

Response to comments on ‘A chironomid-based mean July temperature inference model from the south-east margin of the Tibetan Plateau, China’

Editor’s comments:

Both reviewers raised the point of removing the 47-lake model. I suggest the authors to consider the use of the larger calibration set alone for the revised version. This would greatly simplify the discussion and avoid potential confusion.

Response: We thank the editor for this comment as well and we revised our manuscript by removing the 47-lake model.

Reviewer 1’s comments:

We thank the reviewer for the helpful review and very constructive suggestions. We revised our manuscript by taking into account of virtually all the recommendations. In response to the general point – we removed the 47-lake model from this paper. The original idea by including a 47-lake subset was to compare the performance and the reconstruction results on the same site by applying a local vs. regional transfer functions. However, we agreed that the readability of the paper has improved after we focussed only on the large calibration set. We therefore made this change. This also addressed one of the general comments raised by Reviewer 2.

Specific comments

Line 97. It would be useful to provide references to papers which suggest that reduced local models may be more effective in reconstructions than large models encompassing long temperature gradients (e.g. Velle et al 2011 Holocene).

Response: Comment doesn’t apply any more after we removed the 47 dataset.

Line 140. It is important that the authors also quote the present day MJT (rather than MAT) of Tincai Lake since MJT is what they are reconstructing.

Response: we added the value of MJT of Tiancai Lake in this sentence (**Line 151**).

Line 198. The correct reference here is Wiederholm 1983 Ent Scand Suppl. (not Wiederholm 1984).

Response: we corrected this reference accordingly (**Line 209 and Line 951-952**).

Line 205 and elsewhere. Insert ‘and abundance’ as it is the influence on chironomid abundance as well as distribution that is determined by these numerical methods.

Response: we corrected this accordingly.

Line 206. Table 1 includes 18 variables not 15 as stated in the text.

Response: we corrected this accordingly.

Line 233. It would be useful to plot a PCA of all 18 variables, before elimination of variables

following forward selection, so we can easily assess which variables co-vary and which variables might be influencing chironomid distribution and abundance (see Juggins, 2013).

Response: we agreed with this comment, by also taking into account of Reviewer 2's comment, we added 'we used stepwise selection based on pseudo-F to aid the variable selection process' (Line 236-237) instead. This served the same purpose as plotting a PCA of all variables.

Line 304. These taxa may have more cosmopolitan distributions than other taxa in the dataset but they nevertheless must have estimated temperature optima that are used in the model so it would be useful if they were quoted. I would suggest adding the estimated temperature optima after the taxon names in Fig 2. The taxa can then be ranked in that figure left to right in ascending estimated temperature optima.

Response: we modified **Figure 2** by ranking the taxa along the mean July temperature gradient.

Lines 311-320. I am concerned at the large proportion of lotic taxa in this training set which may have poorly estimated temperature optima. The authors do comment on this potential problem however.

Response: we acknowledged this issue and we highlighted this by adding a statement in **Line 456-458**.

Fig 3a and Fig 3c are hard to interpret because the authors do not tell us to which species the code numbers refer. These code numbers either should be explained in the figure caption or preferably should be added after the species names in Fig 2.

Response: We added the species code after the species names in **Fig 2**.

Line 337 and Line 369. Table 2a, 2b and Fig 3a, 3b do not show these correlation results

Response: Table 2a and 2b shows the correlation significance discussed in the respective sentences based on the t-values. We clarified that the significance of each of the correlation is determined based on the t-values (**Line 389**).

Line 413, 414. What are RMSEP $s_1 + s_2$ and RMSE s_1 and RMSE s_2 ?

Response: we deleted s_1+s_2 and RMSE s_1 and RMSE s_2 here and only presented the RMSEP as the standard approach.

Lines 446-459. The problems in modelling modern precipitation and temperature for the calibration set may explain the relatively poor performance of the inference model.

Response: we agree that this is potentially one of the reasons. We added a statement about this in **line 496-498**.

Line 534. All chironomids are benthic, in fact *T. gracilentus* is sometimes found in temperate shallow eutrophic ponds.

Response: we added this statement in **line 522-524**.

Line 550 and 568. Juggins (2013) argues that the CCA $\lambda_1 : \lambda_2$ ratio, when Axis 1 is constrained against the variable of interest, should be greater than 1.0 if the variable is to be modelled reliably. In your 100-lake model the ratio is only 0.47. This reflects the fact that MJT is not the main driver of chironomid distribution and abundance in your model. This point needs to be stated as one of the caveats you list from line 569.

Response: We noted that the CCA $\lambda_1 : \lambda_2$ ratio in most of the training sets in the mid-latitudes and the Southern Hemisphere (e.g. Rees et al., 2008; Chang et al., 2015) is less than 1 this is because minimising other environmental gradients and only extracting the temperature gradient is difficult to achieve when we are away from the NH high latitudes.

Line 586. Why is it important that the RMSEP is around 15% of the total temperature gradient covered by the calibration set? Comparing the new Chinese inference models with other chironomid-based inference models that also have relatively low r^2 does not mean that the Chinese model has an acceptable performance. You should also compare the performance of the Chinese model with the chironomid-based inference models of Heiri et al (2011) and Barley et al (2006) that you refer to earlier.

Response: The argument here is now significantly simplified due to the removal of the 47 dataset. In the revised version, we only compared and discussed the RMSEP of the temperature gradient scalar length of our 100-lake dataset with other large datasets worldwide including Heiri et al (2011) (**Line 656-658**).

Line 605. I could not immediately find the results showing the statistical correlation between the inferred records and the instrumental record. In fact this result is presented in the discussion at line 648. It should be moved to the results section.

Response: we moved the statistic P value up in the end of the result section (**Line 475-476**) instead of the discussion.

Line 608 and Fig. 6b. You do not discuss what might be driving the poor fit to temperature of the most recent fossil samples. This result suggests a variable other than temperature might be influencing the chironomids in the most recent period of your sequence. I think it would be informative to plot the fossil samples passively in CCA space of the calibration set to see which environmental variables the taxa were responding to.

Response: We addressed this point by acknowledging that it is possible a second gradient other than mean July temperatures influencing the chironomid species distribution and abundance in most recent fossil samples of Tiancai Lake (**Line 676-679**). These may be related to the human activities i.e. tourist attractions at the site since the recent decade.

Lines 612-615. This sentence should be deleted. It describes methods.

Response: we deleted this sentence (**Line 671 – 674**).

Line 621. Although you adjusted the MJT from Lijiang for altitudinal lapse rate you do not present these results. Instead you plot deviation from the mean in order to compare your results. This shows a close similarity in trends but it would be of interest to see whether the chironomid-inferred temperature estimates were similar to the instrumental records

adjusted for altitude. This would be another useful test of model performance.

Response: We added the plot of the lapse rate corrected mean July temperature curve in **Figure 6a** along with the chironomid-based transfer function reconstructed temperatures.

Line 630. The 47-lake and 100-lake inferred temperature estimates are similar, especially in the most recent part of the record. However the gap between the estimates is greater at the beginning of the record than at the end. Do you have any thoughts on what might be the explanation for this difference?

Response: We removed the plot for the 47-lake based results. A possibility for the gap between the estimates is greater at the beginning of the record is that the larger training set covered a few more sites between 10-12 °C and this may have elevated the curve slightly at relatively warmer period while the 47-lake based results are more flattened.

Line 631 and Fig 6e. It is not apparent from the plot in Fig 6e that the cool and warm periods are amplified by the 100-lake model in comparison with the 47-lake model.

Response: The comment doesn't apply anymore because we removed the plot based on the 47-lake model and focus only on the larger data set so this comment does not apply for the revised version.

Line 632. While I agree that the trends in the instrumental record are well-reflected by the chironomid-inferred record it would also be useful to compare the chironomid-inferred estimates with the lapse rate adjusted instrumental data.

Response: we added the plot of the lapse rate corrected mean July temperature reconstructed using the chironomid transfer function in **Figure 6a**.

Lines 633-638. I could not understand the meaning of these sentences.

Response: These sentences are now simplified due to the removal the 47-lake model related discussion. We now only presented and discussed the 100-lake model reconstructed results and compare with the instrumental record (**Line 708-713**).

Line 648. Results should not be presented in the discussion.

Response: we deleted these sentences (**Line 702-705**).

Line 650. The authors' conclusion that the 100-lake model performs better than the 47-lake model makes me conclude that there is no point in presenting the results of the 47-lake model. I think reference to the smaller model should be deleted from the paper. This would make the paper shorter and easier to follow.

Response: we agreed with this comment and we modified our manuscript by removing the discussion on the 47-lake model.

Conclusion. There is no need to present the performance statistic results again in the conclusion.

Response: we removed the performance of statistics from the conclusion (**Line 738-745**).

Fig 1 caption. Insert '(b)' after '(square box)'. Delete '(b)' and replace with '(c)'

Response: we updated **Figure 1 caption** accordingly (**Line 1001-1005**).

Fig 2a caption. Why are only a few taxa grouped by their thermal preferences? How did you decide that some were better temperature indicators than others? What do you mean by 'optical observation'? The lakes should be listed in descending order of altitude or MJT. This needs to be stated in the caption. I don't understand how *T. gracilentus* and *M. radialis* can both be cold indicators when between them they appear to be found in complementary lakes. The estimated temperature optimum of each taxon should be presented after its name in the figure. The CCA sample score is not informative in terms of ranking the taxa when MJT is not the main driver of the taxon distribution and abundance. It would be more useful to rank them by order of temperature optimum. The code number of each taxon used in Fig 3 should also appear next the taxon name in this figure too.

Response: we corrected **Figure 2** by taking both reviewers' suggestions and revised the caption (**line 1007-1014**).

Table 3. The caption is difficult to understand. I suggest replacing the word 'retained' with 'maintained' as I think this would better reflects the results.

Response: we corrected this accordingly in the revised **Table 3 caption (line 1081-1087)**.

Table 4. The results should be quoted to two-decimal places.

Response: we corrected these accordingly in **Table 4**.

Reviewer 2's Comments:

We thank the reviewer for the very useful and constructive review overall, we have considered all the suggestions in our revised version of the manuscript. The reviewer made two general points and in response to the first point, we agreed that we were not explicit about the rationale for using both the 47 and 100 lake calibration sets. We agreed that the paper would be simplified and more concise if we focus only on the large calibration set. We therefore modified our manuscript by removing the sections related to the 47-lakes calibration set.

The second general point mentioned by the reviewer is that the correlation between the instrumental data and the reconstruction may be overstated because of the lack of independence between samples due to autocorrelation. We agree with this point of view however we compared the transfer function model reconstructed results with the instrumental record as an additional diagnostic method because these instrumental data are available from the closest weather station. Before this, we had already applied the 'standard diagnostics' such as goodness-of-fit, modern analogues etc., which all suggested that the results are reliable. These validation methods are relatively independent. The well-compared result with the instrumental record is reassuring that the model is capable to reconstruct the long-term temperature trend that is realistic. We acknowledged this point in the revised manuscript (**Line 725-728**).

###Minor points

Line 183 Böhner 2004 is not in the reference list. It is probably worth clarifying that Böhner uses reanalysis data.

Response: we added Bohner 1994 (instead of 2004) (**Line 798-799**) in the reference listed and clarified that Bohner used reanalysis data (**Line 195**).

A histogram showing the distribution of lakes along the temperature gradient should be given, or at least discussed, as WAPLS is sensitive to an uneven distribution of lakes.

Response: we highlighted in the discussion about the lake distribution is even along the temperature gradient (**Line 661**).

Line 217 If N (number of lakes) is less than two, Hill's N2 is guaranteed to be less than two.

Response: we corrected this throughout in the revised version (**Line 229**).

Line 223. Variance inflation factors are useful for diagnosing multi-collinearity amongst the predictors, but is less useful for identifying which variable should be deleted. Simply deleting the variable with the highest VIF is a poor strategy. Stepwise selection based on pseudo-F is probably better.

Response: we clarified that we used VIF as one of the methods when considering removing variables in the CCA and we also considered the stepwise selection based on pseudo-F while we were selecting the variables to be included in the CCA (**Line 236-237**).

Line 250. A 2-component WAPLS model is selected although the improvement in model performance is only about 1%, less than the 5% threshold reported. A randomisation t-test is probably a better test than a simple threshold.

Response: taking the reviewer's suggestion, we ran a randomisation t-test to check if component 2 is outperformed much more when comparing to component 1. We found that there is a difference in the RMSEPs between the component 1 and component 2 and we re-affirm that the choice of using WA-PLS component 2 for the reconstruction would give more robust results. We provided this information in **Line 264-266** and **Table 4b** respectively.

Line 296. I think it would be better to show that temperature is an important predictor with the ordination before discussing species temperature preferences.

Response: in the revised version, we stated that temperature is an important predictor before the discussion on the chironomid species and temperature relationship (**Line 312-313**).

Line 309. Move the section on Lake Tiancai chironomids to after transfer function development.

Response: we moved this section accordingly, new **lines 447-458**.

Line 398. It is expected that weighted-averaging with inverse deshrinking and weighted averaging partial least squares component-one will give similar models. Under certain

circumstances, they will be identical.

Response: we pointed this out (**Line 419**).

Line 401. Please don't use novel abbreviations. The space they save is not worth the cognitive load on the reader. No need to report all the performance statistics that are in table 4.

Response: we reduced the use of abbreviations where necessary. In the revised version, we only present the important performance statistics (i.e. only those have discussed/mentioned in the text) in **Table 4**.

Line 438. Please provide a statistical comparison of the reconstruction and the instrumental data. Reporting that they have a "comparable trend" is not sufficient - don't leave it to the discussion to give the correlation.

Response: we moved the statistical p value up to this line instead of leaving it to the discussion (**line 475**).

Line 621. The text suggests that the instrumental data are lapse-rate corrected, whereas the figure suggests that anomalies are compared. Obviously, the former test is much more powerful.

Response: We added the plot of the lapse-rate corrected curve of the chironomid-inferred mean July temperatures in **Fig 6e** along with the plot of the temperature anomalies.

Figure 2 is impossible to interpret as the reader does not know the lake numbers. Sorting the lakes by temperature (and including this information), would make this figure much better.

Response: we modified **Figure 2** by sorting the lakes by mean July temperatures.

Table 3 is rather large and needs to be condensed by extracting just the most important parts (eg L1/L2 for temperature).

Response: we condensed **Table 3**. This table is greatly simplified after we removed the results for the 47 calibration set.

Table 4 needs proper headers, not simply the output from C2.

Response: we modified the caption (**line 1089-1098**) and the titles for **Table 4** to provide a clearer description of the data presented in the table.

The authors should state where the data will be archived.

Response: Our data will be available from State Key Laboratory of Lake Science and Environment webpage in the future.

1 **A chironomid-based mean July temperature inference model from the south-east**
2 **margin of the Tibetan Plateau, China**

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16 Abstract: Chironomid-based calibration training sets comprised of 100 lakes from
17 south-western China ~~and a subset of 47 lakes from Yunnan Province werewas~~
18 established. Multivariate ordination analyses were used to investigate the relationship
19 between the distribution and abundance of chironomid species and 185 environmental
20 variables from these lakes. Canonical correspondence analyses (CCAs) and partial
21 CCAs showed that mean July temperature is ~~the sole independent and significant (p <~~
22 ~~0.05) variable that explains 16% of the variance in the chironomid data from the 47~~
23 ~~Yunnan lakes. Mean July temperature remains~~ one of the independent and significant
24 variables explaining the second largest amount of variance after potassium ions (K⁺) in
25 the 100 south-western Chinese lakes. Quantitative transfer functions were created
26 using the chironomid assemblages for ~~this both~~ calibration data sets. The ~~secondfirst~~
27 component of the weighted average partial least square (WA-PLS) model ~~based on the~~
28 ~~47 lakes training set~~ produced a coefficient of determination ($r^2_{\text{bootstrapjack}}$) of 0.683,
29 maximum bias (~~bootstrapjackknifed~~) of 5.163-15 and root mean squared error of
30 prediction (RMSEP) of 2.314-72 °C. ~~The two-component WA-PLS model for the 100~~
31 ~~lakes training set produced an $r^2_{\text{bootstrap}}$ of 0.63, maximum bias (bootstrapped) of 5.16~~
32 ~~and RMSEP of 2.31 °C.~~ We applied ~~both transfer functions~~ the transfer functions to a
33 150-year chironomid record from Tiancai Lake (26°38'3.8 N, 99°43'E, 3898 m a.s.l.),
34 Yunnan, China to obtain mean July temperature inferences. ~~The reconstructed results~~
35 ~~based on both models showed remarkable similarity to each other in terms of pattern.~~
36 We validated these results by applying several reconstruction diagnostics and
37 comparing them to a 50-year instrumental record from the nearest weather station
38 (26°51'29.22"N, 100°14'2.34"E, 2390 m a.s.l.). ~~TheBoth~~ transfer functions performs
39 well in this comparison. We argue that this 100-lake large training set is ~~also~~ suitable
40 for reconstruction work despite the low explanatory power of mean July
41 temperature ~~MJT~~ because it contains a ~~more~~ complete range of modern temperature
42 and environmental data for the chironomid taxa observed and is therefore ~~more~~ robust.

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44 Keywords: Chironomids; Temperature reconstruction; the south-east margin of the

45 Tibetan Plateau; Transfer function; ~~Calibration data set~~ Quantitative paleoclimate
46 record

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1 Introduction

56 South-western ~~(SW)~~ China is an important region for examining changes in low and
57 mid-latitudes atmospheric circulation in the Northern Hemisphere ~~(NH)~~. It lies at the
58 intersection of the influence of the ~~Northern Hemisphere~~ westerlies and two tropical
59 monsoon systems, namely the Indian Ocean South-west Monsoon (IOSM) and the
60 East Asian Monsoon (EAM) and should be able to inform us about changes in both the
61 latitude and longitude of the influence of these respective systems through time.
62 Reconstructing changes in circulation requires information about several climatic
63 parameters, including past precipitation and temperature. While there are reasonable
64 records of precipitation from this region (e.g. Wang et al., 2001, 2008; Dykoskia et al.,
65 2005; Xiao et al., 2014), there is a paucity of information about temperature changes.
66 In order to understand the extent and intensity of penetration of monsoonal air masses,
67 robust summer temperature estimates are vital as this is the season that the monsoon
68 penetrates ~~south-western~~ SW China.

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Chironomid larvae are frequently the most abundant insects in freshwater ecosystems
(Cranston, 1995) and subfossil chironomids are widely employed for
palaeoenvironmental studies due to their sensitivity to environmental changes and
ability of the head capsules to preserve well in lake sediments (Walker, 2001). A strong
relationship between chironomid species assemblages and mean summer air
temperature have been reported from many regions around the world and transfer
functions were subsequently developed (e.g. Brooks and Birks, 2001; Larocque et al.,
2001; Heiri et al., 2003; Gajewski et al., 2005; Barley et al., 2006; Woodward and
Shulmeister, 2006; Langdon et al., 2008; Rees et al., 2008; Eggermont et al., 2010;
Luoto, 2009; Holmes et al., 2011; Heiri et al., 2011; Chang et al., 2015a). The
application of these transfer functions has provided quantitative temperature data
since the last glacial period in many regions of the world (e.g. Woodward and
Shulmeister, 2007; Rees and Cwynar, 2010; Samartin et al., 2012; Chang et al., 2015b;
Muschitiello et al., 2015; Brooks et al., 2016). Consequently, subfossil chironomids
have been the most widely applied proxy for past summer temperature
reconstructions.

Merged regional chironomid training sets and combined inference models have been
developed in Europe (Lotter et al., 1999; Holmes et al., 2011; Heiri et al., 2011; Luoto et

89 al., 2014). These large datasets and models provide much more robust reconstructions
90 than smaller local temperature inference models (Heiri et al., 2011; Luoto et al., 2014).
91 However, the distribution of large regional inference models is limited to Europe and
92 northern North America (e.g. Fortin et al., 2015). There is a need to build large training
93 sets for other parts of the world where chironomids will likely be sensitive to
94 temperature changes. Subfossil chironomids have been successfully used as
95 paleoenvironmental indicators in China for over a decade. These included salinity
96 studies on the Tibetan Plateau (Zhang et al, 2007) and the development of a nutrient
97 based inference model for eastern China and parts of Yunnan (Zhang et al., 2006,
98 2010, 2011, 2012). A large database of relatively undisturbed lakes, in which nutrient
99 changes are minimal while temperature gradients are suitably large, is now available
100 from south-western China and this provides the opportunity to develop a summer
101 temperature inference model for this broad region.

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103 In this study, a chironomid species assemblage training set and a chironomid-based
104 mean July air temperature (MJT) inference models from 100 lakes on the south-east
105 margin of the Tibetan Plateau are developed. We also present a 47 lake subset of the
106 training set to provide a local model for Yunnan Province. We compare the output of
107 the two models and evaluate which model is more robust and more suitable for
108 temperature reconstructions in Yunnan. Finally, we test and validate both model the
109 selected transfer function models by comparing a reconstruction of temperature
110 from applying it to a sediment core collected from Tiancai Lake (26°38'3.8 N, 99°43'E,
111 3898 m a.s.l) (Fig. 1) in Yunnan Province, south-western SW China for the last 120
112 years against a 50-year long instrumental record from Lijiang weather station
113 (26°51'29.22"N, 100°14'2.34"E, 2390 m a.s.l) (Fig. 1), which is the closest
114 meteorological station with the longest record.

115 116 2 Regional setting

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118 The study area lies in the south-east margin of the Tibetan Plateau including the
119 south-west part of Qinghai Province, the western part of Sichuan Province and the
120 north-west part of Yunnan Province (Fig. 1). It is situated between 26 – 34° N, 99 – 104°
121 E with elevations ranging from about 1000 m to above 5000 m a.s.l. The 47 lake
122 subset is confined to the north-west part of Yunnan province (Fig. 1) and includes the
123 area around Tiancai Lake.

124
125 The study area is characterized by many north-south aligned high mountain ranges
126 (e.g. Hengduan Mountains, Daxue Mountains, Gongga Mountains etc.) that are fault
127 controlled and fall away rapidly into adjacent tectonic basins. The mountain ranges
128 have been deeply dissected by major rivers including the Nujiang, Lancangjiang,
129 Jinshajiang, Yalongjiang and Dadu rivers. Local relief in many places exceeds 3000 m
130 a.s.l..

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132 The climate of the study area is dominated by the westerlies in winter and by the IOSM

133 in Yunnan and Tibet, but some of the easternmost lakes are affected by the EAM.
134 There is a wet season that extends from May (June) to October accounting for 85-90%
135 of total rainfall and a dry season from November to April. Annual precipitation varies
136 greatly according to altitude and latitude. Most of the precipitation is derived from a
137 strong south-west summer monsoonal flow that emanates from the Bay of Bengal (Fig.
138 1). Precipitation declines from south-east to north-west. Mean summer temperatures
139 vary between about 6 to 22 °C from the north-west to the south-east (Institute of
140 Geography, Chinese Academy of Sciences, 1990). Vegetation across the study area
141 changes from warm temperate to subtropical rainforest at lower elevations in the
142 south-west to alpine grasslands and herb meadows at high altitude.

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144 2.1 Description of model validation site

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146 Tiancai Lake (26°38'3.8 N, 99°43'E, 3898 m a.s.l) (Fig. 1) is in Yunnan Province, on the
147 south-east margin of the Tibetan Plateau. It is a small alpine lake and has a maximum
148 depth of 7 m, with a water surface area of ~ 2.1 ha and a drainage area of ~3 km².
149 Tiancai Lake is dominated in summer by the IOSM, and most likely retains a tropical
150 airflow in winter as the climate is remarkably temperate for this altitude. The mean
151 annual and July air temperatures are approximately 2.5 °C and 8.4 °C respectively,
152 and the annual precipitation is modelled as ≥ 910 mm (Xiao et al. 2014). The lake is
153 charged by 3 streams and directly from precipitation and drains into a lower alpine lake
154 via a stream. The most common rock type in the catchment is a quartz poor granitoid
155 (syenite). Terrestrial vegetation in the catchment consists mainly of conifer forest
156 comprising *Abies* sp. and *Picea* sp. with an understory of *Rhododendron* spp. Above
157 the tree-line, at about 4100 m a.s.l, Ericaceae shrubland (rhododendrons) gives way to
158 alpine herb meadow and rock screes.

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160 3 Methodology

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162 3.1 Field and laboratory analysis

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164 Surface sediment samples were collected from 100 lakes in the south-east margin of
165 the Tibetan Plateau via six field campaigns during the autumn of each year between
166 2006 and 2012. The lakes in this area are mainly distributed at the top or upper slopes
167 of the mountains and are primarily glacial in origin. Most lakes were reached by hiking
168 or with horses and the lake investigation spanned several seasons. Small lakes
169 (surface area c. ~1 km²) were the primary target for sampling but some larger lakes
170 were also included.

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172 Surface sediments (0-1 cm) were collected from the deepest point in each lake after a
173 survey of the bathymetry using a portable echo-sounder. Surface sediment samples
174 were taken using a Kajak gravity corer (Renberg, 1991). The samples were stored in
175 plastic bags and kept in the refrigerators at 4 °C before analysis. A 30 cm short core
176 was extracted from the centre of Tiancai Lake at a water depth of 6.8 m using UWITEC

177 gravity corer in 2008. The sediment core was sub-sampled at 0.5 cm contiguous
178 intervals and refrigerated at 4°C prior to analysis.

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180 Water samples were collected for chemical analysis from 0.5 m below the lake surface
181 immediately before the sediment samples were obtained. Water samples for chemical
182 analysis were stored in acid-washed polythene bottles and kept at 4 °C until analyses.
183 Secchi depth was measured using a standard transparency disc. Conductivity, pH and
184 dissolved oxygen (DO) were measured in the field using a HI-214 conductivity meter,
185 Hanna EC-214 pH meter and JPB-607 portable DO meter. Chemical variables for the
186 water samples including total phosphorus (TP), total nitrogen (TN), chlorophyll-a (chl a),
187 K⁺, Na⁺, Mg²⁺, Ca²⁺, Cl⁻, SO₄²⁻, NO₃⁻ were determined at the Nanjing Institute of
188 Geography and Limnology, Chinese Academy of Sciences. The surface sediments
189 were also analysed for percentage loss-on-ignition (% LOI) following standard
190 methods (Dean 1974). Site-specific values for the mean July air temperature (MJT)
191 and mean annual precipitation (MAP) were estimated using climate layers that were
192 created using statistical downscaling of General Circulation Model (GCM) outputs and
193 terrain parameterization methods in a regular grid network with a grid-cell spacing of 1
194 km² (Böhner [19942004](#), 2006; Böhner and Lehmkuhl, 2005) using reanalysis data.
195 MJT is used to represent summer temperatures because July is the warmest month in
196 south-western China.

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198 3.2 Chironomid analyses

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200 100 surface sediment samples from lakes of south-western China and 55 sub-samples
201 from the Tiancai Lake short core were analysed for chironomids following standard
202 methods (Brooks et al, 2007). The sediment was deflocculated in 10% potassium
203 hydroxide (KOH) in a water bath at 75 °C for 15 minutes. The samples were then
204 sieved at 212 µm and 90 µm and the residue was examined under a stereo-zoom
205 microscope at x 25. Chironomid head capsules were hand-picked using fine forceps.
206 All the head capsules found were mounted on microscope slides in a solution of
207 Hydromatrix®. Samples produced less than 50 head capsules were not included in the
208 subsequent analyses (Quinlan and Smol, 2001). The chironomid head capsules were
209 identified mainly following Wiederholm (1983), Oliver and Rousset (1982), Rieradevall
210 and Brooks (2001), Brooks et al. (2007) and a photographic guide provided in Tang
211 (2006).

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213 3.3 Numerical analysis

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215 A range of numerical methods were used to determine the relative influence of the
216 measured environmental parameters on the distribution and abundance of
217 chironomids in the surface sediments within the training set. A total of eighteen fifteen
218 environmental variables were considered in the initial statistical analyses (Table 1).
219 These measurements were normalized using a log₁₀ transformation prior to ordinations
220 following a normality assessment of each data set. Chironomid species were used in

221 the form of square root transformed percentage data in all statistical analyses. The
222 ordinations were performed using CANOCO version 4.5 (ter Braak and Šmilauer,
223 2002). A detrended correspondence analysis (DCA; Hill and Gauch, 1980) with
224 detrending by segments and nonlinear rescaling was used to explore the chironomid
225 distribution pattern. The DCA was also used to identify the gradient length within the
226 chironomid data and hence whether unimodal analyses were appropriate (ter Braak,
227 1987). Canonical correspondence analysis (CCA) down-weighted for rare taxa (with a
228 maximum abundance of less than 2% and/or occurred in fewer than two lakes, i.e.
229 Hill's $N_1 < 2$, $N_2 < 2$), with forward selection and Monte Carlo permutation tests (999
230 unrestricted permutations) was then used to identify the statistically significant ($p <$
231 0.05) variables influencing the chironomid distribution and abundance (ter Braak and
232 Šmilauer, 2002). A preliminary CCA with all eight variables was used to identify
233 redundant variables, reducing excessive co-linearity among variables (Hall and Smol,
234 1992), i.e. the environmental variable with highest variance inflation factor (VIF) was
235 removed after each CCA and the CCA was repeated until all VIFs were less than 20
236 (ter Braak and Šmilauer, 2002). In addition, we used stepwise selection based on
237 pseudo-F to aid the variable selection process. Only the remaining significant ($p < 0.05$)
238 variables were included in the final CCA ordination. The relationship between the
239 significant environmental variables and ordination axes was assessed with canonical
240 coefficients and the associated t-values of the environmental variables with the
241 respective axes. CCA bi-plots of sample and species scores were generated using
242 CanoDraw (ter Braak and Šmilauer, 2002). Partial canonical correspondence analyses
243 (pCCAs) were applied to test the direct and indirect effects of each of the significant
244 variables in relation to the chironomid species data. These were performed for each of
245 the significant variable with and without the remaining significant variables included as
246 co-variables. Environmental variables that retained their significance after all pCCAs
247 were selected for use in the analyses as they are the independent variables.

248

249 Chironomid-based transfer functions were developed for mean July temperatures MJT
250 using C2, version 1.5. (Juggins, 2005). ~~Inference models were developed for the~~
251 ~~subset of 47 lakes located in Yunnan Province close to or above 4000 m a.s.l. and the~~
252 ~~full calibration data set comprised of 100 lakes, respectively.~~ The models were
253 constructed using algorithms based on weighted-averaging (WA) and
254 weighted-averaging partial-least-squares (WA-PLS) (Birks, 1995). ~~Jackknifing was~~
255 ~~applied for the Yunnan calibration data set of 47 lakes as this technique is more robust~~
256 ~~for data sets with fewer than 80 sites (Kim and Han, 1997).~~ Bootstrap cross-validation
257 technique was tested for the ~~full calibration dataset of 100 lakes dataset~~ as previously
258 demonstrated that it is more suitable for large datasets (Heiri et al., 2011) comparing to
259 the jackknife technique. Transfer function models were evaluated based on the
260 performance of the coefficient of determination (r^2_{boot}), average bias of predictions,
261 maximum bias of predictions and root mean square error of prediction (RMSEP_{boot}).
262 The number of components included in the final model was selected based on
263 reducing the RMSEP by at least 5% (Birks, 1998). In addition, instead of using 5% as a
264 simple threshold we also performed a t-test to further check if the additional component

265 of the WA-PLS model is outperformed.

266
267 The transfer function models ~~based on the 100 full calibration data set and the subset of~~
268 ~~47 lakes~~ were then applied to the fossil chironomid data from Tiancai Lake, ~~respectively.~~
269 Mean July temperatures ~~JTs~~ (MJT) were reconstructed from the site and three types of
270 reconstruction diagnostics suggested in Birks (1995) were applied to assess the
271 reliability of the results. These include goodness-of-fit, modern analogue technique
272 (MAT) and the percentage (%) analysis of modern rare taxa in the fossil samples. For
273 the goodness-of-fit analysis, the squared residual length (SqRL) was calculated by
274 passively fitting fossil samples to the CCA ordination axis of the modern training set data
275 constrained to MJT in CANOCO version 4.5 (ter Braak and Smilauer, 2002). Fossil
276 samples with a SqRL to axis 1 higher than the extreme 10 and 5% of all residual
277 distances in the modern calibration dataset were considered to have a 'poor' and 'very
278 poor' fit with MJT respectively. The chi-square distance to the closest modern
279 assemblage data for each fossil sample was calculated in C2 (Juggins, 2005) using the
280 MAT. Fossil samples with a chi-square distance to the closest modern sample larger
281 than the 5th percentile of all chi-square distances in the modern assemblage data were
282 identified as samples with 'no good' analogue. The percentage of rare taxa in the fossil
283 samples was also calculated in C2 (Juggins, 2005), where a rare taxon has a Hill's $N_2 <$
284 2 in the modern data set (Hill, 1973). Fossil samples that contain $> 10\%$ of these rare
285 taxa were likely to be poorly estimated (Brooks and Birks, 2001). Finally, the
286 chironomid-based transfer functions inferred MJT patterns were compared to the
287 instrumental recorded data from Lijiang weather station between the years of 1951 and
288 2014.

289 290 3.4. Chronology for Tiancai Lake core

291
292 The top 28 cm of the sediment core recovered from Tiancai Lake were used for ^{210}Pb
293 dating. Sediment samples were dated using ^{210}Pb and ^{137}Cs by non-destructive
294 gamma spectrometry (Appleby and Oldfield, 1992). Samples were counted on an
295 Ortec HPGe GWL series well-type coaxial low background intrinsic germanium
296 detector to determine the activities of ^{210}Pb , ^{226}Ra and ^{137}Cs . A total of 58 samples at an
297 interval of every 0.5 cm were prepared and analysed at the Nanjing Institute of
298 Geography and Limnology, Chinese Academy of Sciences. Sediment chronologies
299 were calculated using a composite model (Appleby, 2001). ^{137}Cs was used to identify
300 the 1963 nuclear weapons peak, which was then used as part of a constant rate of
301 supply (CRS) model to calculate a ^{210}Pb chronology for the core.

302 303 4 Results

304 305 4.1 Distribution of chironomid taxa along the temperature gradient

306
307 A total of ~~53 non-rare taxa ($N > 2$ and $N_2 > 2$) (Brooks and Birks, 2001) chironomid taxa~~
308 ~~were identified from the 47 Yunnan lakes and a total of 895 non-rare taxa ($\text{Hill's } N_2 > 2$)~~

(Brooks and Birks, 2001) were identified from 100 south-western Chinese lakes (Fig. 2a). Only these non-rare taxa were included in the final transfer function models. Mean July temperature is an important variable driving the distribution and abundance of the chironomid taxa in this dataset (Fig. 2a). ~~developed based on the Yunnan subset and full calibration data set respectively.~~ Common cold stenotherms, here defined as taxa with a preference for < 12°C MJT include *Heterotrissocladius marcidus*-type, *Tanytarsus gracilentus*-type, *Paracladius*, *Micropsectra insignilobus*-type, *Micropsectra radialis*-type, *Tanytarsus lugens*-type, ~~*Thionemanniella clavicornis*-type~~, *Micropsectra* Type A, *Pseudodiamesa*, *Micropsectra atrofasciata*-type and *Corynoneura lobata*-type (Fig. 2a). Taxa characterizing warmer temperatures (> 12°C) include *Polypedilum nubeculosum*-type, *Eukiefferiella graeci-devonica*-type, *Microtendipes pedellus*-type and *Tanytarsus lactescens*-type and *Chironomus plumosus*-type (Fig. 2a). Many of the remaining taxa reflect more cosmopolitan distributions, these include *Procladius*, ~~*Chironomus anthracinus*-type, *Chironomus plumosus*-type~~, *Corynoneura scutellata*-type, *Tanytarsus pallidicornis*-type, *Tanytarsus mendax*-type and *Paratanytarsus austriacus*-type (Fig. 2a).

4.2 Chironomid taxa in Tiancai Lake

~~A total of 55 sub-samples were analysed for chironomid taxa throughout the top 28 cm of the core recovered from Tiancai Lake. There were 41 non-rare ($N > 2$, $N_2 > 2$) taxa present (Fig. 2b). The general assemblages of these 55 sub-samples include *Heterotrissocladius marcidus*-type, *Tvetenia tamafalva*-type, *Micropsectra insignilobus*-type, *Corynoneura lobata*-type, *Paramerina divisa*-type, *Micropsectra radialis*-type, *Paratanytarsus austriacus*-type, *Thionemanniella clavicornis*-type, *Eukiefferiella claripennis*-type, *Rheocricotopus effusus*-type, *Macropelopia*, *Pseudodiamesa* and *Procladius* (Fig. 2b). All the taxa identified from this record were well represented, and most of them were recognized as cold stenotherms, in the modern calibration training sets (Fig. 2a).~~

4.2.3 Ordination analyses and model development

~~Detrended canonical analyses (DCAs) performed on the 47 lakes from Yunnan showed the gradient length of axis 1 was 3.328, indicating a direct unimodal method was appropriate to model the chironomid species response (Birks 1998). CCAs were then performed on the 47 Yunnan lakes, 53 non-rare taxa and 15 environmental variables. The initial CCA showed total dissolved solids (TDS) had the highest VIF and was removed from further analyses. Among the remaining 14 variables, eight explained a significant ($p < 0.05$) proportion of variance in the chironomid species data (Table 2a, Fig. 3a, b). These were MJT (16%), conductivity (10.7%), K^+ (10.7%), Ca^{2+} (9.9%), TP (5.7%), Cl^- (5.5%), depth (4.4%) and LOI (3.7%). A total of 30.2% variance was explained by the first four CCA axes using the eight significant variables with the first CCA axis explaining nearly half of the total variance. Among these variables, MJT, K^+ , depth and Ca^{2+} showed a significant correlation ($p < 0.01$) with CCA axis 1 and Cl^- ,~~

353 MJT, LOI, Ca^{2+} showed a significant correlation ($p < 0.01$) with CCA axis 2 (Table 2a,
354 Fig. 3a, b). MJT explained the largest amount of variance (16%) in the chironomid
355 species data and showed the strongest correlation with CCA axis 1 (Table 2a). The
356 pCCAs results indicated that within the eight significant variables, only MJT retained its
357 significance ($p < 0.01$) after partialling out using pCCAs (Table 3a).

358
359 A bi-plot of the CCA species scores indicating the percent of variance explained by the
360 CCA axes in each chironomid taxon with respect to the environmental variables (Fig.
361 3a). *Microtendipes pedellus*-type, *Einfeldia natchitochae*-type, *Paratanytarsus*
362 *penicillatus*-type, *Tanytarsus medax*-type, *Chironomus anthracinus*-type, *Cladopelma*
363 *edwardsi*-type, *Dicrotendipes nervosus*-type, *Ablabesmyia*, *Tanytarsus*
364 *pallidicornis*-type, *Procladius*, *Chironomus plumosus*-type, *Cricotopus sylvestris*-type,
365 *Polypedilum nubeculosum*-type, *Tanytarsus lactenscens*-type displayed a substantial
366 amount of variance with the first two CCA axes and were positively correlated with CCA
367 axis 1. These taxa were associated with warm temperatures. *Heterotrissocladius*
368 *marcidus*-type, *Tanytarsus lugens*-type, *Parametriocnemus*, *Eukiefferiella gracei*-type,
369 *Paramerina divisa*-type and *Micropsectra* type A, showed a negative correlation with
370 CCA axis 1 and these taxa were inferred as cold temperature indicators. A bi-plot of the
371 CCA sample scores revealed that a large number of sites are closely distributed
372 around depth and LOI, respectively, despite of the low explanatory power of these two
373 variables in the 47 lakes training set (Fig. 3b).

374
375 The detrended canonical analyses (DCAs) performed on the full calibration training set
376 of 100 lakes and 895 non-rare chironomid taxa had an axis 1 gradient length of 3.033
377 indicating a CCA approach was appropriate for modelling the chironomid taxa
378 response (Birks, 1998). The same 15eighteen environmental variables were tested as
379 in the initial CCA and the results showed that TDS had the highest VIF. It was then
380 removed from the following CCAs. Seven of the remaining 14 variables had significant
381 ($p < 0.05$) explanatory power with respect to the chironomid species data. These were
382 K^+ (4.8%), MJT (4.4%), conductivity (4.4%), Cl^- (3.4%), LOI (3.1%), Na^+ (2.7%) and
383 depth (2%) (Table 2b). A total of 14.6% of variance was explained by the four CCA
384 axes with the seven7 significant variables included and the first two axes explained 10%
385 of the total variance. Of these variables, conductivity and K^+ were significantly
386 correlated ($p < 0.01$) with CCA axis 1 and cond, depth, Cl^- , MJT showed a significant
387 correlation ($p < 0.01$) with CCA axis 2 (Table 2b, Fig. 3a, b, based on the t-values).
388 Potassium ions (K^+) explained the largest variance in the chironomid species data and
389 showed the strongest correlation with CCA axis 1. MJT and conductivity explained
390 equally the second largest amount of variance (4.4%) where MJT was significantly
391 correlated with CCA axis 2 and conductivity was significantly correlated with both axis
392 1 and 2 (Table 2b). The pCCAs (Table 3b) demonstrated that within the 7 significant
393 variables K^+ , MJT, Cl^- , LOI and depth remainedtained their significance ($p < 0.01$) when
394 the other variables were included as co-variables. Potassium ions (K^+) is the
395 independent variable dominates the first CCA axis. MJT and Cl^- are the independent
396 variables dominating the second CCA axis but MJT has an overall higher explanatory

397 power (Table 2b).

398
399 A bi-plot of the CCA species scores indicated that taxa such as *Heterotrissocladius*
400 *marcidus*-type and *Tanytarsus lugens*-type had a significant amount of variance
401 explained by the first two CCA axes and were negatively correlated with CCA axis 1.
402 Taxa including *Polypedilum nubeculosum*-type, *Chironomus plumosus*-type were
403 positively correlated with CCA axis 1 with a significant amount of variance explained by
404 the CCA axis 1 and 2. A bi-plot of the CCA sample scores showed that a major
405 proportion of sites distributed concentrating around depth (Fig. 3b) whereas depth only
406 explains 2% of the total variance in the chironomid 100 lakes calibration dataset.

407
408 The transfer functions were developed for mean July temperature (MJT) ~~based on the~~
409 ~~subset with 47 Yunnan lakes and the full 100 lakes calibration datasets, respectively.~~
410 We acknowledge that MJT is not the sole independent variable on CCA axis 2 in the
411 ~~100-lake~~ dataset but transfer functions based on this large regional dataset are created
412 and applied to reconstruct MJT because it is a more useful parameter compared to K⁺
413 and Cl⁻ for the purpose of comparing the performance with the more localized Yunnan-
414 transfer function models. Both weighted averaging (WA) and weighted averaging
415 partial least squares (WA-PLS) models were tested ~~for MJT~~ in the ~~respective~~-modern
416 calibration sets. Summary statistics of inference models based on these two different
417 numerical methods are listed in Table 4a. As expected, the bootstrapped WA with
418 inverse deshrinking (WA_{inv}) and WA-PLS models generated similar statistical results
419 for the both-calibration training sets. ~~For the subset of 47 Yunnan lakes, the WA_{inv}~~
420 ~~model produced a strong jackknifed coefficient of determination (r^2_{jack}) of 0.83, average~~
421 ~~bias (AveBiasjack) of 0.113, maximum bias (MaxBiasjack) of 2.83 and root mean-~~
422 ~~squared error of prediction (RMSEP) of 1.67 °C (Table 4a). The first component of~~
423 ~~WA-PLS model was selected and it produced the same r^2_{jack} of 0.83, AveBiasjack of~~
424 ~~0.109, a slightly higher MaxBiasjack of 3.15 and RMSEP of 1.72 °C (Table 4a). Fig. 4a~~
425 ~~and 4b show the chironomid-inferred versus observed MJT and the distribution of~~
426 ~~prediction residuals for the transfer function models based on the subset of 47 lakes-~~
427 ~~from Yunnan.~~

428
429 ~~For the full calibration set of 100 south-western Chinese lakes, bootstrap~~
430 ~~cross-validation techniques was applied for both the WA_{inv} and WA-PLS models~~
431 ~~(Table 4). Similar to the Yunnan subset, the WA_{inv} and WA-PLS model produced~~
432 ~~comparable statistical results.~~ The WA_{inv} model produced an r^2_{boot} of 0.61,
433 AveBiasboot of 0.06, MaxBiasboot of 5.30 and RMSEP ($s_1 + s_2$) of 2.30 °C (~~RMSEs1~~
434 ~~= 0.69 °C and RMSEs2 = 2.19 °C~~) (Table 4a). We selected the second component of
435 WA-PLS bootstrap model as it is the most more robust according to the t-test results
436 (Table 4b). ~~and reduced the RMSEP by more than 5%.~~ It produced an r^2_{boot} of 0.63,
437 AveBiasboot of 0.101, a lower MaxBiasboot of 5.16 and RMSEP ($s_1 + s_2$) of 2.31 °C-
438 (~~RMSEs1 = 0.89 °C and RMSEs2 = 2.14 °C~~). Figures 4c and 4d show the
439 chironomid-inferred versus observed MJT and the distribution of prediction residuals
440 for the above transfer function models respectively based on the full calibration training

441 ~~set of 100 lakes.~~

442 443 4.34 Reconstructions from Tiancai Lake

444
445 A total of 55 sub-samples were analysed for chironomid taxa throughout the top 28 cm
446 of the core recovered from Tiancai Lake. There were 41 non-rare (Hill's $N_2 > 2$) taxa
447 present (Fig. 2b). The general assemblages of these 55 sub-samples include
448 *Heterotrissocladius marcidus*-type, *Tvetenia tamafalva*-type, *Micropsectra*
449 *insignilobus*-type, *Corynoneura lobata*-type, *Paramerina divisa*-type, *Micropsectra*
450 *radialis*-type, *Paratanytarsus austriacus*-type, *Thienemanniella clavicornis*-type,
451 *Eukiefferiella claripennis*-type, *Rheocricotopus effusus*-type, *Macropelopia*,
452 *Pseudodiamesa* and *Procladius* (Fig. 2b). All the taxa identified from this record were
453 well represented, and most of them were recognized as cold stenotherms, in the
454 modern calibration training sets (Fig. 2a). We acknowledge that some of the lotic taxa
455 may result in poor temperature estimates when applying the transfer function therefore,
456 reconstruction diagnostics were necessary.

457
458 The ^{210}Pb dating results demonstrated that the top 28 cm of the short core recovered -
459 from Tiancai Lake represent the last c. ~150 years (Fig 5). We applied ~~all four~~ both new
460 transfer function models (~~WA-47 lakes, WA and -100 lakes, WAPLS-47 lakes, WA-PLS~~
461 ~~based on-~~100 lakes) to reconstruct the MJT changes between 1860 AD and 2008 (Fig.
462 6a). The WA and WA-PLS models constructed ~~based on the subset of Yunnan lakes-~~
463 ~~and the full calibration dataset 100 lakes~~ showed identical trends in the MJT
464 reconstructions over the last c. ~150 years (Fig. 6a). There were small deviations in
465 terms of absolute values but the variations in the reconstructed MJT ~~among the-~~
466 ~~four~~ between the two models were within 0.1-0.5 °C for each sample (Fig. 6a).
467 Goodness-of-fit analysis on the reconstruction results ~~based on the 100 lake dataset-~~
468 showed that out of the 55 fossil samples, eight samples from the years between 2000
469 and 2007 AD have 'poor' and 'very poor' fit to MJT (Fig. 6b). The modern analogue
470 analysis showed that only four fossil samples have 'no good' analogues in the 100 lake
471 dataset (Fig. 6c). All 55 fossil samples contain less than 10% of the taxa that were rare
472 in the modern 100 lake training set (Fig. 6d). Finally, the reconstructed results also
473 showed a comparable MJT trend and a statistical significant correlation ($p < 0.05$, $r =$
474 0.45, $n = 31$) with the instrumental measured data between 1951 and 2007 AD from
475 Lijiang weather station (Fig. 6e).

476 477 5 Discussion

478 479 5.1 Reliability of the environmental and chironomid data

480
481 Obtaining reliable estimates of the modern climate data has been challenging in
482 south-western China. There are very few meteorological stations and climate
483 monitoring in the high mountains of our study area is virtually non-existent. Climate
484 parameters including mean July temperatures MJT and mean annual precipitation used

485 in this study are interpolated from climate surfaces derived from a mathematical
486 climate surface model based on the limited meteorological data and a digital terrain
487 model (DTM) applied to the whole of the wider Tibetan region (4000 x 3000 km)
488 (Böhner, 2006). We acknowledge that there are limitations in these data due to the
489 sparse distribution of observations from meteorological stations. Modelling
490 precipitation in topographically complex parts of this region such as ~~the~~ Yunnan is
491 problematic due to the orographic interception (or non-interception) of monsoonal air
492 masses upwind of the sites, but the scale of the DTM means that mean temperature
493 data should be reasonably robust, except in the most topographically complex areas.
494 Further meteorological observations are required to refine this and other studies. We
495 suspect that this is potentially an issue resulting the relatively low transfer function
496 model coefficient (r^2_{boot}).

497
498 We examined the chironomid taxa that appeared as temperature indicators in the in-
499 the 47 and calibration 100 lake datasets respectively. A number of taxa, namely
500 *Pseudodiamesa*, *Parametrioctenus* *Pseudosmittia* and *Tvetenia*
501 *tamafalva* *Corynoneura lobata*-type emerge as cold stenotherms. in the 47 lake dataset
502 but not in the 100 lake dataset. *Diamesa*, *Parametrioctenus* and *Tvetenia*
503 *tamafalva*-type displayed a more cosmopolitan distribution in the larger training set.
504 We further examination ofed these taxa and we identifiedshow that *Diamesa*,
505 *Parametrioctenus* and *Tvetenia tamafalva*-type these three taxa are all likely lotic
506 (Cranston, 2010). These taxa would possiblylikely have washed in to the lakes from
507 streams and therefore it is not appropriate to make temperature inferences based on
508 them. While they appeared as cold stenotherms in the 47 lakes dataset, it is mainly
509 because this training set had lakes with limited in flows except in the alpine lakes. This
510 created the impression of these taxa being cold stenotherms whereas the inclusion of
511 additional lowland lakes that had stream inflows in the larger data set allowed the
512 identification of this misrepresentation. In summary, the 100 lake training set has
513 allowed better identification of environmental tolerance of chironomid taxa in the
514 south-western China data sets.

515
516 We also observed that another cold stenotherm *Tanytarsus gracilentus*-type is closely
517 related to lake depth, while both *Tvetenia tamafalva*-type and *Micropsectra* show
518 closer correlation with LOI and Cl⁻ in the CCA biplot (Fig. 3a). The observations match
519 with the ecological recognition and interpretation of these taxa in literature where
520 *Tanytarsus gracilentus*-type was identified as a benthic species in the arctic and is
521 sometimes found in temperate shallow eutrophic ponds (Einarsson et al., 2004; Ives et
522 al., 2008); *Tvetenia tamafalva*-type was often found in streams and this is likely related
523 to the organic content (LOI) of the substrates as they are detritus feeders (Brennan
524 and McLachlan, 1979); while *Micropsectra* was found in thermal springs and pools
525 (Hayford et al., 1995; Batzer and Boix, 2016) and this is reflected in this dataset with
526 having a close relationship with Cl⁻. It presents in lakes such as Lake
527 Tengchongqinghai, Qicai Lake and Lake Haizhibian that have high levels of Cl⁻ ions.
528 These sites are located in geothermal spring region of Sichuan and Yunnan Provinces.

529

530 Well-known warm stenotherms that are distributed along the MJT gradient of the CCA
531 species bi-plot (Fig. 3a) include *Dicrotendipes*, *Microchironomus*, *Polypedilum* and
532 *Microtendipes*. Many studies (e.g. Walker et al. 1991; Larocque et al. 2001; Rosenberg
533 et al., 2004; Brodersen and Quinlan, 2006; Woodward and Shulmeister, 2006) show
534 that these taxa are warm temperature indicators worldwide. We therefore argue that
535 this large calibration training set contains a relatively complete range of temperatures
536 and environments expected to have been experienced by lakes and their chironomid
537 fauna in the past (Brooks and Birks, 2001). This will be particularly useful when
538 applying the models to reconstruct changes in the late Pleistocene and Holocene when
539 climates were different (Heiri et al., 2011).

540 ~~5.2 Comparison of environmental gradients between the 47 and 100 lakes datasets~~

541

~~542 The training set, comprising 47 lakes in Yunnan covers MJTs between 5.6 °C and~~
~~543 18.8 °C and yields a MJT gradient of 13.2 °C. The ordination analyses (CCAs and~~
~~544 pCCAs) of this dataset showed that MJT is the only independent variable on CCA axis~~
~~545 1 and explained the largest amount of the total variance (16%) in the chironomid data.~~
~~546 Based on these statistical results, the 47 Yunnan lake training set initially appeared~~
~~547 more appropriate for developing a MJT chironomid-based transfer function (Juggins,~~
~~548 2013).~~

549

550 This 100-lake training set covers a longer temperature gradient ranging from 4.2 °C
551 to 20.8 °C (MJT gradient of 16.6 °C). Based on the CCAs, we observed that the MJT
552 signal in this larger training set is partially masked by a salinity gradient. This is
553 represented by potassium ions (K⁺) and conductivity (Fig. 3a~~e~~, b~~d~~). CCA axis 1 is
554 dominated by K⁺ and this may be related to weak weathering. This is because (1) the
555 first CCA axis is driven by lakes that have low precipitation but intermediate level of
556 evaporation, examples of these sites include Lake Xiniu haijiuzhai, Lake Muchenghai
557 and Lake Kashacuo, from the north margin of Sichuan Province. These lakes indicate
558 cool, dry and low windiness conditions that lead to a weak weathering environment.
559 We highlight that this area is different from the high Tibetan Plateau where aridity and
560 salinity dominates. (2) In chemical weathering sequences, K⁺ is an early stage
561 weathering product (Meunier and Velde, 2013) and K⁺ is often associated with primary
562 minerals, such as feldspars and micas in the bedrock (Hinkley, 1996). Salinity is
563 responding to both temperature and aridity but further pCCAs (Table 3) indicate that
564 both K⁺ and MJT are independent variables in this training set.

565

566 The second CCA axis is co-dominated by MJT and Cl⁻ with very similar gradient
567 lengths. Lakes distributed along the warmer end of the MJT gradient include Lake
568 Longtan, Lake Lutu, Lake Luoguopingdahaizi and Lake Jianhu. Most of these sites are
569 lower to intermediate altitude sites in the dataset (below 2700 m a.s.l) because
570 elevation is correlated with temperature. Sodium ions (Na⁺) largely follow the same
571 axis as MJT as evaporation is related in part to temperature. In summary, MJT and Cl⁻
572 are both independent variables that drive the second CCA axis and Cl⁻, and Na⁺

573 partially reflect evaporation effects because, on average, lakes in warmer climates
574 evaporate more than those in colder ones. In addition, Cl⁻ concentration may also
575 relate to the characteristics of the bedrock geology of the region. We highlight that
576 there are very few lakes on the Cl⁻ gradient and these lakes are from the border of
577 Sichuan and Yunnan Provinces, where geothermal springs are widespread. We argue
578 that developing a MJT transfer function is appropriate for ~~this large~~ the 100 lake training
579 set because MJT is independent of other variables (e.g. Rees et al., 2008; Chang et al.,
580 2015a). Although Cl⁻ is also independent and co-dominates CCA axis 2, the overall
581 explanatory power is lower (Table 2b) and also the lambda ratio (λ_1/λ_2) is smaller ~~less~~
582 than MJT (Table 3b). We retained all 100 lakes from the region without removing sites
583 to artificially enhance the MJT gradient in the ordination analyses and model
584 development because this ~~large 100 lake~~ dataset is an a more-accurate reflection of
585 the natural environment of south-western ~~SW~~ China.

586

~~We re-highlight that some chironomid taxa appeared as stenotherms in the 47 lake dataset only because the dataset does not cover the full environmental range. For example, the CCA bi-plot for the 47 lake training set indicating that *Tanytarsus gracilentus*-type, *Tvetenia tamafalva*-type and *Micropsectra* follow the MJT gradient closely (Fig. 3a). In the 100 lake training set, we observed that *Tanytarsus gracilentus*-type is more closely related to lake depth, while both *Tvetenia tamafalva*-type and *Micropsectra* show closer correlation with LOI and Cl⁻ instead of MJT. The latter observations match with the ecological recognition and interpretation of these taxa in literature where *Tanytarsus gracilentus*-type was identified as a benthic species in the arctic (Einarsson et al., 2004; Ives et al., 2008); *Tvetenia tamafalva*-type was often found in streams and this is likely related to the organic content (LOI) of the substrates as they are detritus feeders (Brennan and McLachlan, 1979); while *Micropsectra* was found in thermal springs and pools (Hayford et al., 1995; Batzer and Boix, 2016) and this is reflected in this dataset with having a close relationship with Cl⁻. It presents in lakes such as Lake Tengchongqinghai, Qicai Lake and Lake Haizhibian that have high levels of Cl⁻ ions. These sites are located in geothermal spring region of Sichuan and Yunnan Provinces.~~

604

~~Well-known warm stenotherms that are distributed along the MJT gradient of the CCA species bi-plot (Fig. 3c) for the 100 dataset include *Dicrotendipes*, *Microchironomus*, *Polypedilum* and *Microtendipes*. Many studies (e.g. Walker et al. 1991; Larocque et al. 2001; Rosenberg et al., 2004; Brodersen and Quinlan, 2006; Woodward and Shulmeister, 2006) show that these taxa are warm temperature indicators worldwide. We therefore further argue that while MJT explained a higher total variance in the chironomid data in the 47 Yunnan lake training set, the 100 lake training set has a clear advantage in that it contains a more complete range of temperatures and environments expected to have been experienced by lakes and their chironomid fauna in the past (Brooks and Birks, 2001). This will be particularly useful when applying the models to reconstruct changes in the late Pleistocene and Holocene when climates were different (Heiri et al., 2011).~~

616

5.3 Comparisons of the transfer function statistics

We compared the statistical results of the transfer functions generated from the 47 and 100 lakes training sets. We selected the WA-PLS based transfer function models over the WAinv based approach for both training sets because the addition of PLS components can reduce the prediction error in datasets with moderate to large noise (ter Braak and Juggins, 1993). ~~The 47 lake dataset WA-PLS model yields a strong r^2_{jack} (0.83) and a comparably lower RMSEP_{jack} (1.7 °C, represents 12.8% of scalar length of the MJT gradient). The performance of the model is highly comparable to models of a similar kind worldwide such as from eastern North America with 136 lakes ($r^2 = 0.82$, Barley et al., 2006) and Finland with 77 lakes ($r^2 = 0.78$, Luoto, 2009) where the RMSEPs represent 11.7% and 12.5% of their respective temperature gradient length. However, there are apparent caveats in the distribution of the model predicted MJTs and error residuals along the temperature gradient (Fig. 4a-d). These include: (1) there is a gap in sites between the MJTs of 12 and 15 °C; (2) there is a wide scatter of error residuals for sites located in an intermediate temperature range (between 10 and 12 °C) and at the warmer end (> 18 °C). These indicate there are limitations for the model to accurately reconstruct temperatures in warmer conditions (e.g. the Holocene) and during relatively minor cooling events (e.g. the Little Ice Age). The 47 lakes training set covers a MJT gradient of 13.2 °C and this should be capable of detecting glacial to interglacial changes. The problem in the smaller data set is that some taxa are likely to have their climate tolerances and optima significantly underestimated (Heiri et al., 2011). For example, *Diamesa*, is present up to a MJT of 10 °C in the 47 lake dataset, whereas in the 100 lake dataset, it is present in samples with a MJT of 17 °C. The consequence of this is that *Diamesa* appears as a cold stenotherm in the 47 lakes dataset but it is actually cosmopolitan. This finding is in line with Heiri et al. (2011) and Brooks and Birks (2001), who demonstrated from Europe that broader datasets give a more accurate view of the chironomid distribution data.~~

The 100 lakes training set ~~extends the~~has a MJT gradient ~~by 3.4 °C to~~of 16.6 °C and the RMSEP represents 13.8% of the scalar length of the MJT gradient. This is ~~still~~ comparable with most chironomid-based transfer function models including ~~transfer function model~~those developed from Northern Sweden with 100 lakes ($r^2 = 0.65$, Larocque et al., 2001), ~~and~~ western Ireland with 50 lakes ($r^2 = 0.60$, Potito et al., 2014) and Finland with 77 lakes ($r^2 = 0.78$, Luoto, 2009); representing 14.7%, ~~and~~ 15% and 12.5% of the scalar length of the temperature gradient respectively but less robust than the combined 274-lakes transfer function developed from Europe ($r^2 = 0.84$, RMSEP representing 10.4% of the scalar length of the MJT gradient) (Heiri et al., 2011). Despite of the relatively lower model coefficient ($r_{\text{boot}} = 0.63$), we observe that by ~~increasing the~~having a large number of lakes in the calibration set, the distribution of the sites along the MJT gradient is relatively evened-out (Fig. 4d). The distribution of the error residuals generates a smooth smoother curve (Fig. 4d) ~~than the 47 lakes training set~~. The model leads to overestimation of low and underestimation of high

661 temperature values which is typical of the WA models (ter Braak and Juggins, 1993).
662 We acknowledge that the lower model coefficient (r_{boot}) may also relate to the ~~lowered~~
663 explanatory power of MJT in the chironomid species data and ~~increased-large~~ number
664 of independent and significant variables in the ~~100-lake~~-training set when a wider range
665 of lakes were included. However, ~~increasing the length of the~~ the extensive temperature
666 gradient length allowed the incorporation of full potential abundance and distributional
667 ranges for each of the chironomid taxa.

668

669 5.24 Tiancai Lake reconstructions

670

671 ~~The 47-lakes training set displays an apparently stronger statistical correlation to the~~
672 ~~temperature record. We argue that the increased robustness of applying the transfer-~~
673 ~~function model based on the larger dataset outweigh the modest reduction in statistical~~
674 ~~performance.~~ All three types of diagnostic techniques applied (Fig 6 b-d) suggest that a
675 reliable MJT reconstruction was provided by the two-component WA-PLS model based
676 on this 100-lake dataset overall. We highlight that the eight samples from the years
677 between 2000 and 2007 AD have 'poor' and 'very poor' fit to MJT may suggest that it is
678 possible a second gradient other than MJT influencing the chironomid species
679 distribution and abundance in the most recent fossil samples of Tiancai Lake. We also
680 predict that the model based on the larger dataset may amplify both cool and warm-
681 events because it covers a more complete environmental range, allowing taxon-
682 responses to be fully observed. In order to test this and also to test whether either
683 reconstruction matches reality, we applied both of the WAPLS models to the Tiancai
684 Lake chironomid data, for the period between 1860 AD and the present.

685

686 ~~We plot the trends of MJT reconstruction results from both the WAPLS models against~~
687 ~~the ~50-year long instrumental record from Lijiang station (Fig. 6e). In the comparison~~
688 ~~for the MJT reconstruction results with the instrumental record from Lijiang weather~~
689 ~~station (Fig. 6a), w~~ We do not expect the absolute MJT values to be identical because
690 Lijiang is located ~55 km east-northeast (ENE) and ~1600 m lower in altitude than
691 Tiancai Lake. We applied a typical environmental lapse rate of temperature (change
692 with altitude) for Alpine regions, which is 0.58 °C per 100 metres (Rolland, 2003) to
693 estimate the equivalent MJT values from Lijiang station. If the chironomid-based
694 transfer functions are able to provide reliable estimates for MJTs, we expect the
695 records demonstrate a similar trend with the instrumental data (Fig. 6e).

696

697 The reconstruction results are well matched with the expected outcomes: ~~(1) It is~~
698 ~~reassuring that as~~ the transfer function models based on 100 lakes ~~dataset~~ for a
699 ~~broader~~ the broad area of south-western SW China reconstructs ~~mean July~~
700 ~~temperatures (MJTs) with a similar pattern to the 47 Yunnan lakes dataset in terms of~~
701 ~~the trend;~~ ~~(2) as expected, the WAPLS model based on the 100 dataset amplifies both~~
702 ~~cool and warm periods;~~ ~~(3) both chironomid based reconstructions~~ broadly match the
703 trend recorded by the instrument. By applying the environmental lapse rate, we
704 observe a temperature depression from Lijiang to Tiancai Lake of about 9.3 °C (giving

705 an inferred MJT at Tiancai Lake of 8.1–8.4°C in the year of 2004). This magnitude of
706 change is consistent with the chironomid-based reconstructions from Tiancai Lake (at
707 an average of 7.8 °C for the samples representing the years of 2004–2005), where the
708 difference in mean is 0.30–0.67 °C (equivalent to a MJT of 7.7 °C) when compared ~~to the~~
709 ~~results derived from the 100-lake based WAPLS model and 0.86 °C (equivalent MJT of~~
710 ~~7.5 °C)~~ for the ~~47-lake model~~. The implication is that the ~~100-lake based model transfer~~
711 ~~function model~~ is ~~may be~~ able to reconstruct the MJTs that closely better reflects the
712 actual climate record, ~~though the difference between the models is small~~. We observe
713 there are minor out of phase patterns (Fig. 6e) and this may reflect the uncertainties of
714 applying the ²¹⁰Pb chronology to very recent lake sediments (Binford, 1990).
715 Furthermore, we note that sediment samples reflect more than one season and
716 consequently the total range of the temperature reconstructions from the chironomid
717 samples is likely to be slightly less than the meteorological data because of the
718 smearing out of extreme years. While we expect overall trends between Lijiang and
719 Tiancai Lake to be similar, the sites are not closely co-located and some natural
720 variability between the sites is expected. Nevertheless, a significant correlation ($p <$
721 0.05 , $r = 0.45$, $n = 31$) was ~~still~~ obtained between the instrumental data and the ~~100-~~
722 ~~lake-WA-PLS~~ model inferred MJT data for the last ~ 50 years. We highlight that in
723 addition to the record validation produced by the reconstruction diagnostic techniques,
724 the well-compared trend with the instrumental record is reassuring that the model is
725 capable to provide realistic pattern of the long-term mean July temperature changes. In
726 summary, the ~~chironomide-WAPLS model-based~~ transfer function developed using
727 the ~~100-lakes calibration chironomid~~ training set has ~~produced-generated~~ reliable
728 ~~summer-quantitative~~ temperature records and can ~~realistically also~~ be applied to
729 reconstructing past climate in south-western-SW China.

730 731 6 Conclusions

732
733 ~~Two~~ Chironomid-based summer temperature transfer functions using 100 lakes from
734 south-western China have been constructed and applied to Yunnan region in the
735 south-eastern margin of the Tibetan Plateau-SW China. These include transfer-
736 functions based on a 47-lakes training set confined to Yunnan and a 100-lakes training
737 set from a broader region of south-western China. ~~The first component of WA-PLS-~~
738 ~~model based on the 47 lakes training set produced an r^2_{jack} of 0.83, AveBiasjack of 0.11,~~
739 ~~a MaxBiasjack of 3.15 and RMSEP of 1.72 °C. The second component of WA-PLS-~~
740 ~~bootstrap model for the 100 lakes training set is the most robust for those data and~~
741 ~~produced an r^2_{boot} of 0.63, AveBiasboot of 0.10, a MaxBiasboot of 5.16 and RMSEP (s_1~~
742 ~~+ s_2) of 2.31 °C (whereas RMSEs₁ = 0.88 °C and RMSEs₂ = 2.14 °C). Both the~~
743 ordination and transfer function statistics show that ~~the 47 lakes training set has a~~
744 ~~stronger correlation with MJT, but in practice, we demonstrated that the reconstruction~~
745 ~~results based on the chironomid-based 100-lakes training set are also~~ transfer function
746 is reliable. ~~The larger dataset may potentially provide a better representation of the~~
747 ~~environmental preferences of the chironomid taxa. These 100-lakes large regional~~
748 training set allowed insight into the regional chironomid distribution and species

749 abundance despite having many more independent environmental gradients. The test
750 of the ~~two~~-transfer function models against the modern data suggest that the
751 two-component WA-PLS models provided ~~near identical~~-reconstructions that match the
752 trend of the local instrumental record for the last 50 years. As also demonstrated from
753 pan-European chironomid based transfer functions (e.g. Brooks and Birks, 2001; Heiri
754 et al., 2011), this broadly based 100 ~~SW~~-Chinese lakes is likely ~~more~~-robust and is
755 ~~equally~~-appropriate for use reconstructing long-term summer temperature changes of
756 south-western~~SW~~ China.

757
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994 FIGURE LEGENDS

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Fig. 1 Map of south-west China (a) showing the location of 100 lakes included in ~~full-~~
the calibration training set (square box). (b) Lakes from Yunnan Province are shown in
the square box and (c) the location of Tiancai Lake is marked with yellow triangle. ~~The~~
~~subset of 47 lakes from Yunnan province is shown in the square box (b).~~ ~~The triangle-~~
~~(—) indicates the location of Tiancai Lake in (c).~~

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Fig 2a. Chironomid species ~~stratigraphypercent~~ diagram of the 985 non-rare taxa with N
and $N_2 > 2$. Mean July temperature is on the y-axis and taxon abundance is in
percentage ~~Lake number from 1 to 100 is on the y-axis.~~ The taxon code is
correspondent to the code used in Figure 3a. Warm and cold stenotherms were
identified and grouped based on optical observation and the ~~canonical correspondence~~
~~analysis (CCA) species scores~~ Beta coefficient (from low to high) calculated based on
the bootstrap weighted average partial least square (WA-PLS) model for each species
in C2 software (Juggins, 2005).

1011 Fig 2b. Forty-one (41) non-rare chironomid species present in the short core (28 cm)
1012 from Tiancai Lake where the calibrated ^{210}Pb based age is on the y-axis and taxon
1013 abundance is in percentage.

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1015 Fig 3 CCA bip-lots of sample and species scores constrained to environmental variables
1016 that individually explain a significant ($p < 0.05$) proportion of the chironomid species
1017 data. (a) species and (b) sample scores constrained to eight seven significant
1018 environmental variables in the 47 Yunnan lakes training set. (c) species and (d) sample
1019 scores constrained to seven significant variables in the 100 lakes of southwestern China.
1020 The species codes are correspondent to the taxa names shown in Fig. 2a.

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1022 Fig 4 Performance of the weighted average models with inverse deshrinking (WAinv)
1023 and partial least square (WA-PLS) models using the 47 lakes and 100 lakes calibration
1024 data sets: (a) WAinv jackknifed bootstrap model with 47 lakes (b) the second
1025 component of the WA-PLS bootstrapjackknifed model with 47 lakes (c) WAinv-
1026 bootstrapped model with 100 lakes and (d) WA-PLS bootstrapped model with 100 lakes.
1027 Diagrams on the left show the predicted versus observed mean July temperature (MJT)
1028 and diagrams on the right display residuals of the predicted versus observed mean July
1029 temperature. Note that both all the models have a tendency to over-predict
1030 temperatures from the cold end of the gradient and underestimate temperatures at the
1031 warm end. This is typical for the WA based models.

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1033 Fig 5 The age and depth model for ^{210}Pb dating results of the short core (2830 cm) from
1034 Tiancai Lake. The concentration of ^{137}Cs (circle), excess ^{210}Pb (triangle) and the
1035 calibrated age (AD years) (square) were plotted against core sample depth,
1036 respectively.

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1038 Fig 6 (a) Chironomid-based mean July temperature (MJT) reconstruction results from
1039 Tiancai Lake based on two4 transfer function models: solid blackred line is the
1040 reconstruction based on the weighted average partial least square (WA-PLS)
1041 bootstrapped model with two2 components using 100 lakes calibration set, dashed solid
1042 black line is the reconstruction based on the WAPLS jackknifed model with 1-
1043 component using 47 lakes in Yunnan, dashed black line is based on the weighted
1044 average with inverse deshrinking (WAinv) jackknifed bootstrap model using 47 lakes in
1045 Yunnan and dashed red line is based on WAinv jackknifed model using 100 lakes in
1046 southwestern China. Red solid line is the instrumental data from Lijiang weather station,
1047 corrected applying the lapse rate and solid grey line is the three-sample moving
1048 average of the dataset. Reconstruction of diagnostic statistics for the 100 lake dataset
1049 where (b) displays the goodness-of-fit statistics of the fossil samples with mean July
1050 temperature (MJT). Dashed lines are used to identify samples with 'poor fit' ($> 95^{\text{th}}$
1051 percentile) and 'very poor fit' ($> 90^{\text{th}}$ percentile) with temperature (c) Nearest modern
1052 analogues for the fossil samples in the calibration data set, where dashed line is used to
1053 show fossil samples with 'no good' (5%) modern analogues. (d) Percentage of
1054 chironomid taxa in fossil samples that are rare in the modern calibration data set (Hill's

~~$N_1 < 2$ and $N_2 < 2$~~). (e) Comparison between the chironomid-based transfer function reconstructed trends (represented by MJT anomalies) with the instrumental data from Lijiang weather station (in red solid line, with three-sample moving average). Black solid line represents the reconstruction based on the WA-PLS bootstrapped model with two components using 100 lakes calibration set ~~and grey dashed line represents the reconstruction based on the WAPLS bootstrapped model with 1 component using 47 lakes calibration set from Yunnan in this diagram.~~

TABLE LEGENDS

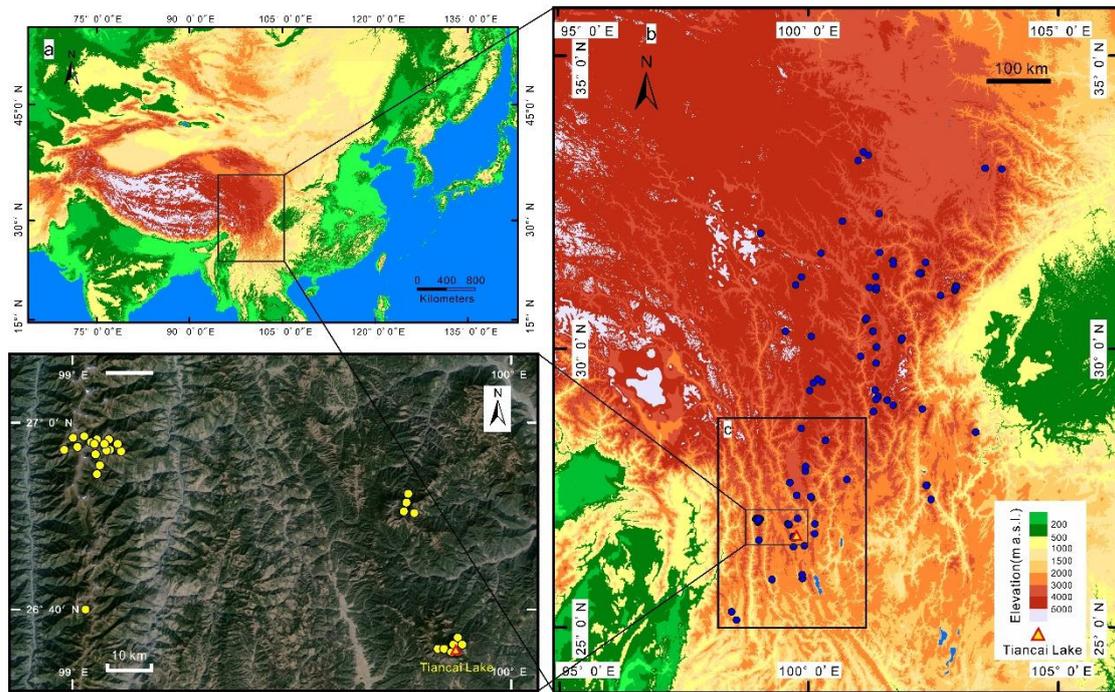
Table 1. List of all the 18 environmental and climate variables measured from 100 south-western Chinese lakes, with mean, minimum and maximum values ~~cited for the 47 lakes calibration set from Yunnan and the full 100 lakes, respectively.~~

Table 2. CCA summary of the ~~seven-eight~~ significant variables ($p < 0.05$) including canonical co-efficients and t-values of the environmental variables with the ordination axes including ~~(a) 47 lakes and 53 non-rare species and (b) 100 lakes and 895 non-rare species~~

Table 3. Partial Canonical Correspondence Analysis (pCCA) result with environmental variables that showed a significant correlation ($p < 0.05$) in CCAs with chironomid species data included, ~~where (a) is based on the 47 lakes training set, where mean July temperature (MJT) (bold) is the only variable retained its significance level ($p < 0.01$) after each pCCAs and (b) is based on the 100 lakes calibration training set, in which Depth, K+, Cl-, LOI and MJT (bold) maintained~~ retained their significance ($p < 0.01$) after each step of the pCCAs.

Table 4. (a) Results of the transfer function output development where (a) shows the performance of the weighted average model with inverse and classical deshrinking (WA_{inv}, WA_{cla}), weighted average partial least squares (WA-PLS) models for reconstructing mean July temperature using (a) 47 lakes from Yunnan and 53 non-rare chironomid species and (b) for using 100 lakes from south-western China and 895 non-rare chironomid species. The bold indicates the models that are tested for reconstructing the mean July temperatures from Tiancai Lake. (b) The t-Test (Two-Sample assuming unequal variances) performed on the RMSEP output values of the WA-PLS component 1 and component 2 shows that the result is significant at $p < 0.05$. This suggests there is a difference between the RMSEP of the two models. We therefore selected the second component of the WA-PLS because it produced a lower RMSEP value.

1099 Figure 1



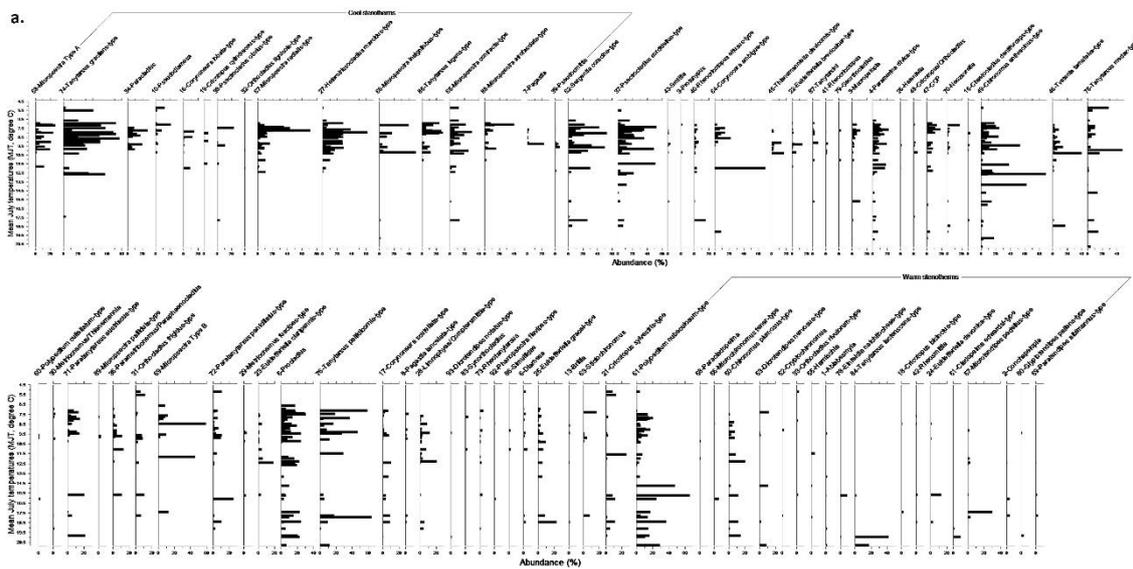
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Figure 2a



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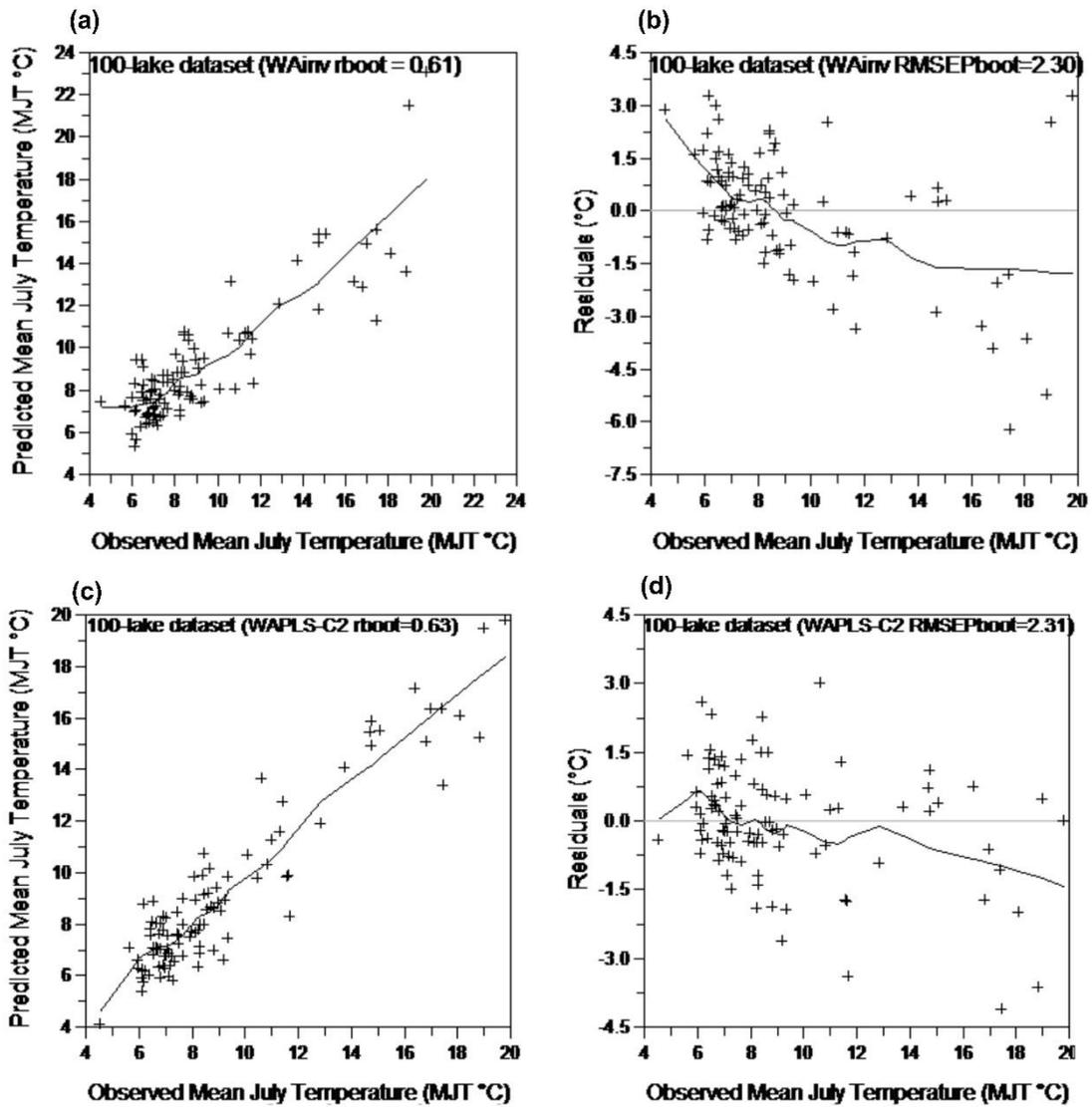
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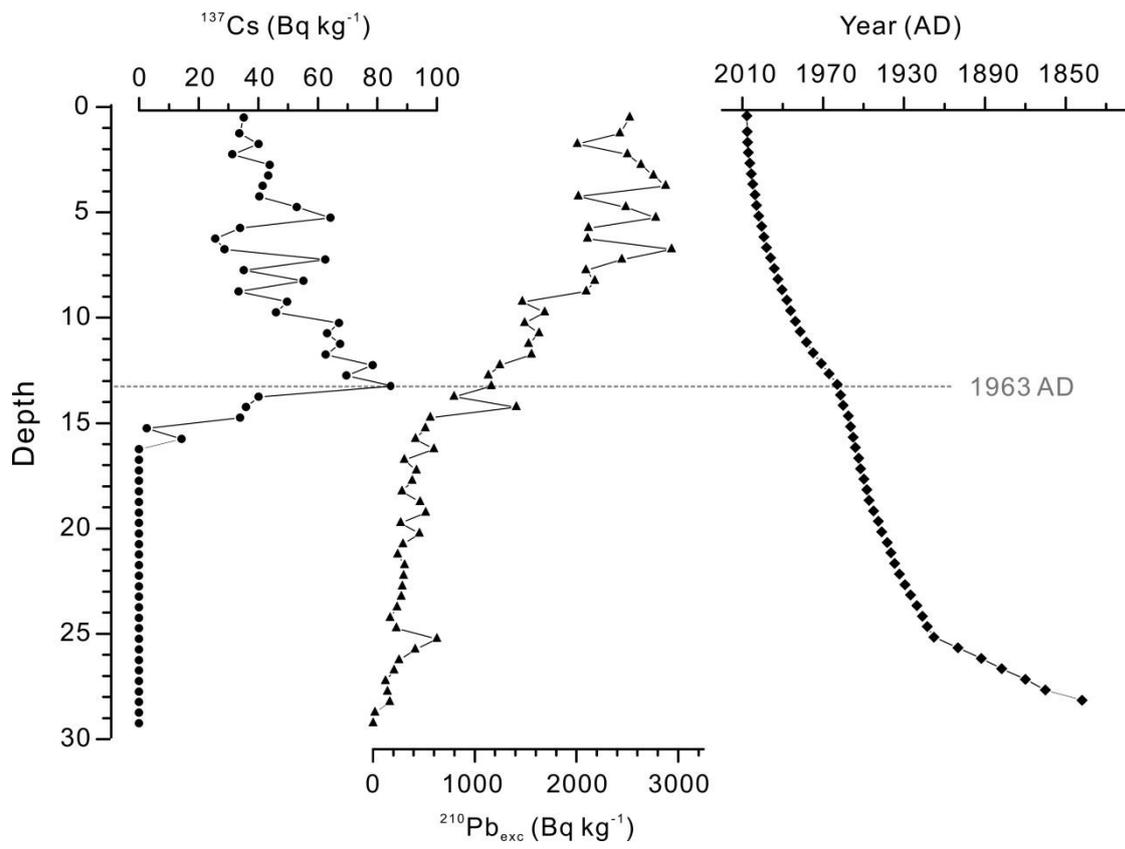
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1149 Figure 5



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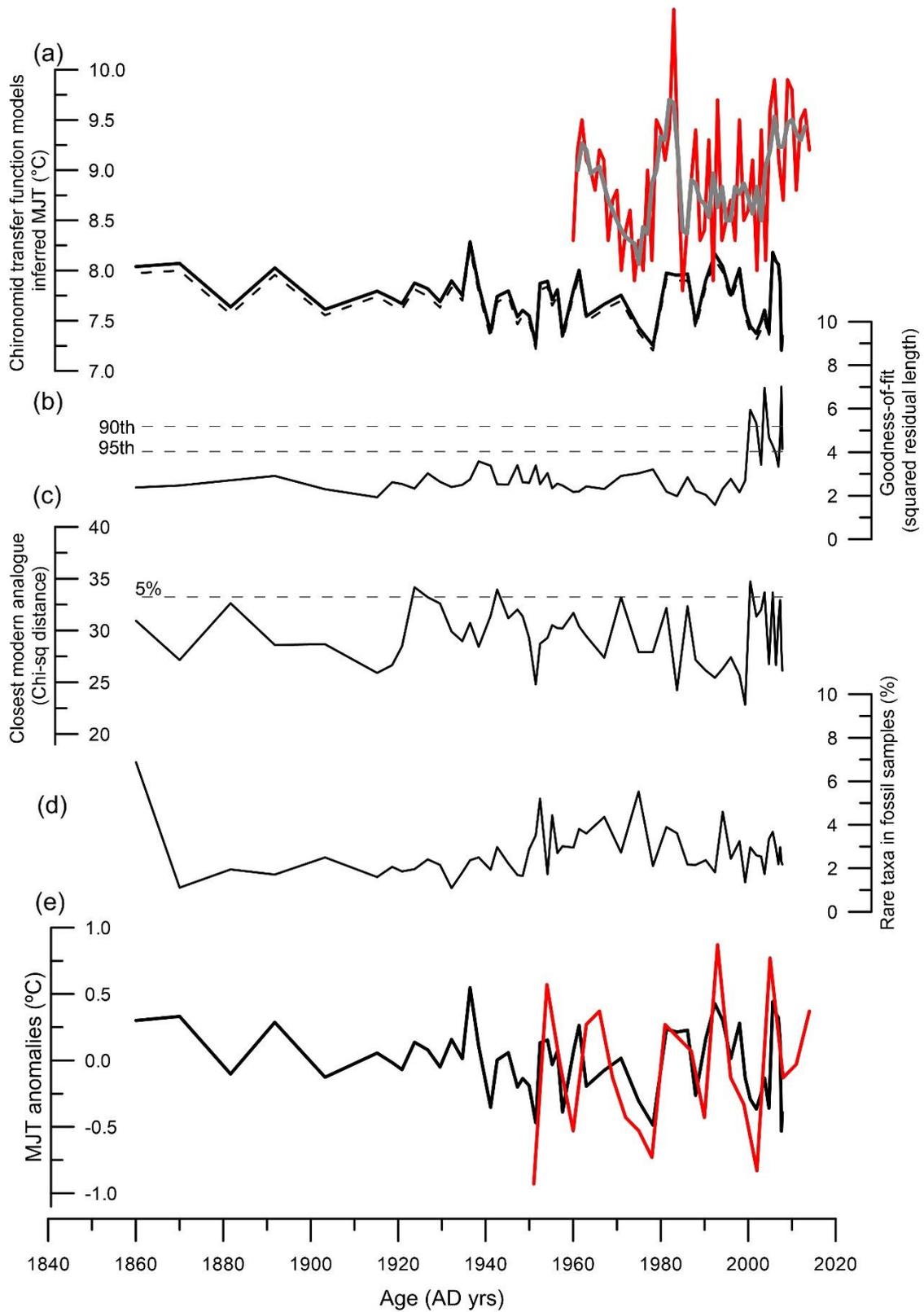
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Table 1

Variable	Unit	Symbol	Mean	Min	Max
Altitude	m	alt	3785	1769	4608
Mean July precipitation	mm	MJP	392	104	1055
Mean annual precipitation	mm	MAP	1820	505	5228
Mean July temperature	°C	MJT	9.1	4.2	19.8
Secchi depth	m	SD	3.5	0.2	12.5
Conductivity	$\mu\text{m cm}^{-1}$	Cond	55.8	5	336
Total dissolved solids	mg L^{-1}	TDS	18.4	1.9	79.7
pH	-	pH	8.5	7.23	10
Depth	m	Depth	10.7	0.25	52
Total Nitrogen	mg L^{-1}	TN	0.3	0.01	3.4
Total Phosphorus	mg L^{-1}	TP	0.05	0	1.6
Sodium	mg L^{-1}	Na+	2.7	0.22	37.2
Potassium	mg L^{-1}	K+	0.5	0	4.5
Magnesium	mg L^{-1}	Mg^{2+}	2.2	0	20
Calcium	mg L^{-1}	Ca^{2+}	7.3	0.8	34.6
Chlorine	mg L^{-1}	Cl-	1.7	0	9
Sulfate	mg L^{-1}	SO ₄ ²⁻	3.9	0.1	31.6
Loss-on-ignition	%	LOI	24.3	1.92	77.1

Table 2

	Axis 1	Axis 2	Axis 3	Axis 4		
Eigenvalues	0.24	0.17	0.10	0.08		
Cum % var. spp.	5.90	10.0	12.5	14.6		
Cum% var. spp. - env. relation	33.5	57.0	71.2	82.7		
Variable	Total variance explained		Regression/canonical coefficeints		t-values of regression coefficients	
	Axis 1	Axis 2			Axis 1	Axis 2
cond	4.4%	0.44	-0.27		3.99	-2.65
depth	2.0%	-0.15	-0.21		-1.90	-2.82
Na+	2.7%	0.10	0.02		0.91	-0.17
K+	4.8%	0.49	-0.07		4.67	-0.65
Cl-	3.4%	-0.21	0.65		-2.18	6.94
MJT	4.4%	0.14	0.62		1.49	6.90
LOI	3.1%	-0.09	0.04		-1.02	0.48

Table 3

Variable	Covariable	% var. axis 1	% var. axis 2	p-value	λ_1	λ_2	λ_1/λ_2
cond	none	4.40	7.90	0.001	0.179	0.317	0.560
	depth	4.60	7.90	0.001	0.181	0.315	0.570
	Na+	4.10	7.70	0.001	0.159	0.305	0.520
	K+	1.80	8.20	0.004	0.069	0.316	0.220
	Cl-	4.60	7.50	0.001	0.179	0.293	0.610
	MJT	3.60	8.10	0.001	0.140	0.313	0.450
	LOI	3.60	7.90	0.001	0.140	0.310	0.450
	ALL	1.70	7.60	0.016	0.057	0.259	0.220
depth	none	2.00	9.80	0.001	0.082	0.397	0.210
	cond	2.20	8.10	0.002	0.083	0.315	0.260
	Na+	2.10	9.90	0.001	0.083	0.387	0.210
	K+	2.20	8.30	0.001	0.083	0.321	0.260
	Cl-	2.00	10.0	0.002	0.079	0.390	0.200
	MJT	2.00	9.60	0.001	0.077	0.371	0.210
	LOI	2.10	9.50	0.001	0.082	0.372	0.220
	ALL	2.20	7.60	0.001	0.074	0.259	0.290
Na+	none	2.70	9.60	0.001	0.111	0.388	0.290
	Cond	2.40	7.80	0.001	0.091	0.305	0.300
	depth	2.80	9.80	0.001	0.112	0.387	0.290
	K+	2.30	7.70	0.001	0.089	0.296	0.300
	Cl-	2.70	8.90	0.001	0.106	0.347	0.310
	MJT	1.90	9.60	0.008	0.072	0.371	0.190
	LOI	2.40	9.60	0.001	0.093	0.375	0.250
	ALL	1.70	7.70	0.011	0.058	0.259	0.220
K+	none	4.80	7.90	0.001	0.192	0.322	0.600
	cond	2.10	8.20	0.002	0.082	0.316	0.260
	Na+	4.30	7.60	0.001	0.171	0.296	0.580
	Cl-	5.00	7.40	0.001	0.195	0.290	0.670
	LOI	4.10	8.20	0.001	0.160	0.320	0.500
	Depth	4.90	8.10	0.001	0.193	0.321	0.600
	MJT	3.30	8.20	0.001	0.129	0.314	0.410
	ALL	2.00	7.70	0.003	0.069	0.259	0.270
Cl-	none	3.40	9.70	0.001	0.137	0.393	0.350
	cond	3.50	7.60	0.001	0.137	0.293	0.470

	K+	3.60	7.60	0.001	0.140	0.290	0.480
	MJT	3.20	8.60	0.001	0.125	0.332	0.380
	LOI	3.50	9.40	0.001	0.137	0.366	0.370
	Depth	3.40	9.90	0.001	0.134	0.390	0.340
	Na+	3.40	8.80	0.001	0.132	0.347	0.380
	ALL	2.80	7.60	0.001	0.098	0.259	0.380
LOI	none	3.10	9.30	0.001	0.124	0.377	0.330
	Na+	2.70	9.60	0.001	0.107	0.375	0.290
	cond	2.20	8.00	0.001	0.086	0.310	0.280
	K+	2.40	8.30	0.001	0.092	0.320	0.290
	MJT	3.00	9.30	0.001	0.116	0.361	0.320
	Cl-	3.20	9.40	0.001	0.124	0.366	0.340
	Depth	3.10	9.40	0.001	0.124	0.372	0.330
	ALL	2.20	7.60	0.001	0.074	0.259	0.290
MJT	none	4.40	9.10	0.001	0.176	0.371	0.470
	Na+	3.50	9.40	0.001	0.137	0.371	0.370
	cond	3.50	8.10	0.001	0.137	0.313	0.440
	K+	2.90	8.20	0.001	0.113	0.314	0.360
	LOI	4.30	9.20	0.001	0.168	0.361	0.470
	Cl-	4.20	8.50	0.001	0.164	0.332	0.490
	Depth	4.30	9.40	0.001	0.171	0.371	0.460
	ALL	2.70	7.50	0.001	0.091	0.259	0.350

Table 4

a.

#	Model type	Bootstrap R2	Bootstrap Average Bias	Bootstrap Maximum Bias	RMSE_s1	RMSE_s2	RMSEP
1	WA_Inv	0.61	0.06	5.30	0.69	2.19	2.30
2	WA_Cla	0.61	0.07	4.78	0.86	2.20	2.36
Component 1	WA-PLS	0.60	0.02	5.28	0.71	2.22	2.33
Component 2	WA-PLS	0.63	0.10	5.16	0.89	2.14	2.31
Component 3	WA-PLS	0.60	0.07	5.08	1.03	2.19	2.41

b.

t-Test: Two-Sample Assuming Unequal Variances	<i>RMSEP of WA-PLS_C1</i>	<i>RMSEP of WA-PLS_C2</i>
Mean	0.0645	-0.0524
Variance	2.8822	1.5186
Observations	100	100
Hypothesized Mean Difference	0	
df	181	
t Stat	0.5570	
P(T<=t) one-tail	0.2891	
t Critical one-tail	2.3471	
P(T<=t) two-tail	0.5782	
t Critical two-tail	2.6033	
The P-Value is 0.01 < 0.05		Reject null hypothesis
The RMSEPs of WA-PLS C2 and WA-PLS C1 are different		