic interpretation of the palynological records during the last 2000 years. In the section of Climatic Settings, the authors provide details on the interactions between modes of climate variability for most regions in South America, whereas in discussing the pollen records only the principal modes of variability that affect different particular regions are listed. My advice to the authors is to simplify and reduce the extent of Climatic Settings section to be more consistent with the information discussed in the analysis of pollen records. Much of the information in the section Climatic Settings could be included as supplementary information.

We thank the Editor for these valuable observations to improve the paper. We decided to take on the challenge of improving the climate interpretations by the pollen records instead of reducing the section of the Climate Settings. For the general and specific public of our paper we thought that this would provide a much more interesting discussion by improving the structure and the connection between the detailed descriptions of the climate modes and the palynological records.

2) In addition, since ENSO is the most important mode of global interannual variability, and that is the case for South America, much of the Climatic Settings section is devoted to ENSO variability and spatial patterns. However, it is not mentioned in the text how changes in ENSO and other modes of high-frequency variability could be inferred from pollen records showing 200-300 years resolution.

Please see our answer to question 12).

3) To reduce the length of this manuscript, I suggest deleting the introduction to climate settings’ section and starting directly with the Continental overview. Part of this introduction repeat the organization of the paper previously indicated.

Thank you for this observation. As we are aware that we present a lengthy paper to the reader, we thought in emphasizing the structure of the paper where possible. However, as this section is indeed a bit repetitive, we reorganized the text and removed the introduction previously explained.
4) In addition, your definition of climate zones: "Climate zones are regions of coherent seasonality and mean climate" does not completely agree with the three climate zones listed in this section. Tropical, subtropical and temperate zones in SA are extremely variable from rain-forests to deserts, from low lands to mountain climates in each of your climate zones. Therefore, this broad classification does not agree with your definition of climate zones of coherent variability and mean climate. Indeed, I will recommend use the term domain (tropical domain, subtropical domain, austral (or temperate) domain) with more geographical amplitudes that the term "zones".

Thank you for this suggestion. We agree that there is variability in each climate zone, and lowland and high-altitude climate are not the same. However the term ‘zone’ is appropriate from a climatic point of view if you only divide into three main regions, as is done here. It is a matter of how narrowly you want to subdivide your regions. The term ‘zone’ stems from ‘zonal’ and it is certainly appropriate to use it this way, since the separation is zonally motivated. We would like to use the term ‘domain’ within this paper for different climate features.

5) Since "austral" is more a geographic than a climatic term, "temperate" South America may be an alternative. South America. Please, consider this possibility.

We agree with the Editor. Indeed ‘Austral’ means ‘southern’ and is actually not climate related. Our preference though would be to use the term ‘extratropical’, which would be most consistent with the other two terms, tropical and subtropical. We checked the correct terminology throughout the manuscript.

6) Please, consider to included ocean currents as an additional forcing of climate in South America. Ocean circulation is a mayor forcing of regional climates in South America. For example, the cold Humboldt Current partially modulated many climate patterns all along western South America.

We agree with the Editor. We adjusted the sentence as followed: “Atmospheric circulation and climate in all three zones is highly modulated and constrained by the orography of the Andes, the shape of the continent and interactions with the underlying land-surface, vegetation, soil moisture, but also ocean currents such as the cold Humboldt Current affecting coastal climate along the South American west coast (Wang and Fu, 2002; Li and Fu, 2006).”

7) the southern Hemisphere? or the South American tropics and subtropics?

It concerns the following sentence:

The SASM is a seasonal phenomenon that develops between September and April and affects primarily the southern hemisphere tropics and subtropics (Garreaud et al., 2009).

Thank you for this suggestion. We adjusted the sentence as followed: “The SASM is a seasonal phenomenon that develops between September and April and affects primarily the South American tropics and subtropics south of the equator (Garreaud et al., 2009).”

8) In the following sentence, you state that the mature phase of the SASM occurs in DJF. However, in this sentence you mention that DJF is the transition to the monsoon. As you have previously mentioned the
SASM starts around September, so the transition to the SASM mature phase should be earlier than DJF. In addition, geographic differences make the seasonal SASM patterns more complex.

It concerns the following sentence:

*During the austral spring–summer (December to February, DJF) transition, moisture influx from the ITCZ contributes to the development of this monsoon system (Zhou and Lau, 2001; Vuille et al., 2012).*

Thank you for this suggestion. We understand that the sentence was somewhat confusing. We adjusted the sentence as followed: “During the austral spring (September to November, SON) moisture influx from the ITCZ contributes to the development of this monsoon system (Zhou and Lau, 2001; Vuille et al., 2012).”

9) Please, replace the "austral polar low" by the "circum-Antarctic cyclonic belt" to the S.

It concerns the following sentence:

*The austral region is characterized by a quasi-permanent westerly circulation embedded in-between the subtropical anticyclones located over the subtropical Pacific and Atlantic to the N and the austral polar low to the S.*

Thank you for this suggestion. However, in climate science we call this the ‘Circum-polar trough of low pressure’ and using “Cyclonic belt” would actually not be correct, because the cyclones form in between the two main pressure systems.

The sentence was adjusted as followed: *The extratropical region is characterized by a quasi-permanent westerly circulation embedded in-between the subtropical anticyclones located over the subtropical Pacific and Atlantic to the N and the circum-polar trough of low pressure to the S.*

10) Fig. 1 is not related to wind directions, it reflects percentages of precipitation in key seasons.

We agree that it is not correct to refer to Fig. 1 here and therefore removed the reference to this figure within this sentence.

11) In addition the influences of the Westerlies in Central Argentina is almost null, it is mostly limited to northern and southern Patagonia.

It concerns the following sentence:

*The latitudinal extension of the westerlies over land displays limited variations across the year and covers southern and central Argentina and Chile.*

Actually, Central Argentina is affected by westerly winds in the winter season, although it also depends how you define 'westerlies'. Certainly the cold air outbreaks are related initially to westerly flow and affect much of central Argentina during the austral winter.
12) Although this procedure is recommended for removing auto-correlation in the time series, how this autocorrelation could affect the interpretation of palinological records with decade- to century-scale resolution. Long-term trends in climate could certainly be related to changes in vegetation, likely recorded in pollen records. It is a scale problem: how I should related interannual variations in climate with long-term (multidecadal or longer) changes in vegetation.

It is not so much an autocorrelation as it is a trend related to increasing SST, likely greenhouse-gas induced and hence anthropogenic in nature. Hence we would not expect the same type of trends going back in time.

But of course it is correct that pollen may not respond to climate variability on these time scales we consider here but rather to longer-term mean state changes. All we can hypothesize is that past changes in mean state (e.g. toward more ‘El-Nino-like’ mean state in the Pacific) would have had a similar impact on SA climate as we see today on interannual time scales. One indication of this is that Pacific warming has a very similar fingerprint over S. America, whether it occurs on interannual (ENSO) or multidecadal (IPO) time scales. The same is true for N. Atlantic warming on interannual (TNA) and multidecadal (AMO) time scales. This is something optional to discuss in the paper but it is not something we can actually prove here and is somewhat beyond the scope of this study. It would require long model runs with perturbed fixed mean states in the oceans. However, in both Pacific and Atlantic we have high-frequency and low-frequency modes and in general their imprints on S. American climate are the same (e.g. ENSO vs. IPO/ PDO and AMO vs. TNA). This suggest that lower frequency modes have similar teleconnections over S. America and that you probably still find such a signal in pollen records.

13) Given the similarity between correlation and regression patterns, I will suggest to include the regression patterns alone. Correlation patterns have previously been shown in the literature, so the regression patterns are new and more attractive.

Here we do not agree with the Editor’s suggestion. The correlation patterns provide complementary information and one cannot be deduced from the other. Also we are not aware that such maps have been presented in the literature previously for the paleo-community, aside from Garreaud et al (2009). In that paper however, the three Atlantic modes were not included and the two Pacific modes were based on different indices, as discussed above. We suggest to leave this analysis and corresponding figures in as we believe they will be highly welcome by the community!

14) Please, refer to "interannual" variability in relation to ENSO.

It concerns the following sentence:

The largest and most significant influence on temperature variability in SA is exerted by ENSO, with above average temperatures during El Niño and reduced temperature during La Niña (Figs. 2 and 3).

We adjusted this sentence to:

The largest and most significant influence on interannual temperature variability in SA is exerted by ENSO, with above average temperatures during El Niño and reduced temperature during La Niña (Figs. 2 and 3).
Thank you for this correction.

15) Please, consider rephrase this sentence to: "One standard deviation in the Niño3.4 index could be associated with changes in temperature up to 0.8°C along the Pacific coast of SA." or similar.

It concerns the following sentence:

*Temperature variations in western SA, and particularly along the Pacific coast, can reach > 0.8°C which is associated with a one standard deviation departure in the Niño3.4 index.*

Thank you for this suggestion. We adjusted this sentence to:

*A one standard deviation departure in the Niño3.4 index is associated with a change in temperature of up to 0.8°C along the Pacific coast of SA.*
Climate variability and human impact in South America during the last 2000 years: synthesis and perspectives from pollen records

Running title: Climate variability and human impact in South America during the last 2000 years.

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Abstract

An improved understanding of present-day climate variability and change relies on high-quality data sets from the past two millennia. Global efforts to model regional climate modes are in the process of being validated against, and integrated with, records of past vegetation change. For South America, however, the full potential of vegetation records for evaluating and improving climate models has hitherto not been sufficiently acknowledged due to an absence of information on the spatial and temporal coverage of study sites. This paper therefore serves as a guide to high-quality pollen records that capture environmental variability during the last two millennia. We identify 60 vegetation (pollen) records from across South America which satisfy geochronological requirements set out for climate modelling, and we discuss their sensitivity to the spatial signature of climate modes throughout the continent. Diverse patterns of vegetation response to climate change are observed, with more similar patterns of change in the lowlands and varying intensity and direction of responses in the highlands. Pollen records display local scale responses to climate modes, thus it is necessary to understand how vegetation-climate interactions might diverge under variable settings. We provide a qualitative translation from pollen metrics to climate variables. Additionally, pollen is an excellent indicator of human impact through time. We discuss evidence for human land use in pollen records and provide an overview considered useful for archaeological hypothesis testing and important in distinguishing natural from anthropogenically driven vegetation change. We stress the need for the palynological community to be more familiar with climate variability patterns to correctly attribute the potential causes of observed vegetation dynamics. This manuscript forms part of the wider Long-Term multi-proxy climate REconstructions and Dynamics in South America – 2k initiative that provides the ideal framework for the integration of the various palaeoclimatic sub-disciplines and palaeo-science, thereby jumpstarting and fostering multi-disciplinary research into environmental change on centennial and millennial time scales.

Key words: Pollen records, South America, last 2000 years, climate modes, LOTRED-SA, PAGES-2k, LAPD
### Abbreviations:

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
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<tr>
<td>2k</td>
<td>Last 2000 calibrated years (short writing for: 2000 cal yr BP)</td>
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<tr>
<td>AD</td>
<td>Anno Domini (equivalent to CE: Current Era)</td>
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<tr>
<td>ALLJ</td>
<td>Andean Low-Level Jet</td>
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<tr>
<td>AMO</td>
<td>Atlantic Multidecadal Oscillation</td>
</tr>
<tr>
<td>BP</td>
<td>Before Present, present defined as AD 1950</td>
</tr>
<tr>
<td>C</td>
<td>Central</td>
</tr>
<tr>
<td>cal kyr BP</td>
<td>Thousand calibrated years before present</td>
</tr>
<tr>
<td>Cheno/Am</td>
<td>Chenopodiaceae/Amaranthaceae</td>
</tr>
<tr>
<td>DJF</td>
<td>December-January-February</td>
</tr>
<tr>
<td>ENSO</td>
<td>El Niño – Southern Oscillation</td>
</tr>
<tr>
<td>GS</td>
<td>Gran Sabana</td>
</tr>
<tr>
<td>IPO</td>
<td>Interdecadal Pacific Oscillation</td>
</tr>
<tr>
<td>ITCZ</td>
<td>Inter-Tropical Convergence Zone</td>
</tr>
<tr>
<td>JJA</td>
<td>June-July-August</td>
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<tr>
<td>ka</td>
<td>In this paper: thousand calibrated years before present, cal kyr BP</td>
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<tr>
<td>LAPD</td>
<td>Latin American Pollen Database</td>
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<tr>
<td>LIA</td>
<td>Little Ice Age</td>
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<td>LOTRED-SA</td>
<td>LOng-Term multi-proxy climate REconstructions and Dynamics in South America</td>
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<tr>
<td>masl</td>
<td>meters above sea level</td>
</tr>
<tr>
<td>MCA</td>
<td>Medieval Climate Anomaly</td>
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<tr>
<td>NE</td>
<td>Northeast(ern)</td>
</tr>
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<td>NW</td>
<td>Northwest(ern)</td>
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<tr>
<td>PAGES</td>
<td>Past Global Changes</td>
</tr>
<tr>
<td>P/E</td>
<td>Precipitation/Evapotranspiration ratio</td>
</tr>
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<td>S</td>
<td>South(ern)</td>
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<tr>
<td>SA</td>
<td>South America</td>
</tr>
<tr>
<td>SACZ</td>
<td>South Atlantic Convergence Zone</td>
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<tr>
<td>SAM</td>
<td>Southern Annular Mode</td>
</tr>
<tr>
<td>SASM</td>
<td>South American Summer Monsoon</td>
</tr>
<tr>
<td>SE</td>
<td>Southeast(ern)</td>
</tr>
<tr>
<td>SON</td>
<td>September, October, November</td>
</tr>
<tr>
<td>SPA</td>
<td>Subtropical Pacific Anticyclone</td>
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<tr>
<td>SST</td>
<td>Sea Surface Temperature</td>
</tr>
<tr>
<td>SWWB</td>
<td>Southern Westerly Wind Belt</td>
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<tr>
<td>TNA</td>
<td>Tropical North Atlantic SST</td>
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<tr>
<td>TSA</td>
<td>Tropical South Atlantic SST</td>
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<tr>
<td>UFL</td>
<td>Upper forest line</td>
</tr>
<tr>
<td>W</td>
<td>West(ern)</td>
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1. Introduction
Accurately simulating the complexity of Earth’s climate system is still a major challenge for even the most advanced Earth system models. One major obstacle for evaluating model performance in historical runs is the lack of long and reliable climate records from some regions of the Earth. Given the scarcity of instrumental records in many regions, alternative, proxy-based climate reconstructions therefore provide an excellent dataset against which to test models and their ability to accurately simulate longer-term features of climate change. Proxy data sets from sedimentary records (in particular pollen, charcoal and tephra from lake sediments and peat bogs) have been particularly underutilized in this regard.

Increasingly studies have demonstrated the integration of multiple proxies (Li et al., 2010) in a climate reconstruction, with a special focus on the two millennia (in this paper abbreviated to “2 ka”) before present (BP, present defined as AD 1950). This period could be considered a baseline to current conditions, as climate has been very similar to the present. This integration is still in its infancy in South America (SA), especially in the tropics. Since 2009, regional climate reconstructions from SA have gained momentum from compilations of multiple datasets and from fine-tuning of model reconstruction methods (Villalba et al., 2009). However, an improved understanding of the spatial distribution of proxy data sets has been identified as necessary to make further progress (Villalba et al., 2009; Flantua et al., 2015a). Tree ring studies constitute a widely distributed and frequently used high-resolution climate archive that has fortunately recently expanded its spatial coverage (Boninsegna et al., 2009; Villalba et al., 2009). However, the tree ring records are limited compared to the spatial and temporal coverage provided by records obtained from sedimentary archives (e.g. pollen records). The newly updated inventory of palynological research in SA documents the extensive spatial and temporal coverage of pollen-based research available throughout the continent (Flantua et al., 2015a). However, to integrate records from different sedimentary archives across SA a standard chronological framework is required. To this end an alternative recalibrated age models and evaluation of chronologies has been undertaken to facilitate the integration of multi-proxy records in SA (Flantua et al., 2015b). However, multi-proxy climate reconstructions from the last 2 ka have hitherto been focused mainly on southern SA (PAGES-2k Consortium, 2013), omitting input from the northern two thirds of the continent. Furthermore, palynological research has been underrepresented in most reconstructions of climate variability (Villalba et al., 2009; Neukom et al., 2010; Neukom and Gergis, 2012).
The lack of an adequate overview of available pollen records from the continent has been an impediment to the advancement of its use and inclusion in climate studies.

As a result, we identified the need to review and discuss pollen records in SA that can fulfil requirements for inclusion in 2 ka-palaeoclimate reconstructions, within the framework of LOng-Term multi-proxy climate REconstructions and Dynamics in South America (LOTRED-SA, this Special Issue) and the PAGES-2k Network (http://www.pages-igbp.org/ini/wg/2k-network/intro). This paper is structured following an assessment for individual regions in SA within the context of current climate modes. These modes are characterized by their precipitation and temperature fingerprint over SA and used as a baseline framework to identify past climatic changes from pollen records. Certain zones are more prone to particular climate signals; therefore comparison between the spatial expression of climate modes and highly correlated records from different regions strengthens the interpretation of palaeoecological findings. To use pollen as a palaeoclimate proxy, the degree of human impact on the vegetation needs to be considered at a minimum or absent over the last 2 ka. Therefore, drivers of vegetation change, both natural and anthropogenic, are discussed within the different regions to describe the general settings required for palaeoecological research in the last millennia. Records that identify significant human impact are identified and excluded from the proposed dataset for PAGES-2k when the climate signal is lost, but are considered useful within the regional purposes of LOTRED-SA (this Special Issue). We provide a qualitative translation from pollen metrics to climate variables based on expert knowledge. We finish by discussing the potential of including pollen-inferred climate information 2ka-climate model validation and emphasize the importance of multi-proxy working groups such as LOTRED-SA.

2. Climate settings

Continental overview climate zones and modes

We begin with an overview of the main climate ‘zones’ of SA to provide the climatological context for a discussion of pollen records covering the past 2000 calibrated years before present (cal kyr BP). Climate zones are regions of coherent seasonality and mean climate (intra-annual climate regime), while climate ‘modes’ are based on ocean-atmosphere interactions with often oscillatory behaviour affecting the interannual to multidecadal climate
variability in a region. The spatial influence of climate modes is assessed by documenting
their role in driving interannual precipitation and temperature variability.

Continental SA extends from the tropics (12°N) to mid-latitudes (55°S). Three major
noticeable climate zones can be distinguished: tropical, subtropical and extratropical SA.
Atmospheric circulation and climate in all three zones is highly modulated and constrained by
the orography of the Andes, the shape of the continent and interactions with the underlying
land-surface, vegetation, soil moisture, furthermore ocean currents, such as the cold
Humboldt Current affecting coastal climate along the South American west coast, also affect
climate (Wang and Fu, 2002; Li and Fu, 2006).

The climate of tropical SA is dominated by the seasonal migration of the Intertropical
Convergence Zone (ITCZ) over the Atlantic and Pacific, and the seasonal development of
convective activity associated with the South American Summer Monsoon (SASM) over the
interior of the continent (Fig. 1). The seasonal migration of the ITCZ affects primarily coastal
areas and northernmost SA as it is characterized by a fairly well constrained narrow band of
low level wind convergence over the equatorial oceans. The SASM is a seasonal phenomenon
that develops between September and April and affects primarily the SA tropics and
subtropics south of the equator (Garreaud et al., 2009). During the austral spring (September
to November, SON) moisture influx from the ITCZ contributes to the development of this
monsoonal system (Zhou and Lau, 2001; Vuille et al., 2012). This monsoonal system reaches
its mature phase (maximum development) during December to February (DJF) and is
characterized by heavy rainfall advancing southward from tropical to subtropical latitudes. To
the east of the tropical Andes a strong low-level wind, the Andean low-level jet (ALLJ),
transports moisture in a southeasterly (SE) direction from the tropics to the subtropical plains
(Cheng et al., 2013), feeding the South Atlantic Convergence Zone (SACZ), extending from
the SE Amazon basin toward the south over S Atlantic. The extratropical region is
classified by a quasi-permanent westerly circulation embedded in-between the subtropical
anticyclones located over the subtropical Pacific and Atlantic to the N and the circum-polar
trough of low pressure to the S. Frequent northward propagation of extratropical cold air
incursions of the Andes provide for continued atmospheric interaction and heat exchange
between mid- and low latitudes over the subtropical continent. The latitudinal extension of the
westerlies over land displays limited variations across the year and covers southern and
central (C) Argentina and Chile. Additional information is presented in Supplementary Information.

Both precipitation and temperature exhibit significant variability on interannual to interdecadal time scales in all three climate zones of SA (e.g. Garreaud et al., 2009). This variability is mainly caused by ocean-atmosphere interactions (Vuille and Garreaud, 2012) that lead to a reorganization of the large-scale circulation over SA and the neighboring oceans. To quantify the influence and relative importance of these ocean-atmosphere coupled modes on the interannual precipitation and temperature variability over SA, spatial correlation and regression coefficients are calculated.

Grided precipitation and temperature data were derived from the UDelaure data set V2.01 (Legates and Willmott, 1990) at 0.5° resolution. We limit our assessment to the six most relevant climate modes (Table 1). Other modes analyzed were either largely redundant or showed a much weaker influence over the SA continent. The resulting correlation maps indicate the correlation coefficient on interannual time scales between the mode in question and the local temperature and precipitation at each grid cell. Conversely, the regression maps indicate the local anomaly (in physical units of mm or °C) at each location that corresponds to a unit (one standard deviation) anomaly in the climate mode. The Southern Annular Mode (SAM) and all three Atlantic modes (Atlantic Multidecadal Oscillation - AMO), Tropical North and South Atlantic Sea Surface Temperature (TSA, TNA; Table 1) were detrended prior to analysis to ensure that correlation and regression coefficients account for co-variability on interannual timescales only and do not result from spurious common trends. More information on the methodology can be found in the Supplementary Information.

In all correlation maps (Figs. 2 and 4) we show correlations in excess of ± 0.2 only, which approximately corresponds to the 95% significance level. For the regression maps (Figs. 3 and 5) we used thresholds of ± 0.12 °C and ± 50 mm, respectively. The correlation maps can help inform whether a certain temperature or precipitation anomaly in the regression map is statistically significant. In our discussion we focus primarily on the impact of the positive phase from each of these modes, as these are the fingerprints presented in Figures 2-5. Since this is a linear analysis the negative phase of these modes would lead to the same changes in temperature and precipitation, but with the sign reversed. In general these outcomes are consistent with earlier analyses reported by Garreaud et al. (2009). However, some differences are apparent and most likely related to different time periods analyzed, our
choice of using the hydrologic year as opposed to the calendar year, and different definitions of the indices used (see Supplementary Information for more details). For example, Garreaud et al. (2009) used the Multivariate El Niño - Southern Oscillation (ENSO) Index, while here we focus on the Niño3.4 index to describe ENSO variability. Similarly Garreaud et al. (2009) used the Pacific Decadal Oscillation Index to describe Pacific interdecadal variability, while here we use the Interdecadal Pacific Oscillation (IPO).

**Temperature**

The largest and most significant influence on interannual temperature variability in SA is exerted by ENSO, with above average temperatures during El Niño and reduced temperature during La Niña (Figs. 2 and 3). A one standard deviation departure in the Niño3.4 index is associated with a change in temperature of up to 0.8°C along the Pacific coast of SA. In the Andes of Colombia the correlation between temperature and the Niño3.4 index is >0.8, indicating that more than two thirds of the temperature variability on interannual scales can be explained by ENSO. The largest increase in temperature is observed during austral summer (DJF, not shown) linked to the peak phase of ENSO, which tends to occur at the end of the calendar year.

The IPO has a similar, albeit slightly weaker, fingerprint over SA as ENSO, which is not surprising given that the Pacific decadal and multidecadal variability is often described as ‘ENSO-like’ (e.g. Garreaud and Battisti, 1999). The IPO impact extends further south along the west (W) coast of SA than ENSO, however, with a somewhat stronger influence on temperature in N-C Chile. It is noteworthy that the IPO impact over SA is almost identical to the influence of the Pacific Decadal Oscillation as described in Garreaud et al. (2009).

The N Atlantic modes, AMO and TNA, are also quite similar, both featuring warming over tropical SA during periods when sea surface temperature (SST) in the N Atlantic domain are above average, most notably so over the southern C Amazon Basin (Figs. 2 and 3). In fact the warming associated with a unit variation in the AMO or TNA index is larger over most of the Amazon Basin than the warming associated with ENSO. The region of largest warming is co-located with an area of strong precipitation reduction during the warm phase of the TNA and the AMO (Figs. 4 and 5). This suggests that much of the warming is caused by cloud cover and soil moisture feedbacks associated with reductions in precipitation (reduced cloud
cover leading to enhanced solar radiation and reduced soil moisture limiting evaporative cooling).

The south (S) Atlantic counterpart, the TSA, is associated with a temperature dipole over subtropical SA, characterized by warming along a zonal band extending from the S-C Brazilian coast westward to Bolivia, while C Argentina contemporaneously experiences cooling (Figs. 2 and 3). The warming in the subtropical region coincides with a region of reduced precipitation during the TSA positive phase (Fig. 4), suggesting that the warming is at least in part caused by changes in the hydrological cycle (cloud cover and/or soil moisture feedbacks).

The SAM is positively correlated with temperature over Patagonia (Fig. 2) and also shows a weak negative temperature departure over western tropical SA during its positive phase (Fig. 3). The warming over Patagonia is strongest during austral summer (Garreaud et al., 2009; not shown) and results from enhanced heat advection, combined with higher solar radiation receipts due to cloud free conditions (Gupta and England, 2006).

**Precipitation**

Given that ENSO is the source of the strongest interannual variability on Earth, it is not surprising that it also leads to the strongest modern precipitation anomalies over SA (Fig. 5). In general in the tropics, El Niño events lead to significant precipitation reductions over much of tropical SA, with the strongest signal seen in N Brazil along the Atlantic coast and in the Andes of Colombia. Over NE Brazil the precipitation reduction is the result of El Niño events inducing a delayed anomalous warming of the tropical N Atlantic in boreal spring (March-May) (e.g. Curtis and Hastenrath, 1995; Giannini et al., 2001). Hence the ENSO influence in this region strongly projects onto the TNA pattern (Fig. 4). Over the N Amazon Basin the precipitation reduction is the result of a shifted Walker circulation, enhanced subsidence and reduced convective activity (e.g. Liebmann and Marengo, 2001; Ronchail et al., 2002). In the subtropics on the other hand precipitation is enhanced during El Niño events, in particular over southeastern SA (see also Grimm et al., 2000). The only tropical location that sees an increase in precipitation during El Niño is along the Pacific coast of Ecuador and northern Peru, where flooding is a common occurrence during these events (e.g. Takahashi, 2004). During La Niña events these precipitation anomalies are essentially reversed. The correlations
are weaker in our annual analysis over some regions where the ENSO influence is highly seasonal, such as the precipitation reduction over the C. Andean ‘Altiplano’ (high plain) region in DJF (Vuille et al., 2000) or the enhanced precipitation during El Niño in C Chile in June to August (JJA; Montecinos and Aceituno, 2003).

The largest change in the IPO in the period analyzed is related to the Pacific climate shift of 1976-77, when the tropical Pacific switched from its cold to its warm phase. Since El Niño events also became more frequent and stronger over this period (including the two extreme events of 1982-83 and 1997-98), it is no surprise that the observed changes in precipitation associated with the IPO are similar to the ENSO footprint, albeit somewhat weaker. Indeed the low-frequency modulation by the IPO may strengthen El Niño events during its positive phase and weaken La Niña events, while the opposite is the case during the IPO negative phase, a phenomenon known as ‘constructive interference’ (e.g. Andreoli and Kayano, 2005). Espinoza Villar et al. (2009) documented the influence of Pacific interdecadal variability on precipitation over the Amazon Basin and showed that its positive phase is related to a decrease in precipitation over the basin since 1975, consistent with our results.

Precipitation is reduced in the southernmost part of SA during the positive phase of the SAM (Fig. 4). This reduction extends N into the subtropics along both the Atlantic and Pacific coast to approximately 30°S (Silvestri and Vera, 2003; Gillett et al., 2006). Most of this precipitation reduction is associated with reduced westerly moisture flux and moisture convergence from the Pacific (Garreaud et al., 2013). The correlation (Fig. 4) and regression (Fig. 5) maps also suggest a significant influence of the SAM on precipitation in parts of the tropics. This signal, however, is not well documented and its physical mechanism is unclear. It may to some extent be related to teleconnections and an anticorrelation between ENSO and the SAM (e.g. Carvalho et al., 2005), which is supported by the fact that the Niño3.4 index and the SAM correlation maps are almost mirror images of one another (Fig. 4).

The AMO and the TNA have a similar fingerprint on the hydrologic cycle of SA (Fig. 5). Both modes are characterized by a significant reduction in precipitation over much of the Amazon basin during their positive phase, with the amplitude of the changes being slightly larger associated with TNA forcing. This negative precipitation anomaly is associated with the northward displacement of convective activity in the ITCZ region due to warmer SST in the tropical North Atlantic and Caribbean during the positive phase of the TNA (and to a lesser extent also the AMO). This directly affects precipitation amounts over NE Brazil (e.g.
Hastenrath and Greischar, 1993; Nobre and Shukla, 1996), while the northward shift in the core region of convection also leads to anomalous subsidence, located over the Amazon basin. In fact the recent droughts in 2005 and 2010 in the Amazon Basin were both associated with such anomalously warm SST in the tropical N Atlantic (Marengo et al., 2008; Lewis et al., 2011). The only region where precipitation is enhanced is in the NW part of the Amazon belonging to Venezuela, Colombia and Peru (Fig. 4).

An anomalously warm tropical S Atlantic (positive phase of the TSA) leads to the exact opposite conditions, with the ITCZ displaced anomalously far south, causing copious rainfall over NE Brazil, with weaker positive anomalies extending inland as far as the Peruvian border (Fig. 5). Another region of enhanced precipitation is located in S Brazil, associated with a southerly move of the SACZ (Fig. 1; e.g. Doyle and Barros, 2002).

### 3. Selection of pollen records covering 2 ka

Within the working groups of PAGES, the “2k-Network” was initially established in 2008 to improve current understanding of temperature variability across the Earth during the last 2 ka. To collate records across the Earth for this time period systematically a set of criteria that defined the suitability of individual records was required. The principle of the criteria was to ensure, as far as possible, consistency (and therefore comparability) in the chronological control and sampling resolution of fossil pollen records (Table 2). Of the six PAGES-2k criteria within this paper we regarded criteria A (peer-reviewed publication) as the base line criterion (all sites considered are from peer-reviewed studies). However, implementation of criterion B (resolution ≤ 50 years) was not possible for SA because such a criterion would leave only a handful of pollen records to discuss. The sparsity of samples that meet the stringent PAGES-2k resolution criterion occurs because sedimentary archives with long time spans (>10,000 yr) are typically sampled at coarser temporal resolution. Furthermore, many lowland sites have slow sedimentation rates, which preclude high-resolution sampling. Therefore we propose a more flexible temporal resolution, depending on the identified relevance of the case study.

From the newly updated Latin American Pollen Database (LAPD, Flantua et al., 2015a) we initially selected all records that cover the last 2 ka (Fig. 6). Good chronological control is required for PAGES-2k, but the youngest ages in pollen records are typically constrained by
An assessment of the pollen records by the authors with expertise in each SA sub-region has revealed 585 records with pollen samples within the 2ka-range (Fig. 6), of which 337 and 182 records, respectively, contain one or more geochronological date within that time period. In total, 182 studies were checked to confirm its suitability for palaeoclimate reconstruction as outlined by the PAGES-2k criteria. Records with a resolution of 200 to 300 yr are included in our discussion, while records along coastlines influenced by sea level changes were not included. Within the regional assessments, only records that fulfil more than three criteria are discussed, unless the records are considered particularly valuable for regional climate assessments.

4. Results

Regional assessments

Pollen records are discussed according to their regional and geographical settings: (i) Venezuelan Guayana highlands and uplands (Fig. 6A), (ii) Northern Andes (Fig. 6B), (iii) Central Andes (Fig. 6C), (iv) lowland Amazon Basin (Fig. 6D), (v) Southern and Southeastern Brazil (Fig. 6E), (vi) Pampean plain (Fig. 6F), and (vii) Southern Andes and Patagonia (Fig. 6G). The references to all records discussed are presented in Table 3.

Climate-vegetation interaction in the Venezuelan Guayana highlands and uplands

The study area, also known as the Gran Sabana (GS), is located in SE Venezuela between the Orinoco and Amazon basins (Fig. 6A; Huber and Febres, 2000). Huber (1995) recognized three main elevational levels on the Venezuelan Guayana: lowlands (0-500 meters above sea level, masl), uplands (500-1500 masl) and highlands (1500-3000 masl). Lowlands are absent in the GS, which is mainly characterized by a continuous upland peneplain spiked with isolated highlands (table-mountains, ‘tepuis’). The GS highlands are part of the so-called Pantepui phytogeographical province, which is characterized by unique biodiversity and endemism patterns, encompassing all the tepui summits above 1500 masl (Huber, 1994; Berry et al., 1995). The tepuian vegetation is characterized by a mosaic of bare rock, pioneer vegetation, tepuian forests, herbaceous formations and shrublands (Huber, 1995b). Additional background information is provided in the Supplementary Information.
In the GS, 22 pollen records cover the last 2 ka. There are 4 records with a chronology based on one control point and an additional 10 records from which most, or all, control points lie outside 2 ka. Three potentially suitable records originate from the highlands, Eruoda PATAM6-A07, Churí Chim-2 and Apakará PATAM9-A07, and only 1 is found in the uplands, Laguna Encantada PATAM4-D07 peatland (Fig. 7A; Table 3). Of the 3 records of the highlands, just Eruoda provides sufficiently high resolution to explore the objectives proposed here. However, only Churí Chim-2 and Apakará contain several age control points within the last 2 ka, and Laguna Encantada presents a relatively low sampling resolution of 200 to 300 yr.

The criteria for chronological control excluded some of the most relevant work for the research questions posed by this paper. For example, the vegetation at the Eruoda summit has persisted unchanged during the last ~2.5 ka. This constancy can be extended to all the tepuian summits studied so far during the last 6 ka (except Churí). Equally of high importance is the Urué record in the uplands, which does not meet the dating control constraints but the sampling resolution is high enough to provide important insights into the vegetation-climate dynamics during the last 2 ka, and will be therefore be presented here.

The Eruoda summit represents an important reference to which almost all the tepuian summits vegetation dynamics can be compared (Fig. 7B). Based on the absence of human activities in these summits, it can be assumed that the vegetation dynamics observed in the fossil records are fully climate driven and therefore valuable for LOTRED-SA. In general, these summits are insensitive to temperature change (for 2 ka), whereas moisture variations potentially may cause small internal reorganisations of plant associations although these shifts are considered to be of minor ecological significance. Shifting river courses are considered to influence local vegetation patterns through the lateral movement of gallery forests in landscapes (Rull, 2005a; b).

The Urué sequence spans the last 1.6 ka and records the vegetation dynamics after an important fire event dated ~1.6-1.8 ka. Three main vegetation stages were reported coeval with high charcoal abundances at the bottom of the sequence, corresponding to plant communities’ transitions from open secondary forest to fern-dominated associations transitional to savanna. Savannas were fully established around 0.9 ka, coinciding with the beginning of a phase of lower charcoal values, and continued as the dominant plant association until present-day. Savannas were accompanied by *Mauritia flexuosa* palm
swamps (‘morichales’) that established a phase that was likely more humid. These palm
swamps greatly varied in extent through time, showing a parallel between the lowest palm
abundance and two drought intervals’ occurrence. These two drought intervals were centered
during the 0.65-0.55 ka and 0.15-0.05 ka coeval to the Little Ice Age (LIA) signal observed in
the Venezuelan Andes (Rull et al., 1987; Rull and Schubert, 1989; Polissar et al., 2006).
Generally, the vegetation dynamics recorded so far in the Venezuelan Guayana uplands have
shown a higher sensitivity to changes in the available moisture than to potential shifts in the
average temperatures. The last 2 ka have been mainly characterised by vegetation change at a
local scale.

Climate-vegetation interaction in the Northern Andes

The region of the N Andes consists in political terms of Colombia, Ecuador and Venezuela
and includes a wide range of different ecoregions (Fig. 6B). Sharing both the Caribbean and
the Pacific coastline and various climate influences, Colombia has a unique pattern of
different ecosystems shared with neighbouring countries. Pollen records are found throughout
a wide range of biomes and elevations (Flantua et al., 2015a), from the tropical rainforest and
mangroves along the coast to the high Andean ‘páramos’. The complex formation of the
Andes with the three mountain ridges characterizes this region with numerous valleys and
watersheds.

A total of 64 records are available that present pollen data within the last 2 ka.
Unfortunately, 14 were presented in publications without a peer-review procedure or
presented only as a summary diagram (7 records with four positive criteria). An additional 5
records, which fulfilled all criteria, suggested human presence from before 2 ka, and were
therefore excluded for climate reconstructions. From the remaining records, only 4 lakes lack
human interference during the last 2 ka. The others describe human indicators over limited
periods of time and are considered valuable for PAGES-2k purposes (Table 3).

Lake Valencia (Fig. 6B and Fig. 8), is represented by three cores with varying quality
in chronology and resolution. The last 2 ka are characterised by a decline of forest cover,
attaining the lowest values of the Holocene, at the expense of savannas. Aquatic proxies
indicate declining lake levels and increasing nutrient input, a trend that accelerated during the
last 0.5 ka, when human activities were more intense around the lake. Considering the entire
Lateglacial-Holocene record, the Lake Valencia catchment has shown to be more sensitive to moisture variations than to temperature, as known from tropical lowlands.

In the Andean region, changes of the altitudinal position of the upper forest line (UFL) are instrumental in reconstructing temperature changes. This ecotone is defined as the highest elevation contour of continuous forest and marks the boundary between the forest and high Andean páramo biome (Moscol-Olivera and Hooghiemstra, 2010; Groot et al., 2013). The Andean sites in Venezuela and Colombia show indications of colder climates by decreased arboreal pollen at higher elevations. In the Venezuelan Andes, the only available pollen record is Piedras Blancas. There is no indication of human activity; hence changes should be attributed mostly to climatic shifts, notably temperature and moisture. Expansion of superpáramo vegetation suggests a response to the warm and moist Medieval Climate Anomaly (MCA, ~ 1.15-0.65 ka), while a period of scarce vegetation might be related to the LIA (~ 0.6-0.1 ka) (Ledru et al., 2013a). The absence of tree pollen in several samples indicates significantly depressed UFL in comparison to today.

Along the transitional zone between savanna and tropical rainforest in the Colombian savannas, three pollen records fulfil at least three criteria. Since 2 ka gradual increase in savanna vegetation is observed, suggesting a period of progressively drier conditions, e.g. Loma Linda and Las Margaritas. However, the expanding Mauritia palm forest observed in several records is considered to reflect increased local water availability and precipitation (Fig. 8B), and/or human impact (Behling and Hooghiemstra, 1998, 1999; Rull and Montoya, 2014).

Along the N Andean Pacific slopes, Jotaordó, El Caimito, Guandal and Piusbi document vegetation changes related to the precipitation regime in the C and S Chocó biogeographic region. Settings differ, as the first is located in a broad river valley with a meandering drainage system while El Caimito and Guandal are located in the coastal plain receiving signals from shifting mangrove forests. These shifts were considered not to be climate related but explained by tectonic events in the region and/or dynamic shifts of the river deposition patterns. Frequent erosion events, various seismic shifts and disturbance indicators from mixed origin during the last 2 ka hinder consistent conclusions for the region. Changes in vegetation composition around 0.65 ka were assigned in El Caimito to reduced flooding and possible human intervention, while similar changes at Jotaordó were ascribed to
endogenous dynamics. Only the multi-proxy approach of El Caimito suggests a possible relationship between periods of higher riverine dynamics and the frequency of long term ENSO variability. Within this region, Cecropia is used as natural disturbance indicator due to fluvial-marine dynamics, while in the other Colombian regions this fast-growing species is considered characteristic of human interference; both settings have disturbance as a common factor.

In the Colombian Andes there are no undisturbed pollen records during the last 2 ka suitable for climate reconstructions. Before the human disturbances, the La Cocha-1 record in the far S of Colombia (Fig. 8B) indicated generally wetter conditions similar to the N Ecuadorian pollen records of Guandera-G15 and Guandera-G8. A different kind of index to highlight vegetation-climate interaction was used in the E Ecuadorian Andes at Papallacta PA1-08. Established to characterize the SASM and ENSO, the index interprets cloud transported arboreal pollen grains and Poaceae as a proxy for upslope cloud convection. Supported by a high resolution (~15 yr), a high frequency of dry and humid episodes is detected during the last 1.1 ka. In this alternation of convective activity, the MCA, LIA and current warm period are considered detectable.

In S Ecuador 4 pollen records suitable for PAGES-2k purposes are found within a relatively small sub-region. Tres Lagunas suggests a cold phase, possibly the LIA, as one of several warm and cold phases detected during the last 2 ka (Fig. 8B). At Laguna Zurita, the decrease of Isoëtes was considered an indication of increased precipitation after ~ 1.2 ka, observed similarly in other fossil pollen records in the C Peruvian Andes. On the other hand, chemical analyses from the same core suggested drier conditions during the last millennium, confirmed by a different set of palaeoclimatic records. Unknown human interference in the last millennium could be related to these divergent patterns, as the nearby ECSF Refugio and Laguna Daniel Álvarez detected Zea mays around 0.8 ka and 1.4 ka, respectively.

**Climate-vegetation interaction in the Central Andes**

The C Andes includes the high elevation plateau of the Altiplano, above 3000 masl, in S Peru, Bolivia and N Chile (Fig. 6C). The Altiplano is an area of internal drainage within the Andes that contains multiple peaks over 5000 masl. The vegetation of the Altiplano is characterized by a high diversity of cold and moist conditions, including high abundances of Poaceae, Isoëtes, and Gentianella.
by different grassland types, collectively known as ‘puna’ (Kuentz et al., 2007). Within the grassland matrix are patches of woodland dominated by trees of the genus *Polylepis* (Fjeldså and Kessler, 1996). To the E and W of the Altiplano are the steep flanks of the Andes.

In total 57 pollen records covering the last 2 ka were identified from the Altiplano in Peru and Bolivia. Only 4 of the Altiplano records met all PAGES-2k criteria: (i) Cerro Llamoca, (ii) Marcacocha, (iii) Chicha Soras, and (iv) Pacucha (Fig. 9A; Table 3). From the surrounding regions 2 additional records are also considered here because of their importance and fit to the PAGES-2k criteria: (i) Consuelo on the eastern Andean flank, at mid-elevation (1370 masl), and (ii) Urpi Cocha on the Pacific coast at sea-level (within the archaeological site of Pachacamac). Of the seven sites considered in this review only 2 records (Cerro Llamoca and Consuelo) show no human interference, while the others indicate human impact during different periods of time throughout the last 2 ka.

Discerning a climate signal from the pollen records of the last 2 ka in the C Andes is a challenge due to the long legacy of human occupation and landscape modification (Bennett, 1946; Dillehay et al., 2005; Silverman, 2008). However, some idea of vegetation-climate relationships can be gained from modern pollen studies within the puna, e.g. Kuentz et al. (2007) use the ratio of Poaceae:Asteraceae (Coropuna), or Schittek et al. (2015) focus on the abundance of Poaceae (Cerro Llamoca) as an indicator of moisture availability. In the other records, where there is no direct relationship between vegetation and climate discernible, some authors look at the relationship between the pollen records and other indicators to disentangle climate and human induced vegetation change; such as independent evidence of farming activity (e.g. oribatid mites), or association with archaeological evidence for abandonment/occupation (Chepstow-Lusty, 2011).

The two records considered here that are purported to have no local human impact (Cerro Llamoca and Consuelo) provide the best opportunity of extracting a clear insight into past climatic change in the C Andes during the last 2 ka. The record from Cerro Llamoca indicates a succession of dry and moist episodes (Fig. 9B). After 0.5 ka sediments are composed of re-deposited and eroded material and consequently interpretation of the latter half of the record is difficult. In contrast little compositional change is evident in the Consuelo record, with the most significant variance during the last 2 ka being a rise in *Cecropia* sp. pollen after 1 ka. *Cecropia* pollen is typically interpreted as an indicator of disturbance (Bush and Rivera, 2001) and therefore, in the absence of humans signal, the rise
in _Cecropia_ could be interpreted as an elevated level of natural disturbance. The switch to very dry conditions at _Cerro Llamoca_ in the western Andean cordillera and the rise in _Cecropia_ at _Consuelo_ on the E Andean flank are broadly coincident (~ 0.85 ka); however, it is not possible to say if this pattern results from a common climatic mechanism.

Archaeological evidence from _Chicha-Soras_ does not show any evidence of human occupation of the valley between ~ 1.9 ka and ~ 1.4 ka. Between 1.4 and 1 ka and between 1 and 0.65 ka, high abundance of _Chenopodiaceae/Amaranthaceae_ (Cheno/Am) could be interpreted as either indicating arid conditions or expansion of _quinoa_ crops (Ledru et al., 2013b). However, a drop in charcoal fragments (fire activity) coupled with the absence of archaeological evidence (~1.9-1.4 ka), suggests that people abandon the valley during 1.5-0.5 ka and, consequently, that the aridity signal from the pollen could be interpreted as a climatic one.

Some climate information has been inferred from the four remaining sites (_Marcacocha_, _Pacucha_, _Nevado Coropuna_ and _Urpi Cocha_ ) despite the strong human influence on the vegetation. At _Nevado Coropuna_ humid conditions persisted until a short dry episode occurred 0.97-0.82 ka (Fig. 9B). During the last 2 ka at _Marcacocha_ successive peaks in Cyperaceae pollen have been interpreted as indicative of three periods of elevated aridity while elevated _Plantago_ at ~1.9 ka is suggested to indicate cooler conditions, and _Alnus_ at ~1-0.5 ka could indicate warmer and drier conditions, although discerning the climate signal related to _Alnus_ is difficult due to its utilisation in agro-forestry practices (Chepstow-Lusty and Jonsson, 2000). At _Pacucha_ and _Urpi Kocha_ significant changes to the pollen assemblage in the last 2 ka are attributed to human activity rather than climate. _Although the pollen records are likely to be somewhat obscured by the agricultural activities and irrigation of the crops, all high elevation records with a moisture balance signal suggest generally drier conditions occurred in the C Andes between 1.2 and 0.7 ka when compared with the rest of the last 2 ka._

Generally the pollen records from the Altiplano tend to show a greater sensitivity to precipitation, rather than temperature. The greater sensitivity to precipitation is because moisture availability is in most areas the limiting factor for both vegetation and human occupation. However, human occupation provides hints on changes in temperature; _Marcacocha_ when the sudden stop in agricultural activities is attributed to colder
temperatures, and (ji) at Coropuna when the increase of human occupation (expansion of Inca culture) at higher elevation shows that there was no glacier and warmer temperatures.

Climate-vegetation interaction in the lowland Amazon basin

For the purpose of this review, the lowland Amazon basin constitutes those regions of the Amazon drainage < 500 masl and extends to the lowland Guianas (Fig. 6D). This encompasses the evergreen rainforest, which covers most of Amazonia, as well as the transitional/seasonally-dry tropical forests located in NE Bolivia and S Rondônia, N Mato Grosso and N Para State, Brazil. It also includes the Llanos de Moxos savannas of NE Bolivia, the ecotonal rainforest-savanna areas of N Roraima State, Brazil, and extends to the coastal swamps/grasslands of N Brazil and French Guiana.

In total 42 published pollen records that cover the last 2 ka were identified from the lowland Amazon basin. By applying the dating constraints of the PAGES-2k criteria, the majority of pollen records from the Amazon basin are discounted from any analysis of climate-vegetation interaction for the past 2 ka. Only 5 records complied with all four of the criteria and 11 records met with three criteria (Fig. 10A; Table 3). One of these records, lake La Gaiba, is situated just outside the Amazon basin, in the Pantanal region of central Brazil/SE Bolivia. However, the record and its hydrological catchment reflect Holocene precipitation in the S Amazon basin (Whitney et al., 2011), and therefore was included as part of this review.

Lake Quistococha in the NE Peruvian Amazon is surrounded by Mauritia flexuosa-dominated palm swamp. Vegetation has undergone several significant species compositional changes over the past 2 ka. The broad pattern of vegetation change was from Cecropia-dominated riverine forest at ~2.2 ka, to abundant Cyperaceae and floating grasses/ferns and the commencement of peat formation ~2.1 ka, then to seasonally-inundated riverine forest, with abundant Moraceae and Myrtaceae from ~1.9 ka, and finally, the development of closed-canopy, Mauritia-dominated swamp from ~1 ka until present. Superimposed on this broad pattern of change were rapid, centennial-scale shifts in forest composition and degree of openness. However, these rapid shifts were attributed by the authors to hydrological dynamics, rather than climate change or human impact.

Lake Werth belongs to a collection of sites (also Gentry, Vargas and Parker) in the...
‘Madre de Dios’ region of the SE Peruvian Amazon. The lake formed ~3.4 ka and records continuous evergreen rainforest throughout, with little evidence of burning. The records from the surrounding three lakes concur, suggesting that, regionally, rainforest (and climate) has been stable over the last 2 ka.

Laguna Granja is located on the edge of the Pre-Cambrian Shield in NE Bolivia. The record has a maximum age of 6 ka and indicates that savanna characterised the landscape from 6 ka. This is in agreement with a regional scale reconstruction from the much larger Lake Orícore (not shown, Carson et al., 2014), which is located < 20 km away from Granja, and shows climate-driven expansion of evergreen rainforest in this region between ~2 and 1.7 ka. However, forest expansion does not occur on the Granja site until 0.5 ka. The distribution of forest vs. savanna around Granja was shown to be heavily influenced by human land use between 2.5 and 0.5 ka (Carson et al., 2014; Carson et al., 2015), therefore, it is not suitable for analysis of naturally-driven vegetation dynamics.

The Fazenda Cigana record is in the savanna-gallery forest mosaic landscape in the N Brazilian Amazon. The core was taken as one of a pair, along with the Terra Indígena Aningal record, which was cored from the same Mauritia swamp. The pollen records are dominated by Mauritia throughout, attributed to continuously wet climate in this region in the late Holocene. There are however centennial-scale periods of gallery forest reduction and grassland expansion, accompanied by increased charcoal concentrations. Da Silva Meneses et al. (2013) inferred that these periods of high burning were anthropogenic in origin, and compare them to modern day prescribed burning practices used by indigenous people in the northern Amazon to maintain an open savanna landscape. Despite the potential human interference, these records demonstrate natural stability of the forest-savanna ecotone over the last 1.5 ka in this particular part of the N Amazon.

The French Guiana K-VIII record was taken within a landscape of pre-Columbian mounded agricultural fields, with the principal aim of investigating ancient human land use associated with these earthworks on a local scale. From this earliest part of the record, the fossil pollen spectra indicate seasonally-inundated savanna, dominated by Cyperaceae and Marantaceae until 0.8 ka when human inference is detected. In the post-European period after ~0.5 ka, charcoal abundance increases, probably reflecting more intensive use of fire by colonial populations.
Climate-vegetation interaction in Southern and Southeastern Brazil

The landscape in S and SE Brazil is diverse from lowlands to high mountains, from subtropical regions with frost to tropical regions. Due to this heterogeneity distinct vegetation types occur throughout the region. The vegetation in S-SE Brazil includes forest ecosystems such as the tropical Atlantic rainforest, Araucaria forest, semi-deciduous forest, ‘Cerrado’ (savanna woodland) and different grassland ecosystems such as ‘Campos’ and ‘Campos de Altitude’ (high elevation grassland) (Fig. 6E). There is a gradient from no or short dry seasons in the coastal lowland up to 6 months in the hinterland (northernmost part of the highland in SE Brazil), marking the vegetational gradient from moist Atlantic rainforest to semi-deciduous forest and to Cerrado. Additional background information is provided in the Supplementary Information.

There are approximately 50 pollen records known from S-SE Brazil, but many sites have not been published in peer-reviewed journals and were therefore not considered. Unfortunately, the 2 records that agree with all criteria show human interference (Table 3). Therefore a general overview of climate-vegetation interaction from the region is presented, considering 7 records that fulfil some of the criteria (Table 3, Fig. 11A).

In S Brazil pollen records indicate vegetational changes that reflect a change from relatively dry climate during early and mid Holocene to wetter conditions after about 4.3 ka, and in particular after 1.1 ka (Fig. 11B). Increasing moisture is clearly indicated on the S Brazilian highlands by the expansion of Araucaria forests in form of gallery forests along rivers and a pronounced expansion of Araucaria forest into the Campos after about 1.1 ka (e.g. Cambara do Sul and Rincão das Cabritas). The expansion of gallery forests at similar time periods (5.2 and 1.6 ka, respectively) is also recorded in the southernmost lowland in S Brazil by the São Francisco de Assis record. Study sites that reflect changes in the Atlantic rainforest area indicate an expansion during the Holocene where overall wetter conditions prevailed compared to highland and southernmost lowland areas, e.g. Ciama 2 (Fig. 11B).

In contrast to other sites and regions, a relative humid and warm phase during the LIA is interfered from the high resolution Cambara do Sul record as an expansion of Weinmannia in the Araucaria forest is observed. In SE Brazil the Lago do Pires and Lagoa Nova record indicate that a dense and closed semi-deciduous forest existed in the region only during the late Holocene period under the current climatic conditions with a ~3 month dry season.
mountains of SE Brazil (e.g. Serra dos Orgãos record) a reduction of Campos de Altitude occurred 0.9 ka indicating a change to wetter conditions that is broadly coeval with a similar trend in the Lago do Pires record (Fig. 11B).

**Climate-vegetation interaction in Pampean plain**

This region extends E of the Andes, between 30 and 40°S (Fig. 6F) and is characterized by aeolian landforms marking the climatic gradient of the landscape. The natural vegetation of the Pampa is a tree-less grassland, dominated by Poaceae in terms of both species number and abundance. Asteraceae shrubs (e.g. Baccharis and Eupatorium) are present locally in S Pampa, Cyperaceae characterize aquatic and wet-ground communities of temporary flooded depressions and shallow lakes mainly from the E Pampa, and Chenopodiaceae characterize edaphic communities such as salt marshes and alkaline flat areas (Tonello and Prieto, 2008). Additional background information is provided in the Supplementary Information. In total 9 pollen records were assessed for the last 2 ka. All four dating criteria were met in one record only (Lonkoy) and three criteria were matched at Sauce Grande (Table 3). The pollen record of site Hinojales-San Leoncio does not fulfil the four dating criteria, however the record shows important hydrological signals for the last 2 ka and is therefore briefly discussed.

Aquatic ecosystems are considered sensitive to climatic and/or hydrological variations, and exhibit frequent fluctuations in their water level and extension, leaving flooded and/or exposed plains. Pollen together with non-pollen palynomorphs and plant macrofossil analysis present similar trends in SE Pampa that support climate to be a regional trigger of change (Stutz et al., 2015). From 2 to 0.7-0.4 ka an unstable regional environment with drier climatic conditions than present is inferred from the region (Fig. 12B), based on halophyte plant communities (Chenopodiaceae) surrounding the lakes whereas Chara and other aquatic plants (e.g. Myriophyllum, Potamogeton) characterized the water bodies. Towards ~0.5 ka vegetation changed to Cyperaceae dominance and aquatic plant composition similar to modern associations. Thus, turbid conditions with higher water level and/or extension of surface lakes under more stable environmental conditions are inferred. These support humid conditions similar to present with a noticeable increase of precipitation after 0.4 ka, indicated by high Cyperaceae abundances. However, a integrative multi-proxy approach allow inferring stable conditions and higher salinity values between 1.9 and 0.9 ka and periods of water level...
fluctuations after 0.9 ka, with high water levels between 0.66 and 0.27 ka. These changes may have been caused by fluctuations in precipitation (Fontana, 2005).

**Climate-vegetation interaction in the Southern Andes and Patagonia**

The study area comprises the S Andes, which includes subtropical and temperate regions (22°-56°S) on both sides of the Andes, including Patagonia (40°-56°S) which extends from the Andes eastwards to the Atlantic Ocean (Fig. 6G). The region has different geomorphological settings associated with glacial, volcanic and tectonic activities. Vegetation associations reflect the W-E precipitation gradient from the wet *Nothofagus* forest to the dry grass and shrub steppe towards the Atlantic coast. The S-N gradient along the Andes ranges from the *Nothofagus* temperate forest in the austral region to the *Nothofagus-Astrocedrus* forest, sclerophyllous forest and xerophytic woodland in the C region. In the northernmost end of the latitudinal gradient, the vegetation is adapted to extremely arid conditions characterized by small and dwarf shrubs and scarce cover (See Supplementary Information for additional descriptions).

In this region, there are 48 pollen records that cover the last 2 ka with at least one chronological control point during this period. Of these, the 19 records that fulfil PAGES-2k criteria are mostly concentrated in the temperate forests, while only few originate from xerophytic shrub steppe (1 record), subtropical forest - sclerophyllous forest (2 records) and grass steppe (4 records) (Table 3; Fig. 13A).

There are three sites at the far south of Patagonia: the ‘Tierra del Fuego’ s Onamonte mire (54°S) located at the *Nothofagus* forest-grass steppe ecotone shows a gradual *Nothofagus* forest development between 1.5-0.5 ka followed by a major forest development up to the present, reflecting increased precipitation (Fig.13B). Puerto Harberton (55°S) at the mixed *Nothofagus betuloides–N. pumilio* forest shows *Nothofagus* dominance during the 2 ka, whereas the Ericaceae increase during the last 1 ka suggests local decrease of the water table. Similarly, at Valle de Andorra (54°S) in *Nothofagus pumilio* forest, Empetrum/Ericaceae fluctuations reflect changing water tables.

In S Patagonia (52-51°S) along E Andes, there are several sites at or near the forest-steppe ecotone. Of these ecotonal sites, Rio Rubens (52°S) shows a closed *Nothofagus* forest until 0.4 ka when European impact starts (Fig.13B). Similary, Lago Cipreses (51°S) and Lago
Guanaco (51°S) show dominance of Nothofagus forest, but with increase of non-arboreal pollen (and decrease of Nothofagus) associated with a reduction of precipitation induced by the Southern Westerly Wind Belt (SWWB) and the SAM phases. Furthermore, changes associated to dry/warm climate conditions appear to synchronize with N Hemispheric events such as the Industrial Revolution, MCA, Roman Warm Period and Late Bronze Age Warm Period (Moreno et al., 2014), that alternate with wet/cool phases. Cerro Frias (50°S) shows open forest from 2.0-0.9 ka, followed by prevalent grass steppe that is punctuated by an increase in Nothofagus at 0.016 ka. Estimates of annual precipitation suggest similar or higher values than modern between 2-1 ka, lower values between 0.9-0.015 ka, followed by similar-to-modern precipitation in the last 0.015 ka. Currently located in mixed deciduous Nothofagus forest, the Peninsula Avellaneda Bajo (50°S) records an open forest from 2 ka, of which large expanses were replaced by grass steppe between 0.4-0.2 ka, associated with a decline in precipitation.

In C Patagonia (47-44°S) pollen records are located at the E of Andes (Fig.13A). At Parque Nacional Perito Moreno (47°S) a shrub-steppe expansion (Asteraceae and Embothrium dominance) suggests lower precipitation values between 1.2 and 0.25 ka compared to previous values, after which an increase in grass-steppe occurs due to higher moisture availability (Fig. 13B). However, the Mallin Pollux (45°S) record indicates an open canopy prior to 1.5 ka followed by a Nothofagus forest expansion associated to precipitation increase. Mallin El Embudo (44°S) within Nothofagus deciduous forest, shows unvarying forest composition during the last 2 ka. Located in the same valley, the Lago Shaman (44°S) record (Nothofagus forest-steppe ecotone) shows a more diverse pattern throughout the last 2 ka, with a forest retraction at ~1.7 ka, followed by an expansion around 1.5-1.3 ka and a major forest development around 0.5 ka. The forest decrease during the last 0.2 ka is associated to human intervention.

In N Patagonia (44-38°S), Lago Mosquito (42°S) is the only record in E Andes and it is located at the transition between Austrocedrus woodland and shrubland-steppe. The record shows an open Nothofagus-Austrocedrus forest with elements of steppe and grassland elements between 2-1.4 ka, changing to higher Nothofagus forest dominance, which is attributed to wetter conditions (Fig. 13B). From 0.225 ka to the present, Nothofagus shows a sharp decrease and Cupressaceae increases, together with rising introduced species, e.g.
Rumex and Pinus. At the same latitude, Lago Lepué (42°S) located in the Isla Grande de Chiloé and surrounded by evergreen rain forest, shows dominance of Nothofagus during the last 6 ka with an important reversal between 2-0.8 ka. This suggests a lower precipitation than before and after 0.8 ka, shown by an increase of Weinmannia and Isoetes. Lago Pichilafquen (41°S) record, under the domain of the SWWB and influenced by the Subtropical Pacific Anticyclone in summer, shows a series of warm/dry and cold/wet phases for the last 2 ka (Fig. 13B). These phases are inferred by the varying abundances of Nothofagus and Eucryphia/Caldcluvia and Poaceae. The last centuries are characterized by human intervention. At the temperate-subtropical transition, Laguna San Pedro (38°S) record shows dry-warm phases which were associated with the MCA period. Cold and wet conditions, inferred by the relation between Nothofagus and Poaceae, and changes in the depositional time, prevailed during the LIA, possibly related to El Niño and La Niña influencing these wet and dry phases respectively (Fig. 5).

To the N (westward Andes), the lake Aculeo record (34°S) shows dominance of Poaceae suggesting relatively steady conditions during the last 2 ka with exception of last 0.1 ka, when a trend towards warmer conditions or human disturbance is reflected by increase in Chenopodiaceae (Fig. 13B). Interestingly, the sedimentary record shows a series of turbidite layers associated with major ENSO frequency between 1.8-1.3 ka and 0.7-0.3 ka (Jenny et al., 2002). The Palo Colorado (32°S) record shows dominance of Myrtaceae associated with wet conditions during last 2 ka alternating with several dry pulses. A major dry peak at 0.4 ka may be related to climate and/or human activity. Similarly at E Andes, Abra del Infiernillo (26°S) shows an increase in moisture between 2-0.75 ka inferred from Juncaceae, Poaceae, Cyperaceae pollen and fern spores; and a change to dry climatic conditions similar than modern from 0.75 ka on.

Lago Potrok Aike and Lago Azul (both 52°S) show a dominance of Poaceae since 2 ka, with long-distance transported pollen of Nothofagus. At Potrok Aike, reconstructed annual precipitation based on transfer function indicates rising values during the last 2 ka (Fig. 13B). Cabo Virgenes (52°S), located at SE Patagonian grass steppe, shows a shrubland community between 1.2-0.7 ka, associated with drier conditions than at present. An increase in moisture after ~0.7 ka is indicated by Poaceae and Juncaginaceae pollen. Cabo Virgenes CV22 shows a similar trend, with dry grass-shrub steppe between 1.05-0.6 ka, followed by a grass-dominated steppe suggesting higher moisture availability.
Indicators of human land use in 2 ka pollen records

In any past environmental change reconstruction concerning the last 2 ka, human land use must be considered as a potentially important agent of environmental change. However, where there is no direct evidence of human land use, such as cultigen pollen, distinguishing natural from anthropogenically induced burning and vegetation change can be difficult. In some cases anthropogenic deforestation and decreased moisture might result in similar signals in the pollen record and therefore complementary proxies of past environmental change can be used to support interpretations, such as Chironomids (Matthews-Bird et al., 2015; Williams et al., 2012) and geochemical records from speleothems.

There are six key aspects of fossil records (pollen and charcoal) that can be seen as indicators of past human activity, these are a: (i) decrease in forest taxa (degraded forest and deforestations) and/or forest composition, (ii) presence of crops, e.g. *Zea mays*, *Manihot esculenta*, *Phaseolus* and *Ipomoea*, (iii) presence of crop-related herbs, e.g. *Rumex*, (iv) increase of grasses/herbs, e.g. *Poaceae*, *Cyperaceae* and Asteraceae subf. Cichorioideae, (v) increase of disturbance indicators, e.g. *Cheno/Am*, *Cecropia*, *Vismia*, ferns and palms (e.g. *Mauritia* and *Euterpe/Geonoma*), and (vi) elevated amount of charcoal due to anthropogenic fire (Fig.14). These indicators of human activity can be split into two classes, those that directly indicate human presence, and those from which it is indirectly inferred. *Manihot esculenta* and other crops, such as *Zea mays*, are considered direct indicators of human influence and provide clear evidence of land use. Indirect indicators such as change in forest composition (e.g. due to deforestation) or species known as disturbance indicators (*Cecropia* and *Mauritia*) need additional proxies to derive conclusive findings. Only by looking at pollen changes in context with other evidence – e.g. charcoal, limnology, sedimentology, archaeology – can the correct origin of these changes be identified. In any palaeo-reconstruction concerning the past, ambiguous records with fewer proxies were not immediately discarded, but considered within the context of the other records from their wider region. Based on this, an assessment could be made as to whether an anthropogenic signal may have obscured the natural vegetation change trajectory. The moisture balance and temperature summaries for...
each region (Figs. 7-13) clearly indicates when human interference obscures the climate assessment and when both climate and/or human may have influenced the pollen record. To date, major human impact in the Venezuelan Guayana uplands has been suggested for the last 2 ka and inferred from the charcoal record, without any evidence of crops. Compared to the highlands (1500-3000 masl), the situation in the uplands (500-1500 masl) differs substantially as fire is maximally responsible for vegetation change during the last 2 ka. The Urué record shows the consequence of repeated burning upon the vegetation, preventing the recovery of pre-existing forests and allowing the appearance of a ‘helechal’ (fern-dominated vegetation; Huber and Riina, 1997), and finally the establishment of the savanna. The occurrence of frequent fires during the last 2 ka is a common feature of mostly all the upland records analysed so far, regardless the plant association present at each location. Synchronous with this increase in fire regime, those records that nowadays are characterised by Mauritia palm swamps, showed parallel a sudden appearance and establishment of Mauritia. Human activities have been proposed as the likely cause of this high abundance of fires, and thereby of the consequences that produced upon the landscape. In this sense, the repeated use of fires would have promoted the reduction of forests and expansion of the savanna, favouring the establishment of Mauritia swamps after clearing. Two records are particularly relevant regarding the human influence on the Venezuelan Guayana uplands. Lake Chonita sequence (Table 3) registered among the earliest Mauritia establishment coeval with a significant increase in the fire regime during a likely local wet period around 2 ka. In the southernmost boundary of the Venezuelan Guayana, El Pauji (Table 3) was interpreted as potentially reflecting human activities since the mid Holocene. This location is characterised today by treeless savanna surrounded by dense rainforests that established ~1.4 ka as shown by the highest abundance of algal remains (local wet conditions) and charcoal particles (fire regime). The establishment of the present-day landscape was interpreted as mainly anthropogenically driven, with the arrival of the current inhabitants. The occurrence of a previous secondary dry forest was interpreted as the result of climate-human interplay, linking land abandonment and likely drier climate as the main responsible favouring the vegetation shift. From the Colombian savannas, human occupation is attested since the mid Holocene (Berrio et al., 2002). At site Loma Linda a plausible signal of human interference in the last 2 ka is shown by increased savanna, although precipitation increase during the same period.
(Behling and Hooghiemstra, 1998, 1999; Marchant et al., 2001, 2002) could be interfering with that signal.

The human history in the N Andean region goes back to the Lateglacial (Van der Hammen and Correal Urrego, 1978). The high plains of the Colombian Cordilleras provided suitable conditions for human settlements since the start of the Holocene. Increasing human occupation became evident in pollen records after ~3 ka, such as Fúquene-2 and Pantano de Genagrá. In several Andean diagrams, Rumex acetocella marked the arrival of Europeans since 0.4 ka (Bellwood, 2004; Bakker et al., 2008). Before these dates, indigenous populations were scarce and their practices negligible in terms of impact, especially at high elevations sites such as Piedras Blancas in Venezuela.

In the tropical lowlands along the Pacific coast, increases in the presence of palms (mainly Euterpe/Geonoma), are commonly interpreted as a result from more intensive forest use, e.g. Lake Piusbi. Pollen grains from crops like Zea mays, Phaseolus and Ipomoea are found in Piagua (Vélez et al., 2001). Human disturbance to the forest is considered indicated by high percentages of abundance of Cecropia, ferns and palms. Decreases in human impact during the last 2 ka has been described by sites like Pitaliton, Timbio, La Genagra, Quilichao and La Teta, as grassy vegetation (Poaceae) and Zea mays disappeared and forest started to recover. This vegetation change could be related to the first arrival of the Spanish ‘conquistadors’ (González-Carranza et al., 2012), or a set of different causes (Wille and Hooghiemstra, 2000).

In the C Andes a high level of human activity, spatially variable in intensity, has been shaping the landscape for the last 2 ka. Chenó/Am and Zea mays generally appear in all the records in the Central Andes after 4 ka, e.g. Pacucha, Marcacocha, Chicha-Soras and Urpi Kotcha. After 2 ka, Alnus and agroforestry practices are observed (Marcacocha, Pacucha). When irrigation started to be developed in sites without a nearby lake as for instance ~1 ka at Coropuna, Ambrosia may be used as a terrace consolidator. Evidence of afforestation in two sites with high human influence (Marcacocha and Pacucha) are observed. Indeed Alnus acuminata is a tree planted by the Inca to stabilise landscapes (Chepstow-Lusty, 2011). At lower elevation, in the Andean forest, the last 2 ka pollen data indicate little change in woodland cover which remains high on the eastern Andean flank (Consuelo), and low in the west (Urpi Kocha).

Of the 42 pollen records identified from the lowland Amazon basin, 15 show evidence...
of pre- and post-European land use within the last millennia. Human land use is inferred from these records from cultigen pollen grains, charcoal and forest clearance (Table 3). In some cases there is also archaeological and archaeobotanical evidence for human land use. At many of the sites occupied by native Amazonians, evidence of decreased land use shows as a decline in burning by or before 0.5 ka, probably in relation to first European contact. However, some sites, such as French Guiana VII and Granja show evidence of continued post-European land use.

In SE-S Brazil, the modern vegetation is strongly affected by the logging of forests and different agricultural land-use practices. During the last few decades large-scale afforestation of grassland by *Pinus* is seen on the highlands. Similar to SE-S Brazil, the Pampa region has a relatively short farming history, since most of the area remained as native grassland until the end of the 19th and the beginning of the 20th century (Viglizzo and Frank, 2006). Today, only around 30% of the region is covered by natural or semi-natural grassland. Pampa vegetation does not show evidence of human impact prior to European settlement at 0.4 ka. Europeans introduced several tree species (e.g. *Eucalyptus, Pinus*), as well as cattle (*Bov taurus* and *Equus*) and crops (*Triticum aestivum, Helianthus annuus*), but the intensive agricultural activities only began 0.05 ka (Ghersa and León, 2001). The palaeoenvironmental history of shallow lakes shows a change to more productive systems (higher mass of phytoplankton and organic matter content) during the last 0.1-0.08 ka probably due to agricultural activities. On the other hand, pollen records show an increase of pollen types associated with overgrazing (*Plantago* and/or Asteraceae Asteroideae) and exotic trees during the last 0.1 ka.

In S Andes and Patagonia, anthropogenic activities during the last century have caused a range of disturbances (e.g. fire, forest clearance, grazing, agriculture) and major vegetation changes in forest and steppe areas have occurred. There is not conclusive evidence of native human activities in the pollen records and native-fire disturbance has been long discussed. Charcoal records from the E Andes flank have not revealed fire activity associated with native populations. A probable explanation for this lack of evidence is a low density of populations associated with sporadic forest impact (Iglesias and Whitlock, 2014). In general, human activities indicators are forest decrease, presence of exotic pollen types (e.g. *Rumex*) and increase of some pollen types (e.g. Asteraceae subf. Cichoroideae, Chenopodiaceae).
associated to European presence in the region. The time of colonization varied among S
Andes and Patagonian sites, but ~0.1 ka can be considered the start of European activities in
Patagonia. Differences in timing of the first appearance of human indicators in pollen records
could reflect European settlement dynamics, with earlier presence in more northerly sites and
later more isolated areas (in the south of continent). The first human indicator is recorded at
Rio Rubens (52°S) with the appearance of the European weed pollen Rumex acetosella-type
appearance in the early European era (~0.3 ka).

5. Discussion of the regional assessments

General observations for 2 ka pollen compilations

This review reveals that those records with better dating resolution in the late Holocene are
often from cores that span a shorter time period, while longer temporal records have less well
resolved Holocene chronologies. This likely reflects: (i) the need to spread limited numbers of
radiocarbon dates in order to provide robust age models for these deeper time records, (ii) the
greater interest of previous researchers in potential large-scale palaeovegetation changes,
driven by glacial-interglacial climate cycles, and other significant periods of climatic change,
such as the early-to-mid Holocene drought, and (iii) the low sedimentation rate during the last
millennia in certain regions, e.g. lowland Amazonia. Furthermore strong anthropogenic
interference during the last 2 ka complicates the interpretation of many records from a
palaeoclimate perspective, but with expert knowledge climate signals can be filtered.

Additional difficulties arise from the ‘one topic focus’ of many studies and authors do not
often present the full range of data in their publications that are required for a comprehensive
reconstruction of vegetation, climate and human impacts over the last 2 ka.

Venezuelan Guayana highlands and uplands

For the Venezuelan Guayana region, here we discuss the highland and upland areas separately
due to the significant differences in physiographical, climatic and ecological features, as well
as in the intensity of human pressure on their respective ecosystems.

Highlands are virtually pristine and, according to the palaeocological records, they
have remained in this state at least since the early Holocene. Therefore, climate has been the
main driver of change. **Palaecological** records for the last 2 ka are scarce and generally of low resolution but a common trait is the ecological stability as expressed in the vegetation constancy. The following hypotheses have been suggested to explain these observations: (i) environmental changes were insufficient to affect the highland vegetation, (ii) the high precipitation and relative humidity of the Chimantá summits (Briceño et al., 1990) have buffered climatic changes, and (iii) the study sites are unsuitable for recording significant vegetation changes because there are no vegetation ecotones nearby (Rull, 2015). Further work is needed to test these hypotheses. So far, palaeocological fieldwork atop the tepuis has been carried out in an exploratory, non-systematic manner due to the remoteness of the tepuis, and the logistic and administrative constraints (Rull et al., 2008). In the LOTRED-SA framework, the issue of vegetation constancy emerges as a priority and should be addressed properly by finding suitable coring sites to be analysed with high-resolution multiproxy tools. The use of physical-chemical proxies independent from pollen and spores is essential to record climatic shifts. Lake sediments would be excellent for this purpose but, unfortunately, lakes are absent on tepui summits, the only permanent lake known so far is Lake Gladys atop the Roraima tepui, of which age and origin remain unknown (Safont et al., 2014). At present, the analysis of the Apakará PATAM9-A07 core, which meet the PAGES-2k criteria, is in progress. The preliminary study of this core showed the main Holocene vegetation trends at millennial resolution (Rull et al., 2011), and the current analysis is being performed at multidecadal resolution. A new core obtained in the Uei summit (PATAM8-A07; not included in the Chimantá massif) containing a decadal record for the last 2 ka is also being currently analysed (Safont, et al., submitted).

In the GS uplands, the situation is very different and the main driver of ecological change is fire caused by humans. This does not mean that climatic shifts have been absent or that they have not affected the vegetation but the action of anthropogenic fires overwhelms and obscures the action of climate (Montoya and Rull, 2011). So far, regional palaeoclimatic trends, based on independent data obtained from the Cariaco basin (~680 km to the north; González et al., 2008), have been used as a reference for past climate change on the GS uplands (Rull et al., 2013). Unfortunately, a more local independent palaeoclimatic record for the GS uplands is still lacking not only for the last 2 ka but also for the entire Holocene. Another limitation is that most palaeoecological records available for the GS uplands are from its southern sector, which is the lowermost part of the peneplains, and has a different climate...
and vegetation regime as compared to the northern sector. Some records from the northern sector are available that fit with the chronological PAGES-2k requirements (Leal et al., 2011) but only summary diagrams are provided in peer-review publications and therefore they cannot be used in this reconstruction. The decadal to multidecadal analysis of a new core obtained in Kamoirán (PATAM10-A07), in the northern GS uplands, is in progress.

It should be stressed that the last 2 ka seem to have been critical for the ecological history of the GS uplands and its detailed knowledge may be crucial to understand the origin of the present-day landscape. The reason is intimately linked to the temporal patterns of human impact using fire. The date of arrival of the current indigenous culture (Pemón) at GS is still unknown. Based mainly on historical documents, it has been postulated that this culture settled in GS ~0.6 to 0.3 ka, coming from Guyana or Brazil (Thomas, 1982; Colson, 1985, Huber, 1995a). But these could be considered minimal ages, as recent palaeoecological studies suggest that human groups with landscape management practices similar to the Pemón people would have been present in the GS since ~2 ka (Montoya and Rull, 2011; Montoya et al., 2011a). Before that time, the GS landscape was different from the present, including larger extents of forested areas since the Late-glacial (22-11.7 ka) and the absence of Mauritia palm swamps until ~2 ka. The same time period seems to have been a landmark in Neotropical history for similar reasons as Rull and Montoya (2014) showed a generalized increase of Mauritia pollen abundances in northern South America during the last 2 ka.

Given the northern position of the Venezuelan Guyana, the vegetation responses studied have been normally related to ENSO and ITCZ movements. These two main drivers are represented by the Niño 3.4, AMO, IPO and TNA modes, which are indeed the exerting the main influence in the area as shown in Figs. 2-5 (especially with respect to temperature). The lack of a significant influence of AMO on precipitation in the region is surprising. It is worthwhile to compare the climatic inferences made through fossil pollen records with the climate modes’ effect on the area. Fossil pollen records have suggested available moisture (or precipitation/evapotranspiration ratio: P/E) as the main climatic driver to take into account for vegetation responses. However, these inferences are based on very local spatial scale proxies (e.g. algal remains) and P/E is a complex process that relies on a wide range of factors, including both temperature and precipitation (Van Boxel et al., 2013). Its interpretation in the fossil record is therefore complex and sometimes ambiguous. On the other hand, both Pacific and Atlantic climate modes appear to have a potentially large effect on both temperature and
precipitation in the region. Such findings suggest that the variations of P/E inferred from the fossil record could be caused by either of these two factors, or by both. Additional higher resolution multi-proxy analyses should shed a light on previously undetected modes in the region as well as disentangling the combined effect of several forcing factors. Nevertheless, upland records have been interpreted as primarily human-driven vegetation responses, so for the last 2 ka the climatic conclusions are constrained. Highland records have been described as an example of constancy, even insensitive to temperature change during the last 2 ka, which could confirm that the temperature variability related to climate modes in this region has been of a lesser magnitude than those required to cross the vegetation tolerance ranges. Alternatively, the intrinsic characteristics of the sites studied so far have inhibited detecting any change.

Northern Andes

Study sites without human presence have been not identified with certainty within the northern Andean region, inhibiting detection of a clear signal of climate tendencies in the last 2 ka. Drier conditions prevailed in Colombian savanna lowlands, although the increased presence of Mauritia suggests either increased humidity and/or human influence. Along the Pacific coast, generally wetter conditions prevailed (Fig. 8B), but tectonic events might be masking clear patterns. Interpretation of some records should be made with care due to the noisiness of the data. Furthermore, due to the geomorphological complexity of the landscape and its latitudinal characteristics, this region is prone to a combination of strongly overlapping climate signals within and between years (Figs. 2-5; Marchant et al., 2001).

For the northern Andes the position of the ITCZ and the ENSO phenomenon are most important in driving changes in precipitation as clearly illustrated in the La Cocha record (Figs. 4 and 5). The altitudinal gradient in temperature is most importantly modulated by ENSO and the TNA. This is shown by the increased temperature variability around 5 ka when the ENSO signal starts (Figs. 2 and 3). The Papallacta record highlights the two modes which affect precipitation variability in this region, namely the E equatorial Pacific and the tropical Atlantic. SST anomalies in both basins have been related to climate variability in the N Andes until 0.45 ka, with inter-decadal variability dominating during the last 0.5 ka. Also Pallacocha in S Ecuador shows a close match with ENSO, recording its strength during the
last 15 ka. Similarly associated with ENSO are the changes in the plant assemblages detected
in the high-resolution record of El Junco on the Galápagos Islands.

Comparing vegetation-climate signals between the Colombian lowlands and E
Venezuela and NE Brazil has shown opposite climate conditions. Dry conditions identified in
the Bolivian pollen records. During an El Niño setting, when Bolivian savannas indicated wet
conditions, the signal from Lake Valencia in Venezuela reflected dry conditions (Martin et
al., 1997; Wille et al., 2003). Lowland sites generally show similar patterns of climate change
during the last 2 ka and apparent synchronous events are observed over a larger spatial scale.

This climate-sensitive transition zone is thought to reflect precession-forced changes in
seasonality, latitudinal migration of the ITCZ, and changes in the ENSO (Figs. 3 and 4). The
sites in the Andean region on the other hand are much more influenced by local geographical
variability, causing a more variable response mechanism.

Central Andes

The records from the Central Andean Altiplano suggest an oscillation in moisture availability
( precipitation) on a multi-centennial timescale during the last 2 ka (Fig. 9B). These
oscillations are probably due to differences in the strength of the summer precipitation. The
timing of wet and dry events is not uniform between sites, probably due to local micro-
climates and differences in vegetation sensitivity to climate change; i.e., the high elevation
grassland (puna) versus mid-elevation Andean forest. The high elevation peatland site of
Cerro Llamoca is the only Altiplano site with no discernible local human impact and is the
most robustly dated record used in this study; 33 radiocarbon ages in the last 2000 years. The
Cerro Llamoca record therefore likely represents the clearest palaeoclimate signal for the C
Andean region. For example, records of glacial advance and retreat, and associated vegetation
changes, from the Altiplano associated with the LIA are not discernible in any record, apart
from Cerro Llamoca, because they are masked by changes associated with the arrival of
Europeans; i.e., abandonment of the sites, and/or changes in agricultural practices.

Interpretation of the climate signal from the C Andes fossil pollen records suggests
that during the last 2 ka precipitation, rather than temperature, was the key natural driver of
vegetation change. Nonetheless, the increase in temperature observed at Nevado Coropuna
during the Inca period, after 0.85 ka, could correspond to El Niño or IPO forcing.
Furthermore, the decrease in temperature observed at Marcacocha between 1.85 and 0.85 ka
could be related to La Niña. The Pacific modes (Figs. 2 and 3) show a strong influence along
the coast, which is in agreement with the results of the coastal pollen record (Urpi Cocha),
where ENSO is considered responsible for extreme flooding events.

The greater sensitivity to precipitation seen in the pollen records is probably because
moisture availability is in most areas the limiting factor for both vegetation and human
settlement. On the Altiplano variations in the SASM have been attributed as a major driver of
changes in moisture balance at Cerro Llamoca, Nevado Coropuna, and Pacucha, through
altering the summer precipitation. The SASM is also responsible for precipitation variations
along the E Andean flank, as seen at Consuelo. As noted earlier, the highly seasonal
precipitation in the C Andean region leads to rather weak correlations with ENSO and the
IPO on an annual scale (Figs. 4 and 5). Notwithstanding this ENSO has been shown to have a
significant influence in the C Andean region (both for temperature and precipitation) in
numerous studies. It should also be noted that ENSO and IPO influence the intensity of the
SASM (Garreaud et al., 2003; Vuille and Werner, 2005) thereby affecting moisture delivery
to the Altiplano region, but because both ENSO and monsoon rainfall tend to peak during a
fairly short time window between November and February, this connection is not clearly
expressed in Figs. 4 and 5.

Lowland Amazon basin

The lowland Amazon basin shows a high spatial complexity to the expression of the various
modeled climate modes (Figs. 2–5). ENSO and IPO, for example, both indicate strong
negative relationships with precipitation in the NE quarter of Amazonia, where they induce
drying over this region during their positive phase. Conversely, TSA shows a positive
relationship with precipitation over the NE Amazon. Precipitation in the NE Amazon region
is clearly strongly linked to tropical sea-surface temperatures and ENSO variability. There are
two pollen records in this region (Les Nouragues and French Guiana VII), both of which
display a more local-scale forest dynamics with additional human interference. Therefore
these records are not considered suitable to investigate the effect of these modes on vegetation.
over the last millennia. New pollen-based reconstructions should be prioritized in this region to uncover the long-term drying effect of dominant ENSO/IPO or TSA modes on tropical lowland vegetation in the NE. The most significant late Holocene vegetation changes are observed in records from the ecotonal areas of the S Amazon (Chaplin, Bella Vista, Orícore, Carajás), where rainforest vegetation is located near the edge of its climatic range therefore, vegetation response to precipitation change is most likely to be observed. This rainforest expansion during the mid-to-late Holocene resulted from increasing insolation over the S Tropics and strengthening/migration of the SASM; a complex component of the climate system that is influenced by several dominant modes. Figures 4-5 show a weak negative precipitation anomaly across the lowland Amazon associated with the TNA mode. It is thought that higher sea surface temperatures in the tropical North Atlantic cause a reduction in Atlantic moisture reaching the Amazon during austral winter, thus extending the length/severity of the dry season; especially in S and SW Amazonia (Lewis et al. 2011). The influence of the TNA mode may therefore be important to consider in Amazonian pollen records given the known sensitivity of vegetation in these ecotonal areas to seasonal rainfall.

Most modes in Figures 2-3 show high correlation and regression coefficients with temperature anomalies over the lowland Amazon. Temperature anomalies can play a role, but rainforest vegetation is unlikely to have shown sensitivity to temperature changes of <1˚C (Punyasena, 2008; Punyasena et al. 2008), but would show greater sensitivity to reductions in minimum annual temperature (i.e. frost).

Better-resolved late Holocene records originate from small lake basins (e.g. oxbows like Maxus-1, Laguna El Cerrito and Laguna Frontera), which have small pollen catchment areas. This means that they reflect predominantly local-scale changes and are, therefore, more susceptible to having their record of past environmental change dominated by signals of ancient human land use and local hydrology (e.g. savanna gallery forest), rather than regional climate. Many of these smaller records were specifically selected in the original study to investigate local-scale human impacts around known occupation sites (Iriarte et al., 2012; Whitney et al., 2014; Carson et al., 2014, 2015). Examples of continuous anthropogenic signals during the last 2 ka are Laguna El Cerrito, Laguna Frontera and Laguna San José (Fig.10).

In order to address these complicating factors of pollen catchment area and the anthropogenic signal, any future effort to obtain better-resolved Holocene pollen records in
the lowland Amazon should make careful consideration of the sampling methodology employed. Carson et al. (2014) demonstrated that sampling a combination of small and large lake basins from within the same catchment allows a distinction to be made between local-scale, anthropogenic impact and regional-scale, climate-induced vegetation changes. In regions such as the C Amazon, where lakes are predominantly limited to small oxbows, a sampling approach might be to analyse cores from multiple records within the same locality, and to compare those records, in order to identify any regionally significant pattern of palaeovegetation change (Cohen et al., 2012; Whitney et al., 2014). Oxbow lakes are dynamic features, and so require careful interpretation. However, their higher sedimentation rate means that they have the potential to provide the high temporal resolution palaeovegetation records of the late Holocene, which currently are largely absent from the Amazon lowlands.

Considering the large area of the Amazon basin, the number of pollen records is very small, and by applying the PAGES-2k criteria, those numbers are further reduced. Furthermore, the records which are excluded from the analysis by these criteria include some of the most important records of climate-driven vegetation change in the Amazon basin, e.g. Lakes Orícore (Carson et al., 2014), Carajás (Hermanowski et al., 2012), and lakes Bella Vista and Chaplin (Mayle et al., 2000).

In order to avoid a “black hole” situation over the Amazon lowlands in any regional synthesis, one approach may be to apply a lower threshold of dating criteria. If the selection criteria are relaxed to allow for those records that are >500 years old and have at least two chronological control points within the last 2000 years, a further 14 records are added to the list of qualifying records. Also, if the criteria are stretched further to allow records with a lower date which is older than, but close to 2 ka, the Lake Chaplin and Gentry records would also be included. Considering these records would provide coverage from the central Amazon River region, the N Brazilian Amazon, the E and NE coastal Amazon and the SE and SW basin. However, even with these relaxed criteria, a number of key records would still be excluded, e.g. Pata (Bush et al., 2004; D’Apolito et al., 2013), La Gaiba (Whitney et al., 2011) and Bella Vista (Mayle et al., 2000).

Any future investigation of late-Holocene climate-vegetation interaction may require new dating efforts to improve the age models of these key records. A Holocene aged record from La Gaiba produced by McGlue et al. (2012) has produced a better-resolved age model than the longer record from Whitney et al. (2011), which would meet the PAGES-2k criteria.
criteria. However, McGlue et al. (2012) analysed the geochemical properties of sediments from a new core taken after the Whitney et al., (2011) study, and did not include any pollen data. No attempt has been made subsequently to correlate the chronologies of the two records. Although the dating resolution in the late Holocene is poor in many lowland Amazonian pollen records, it should be noted that the majority also show little variation in vegetation over the past ~1 or 2 ka. Whether this reflects genuine ecosystem (and climate) stability over the late Holocene, or is a product of low sampling resolution within these long records is unclear. Most of these deep temporal pollen records, as they are published now, likely have sub-sample intervals of insufficient resolution to be able to discern high-frequency events, such as vegetation changes associated with ENSO variability. However, in some cases, such as Bella Vista (Burbridge et al., 2004) and Oricore (Carson et al., 2014), the potential for such fine temporal reconstructions may be limited by the low sedimentation rate of the basins. Often these records come from short sediment cores, in which the Holocene time interval is contained within a short depth range (i.e. <1 m). A number of shorter records, spanning Holocene time periods, exist in the E coastal Amazon, and could potentially provide high temporal-resolution reconstruction over the last 2k. However, most do not currently meet the PAGES-2k dating criteria.

**Southern and Southeastern Brazil**

The limited number of pollen records from S/SE Brazil for LOTRED-SA-2k has several reasons besides the insufficiently dated cores: (i) many archives, in particular peat bogs, have very low sedimentation rates, i.e. often 100 cm of peat deposits encompass the complete Holocene (last 11.7 ka), and (ii) the upper part of peat archives contains actively growing roots and is therefore difficult to date. Despite the limited number of study site available general vegetation changes in S/SE Brazil can be established (Fig. 11). Pollen assemblage shifts suggest a change toward wetter conditions over the last 2 ka, in particular due the reduction of the dry season length. The increased moisture availability is generally thought to commence in SE Brazil between 6 and 4 ka, but is particularly pronounced in S/SE Brazil during the last ~1 ka. Sites located in the highlands of S/SE Brazil along the transition zone between the subtropics and tropics are sensitive to both temperature and precipitation, but in the lower elevations the length of the
Dry season plays a more important role than temperature. This dry season length is modulated by the interplay between SASM and SACZ, which bring abundant rainfall to SE Brazil during the summer months (October-March) and the South Atlantic Anticyclone, a semi-permanent high-pressure system located over the subtropical South Atlantic. The main pacemaker for rainfall on inter-annual time scales is ENSO, as El Niño events tend to bring copious rainfall to the region (Figs. 4 and 5).

According to the pollen records the intra-annual variability plays an important role in SE and S Brazil. The generally long annual dry period during the early and mid-Holocene limited the expansion of different forest ecosystems, while a much shorter annual dry period during the late Holocene allowed a strong expansion of forests, in particular of the *Araucaria* forest in southern Brazil. Inter-annual variability, influenced by the ENSO frequency, which increased during the late Holocene, may also have a certain effect on the vegetation in the region. El Niño events cause high rainfall rates in S/SE Brazil (Garreaud et al., 2009). This is consistent with results in Fig. 4, which show a positive correlation between precipitation in the region and Nino3.4 and the IPO, and to a lesser extent also the TSA. The effect of the slightly increasing precipitation in southern Brazil may be rather small, however, as rainfall is already relatively high inferred from the records of past environmental change from S Brazil.

According to Fig. 2, the correlation of annual mean temperature over SA with the climate modes Nino3.4, IPO, AMO and TNA suggest a slight warming associated with the positive phase of these modes (Fig. 3). Increasing temperatures sustained over a long period may cause a slight shift of tropical plant populations to higher elevations on the mountains in SE Brazil and a slight expansion of tropical plants on the southern highlands.

**Pampean plain**

There are several pollen records in the Pampean plain that span Holocene times, but few of them have well resolved chronologies for the last 2 ka. Just one site fulfills all PAGES-2k criteria. Previously, pollen analyses in the Pampean plain region have been carried out on alluvial sequences, or archaeological sites, which usually contain sedimentological discontinuities that impede a good chronological control. These pollen records show regional vegetation changes and climate inferences related to precipitation changes (humid/dry/arid...
conditions) or sea level fluctuations, mainly at millennial or centennial scale. Until today, few studies have focused on elucidating palaeoenvironmental changes at high temporal resolution during the last 2 ka. Furthermore, the Pampean plain have a high number of potential sites; shallow lakes characterized by a continuous sedimentation that would provide robust age models and high quality pollen records. Conversely, the current pollen records do not have the necessary resolution to identify vegetation-human interaction during the last 0.3 ka and therefore improved chronological control and higher resolution is necessary.

General climatic tendencies in the region can be inferred although few accurately dated pollen records are available. While individual palaeoecological studies reveal local developments, general patterns emerge when information from several sites is combined, such as Lonkov and Hinojales-San Leoncio (Fig. 12B). A multi-proxy approach, including pollen analyses, shows synchronous changes in these shallow lakes from SE Pampa that are mainly a response to precipitation variations. Thus, between 2 and 0.5 ka drier conditions than present are inferred, then a transition phase towards more humid conditions is observed, which stabilizes between ~0.3 and 0.1 ka, with values close to modern (Stutz et al., 2014). These climatic inferences are valid for the SE region but do not extend to the entire Pampean plain. At S Pampean plain, multi-proxy interpretation at Sauce Grande (Fontana, 2005) shows a similar change to more humid conditions at 0.66 ka, and similar conditions to present day after 0.27 ka, but pollen composition shows low responsiveness to change (Fig. 12A). New palaeoenvironmental reconstructions based on pollen records are needed to disentangle the intrinsic ecosystem variability from climate, and to elucidate if climatic events such as the MCA or LIA had different expressions in the Pampean plain.

As seen in Figures 2-5, these plains fall outside the areas that are strongly influenced by the investigated climate modes. Nonetheless, Figures 2 and 3 indicate that the positive phase of the TSA (a warm tropical South Atlantic) leads to a cooling over the region, while a slight warming is associated with the positive phase of the IPO. In Figure 5, a weak positive correlation between rainfall in the region and the Niño 3.4 and IPO modes is observed, which was previously also discussed by Barros et al. (2006). The SAM on the other hand is negatively correlated with precipitation in the region (Fig. 4), consistent with results by Silvestri and Vera (2003), although this relationship has not yet been explored in pollen records as a possible influence in the region. Hitherto studies from the Pampean plain only discuss dry or humid conditions associated with reduced or increased precipitation, but no
attempt to link these observations to large-scale climate variability is made. The situation in this region is further complicated by the fact that the moisture supply to the region stems from two distinct sources, the South Atlantic (Labraga et al., 2002) during austral winter and the SA monsoon system (Vera et al., 2006) during the austral summer. Hence pollen-based precipitation reconstructions also need to consider changes in seasonality of precipitation and not just climate variability associated with external influences from ENSO or the SAM. Seasonally stratified analyses of the influence of ENSO or the SAM could therefore provide additional insights into the climate-vegetation interpretation as focusing on annual mean values may mask strong seasonal signals in the same way as discussed above for the C Andes.

Southern Andes and Patagonia

Even though a large number of pollen records are available in the southern Andes and Patagonia region, just 19 (between 32-54°S) fulfil the PAGES-2k criteria. In Patagonia most pollen studies have been carried out with a focus on vegetation and climate change over different or longer timescales, i.e. the Pleistocene-Holocene transition (c. 11.7 ka), or the entire Holocene (last 11.7 ka). The pollen records are considered to mainly reflect changes in the SWWB and hence indicative of the polarity of the SAM. Southern records receive precipitation related to the SWWB, whereas those located to the north (40-32°S) are also influenced by the Subtropical Pacific Anticyclone (SPA) that blocks winter precipitation along a latitudinal gradient (decreasing precipitation during JJA in the S part to scarce precipitation during DJF in the N part). Furthermore the Andean ridge provides for a fundamental climatic divide with stronger westerlies leading to enhanced precipitation to the W of the divide, while sites located in Patagonia E of the Andean divide receive enhanced precipitation associated with winds from the E (Garreaud et al., 2013). In addition to this E-W asymmetry, the comparison between N and S records could also shed light on the expansion/retraction and/or latitudinal shifts of the SWWB, or a differential influence of the SPA. For example, records S of 46°S show relatively dry conditions between ~1-0.5 ka whereas drought occurs between 2-1.5 ka at sites N of this latitude (Fig. 13B). Differences in seasonality are another key feature distinguishing precipitation records in N Patagonia (summer rainfall, e.g. Lago San Pedro) from records further north in central Chile (winter...
rainfall, e.g. Lake Aculeo and Palo Colorado). **Due to the regional complexity of the climate**, the region cannot easily be characterized by a single climate mode. Different patterns are distinguished (Fig. 13B), due to their geographical position, latitude and E/W side of the Andes, and the intrinsic sensitivity of each record to climatic variability.

Superimposed on the seasonally changing SWWB and SPA dynamics are the interannual influences of the SAM/Antarctic Oscillation and ENSO (Figs. 2-5). The positive phase of the latter (El Niño) is associated with humid winters in subtropical Chile and with dry summers in NW Patagonia (Montecinos and Aceituno, 2003). Sites in N Patagonia and C Chile therefore might be suitable to study this asynchronous behaviour with regard to ENSO activity (e.g. Lagos San Pedro and Aculeo).

The strongest influence in the region on interannual time scales, however, is exerted by the SAM. Figures 2-5 showcase a highly inverse correlation with precipitation and a positive correlation with temperature over the southern tip of South America (especially south of 40°S). The strong influence of the SAM on Patagonian climate, with drier and warmer than average conditions associated with its positive phase, is well known and consistent with previous analyses by Gillet et al. (2006) and Garreaud et al. (2009). Southernmost Patagonia therefore appears as a key area to study climate-vegetation variability associated with the SAM (e.g. Lago Cipreses). LIA and MCA chronozones are well recorded both in southern and northern Patagonia (e.g. Lago Cipreses, Peninsula Avellaneda Bajo, San Pedro), however not in central Chile.

### 6. Synthesis and Conclusions

Through this review and analysis c. 180 fossil pollen records that fulfill at least two of the PAGES-2k criteria for robust climate reconstruction were identified for SA. Although this is still relatively small number, compared to the total number of fossil pollen records available from SA (c. 1400; Flantua et al., 2015a), we expect that the number of high quality sites for reconstruction of climate over the last 2 ka is likely to increase rapidly as new work is produced. To conduct a review on this scale it was necessary to break SA down into 7 sub-regions. Firstly, we summarize the finding from each region, and then draw broad conclusions regarding the patterns across the whole of SA.

*The Venezuelan Guyana highlands and uplands (7 study sites reviewed, Fig. 7):*
• Moisture balance and temperature: Records show a higher sensitivity to moisture than to temperature. Two drought intervals were detected coeval to the Little Ice Age (LIA) in the Venezuelan Andes. Wet conditions prevailed on the tepuian summits during the last 1 ka.

• Humans: Impact has been inferred from the charcoal record, without any evidence of crops (4 of 7 records). Use of fires can promote the reduction of forests and expansion of the savanna, favoring the establishment of *Mauritia* swamps after clearing. Earliest *Mauritia* establishment was observed around 2 ka, but humans might have been present since the mid-Holocene leaving their signature on the present-day landscape.

• Climate modes (Table 1): Both Pacific and Atlantic climate modes (Niño 3.4, AMO, IPO and TNA modes) are predicted to have a large effect on Venezuelan Guyana, especially with regard to temperature. However, the fossil pollen records from the highland show no responses to temperature variability suggesting that tolerance ranges were not surpassed to produce vegetation shifts. The precipitation/evapotranspiration ratio may play an additional important role not yet studied.

Northern Andes region (21 study sites reviewed; Fig. 8):

• Moisture balance and temperature: Fossil pollen records are both moisture balance and temperature sensitive, with tropical lowlands more sensitive to moisture and Andean areas more sensitive to temperature. Overall wetter conditions with warm and cold episodes are seen during the last 2 ka. These shifting temperatures are displayed asynchronous in the records, and changes in ENSO frequency have been detected in multiple records.

• Humans: Andean records without human impact are rare (just 4 of the 21 records) and a wide range of indicators for human activity is found, these include deforestation (loss of tree taxa) and the appearance of introduced taxa, e.g. palms, crops and disturbance taxa. The high level of evidence of humans in this region is not surprising given that the history of the human occupation of the Andes goes back to the Lateglacial (c. 10 ka; Van der Hammen and Correal Urrego, 1978).
Climate modes: The altitudinal gradient in temperature is most importantly modulated by Pacific modes (Niño3.4) and the TNA. Records show a close match with precipitation variability trigged by ENSO that displays a highly diverse spatial pattern throughout the region (Fig. 4).

**The Central Andes (7 study sites reviewed; Fig. 9):**

- Moisture balance and temperature: Fossil pollen records are more sensitive to changes in moisture balance than temperature. The records on the E Andean flank (Amazon flank) suggest overall moist conditions during the last 2 ka, while the W Andean flank (valleys and Pacific flank) shows a succession of dry and moist episodes. Generally drier conductions occurred in the C Andes between 1.2 and 0.7 ka when compared with the rest of the last 2 ka.

- Humans: Only two of the seven records reviewed were found not to contain any evidence of human activity. Human presence and land-use provides hints on changes in temperature, i.e. the climate became more favorable for human populations. However, arid conditions during 1.5-0.5 ka may have forced humans to abandon the Andean valleys, as there is evidence of afforestation in two sites with high human influence. Human indicators are mostly from the occurrence of crop pollen, e.g. Zea mays.

- Climate modes: Pacific modes show a strong influence along the coast in the C Andean region. The SASM is responsible for precipitation variations along the E Andean flank leading to weak correlation of ENSO and the IPO on an annual scale. Nevertheless, ENSO and IPO influence the intensity of the SASM and have shown to influence significantly both temperature and precipitation.

**Lowland Amazon Basin (19 study sites reviewed; Fig. 10)**

- Moisture balance and temperature: Fossil pollen records from the lowland Amazon basin are moisture sensitive and indicate continuously wet climate throughout the last 2 ka; however, centennial-scale shifts are observed in terms of forest composition attributed to hydrological change.
Humans: Human activity has been detected in most records (15 of 19 sites), evidenced by fire (charcoal abundances), forest clearance, and crops, e.g. *Zea mays* and *Manihot esculenta*. After European contact, land use decreases as shown by a decline in burning around 0.5 ka.

Climate modes: Precipitation in the NE Amazon region is strongly linked to tropical sea-surface temperatures and ENSO variability. ENSO and IPO induce drying in the NE Amazonia during their positive phase, while TSA induces precipitation. Both Pacific as Atlantic modes show high correlation and regression coefficients with temperature anomalies over the lowland Amazon.

**Southern and Southeastern Brazil (7 study site reviewed; Fig. 11):**

- Moisture balance and temperature: Records are moisture sensitive and indicate continuously wet climate throughout the last 2 ka. Changes in forest composition suggest a relative humid and warm phase during the LIA, in contrast to other regions.
- Humans: Most human impact occurred during the last 0.4 ka as indicated by increased use of fire. Furthermore, in the southern part of Brazil, human modification of ecosystems is indicated by the appearance of introduced taxa such as *Pinus* and *Eucalyptus*.
- Climate modes: Nino3.4, IPO, AMO and TNA suggest a slight warming associated with the positive phase of these modes. There is a positive correlation between precipitation in the region and Nino3.4 and the IPO, and to a lesser extent also the TSA. The ENSO frequency influences the inter-annual variability of precipitation and may affect the vegetation in the region where the duration of the dry season is more important than temperature.

**Pampean plain (3 study sites reviewed; Fig. 12):**

- Moisture balance and temperature: Fossil pollen records are moisture sensitive and do not detect temperature shifts. From 2 to 0.7-0.4 ka drier climatic conditions than present are inferred while after 0.3 ka a noticeable increase of precipitation occurred (more positive moisture balance).
Humans: All records have human impact but this widespread impact only occurs during the last 0.1 ka, and is a consequence of the introduction of exotic tree species such as *Eucalyptus* and *Pinus*.

Climate modes: Models suggest that the climate modes explored here exert only weak influences over the Pampean region. Precipitation seasonality probably plays a more important role as moisture supply stems from distinct sources during the year.

**Southern Andes and Patagonia (23 study sites reviewed; Fig. 13):**

- Moisture balance and temperature: Fossil pollen records are both moisture and temperature sensitive, showing a highly diverse pattern of alternating phases during the last 2 ka. One record displays major ENSO frequency between 1.8-1.3 ka and 0.7-0.3 ka.
- Humans: Impact is present in most records (17 out of 23). Only the last centuries show clear human intervention associated to European arrival through the occurrence of *Plantago* (indicator of overgrazing), increased grasses, introduced taxa (*Pinus*) and crop-related herbs (*Rumex*). European colonization followed a clear north to south migration pattern while evidence for the presence of earlier human populations in the region is not conclusive from palaeoecological records.
- Climate modes: The strongest influence in the region is exerted by the SAM for both temperature as precipitation. The pollen records are considered to mainly reflect changes in the Southern Westerly Wind Belt and hence indicative of the SAM. ENSO influences mostly precipitation.

On the basis of the region-by-region assessments from SA we conclude more generally that:

- The **low number** of SA records that **fulfill all the PAGES-2k criteria (only 44)** is a consequence of the age and quantity of the sediments recovered (which place fundamental limits on the duration and resolution of any study), and the focus of the original research. Many SA records have been **excluded because their long time span (>10 ka)** coupled with a relatively slow sedimentation allows only low temporal resolution of sampling; furthermore, slow sedimentation rates **mean that many records do not have radiocarbon ages from within the last 2 ka**.
Pollen records in SA can detect long-distance (between sites) synchronicity (differences and similarities) in vegetation changes as an indication of regional precipitation and temperature variability; however, they can also detect the local-scale change/variability, which needs to be understood to determine if a long-distance signal is present. This interaction between long-distance and local-scale signal has long been a problem for palynologists (e.g. Jacobson & Bradshaw, 1981), but interestingly in SA it seems that the degree of variation in signal varies between regions, i.e. in lowland regions there seems to be less between site variability (consistent long-distance signal) compared with Andean sites (high local site specific variability). This variation between lowland and Andean sites is probably a function of topographic complexity and hence lowland pollen records provide a relatively cleaner long-distance signal from which large-scale atmospheric circulation (climate) change can be assessed. However, we show that fossil pollen records from all regions of SA can be compared to help better understand past changes in the intensity and area of influence of different climate modes, such as ENSO or the AMO.

Throughout SA a number of overlapping climate modes operate. We assess the correlation and regression coefficients of the six most relevant climate modes to identify the modes with the most significant influence on interannual temperature and precipitation variability. Every single pollen record most likely captures the signal of various climate modes (Figs. 2-5), although they do not all operate in the same frequency bands and modes interact with one another through constructive interference. The causes of ambiguous climate-vegetation responses observed in pollen records can therefor probably be ascribed to the degree of climate mode interaction at a location.

The geographical location (latitude, longitude, and altitude) of a record naturally affects the sensitivity of a study site to temperature- or precipitation-related forcing (Figs. 7-13). The baseline for understanding climate-driven changes in vegetation is related to either of these variables, but interpreting pollen records in terms of a response to large-scale climatic forcing may yield further insights as it allows for an attribution of temperature- and/or precipitation-driven changes to forcing from climate modes originating in either the Atlantic or Pacific Ocean.
7. Recommendations

Below we list a few specific recommendations for future engagements between climate- and pollen-related studies:

1. Quantitative translation from pollen metrics to climate variables: Assembling a meaningful multi-site and multi-proxy dataset is hampered by the current gap between the palynological and the climate dynamics and modeling community, both in terms of interpretation and quantitative translation of pollen data into climate indicators. This gap can be narrowed when pollen studies provide, if the data is suitable for that purpose, their own temperature or precipitation approximations. There are only a few pollen studies that provide a quantitative interpretation of their pollen data in terms of a climate variable. In the Andes, La Cocha-1 (González et al., 2012) and Papallacta PA1-08 (Ledru et al., 2013a) provide such estimates of climatological changes. In both cases the percentage of arboreal pollen was used as a measurement of moisture or temperature changes. Similarly Punyasena et al. (2008) and Whitney et al. (2011) present innovative methodologies for climate reconstructions in the lowland tropics, and Markgraf et al. (2002), Tonello and Prieto (2008) Tonello et al. (2009, 2010) and Schäbitz et al. (2013) in the southern SA. Providing additional climate estimates is not a common feature in palynological studies and this missing link becomes more obvious when the palynology community is being engaged in a multi-disciplinary effort such as LOTRED-SA and PAGES-2k.

2. Multi-proxy based research should become a mandatory goal for all further investigations. Caution should be exercised when interpreting apparently contradictory records provided by different groups for the same region; the interpretation of climatic and anthropogenic signals in each record may be based on very different (indirect) proxies. Hence the apparent asynchronies or contradictory interpretations could simply occur as a result of methodological artifacts (e.g. by not including charcoal records, non-pollen palynomorphs, geochemical analyses, etc.). On the other hand, this is especially relevant for those areas where human impact has been found for the last 2 ka, yet a climatic interpretation is the aim of the study. Developing proxies suitable for generating independent climate reconstructions from lake sediments in SA include
Chironomids (Matthews-Bird et al., 2015; Williams et al., 2012), while indications of 
humans can come from non-pollen palynomorphs, such as the dung fungus 
*Sporomieilla* (Williams et al., 2011).

3. For the stated purposes of the current and future PAGES initiatives, researchers should 
be motivated to further improve chronologies for existing sites. There is a need to 
increase efforts in high-resolution studies with accurate chronology for the last 2 ka. 
At the same time, the PAGES-2k criteria should be adjusted for pollen records, 
especially by applying a lower threshold of dating criteria. A region such as the 
lowland Amazon is notoriously known for its paucity of records with good dating (e.g. 
Ledru *et al.*, 1998). Therefore additional valuable sites available should be considered 
for the overall purpose of studying vegetation-climate linkages.

4. Further advances in understanding climate-human relationships are also likely to be 
made by the integration of palaeoecological and archaeological data (e.g. Mayle and 
Iriarte, 2014) through conceptual modeling, which can provide a framework for 
identifying patterns and trajectories of change (e.g. Gosling and Williams, 2013).

5. Multi-proxy studies should compare data between different regions and records (but 
comparable in terms of chronology and resolution) as it may yield insight into anti- 
phased climate variability resulting from certain dominant climate modes (e.g. a 
comparison between the coast of Colombia and NE Brazil-Guianas versus Brazil and 
E Argentina).

6. All Andean zones are quite active from tectonic and volcanic points of view, and those 
drivers will have had significant impacts on the vegetation and maybe in the fossil 
pollen records as well. However, this aspect was only discussed for the southern 
region of the Andes. A chronology database focused on tephra control points could 
support current chronology constraints and improve comparison between records. The 
recent geochronological database of the LAPD can support such a multi-proxy 
approach for palaeoecological integration (Flantua *et al.*, 2015b).

7. In this paper we focused less on the seasonal contrasts throughout the continent, but in 
southern SA the seasonal component is extremely important, as precipitation shifts 
latitudinally over the course of the year. Precipitation in this region is the limiting 
factor for vegetation growth and pollen production. Key questions that need further 
study include a) a better understanding of the relationship between winter and summer
rainfall, b) if this relationship has remained stationary over the last 2 ka, c) if changes in the intensity or location (latitudinal shift) of rainfall have occurred.

8. High-resolution time series should be explored with frequency analysis to find support for operating climate modes.

9. Optimal exploration of the presence of climate modes in pollen records requires a specific research design. Temporal resolution should be increased to below decadal scale, chronological control of the sediments optimized, main frequencies in the time series analysed and compared with a frequency spectrum to be developed that shows characteristics of the climate modes.

The Supplementary Information related to this article is available online.

Author contributions. S. G. A. Flantua, C. González-Arango, H. Hooghiemstra conceived the paper and H. Hooghiemstra supervised the project. M. Vuille developed the climate modes and corresponding figures, supported the climate interpretations at a regional level and edited the English writing throughout the paper. Hoyos supported the interpretation of the climate settings of the N and C Andes; V. Rull and E. Montoya the palaeoecological and climate interpretation of the Venezuelan Guayana; S. G. A. Flantua, V. Rull, H. Hooghiemstra the N Andes sections; W. D. Gosling, M. P. Ledru the C Andes sections; H. Behling the S and SE Brazil sections; J. F. Carson, F. Mayle, B. S. Whitney the lowland Amazon sections; A. Maldonado and M. S. Tonello the Patagonia and S Andes sections; M. S. Tonello the Pampa sections; C. González-Arango and S. G. A. Flantua provided the initial drafts of the climate summary figures and all authors discussed the results and implications; S. G. A. Flantua, C. González-Arango, M. Vuille, B. S. Whitney, J. F. Carson, W. D. Gosling and H. Hooghiemstra structured and edited the manuscript during all phases.

Acknowledgements. We thank the Netherlands Organization for Scientific Research (NWO, grant 2012/13248/ALW) for financial support to the project of Suzette Flantua. We are grateful for the support provided to Mathias Vuille by NSF-P2C2 (AGS-1303828) and to Encarni Montoya by the NERC fellowship (NE/J018562/1). J. Hoyos is supported by the USAID-NSF PEER program, project 31 and CODI Universidad de Antioquia. For the setup
of the LAPD, we would like to thank the Amsterdam-based Hugo-de-Vries-Foundation for supporting this work between 2009 and 2012 by three grants. We appreciate the interesting and constructive comments on the Climate of the Past Discussion version of this paper raised by the reviewers Vera Markgraf, Gonzalo Sottile and Virginia Iglesias. A special thanks go out to Martin Grosjean, Ricardo Villalba, José Ignácio Martinez, Catalina Gonzalez and Thorsten Kiefer for organizing the LOTRED-SA Special Issue “Climate change and human impact in Central and South America over the last 2000 years: Observations and Models” and for allowing us submit our work to its CPD Special Issue (http://www.clim-past-discuss.net/special_issue88.html).

8. References


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<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Mode</th>
<th>Methods</th>
<th>Description</th>
<th>Reference</th>
</tr>
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<tbody>
<tr>
<td>Niño 3.4</td>
<td></td>
<td>SST averaged over 5°N-5°S, 170°W-120°W calculated from Hadisst data</td>
<td>Describes inter-annual (2-7 yr) variability of tropical Pacific SST</td>
<td>Rayner et al., 2003</td>
</tr>
<tr>
<td>AMO</td>
<td></td>
<td>Defined as the area-averaged SST in the Atlantic north of the equator, calculated from Kaplan SST V2</td>
<td>Describes coherent variations in North Atlantic SST on multi-decadal (50-70 yr) time scales</td>
<td>Enfield et al., 2001</td>
</tr>
<tr>
<td>IPO</td>
<td></td>
<td>Multi-decadal Pacific-wide mode of SST variability, calculated as the 2nd EOF of low-frequency filtered HadSST data</td>
<td>Describes joint variations of Pacific SST in both hemispheres on multi-decadal (20-30 yr) time scale</td>
<td>Folland et al., 2002</td>
</tr>
<tr>
<td>SAM</td>
<td></td>
<td>Calculated as leading principal component (PC) of 850 hPa geopotential height anomalies south of 20°S</td>
<td>Determines strength and location of circumpolar vortex (location of the extratropical westerly storm tracks)</td>
<td>Thompson and Wallace, 2000</td>
</tr>
<tr>
<td>TNA</td>
<td></td>
<td>Defined as SST averaged over 5.5°N-23.5°N, 15°W-57.5°W and calculated from HadISST and NOAA OI 1x1 datasets</td>
<td>Describes inter-annual variability of SST variations in the tropical North Atlantic</td>
<td>Enfield et al. (1999)</td>
</tr>
<tr>
<td>TSA</td>
<td></td>
<td>Defined as SST averaged over 0-20°S, 10°E-30°W (TSA), calculated from HadISST and NOAA OI 1x1 datasets</td>
<td>Describes inter-annual variability of SST variations in the tropical South Atlantic</td>
<td>Enfield et al. (1999)</td>
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Table 1. Climate modes used relevant for South America
<table>
<thead>
<tr>
<th>Criteria</th>
<th>PAGES 2k</th>
<th>This paper</th>
<th>Criteria abbreviations for Table 3</th>
</tr>
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<tr>
<td>A</td>
<td>Described in peer-reviewed publication</td>
<td>Described in peer-reviewed publication</td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>Resolution ≤ 50 yr</td>
<td>Resolution ≤ 300 yr</td>
<td>(not specified)</td>
</tr>
<tr>
<td>1</td>
<td>Minimum duration of record ≥ 500 yr</td>
<td>Minimum duration of record ≥ 500 yr</td>
<td>DUR500</td>
</tr>
<tr>
<td>2</td>
<td>Not specified</td>
<td>More than two chronological tie-points within the last 2 ka</td>
<td>CONTROL2</td>
</tr>
<tr>
<td>3</td>
<td>Tie points near the end part (most recent) of the records, and one near the oldest part</td>
<td>Tie points near the end part (most recent) of the records, and one near the oldest part</td>
<td>TOP_END</td>
</tr>
<tr>
<td>4</td>
<td>Records longer than 1 ka must include a minimum of one additional age midway between the other two.</td>
<td>Records longer than 1 ka must include minimum of one additional age midway between the other two.</td>
<td>1000-MID3</td>
</tr>
</tbody>
</table>

Table 2. Comparison of PAGES 2k criteria with criteria implemented in this study.

Table 3. List of pollen records used and metadata. For each record it is indicated which criteria has been fulfilled (Table 2), the human indicators observed during the last 2 ka, and if the pollen record is considered sensitive to precipitation (humidity) and/or temperature.
Figure 1. Map showing the relative precipitation amount over South America during the key seasons DJF (austral summer and mature monsoon phase) and JJA (dry season over much of tropical South America), highlighting the Intertropical Convergence Zone (ITCZ), South American Summer Monsoon (SASM), South Atlantic Convergence Zone (SACZ) and extratropical westerlies. Figure based on CMAP precipitation data. Adapted after Vuille et al. (2012).
Figure 2. Correlation of annual mean temperature over South America with climate modes Niño3.4, IPO (Interdecadal Pacific Oscillation), SAM (Southern Annular Mode), AMO (Atlantic Multidecadal Oscillation), TNA (Tropical North Atlantic SST) and TSA (Tropical South Atlantic SST). High positive values of the correlation coefficient indicate both increasing/decreasing values of the mode in question and the local temperature at each grid cell. High negative values indicate that the increasing (decreasing) mode in question cause a significant decrease (increase) in temperature at the grid cell. Gridded temperature fields are from University of Delaware (1958–2008). Only correlations in excess of ±0.2 are shown (roughly the threshold of the 95% significance level).
Figure 3. Annual mean temperature regressed upon Niño3.4, IPO (Interdecadal Pacific Oscillation), SAM (Southern Annular Mode), AMO (Atlantic Multidecadal Oscillation), TNA (Tropical North Atlantic SST), TSA (Tropical South Atlantic SST). High positive values of the regression coefficient indicate that positive (negative) temperature anomalies occur during the positive (negative) phase of the mode in question. High negative values indicate that the positive (negative) phase of a mode leads to a decrease (increase) in temperature at the grid cell. Gridded temperature fields are from University of Delaware (1958–2008).
Figure 4. Precipitation correlation with modes Niño3.4, IPO (Interdecadal Pacific Oscillation), SAM (Southern Annular Mode), AMO (Atlantic Multidecadal Oscillation), TNA (Tropical North Atlantic SST), TSA (Tropical South Atlantic SST). High positive values of the correlation coefficient indicate both increasing/decreasing values of the mode in question and the local precipitation at each grid cell. High negative values indicate that the increasing (decreasing) mode in question cause a significant decrease (increase) in precipitation at the grid cell.
Figure 5. Precipitation regression with modes Niño3.4, IPO (Interdecadal Pacific Oscillation), SAM (Southern Annular Mode), AMO (Atlantic Multidecadal Oscillation), TNA (Tropical North Atlantic SST), TSA (Tropical South Atlantic SST). High positive values of the regression coefficient indicate that positive (negative) precipitation anomalies occur during the positive (negative) phase of the mode in question. High negative values indicate that the positive (negative) phase of a mode leads to a decrease (increase) in precipitation at the grid cell.
Figure 6. Map showing the location of LAPD pollen records that cover the last 2 ka (after Flantua et al., 2015a). General regional delimitations as discussed in this paper are shown; A: Venezuelan Guyana highlands and uplands; B: Northern Andes; C: Central Andes; D: Lowland Amazon; E: Southern and southeastern Brazil; F: Pampean plain; G: Southern Andes and Patagonia.
Figure 7A: Map showing the discussed pollen records in the Venezuelan Guayana highlands and uplands and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are totally greyed out when the climate signal is totally obscured by human interference. * Records fulfilling 1 or 2 criteria indicated by star.
Figure 8A: Map showing the discussed pollen records in the Northern Andes and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. * Records fulfilling 1 or 2 criteria indicated by star. Galapagos Islands not shown.
Figure 9A: Map showing the discussed pollen records in the Central Andes and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. * Records fulfilling 1 or 2 criteria indicated by star.
Figure 10A: Map showing the discussed pollen records in the lowland Amazon Basin and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. * Records fulfilling 1 or 2 criteria indicated by star. masl: masl based on coordinates.
Figure 11A: Map showing the discussed pollen records in the Southern and Southeastern Brazil and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. * Records fulfilling 1 or 2 criteria indicated by star.
Figure 12A: Map showing the discussed pollen records in the Pampean plain and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. m±: masl based on coordinates.
Figure 13A. Map showing the discussed pollen records in the Southern Andes and Patagonia and the number of PAGES2k criteria these records fulfill. B: Summary of moisture balance and temperature including human interference for the pollen records discussed. Not all records are suitable to derive both moisture and temperature signal. Climate and human presence is shown overlapping when the pollen record is not conclusive on the derived signal. Bars are greyed out when the climate signal is obscured by human interference. * Records fulfilling 1 or 2 criteria indicated by star. m±: masl based on coordinates.
Figure 14. Map showing human indicators observed in the discussed pollen records (n = 68). The number of pollen records for each human indicator is shown in the figure legend. A pollen record can have different human indicators and therefore the symbols may be shown offset relative to their exact location to avoid overlapping point symbols. Details are found in Table 3.