

Abstract

Transient greenhouse warming events in the Paleocene and Eocene were associated with the addition of isotopically-light carbon to the exogenic atmosphere–ocean carbon pool, leading to substantial environmental and biotic change. The magnitude of an accompanying carbon isotope excursion (CIE) can be used to constrain both the sources and amounts of carbon released during an event, as well as to correlate marine and terrestrial records with high precision. The Paleocene Eocene Thermal Maximum (PETM) is well documented, but CIE records for the subsequent warming events are still rare especially from the terrestrial realm.

Here, we provide new CIE records for two of the smaller hyperthermal events, I1 and I2, in paleosol carbonate, as well as two additional records of ETM2 and H2 in the Bighorn Basin. Stratigraphic comparison of this expanded, high-resolution terrestrial carbon isotope record to the deep-sea benthic foraminifera records from ODP Sites 1262 and 1263, Walvis Ridge, in the southern Atlantic Ocean corroborates that the Bighorn Basin fluvial sediments record global atmospheric change. The stratigraphic thicknesses of the eccentricity-driven hyperthermals in these archives are in line with precession-forcing of the 7 m thick fluvial overbank-avulsion sedimentary cycles. Using the CALMAG bulk oxide mean annual precipitation proxy, we reconstruct similar or slightly wetter than background soil moisture contents during the four younger hyperthermals, in contrast to drying observed during the PETM. Soil carbonate CIEs vary in magnitude proportionally with the marine CIEs for the four smaller early Eocene hyperthermals. This relationship breaks down for the PETM, with the soil carbonate CIE $\sim 2\text{--}4\%$ less than expected if all five linearly relate to marine CIEs. If the PETM CO_2 forcing was similar but scaled to the younger hyperthermals, photosynthetic isotope fractionation or soil environmental factors are needed to explain this anomaly. We use sensitivity testing of experimentally determined photosynthetic isotope discrimination relationships to show that factors other than the recently demonstrated $p\text{CO}_2$ sensitivity of C_3 plants carbon isotope fractionation are required to explain this anomaly.

1859

1 Introduction

During the late Paleocene and early Eocene around 60 to 50 million years ago massive amounts of carbon were released in pulses into the ocean–atmosphere exogenic carbon pool causing a series of transient global warming events, known as hyperthermals (Kennett and Stott, 1991; Cramer et al., 2003; Zachos et al., 2005; Lourens et al., 2005). These events represent the best paleo-analogs for current greenhouse gas warming, despite the very different background climatic, atmospheric, and geographic conditions, and potentially different time scales on which they occurred (Bowen et al., 2006, 2015; Zachos et al., 2008; Cui et al., 2011). The largest of these hyperthermals, the Paleocene–Eocene Thermal Maximum (PETM) at 56 million years ago, is known to have caused severe climatic and marine and terrestrial biotic change (Kennett and Stott, 1991; Koch et al., 1992), reviewed in McInerney and Wing (2011). Recently, records of the secondary hyperthermals (i.e., ETM-2, H1-2, I1-2) became available (Cramer et al., 2003; Lourens et al., 2005; Nicolo et al., 2007), while their environmental and biotic impact has yet to be resolved (Sluijs et al., 2009; Stap et al., 2010a, b; Abels et al., 2012).

All hyperthermals have a distinct geochemical signature, a negative carbon isotope excursion, indicating that the carbon released to the exogenic carbon pool during these events had a dominant biogenic origin (Dickens, 1995). The potential biogenic sources range from plant material to methane. With the carbon isotope excursions, carbon cycle models are used to identify the carbon source(s) and the mass of carbon release. This can be achieved by several approaches, for example quantifying ocean acidification, or $p\text{CO}_2$ by proxy, either direct (e.g., epsilon p) or indirect (e.g., SST) (Dickens, 2000; Bowen et al., 2004; Ridgwell, 2007; Panchuk et al., 2008; Zeebe et al., 2009), though the uncertainty with these approaches is large (Sexton et al., 2011; DeConto et al., 2012; Dickens, 2011). As a start, it is crucial to know the exact size of the carbon isotope excursions (CIEs) in the global exogenic carbon pool during hyperthermal events.

1860

This requires an understanding of the factors fractionation of C-isotopes between the substrate reservoirs and organic and carbonate proxies (Sluijs and Dickens, 2012).

Paleosol or pedogenic carbonate is precipitated from CO₂ that stems from respiration of roots and plant litter in the soil and from atmospheric CO₂ diffusing into the soil. Plant CO₂ from C₃ plants is typically fractionated by -24 to -28‰ compared to atmospheric CO₂. Paleosol carbonate is a mix of both isotopically-distinct sources and therefore registers values between -7 and -11‰ in non-hyperthermal conditions in Paleogene soils covered by C₃ vegetation. Paleosol carbonate registers the atmospheric carbon isotope excursions related to the Paleocene–Eocene Thermal Maximum (PETM), though amplified with respect to marine carbonate (Bowen et al., 2004). This has been explained by increased soil productivity and humidity during the hyperthermal events (Bowen et al., 2004; Bowen and Bowen, 2008) and by changing plant communities (Smith et al., 2007).

In a recent study, the scale of the carbon isotope anomalies associated with ETM2 and H2 were characterized in paleosol carbonate, allowing for comparison of the terrestrial amplification of the CIEs relative to the PETM (Abels et al., 2012). An apparent linear scaling of the marine and terrestrial carbon isotope excursions for the PETM, ETM2 and H2 events was found not to cross the origin and the carbon isotope behavior for the smaller (I1 and I2) events remained uncertain. These comparisons are complicated, however, by shifting background conditions between the events, especially so for the PETM and the younger smaller hyperthermals, which are separated by close to 2 million years at a time when gradual greenhouse warming was occurring (Zachos et al., 2008). Nevertheless, the approach introduced by Abels et al. (2012) offers a reasonable approach to leveraging the multi-proxy CIE data for the Paleogene hyperthermal events to characterize patterns of CIE amplification and potential environmental change relationships among events.

Here, we extend the existing record of three hyperthermals from the Bighorn Basin with data documenting two new CIEs. We further produce two parallel records of the ETM2 and H2 CIEs and analyze bulk oxides in thick (> 0.75 m) soils to reconstruct soil

1861

moisture values through these greenhouse-warming events. We compare our records with the new benthic foraminiferal records generated for Ocean Drilling Program Site 1263 at Walvis Ridge, Atlantic Ocean (Lauretano et al., 2015), and a bulk sediment carbon isotope record from ODP Site 1262 (Zachos et al., 2010; Littler et al., 2014), Walvis Ridge, to investigate coeval carbon isotope change and registration of multiple CIEs in the different carbonate proxies. Finally, we investigate one recently proposed mechanism for terrestrial amplification of CIEs in an attempt to explain the observed early Eocene carbon isotope excursions in paleosol carbonate.

2 Material and methods

Pedogenic carbonate nodules were sampled at 12.5 cm spacing where present after removal of the weathered surface in the West Branch and Creek Star Hill sections located in the McCullough Peaks area of the northern Bighorn Basin, Wyoming (USA; Fig. 1). Sediment samples from soil-B horizons for reconstruction of Mean Annual Precipitation (MAP) are from the same sections and from the Upper Deer Creek section of Abels et al. (2012).

Micritic parts of the nodules were cleaned and ground to powder, while spar was taken out after crushing the nodule in few pieces. Carbon isotope ratios of carbonate micrite were measured using a SIRA-24 isotope ratio mass spectrometer of VG (vacuum generators) at Utrecht University (Netherlands). Prior to analysis, samples were roasted at 400 °C under vacuum before reaction with dehydrated phosphoric acid in a common-bath system for series of 32 samples and 12 standards. Carbon isotope ratios are reported as δ¹³C values, where δ¹³C = (R_{sample}/R_{standard} - 1), reported in per mil units (‰), and the standard is VPDB. These isotope ratio measurements are normalized based on repeated measurements of in-house powdered carbonate standard (NAXOS) and analytical precision was calculated from inclusion of three IAEA-CO1 standards in every series of 32 samples. Analytical precision is ± 0.1‰ for δ¹³C (1σ), whereas variability within individual paleosols averaged 0.2‰.

1862

relationship shows that the magnitude of the PETM CIE is reduced in paleosol carbonate with respect to the scaling relationship and observed marine carbonate values (Fig. 4). Using comparison with benthic foraminiferal carbonate CIEs, the anomaly of the PETM CIE magnitude in paleosol carbonate is 3.6‰. Using bulk sediment CIEs, the anomaly is 2.1‰. These likely represent underestimates of the true marine CIE, as records based on analyses of mixed-layer planktonic forams at marine sites where dissolution was minimal show a slightly larger CIE, closer to 4.0‰. (e.g., Zachos et al., 2007). For the ETM2 and 3 events, even though planktonic records are sparse, or still non-existent, given the lack of extensive solution, we would anticipate only minor difference in the magnitude of the CIE between benthics and planktonics. In other words, the use of benthic rather than planktonic foraminifer $\delta^{13}\text{C}$ records would not explain the marine/terrestrial scaling differences between PETM and subsequent events.

Differences in terrestrial and marine CIE magnitudes for the PETM have previously been explained by a range of different environmental factors causing changes in plant and soil system carbon isotope fractionation with respect to the atmospheric carbon isotope excursion (Bowen et al., 2004; Smith et al., 2007). Among the factors influencing the terrestrial CIE signals are temperature effects on carbon isotope fractionation and changes in ecosystem productivity and organic matter turnover rates (Bowen et al., 2004). As argued above, linear scaling of marine bulk carbonate and benthic foraminiferal records for the same five CIEs might indicate that carbon sources were similar and amount of carbon input linearly scaled with CIE magnitude among the five events. If environmental change in the Bighorn Basin was proportional to atmospheric CO_2 increase, the environmental change may also have been proportional to CIE magnitude across the five events. This might imply CIE-proportional changes in temperature, ecosystem productivity, and organic matter turnover effects on the terrestrial carbon isotope records, and thus changes in marine-terrestrial CIE magnitude offsets that increased proportionally with CO_2 increase and “global” CIE magnitude. Data from the four younger hyperthermals appear to define a common CIE scaling relationship that could be explained in terms of this reasoning, in which case reconciling the lower rel-

1871

ative magnitude of the PETM CIE in paleosol carbonate with the data from the other events requires unique local environmental forcing during the PETM possibly including a non-linear component, or that the PETM was fuelled by different carbon sources resulting in different isotope-signature vs. size of the carbon input.

Recently, Schubert and Jahren (2012) presented data that show exponentially reduced carbon isotope fractionation of C_3 photosynthesizing plants under increasing $p\text{CO}_2$. We use their experimental results to test the potential role of reduced carbon isotope fraction under increased $p\text{CO}_2$ as a non-linearly-scaling environmental factor that might reconcile CIE data from the five hyperthermals. Estimates for both pre-PETM atmospheric $p\text{CO}_2$ and the CO_2 addition during the PETM remain matter of debate (Meissner et al., 2014). Therefore, we estimate impact of the exponentially decreasing carbon isotope fractionation on a range of initial $p\text{CO}_2$ values and a range of CO_2 increases during the PETM. For the PETM, we find a model-estimated land plant CIE, calculated as benthic foraminiferal CIE plus the increase in photosynthetic discrimination, that is in line with observed n-alkane CIE of 4.2‰ at high background $p\text{CO}_2$ and relatively low added CO_2 (Fig. 5a).

We then estimate changes in photosynthetic fractionation for each of the four post-PETM events under each scenario of background $p\text{CO}_2$ and PETM $p\text{CO}_2$ change. This is done with the assumption that the change in $p\text{CO}_2$ during each event was equal to the PETM $p\text{CO}_2$ change times the ratio of the benthic CIE for that event to the benthic CIE for the PETM. The estimated terrestrial CIE for each post-PETM event is then equal to the benthic CIE plus the change in photosynthetic discrimination. Then, the relationship between these modeled estimates and the observed Bighorn Basin pedogenic carbonate estimates was linearly extrapolated to calculate what the magnitude of the PETM CIE in pedogenic carbonate should have been, if it scaled linearly with respect to the other events, after correcting for photosynthetic discrimination change.

Comparison of these model-derived PETM CIE magnitudes with the observed data shows that nowhere in the explored parameter space can the discrimination response come close to reconciling the observed PETM CIE magnitude with the pseudo-linear

1872

- Hancock, H. J. L., Dickens, G. R., Strong, C. P., Hollis, C. J., and Field, B. D.: Foraminiferal and carbon isotope stratigraphy through the Paleocene–Eocene transition at Dee Stream, Marlborough, New Zealand, *New Zeal. J. Geol. Geop.*, 46, 1–19, 2003.
- Hollis, C. J., Dickens, G. R., Field, B. D., Jones, C. M., and Strong, C. P.: The Paleocene–Eocene transition at Mead Stream, New Zealand: a southern Pacific record of early Cenozoic global change, *Palaeogeogr. Palaeoclimatol.*, 215, 313–343, 2005.
- Kiehl, J. T. and Shields, C. A.: Sensitivity of the Palaeocene–Eocene Thermal Maximum climate to cloud properties, *Philos. T. R. Soc. A*, 371, 20130093, doi:10.1098/rsta.2013.0093, 2013.
- Kirtland Turner, S. and Ridgwell, A.: Recovering the true size of an Eocene hyperthermal from the marine sedimentary record, *Paleoceanography*, 28, 1–13, doi:10.1002/2013PA002541, 2013.
- Kraus, M. J. and Riggins, S.: Transient drying during the Paleocene–Eocene Thermal Maximum (PETM): analysis of paleosols in the bighorn basin, Wyoming, *Palaeogeogr. Palaeoclimatol.*, 245, 444–461, 2007.
- Kraus, M. J., McInerney, F. A., Wing, S. L., Secord, R., Baczynski, A. A., and Bloch, J. I.: Paleohydrologic response to continental warming during the Paleocene–Eocene Thermal Maximum, Bighorn Basin, Wyoming, *Palaeogeogr. Palaeoclimatol.*, 370, 196–208, 2013.
- Lauretano, V., Littler, K., Polling, M., Zachos, J. C., and Lourens, L. J.: Frequency, magnitude and character of hyperthermal events at the onset of the Early Eocene Climatic Optimum, *Clim. Past Discuss.*, accepted, 2015.
- Littler, K., Röhl, U., Westerhold, T., and Zachos, J. C.: A high-resolution benthic stable-isotope record for the South Atlantic: implications for orbital-scale changes in Late Paleocene–Early Eocene climate and carbon cycling, *Earth Planet. Sc. Lett.*, 401, 18–30, 2014.
- Lourens, L. J., Sluijs, A., Kroon, D., Zachos, J. C., Thomas, E., Röhl, U., Bowles, J., and Raffi, I.: Astronomical pacing of late Palaeocene to early Eocene global warming events, *Nature*, 435, 1083–1087, 2005.
- McCarren, H., Thomas, E., Hasegawa, T., Röhl, U., and Zachos, J. C.: Depth dependency of the Paleocene–Eocene carbon isotope excursion: paired benthic and terrestrial biomarker records (Ocean Drilling Program Leg, 208, Walvis Ridge), *Geochem. Geophys. Geosys.*, 9, Q10008, doi:10.1029/2008GC002116, 2008.
- McInerney, F. A. and Wing, S. L.: The Paleocene–Eocene Thermal Maximum: a perturbation of carbon cycle, climate, and biosphere with implications for the future, *Annu. Rev. Earth Pl. Sc.*, 39, 489–516, 2011.

1877

- Meissner, K. J., Bralower, T. J., Alexander, K., Dunkley Jones, T., Sijp, W., and Ward, M.: The Paleocene–Eocene Thermal Maximum: how much carbon is enough?, *Paleoceanography*, 29, 946–963, 2014.
- Nicolo, M. J., Dickens, G. R., Hollis, C. J., and Zachos, J. C.: Multiple early Eocene hyperthermals: their sedimentary expression on the New Zealand continental margin and in the deep sea, *Geology*, 35, 699–702, 2007.
- Schouten, S., Woltering, M., Rijpstra, W. I. C., Sluijs, A., Brinkhuis, H., and Sinninghe Damsté, J. S.: The Paleocene–Eocene carbon isotope excursion in higher plant organic matter: differential fractionation of angiosperms and conifers in the Arctic, *Earth Planet. Sc. Lett.*, 258, 581–592, 2007.
- Sexton, P. F., Norris, R. D., Wilson, P. A., Pälike, H., Westerhold, T., Röhl, U., Bolton, C. T., and Gibbs, S.: Eocene global warming events driven by ventilation of oceanic dissolved organic carbon, *Nature*, 471, 349–353, 2011.
- Sluijs, A. and Dickens, G. R.: Assessing offsets between the $\delta^{13}\text{C}$ of sedimentary components and the global exogenic carbon pool across early Paleogene carbon cycle perturbations, *Global Biogeochem. Cy.*, 26, GB4005, doi:10.1029/2011GB004224, 2012.
- Smith, F. A., Wing, S. L., and Freeman, K. H.: Magnitude of the carbon isotope excursion at the Paleocene–Eocene Thermal Maximum: the role of plant community change, *Earth Planet. Sc. Lett.*, 262, 50–65, 2007.
- Stap, L., Sluijs, A., Thomas, E., and Lourens, L. J.: Patterns and magnitude of deep sea carbonate dissolution during Eocene Thermal Maximum 2 and H2, Walvis Ridge, southeastern Atlantic Ocean, *Paleoceanography*, 24, PA1211, doi:10.1029/2008PA001655, 2009.
- Stap, L., Lourens, L. J., Thomas, E., Sluijs, A., Bohaty, S., and Zachos, J. C.: High-resolution deep-sea carbon and oxygen isotope records of Eocene Thermal Maximum 2 and H2, *Geology*, 38, 607–610, 2010a.
- Stap, L., Lourens, L., van Dijk, A., Schouten, S., and Thomas, E.: Coherent pattern and timing of the carbon isotope excursion and warming during Eocene Thermal Maximum 2 as recorded in planktic and benthic foraminifera, *Geochem. Geophys. Geosys.*, 11, Q11011, doi:10.1029/2010GC003097, 2010b.
- Uchikawa, J. and Zeebe, R. E.: Examining possible effects of seawater pH decline on foraminiferal stable isotopes during the Paleocene–Eocene Thermal Maximum, *Paleoceanography*, 25, PA2216, doi:10.1029/2009PA001864, 2010.

1878

- Zachos, J. C., Röhl, U., Schellenberg, S. A., Sluijs, A., Hodell, D. A., Kelly, D. C., Thomas, E., Nicolo, M., Raffi, I., Lourens, L. J., McCarren, H., and Kroon, D.: Rapid acidification of the ocean during the Paleocene–Eocene Thermal Maximum, *Science*, 308, 1611–1615, 2005.
- 5 Zachos, J. C., Bohaty, S. M., John, C. M., McCarren, H., Kelly, D. C., and Nielsen, T.: The Palaeocene–Eocene carbon isotope excursion: constraints from individual shell planktonic foraminifer records, *Philos. T. R. Soc. A*, 365, 1829–1842, doi:10.1098/rsta.2007.2045, 2007.
- Zachos, J. C., Dickens, G. R., and Zeebe, R. E.: An early Cenozoic perspective on greenhouse warming and carbon-cycle dynamics, *Nature*, 451, 279–283, 2008.
- 10 Zeebe, R. E., Zachos, J. C., and Dickens, G. R.: Carbon dioxide forcing alone insufficient to explain Palaeocene–Eocene Thermal Maximum warming, *Nat. Geosci.*, 2, 576–580, 2009.

1879

Table 1. Magnitudes of carbon isotope excursions for five Paleocene–Eocene hyperthermal events in paleosol carbonate of the Bighorn Basin, Wyoming (USA) and benthic foraminiferal and bulk sediment carbonate of Walvis Ridge Sites 1263 and 1262, Atlantic Ocean. Standard errors of the differences between detrended background variability and excursion variability are given (see Methods).

Event	Bighorn Basin CIE ped.carb.	st.error	Bighorn Basin CIE n-alkanes	st.error	Walvis Ridge Sites 1263/65 CIE ben.forams	st.error	Walvis Ridge Site 1262 CIE bulk carb.	st.error
PETM	5.90	0.86	4.23	0.67	3.38	0.12	1.93	0.08
EMT2/H1	3.78	0.56			1.30	0.18	0.89	0.05
H2	2.75	0.38			0.97	0.16	0.58	0.06
I1	2.42	0.45			0.88	0.16	0.63	0.07
I2	1.55	0.72			0.73	0.16	0.50	0.10

1880

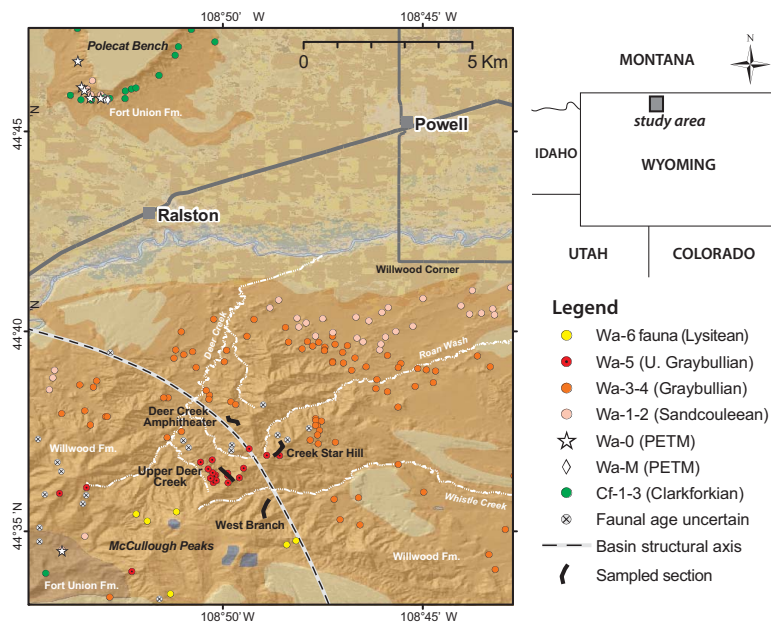


Figure 1. Location map of the sampling sites in the McCullough Peaks area of the northern Bighorn Basin in northeastern Wyoming (USA). Indicated are the fossil localities and their interpreted Wasatchian mammal zone and the four study sections. Polecat Bench in the northwest of the study sites is the location of the PETM.

1881

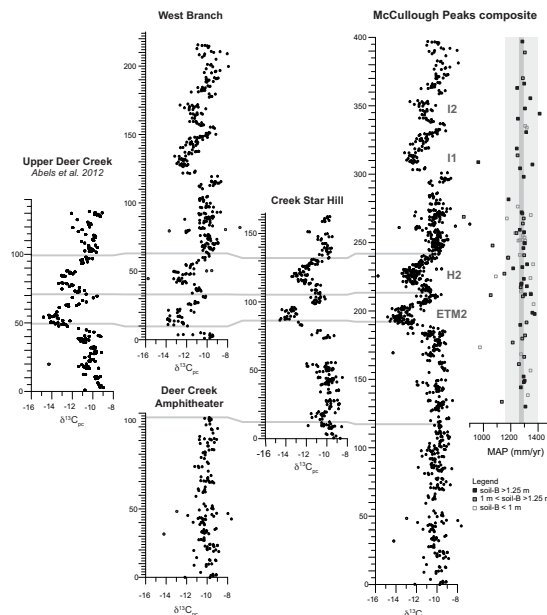


Figure 2. Carbon isotope stratigraphies of paleosol carbonate in the McCullough Peaks area, Bighorn Basin, Wyoming (USA). Shown are data from the Upper Deer Creek section of Abelis et al. (2012), and the West Branch, Deer Creek Amphitheater, and Creek Star Hill sections. Grey horizontal lines represent field-based tracing of marker beds P1, P4, and P8 by which the McCullough Peaks composite carbon isotope stratigraphy has been constructed. To the right, Mean Annual Precipitation reconstructions from the CALMAG methods are given on the McCullough Peaks composite stratigraphy. Different symbols denote different thickness of the soil-B horizons. Note that there is no obvious change in soil moisture during the four hyperthermal events.

1882

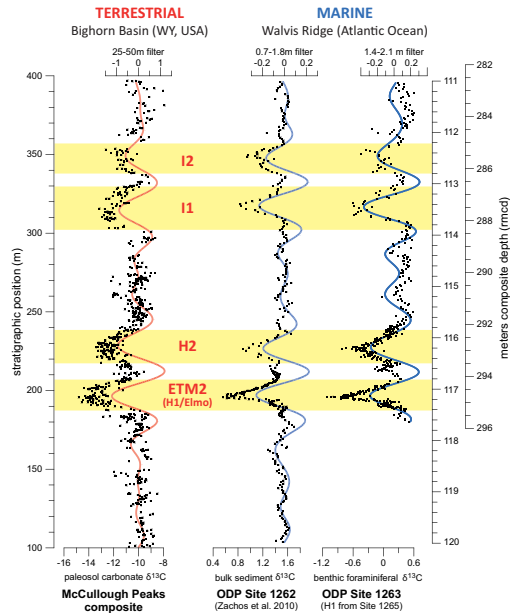


Figure 3. The McCullough Peaks paleosol carbonate carbon isotope stratigraphy compared in depth domain to the bulk sediment and benthic foraminiferal (*Nutallides truempyi*) carbon isotope stratigraphies at, respectively, ODP Site 1262 (Zachos et al., 2010) and 1263 (Stap et al., 2010a; this study) at Walvis Ridge in the southern Atlantic Ocean. Filters denote the ~ 100 kyr eccentricity band in the three records. Note that linear stretching of depth scales is sufficient to construct the figure indicating the constant average sedimentation rates at longer time scales in both realms. At smaller time scales, large sedimentation rate differences occur that in the marine realm relate to carbonate dissolution during and carbonate overshoot after the hyperthermal events.

1883

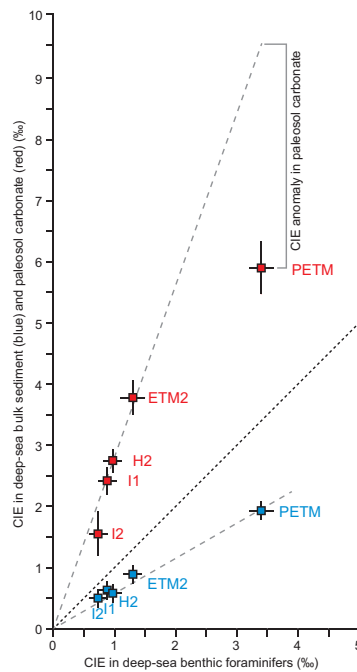


Figure 4. Carbon isotope excursions (CIEs) for the PETM, ETM2, H2, I1, and I2 events in the early Eocene compared between different proxies in marine and terrestrial settings. Blue squares denote benthic foraminiferal (x axis) vs. bulk sediment (y axis) CIEs at Walvis Ridge in the Atlantic Ocean. Red squares denote benthic foraminiferal (x axis) CIEs at Walvis Ridge vs. paleosol carbonate (y axis) CIEs in the Bighorn Basin, Wyoming (USA). Trendlines are forced through the origin. Note the apparent reduced CIE for the PETM in paleosol carbonate if extrapolation is used of the trendline through ETM2, H2, I1, and I2.

1884

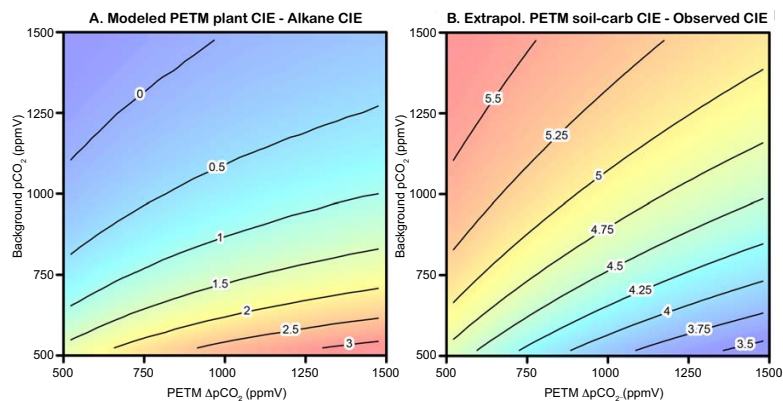


Figure 5. Calculated effects of changing photosynthetic carbon isotope fractionation on hyperthermal CIE magnitudes recorded in terrestrial substrates. **(a)** Difference between model-estimated terrestrial $\delta^{13}\text{C}$ change during the PETM, calculated as the magnitude of the observed benthic CIE plus the change in photosynthetic discrimination, and the magnitude of $\delta^{13}\text{C}$ change observed in Bighorn Basin n-alkane records (Table 1; Diefendorf et al., 2010). **(b)** Evaluation of the linearity of the marine/terrestrial CIE magnitude scaling after correction for modeled photosynthetic fractionation change. Plotted values are the difference between the PETM CIE magnitude predicted by extrapolating a linear least-squares relationship between observed pedogenic carbonate CIE magnitudes and photosynthesis-corrected benthic marine magnitudes ($\text{CIE}_{\text{benth,h}} + \Delta_{\text{p,h}}$) and the observed Bighorn Basin pedogenic carbonate CIE magnitude.