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## Carbon isotope excursions in paleosol carbonate marking five early Eocene hyperthermals in the Bighorn Basin, Wyoming

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1857

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## Abstract

Transient greenhouse warming events in the Paleocene and Eocene were associated with the addition of isotopically-light carbon to the exogenic atmosphere–ocean carbon pool, leading to substantial environmental and biotic change. The magnitude of an ac-

- <sup>5</sup> companying carbon isotope excursion (CIE) can be used to constrain both the sources and amounts of carbon released during an event, as well as to correlate marine and terrestrial records with high precision. The Paleocene Eocene Thermal Maximum (PETM) is well documented, but CIE records for the subsequent warming events are still rare especially from the terrestrial realm.
- Here, we provide new CIE records for two of the smaller hyperthermal events, I1 and I2, in paleosol carbonate, as well as two additional records of ETM2 and H2 in the Bighorn Basin. Stratigraphic comparison of this expanded, high-resolution terrestrial carbon isotope record to the deep-sea benthic foraminifera records from ODP Sites 1262 and 1263, Walvis Ridge, in the southern Atlantic Ocean corroborates that the
- Bighorn Basin fluvial sediments record global atmospheric change. The stratigraphic thicknesses of the eccentricity-driven hyperthermals in these archives are in line with precession-forcing of the 7 m thick fluvial overbank-avulsion sedimentary cycles. Using the CALMAG bulk oxide mean annual precipitation proxy, we reconstruct similar or slightly wetter than background soil moisture contents during the four younger hyper-
- thermals, in contrast to drying observed during the PETM. Soil carbonate CIEs vary in magnitude proportionally with the marine CIEs for the four smaller early Eocene hyperthermals. This relationship breaks down for the PETM, with the soil carbonate CIE  $\sim 2-4\%$  less than expected if all five linearly relate to marine CIEs. If the PETM CO<sub>2</sub> forcing was similar but scaled to the younger hyperthermals, photosynthetic isotope
- fractionation or soil environmental factors are needed to explain this anomaly. We use sensitivity testing of experimentally determined photosynthetic isotope discrimination relationships to show that factors other than the recently demonstrated  $\rho CO_2$  sensitivity of  $C_3$  plants carbon isotope fractionation are required to explain this anomaly.

1859

## 1 Introduction

During the late Paleocene and early Eocene around 60 to 50 million years ago massive amounts of carbon were released in pulses into the ocean-atmosphere exogenic carbon pool causing a series of transient global warming events, known as hyperthermals (Kennett and Stott, 1991; Cramer et al., 2003; Zachos et al., 2005; Lourens

- et al., 2005). These events represent the best paleo-analogs for current greenhouse gas warming, despite the very different background climatic, atmospheric, and geographic conditions, and potentially different time scales on which they occurred (Bowen et al., 2006, 2015; Zachos et al., 2008; Cui et al., 2011). The largest of these hyper-
- thermals, the Paleocene–Eocene Thermal Maximum (PETM) at 56 million years ago, is known to have caused severe climatic and marine and terrestrial biotic change (Kennett and Stott, 1991; Koch et al., 1992), reviewed in McInerney and Wing (2011). Recently, records of the secondary hyperthermals (i.e., ETM-2, H1-2, I1-2) became available (Cramer et al., 2003; Lourens et al., 2005; Nicolo et al., 2007), while their environmen-
- tal and biotic impact has yet to be resolved (Sluijs et al., 2009; Stap et al., 2010a, b; Abels et al., 2012).

All hyperthermals have a distinct geochemical signature, a negative carbon isotope excursion, indicating that the carbon released to the exogenic carbon pool during these events had a dominant biogenic origin (Dickens, 1995). The potential biogenic sources

- <sup>20</sup> range from plant material to methane. With the carbon isotope excursions, carbon cycle models are used to identify the carbon source(s) and the mass of carbon release. This can be achieved by several approaches, for example quantifying ocean acidification, or *p*CO<sub>2</sub> by proxy, either direct (e.g., epsilon p) or indirect (e.g., SST) (Dickens, 2000; Bowen et al., 2004; Ridgwell, 2007; Panchuk et al., 2008; Zeebe et al., 2009), though
- the uncertainty with these approaches is large (Sexton et al., 2011; DeConto et al., 2012; Dickens, 2011). As a start, it is crucial to know the exact size of the carbon isotope excursions (CIEs) in the global exogenic carbon pool during hyperthermal events.

**Discussion** Paper

Discussion Paper

Discussion Paper

Discussion Paper

This requires an understanding of the factors fractionation of C-isotopes between the substrate reservoirs and organic and carbonate proxies (Sluijs and Dickens, 2012).

Paleosol or pedogenic carbonate is precipitated from  $CO_2$  that stems from respiration of roots and plant litter in the soil and from atmospheric  $CO_2$  diffusing into the

- soil. Plant CO<sub>2</sub> from C<sub>3</sub> plants is typically fractionated by -24 to -28‰ compared to atmospheric CO<sub>2</sub>. Paleosol carbonate is a mix of both isotopically-distinct sources and therefore registers values between -7 and -11‰ in non-hyperthermal conditions in Paleogene soils covered by C<sub>3</sub> vegetation. Paleosol carbonate registers the atmospheric carbon isotope excursions related to the Paleocene–Eocene Thermal Maxi-
- <sup>10</sup> mum (PETM), though amplified with respect to marine carbonate (Bowen et al., 2004). This has been explained by increased soil productivity and humidity during the hyperthermal events (Bowen et al., 2004; Bowen and Bowen, 2008) and by changing plant communities (Smith et al., 2007).
- In a recent study, the scale of the carbon isotope anomalies associated with ETM2 and H2 were characterized in paleosol carbonate, allowing for comparison of the terrestrial amplification of the CIEs relative to the PETM (Abels et al., 2012). An apparent linear scaling of the marine and terrestrial carbon isotope excursions for the PETM, ETM2 and H2 events was found not to cross the origin and the carbon isotope behavior for the smaller (I1 and I2) events remained uncertain. These comparisons are
- <sup>20</sup> complicated, however, by shifting background conditions between the events, especially so for the PETM and the younger smaller hyperthermals, which are separated by close to 2 million years at a time when gradual greenhouse warming was occurring (Zachos et al., 2008). Nevertheless, the approach introduced by Abels et al. (2012) offers a reasonable approach to leveraging the multi-proxy CIE data for the Paleogene
- hyperthermal events to characterize patterns of CIE amplification and potential environmental change relationships among events.

Here, we extend the existing record of three hyperthermals from the Bighorn Basin with data documenting two new CIEs. We further produce two parallel records of the ETM2 and H2 CIEs and analyze bulk oxides in thick (> 0.75 m) soils to reconstruct soil

1861

moisture values through these greenhouse-warming events. We compare our records with the new benthic foraminiferal records generated for Ocean Drilling Program Site 1263 at Walvis Ridge, Atlantic Ocean (Lauretano et al., 2015), and a bulk sediment carbon isotope record from ODP Site 1262 (Zachos et al., 2010; Littler et al., 2014),

Walvis Ridge, to investigate coeval carbon isotope change and registration of multiple CIEs in the different carbonate proxies. Finally, we investigate one recently proposed mechanism for terrestrial amplification of CIEs in an attempt to explain the observed early Eocene carbon isotope excursions in paleosol carbonate.

## 2 Material and methods

- Pedogenic carbonate nodules were sampled at 12.5 cm spacing where present after removal of the weathered surface in the West Branch and Creek Star Hill sections located in the McCullough Peaks area of the northern Bighorn Basin, Wyoming (USA; Fig. 1). Sediment samples from soil-B horizons for reconstruction of Mean Annual Precipitation (MAP) are from the same sections and from the Upper Deer Creek section of Abels et al. (2012).
  - Micritic parts of the nodules were cleaned and ground to powder, while spar was taken out after crushing the nodule in few pieces. Carbon isotope ratios of carbonate micrite were measured using a SIRA-24 isotope ratio mass spectrometer of VG (vacuum generators) at Utrecht University (Netherlands). Prior to analysis, samples were
- <sup>20</sup> roasted at 400 °C under vacuum before reaction with dehydrated phosphoric acid in a common-bath system for series of 32 samples and 12 standards. Carbon isotope ratios are reported as  $\delta^{13}$ C values, where  $\delta^{13}$ C = ( $R_{\text{sample}}/R_{\text{standard}} - 1$ ), reported in per mil units (‰), and the standard is VPDB. These isotope ratio measurements are normalized based on repeated measurements of in-house powdered carbonate standard
- (NAXOS) and analytical precision was calculated from inclusion of three IAEA-CO1 standards in every series of 32 samples. Analytical precision is  $\pm 0.1$  % for  $\delta^{13}$ C (1 $\sigma$ ), whereas variability within individual paleosols averaged 0.2 %.

To calculate CIE magnitudes, carbon isotope records are first detrended to exclude the influence of the long term Paleocene to early Eocene trends. The CIE magnitudes are then calculated as the difference between pre-excursion carbon isotope values and excursion values within the core of the main body (Table 1; Supplement). Standard

- <sup>5</sup> errors are calculated using variability in background and excursion values. Standard errors of marine CIEs are small, partially possibly because of the relatively low amount of data showing little variability, and in Fig. 4 therefore larger, potentially more realistic standard errors are plotted of 0.4 for larger and 0.3% for smaller events.
- We evaluated the potential effect of  $pCO_2$ -induced changes in plant photosynthetic carbon isotope discrimination ( $\Delta_p$ ) on the scaling of pedogenic carbonate CIEs. For this, we used experimentally determined  $\Delta_p/pCO_2$  relationships to estimate changes in  $\Delta_p$  for each hyperthermal under a range of assumed initial conditions and severities of CO<sub>2</sub> change. Our calculations used the hyperbolic response form observed by Schubert and Jahren (2012) and adopted the parameter values determined by those authors for a multi-taxonomic synthesis of experimental data:

$$\Delta_{\rm p} = 5.93 \times (\rho \rm CO_2 + 25) / (28.26 + 0.21 \times (\rho \rm CO_2 + 25))$$
(1)

This equation was first used to estimate pre-event  $\Delta_p$  values using a range of assumed background latest Paleocene – early Eocene  $\rho CO_2$  values ( $\rho CO_{2,i}$ ) from 500 to 1500 ppmv. Although background  $\rho CO_2$  was likely not static through the time inter-

<sup>20</sup> val considered here, current paleo- $pCO_2$  proxy data do not clearly resolve changes at a level that could be incorporated in the modeling, and for the current analysis we conservatively assume a fixed background condition across all events. We then calculated peak-event  $\Delta_p$  values for each hyperthermal assuming that the  $pCO_2$  increase during each event ( $\Delta pCO_2$ ) was a linear function of the magnitude of the event's CIE, as recorded in the Walvis Ridge benthic record (CIE<sub>benth</sub>):

$$\Delta \rho \text{CO}_{2,h} = \rho \text{CO}_{2,i} + \Delta \rho \text{CO}_{2,\text{PETM}} \times \text{CIE}_{\text{benth},h} / \text{CIE}_{\text{benth},\text{PETM}},$$

(2)

Discussion Paper

Discussion Paper | Discussion Paper | Discussion Paper

Discussion Paper | Discussion Paper | Discussion Paper | Discussion Paper

where h is an early Eocene hyperthermal event and a range of values for  $pCO_2$  increase during the PETM,  $\Delta pCO_{2,PETM}$ , from 500 to 1500 ppmv were considered. Al-1863

though a recent study suggested a somewhat higher value for  $\Delta p CO_{2,PETM}$  (Meissner et al., 2014; Cui et al., 2011; Kiehl and Shields, 2013) the range considered here spans most other estimates (Zeebe et al., 2009; Cui et al., 2011; Bowen, 2013) and consideration of larger changes would not alter the main conclusions drawn from this work below.

## 3 Results

## 3.1 Bighorn Basin

High-resolution pedogenic carbonate carbon isotope records are constructed for the lower Eocene of the Willwood Formation in the McCullough Peaks area, northern

- <sup>10</sup> Bighorn Basin, Wyoming (USA; Fig. 1). Previous work included the Upper Deer Creek (UDC) section, where the carbon isotope excursions of ETM2 and H2 hyperthermal events were located (Abels et al., 2012). Here, we analyze two parallel sections, the Creek Star Hill (CSH) and West Branch (WB) sections, separated by 1 to 2 km from the UDC section (Fig. 1). The isotope record is extended upwards in the WB section
- and downwards in the Deer Creek Amphitheater section (DCA; Abels et al., 2013). We construct a composite stratigraphic section by connecting the four sections via lateral tracing of marker beds in the field, such as the P1 to P8 purple soils in the ETM2-H2 stratigraphic interval (Abels et al., 2012).
- The carbon isotope record of paleosol carbonate of the McCullough Peaks (MCP) composite section shows four carbon isotope excursions (CIEs; Fig. 2). The lower excursions of ~ 3.8 and ~ 2.8 ‰ in magnitude (see methods for CIE magnitude calculation) have previously been related to the ETM2/H1 and H2 events (Abels et al., 2012) and are shown to be similar in the parallel Upper Deer Creek, West Branch, and Creek Star Hill sections. This confirms the presence and regional preservation of these CIEs
- in the Willwood Formation. The two younger carbon isotope excursions are ~ 2.4 and ~ 1.6 ‰ in magnitude and both located in the West Branch section (Fig. 2). These ex-

**Discussion** Paper

Discussion Paper | Discussion Paper | Discussion Paper

cursions likely relate to the CIEs of the I1 and I2 events that occur in the subsequent 405 kyr eccentricity maximum after ETM2/H1 and H2 (Cramer et al., 2003).

Besides these CIEs, several intervals show less-well-defined light carbon isotopes excursions of  $\sim 0.5-1$  ‰; two below ETM2 at MCP meter levels 95 and 145 and two

<sup>5</sup> above H2 at meter levels ~ 260 and ~ 290, and one above I2 at meter 400. This scale of variability is harder to detect as the carbon isotopes show background variability of ~ 1‰ (2 $\sigma$ ), possibly noise related to local environmental factors. The spacing between the CIEs and the low amplitude variability in the MCP section is on average ~ 34 m. Bandpass filtering of this scale of variability specifically shows a strong coherent variation through the ETM2 to I2 interval (Fig. 3).

Precession-forcing of overbank-avulsion lithological cyclicity in the Willwood Formation was recently substantiated with data from the Deer Creek Amphitheater section (Abels et al., 2013). In the DCA section, the cyclicity occurs at a scale of  $\sim$  7.1 m. In the three sections now covering ETM2-H2, the cyclicity has a very similar average thick-

- <sup>15</sup> ness of 7.06 m. The precession cyclicity comprises heterolithic sandy intervals showing little pedogenic imprint alternating with mudrock intervals showing intense pedogenesis. In the precession forcing sedimentary synthesis, the heterolithic intervals are related to periods of regional avulsions and rapid sedimentation, while the mudrocks are related to periods of overbank sedimentation when the channel belt had a relatively
- <sup>20</sup> stable position (Abels et al., 2013). This scale of sedimentary cyclicity is also observed higher in the West Branch section. Average climatic precession cycles in the Eocene last ~ 20 kyr resulting in ~ 7.1 m of sediment. This gives an average sedimentation rate of ~ 0.35 m kyr<sup>-1</sup>, resulting in ~ 96 kyr for the 34 m cyclicity observed in the carbon isotope records in the ETM2-I2 interval. This is in line with ~ 100 kyr eccentricity forcing
- of individual hyperthermals and a 405 kyr eccentricity forcing of the ETM2-H2 and I1-I2 couples.

We produce mean annual precipitation (MAP) estimates across the ETM2-I2 interval with the CALMAG method that uses bulk oxide ratios in soil-B horizons (Nordt and Driese, 2010). Soil-B horizons thicker than 1 m should ideally be used for this proxy

1865

(Adams et al., 2011). We measured all 59 soil-B horizons thicker than 1 m, where possible in multiple, parallel sections. In addition, we measure 24 soil-B horizons between 0.5 and 1 m. Our estimates from the 83 individual soils show a stable annual precipitation regime in the early Eocene Bighorn Basin of around 1278 mm yr<sup>-1</sup> ( $2\sigma$ 

- <sup>5</sup> 132 mm yr<sup>-1</sup>; Fig. 2). All except one soil-B horizon thicker than 1.25 m fall in this range. Soil-B horizons below 1.25 m thickness occasionally show drier outliers, of which three are below 1000 mm yr<sup>-1</sup>. There are no striking changes during ETM2, H2, I1, or I2 hyperthermal events. The 5 soils that contribute to our ETM2 reconstructions show a potentially slightly enhanced annual rainfall of 1337 (2σ 88 mm yr<sup>-1</sup>), while the 11 soils
- <sup>10</sup> in H2 show 1267 mm yr<sup>-1</sup> ( $\pm$ 166), not different from reconstructions for background climate states. There are slightly more dry outliers both in as well as just out the hyperthermals, especially H2, but it should noted that these intervals also have denser sampling, because of the replication of data for these intervals in three parallel sections.

## 3.2 Walvis Ridge

- <sup>15</sup> For comparison of time equivalent carbon isotope change, we use existing and new benthic foraminiferal *Nuttallides truempyi* records from Site 1263 (McCarren et al., 2008; Lauretano et al., 2015), the shallowest site of Walvis Ridge with a paleodepth of ~ 1500 m. For 1263, the benthic record of the PETM is enhanced by including data from the species *Oridorsalis umbonatus* (following McCarren et al., 2008). This is be-
- <sup>20</sup> cause *N. truempyi* specimens are scarce in the main body of the PETM and isotopic offsets between the two species are minimal. The data for the ETM2-H2 events are from Stap et al. (2010a). Benthic foraminifera are absent within the Elmo clay layer in Site 1263. A compilation of all Walvis Ridge sites shows very similar benthic carbon isotope excursion values for ETM2 (Stap et al., 2010a). Therefore, we use the next
- <sup>25</sup> shallowest Site 1265 (paleodepth ~ 1850 m) to cover the missing ETM2 peak excursion values in Site 1263. The data from *N. truempyi* at Site 1263 generated at 5 cm resolution across the I1 and 2 events show benthic CIEs of 0.88% for I1 and 0.73% for I2 (Fig. 3).

As a framework for correlation, we plot the long, high-resolution bulk carbonate carbon isotope record from ODP Site 1262 (Zachos et al., 2010) and benthic carbon isotope record from ODP Site 1263 (Fig. 3). Site 1262 is the deepest Site from the ODP Leg 208 Walvis Ridge transect with an approximate paleodepth of 3600 m. The Site 1262 eacher isotope record are arbitrally tuned (Wasterbald et al., 2008) and approximate

<sup>5</sup> 1262 carbon isotope record are orbitally-tuned (Westerhold et al., 2008) and capture all Eocene CIE, PETM, ETM2, H2, and I1 and I2 events (Littler et al., 2014), though the PETM is clearly truncated due to dissolution (Zachos et al., 2005).

## 4 Discussion

## 4.1 Fluvial sedimentary archives of the Bighorn Basin

- <sup>10</sup> The presence of five carbon isotope excursions demonstrates that the river floodplain sedimentary successions in the Bighorn Basin firmly record these global atmospheric events. The two new parallel series in the Bighorn Basin solidly confirm the presence of ETM2 and H2 (Abels et al., 2012). The records of the I1 and I2 events represent their first equivalents in fluvial strata. In the terrestrial realm, I1 has been located in
- <sup>15</sup> coal-seams in the Fushun Basin, China (Chen et al., 2014), while I2 has not yet been recorded in any other record. The bulk oxide CALMAG proxy data have been proposed to reflect mean annual pre
  - cipitation (MAP) through its influence on soil mineral weathering and cation leaching (Nordt and Driese, 2010; Adams et al., 2011). Here, we use the method as a proxy
- for soil moisture rather than absolute reconstruction of mean annual precipitation. The data indicate no or slight increases in soil moisture during the four early Eocene hyper-thermals. This strongly deviates from observations of paleohydrologic change for the PETM in the northern and southern Bighorn Basin, where the same proxy indicates a decrease in soil moisture (Kraus and Riggins, 2007; Kraus et al., 2013), consistent (Manual Construction) and the same proxy indicates a decrease in soil moisture (Kraus and Riggins, 2007; Kraus et al., 2013), consistent
- $_{\mbox{\tiny 25}}$  with a soil morphology index (Kraus et al., 2013), and analysis of fossil leaves (Wing

et al., 2005; Kraus et al., 2013). This would suggest that the regional climatic and/or environmental response to the PETM differed from the post-PETM hyperthermals.

Besides precipitation, temperature, vegetation, and sediment type and rates also have a large impact on soil moisture and changes in CALMAG geochemical data should be considered in light of changes in these factors (Kraus et al., 2013). For

- the four younger hyperthermals, there are no temperature or vegetation data available, while the impact of sediment type and rates needs to be investigated for all five hyperthermals. It thus remains uncertain whether the observed opposite CALMAG changes between PETM and the four post-PETM hyperthermals relate to opposite precipitation trends or environmental (depositional) trends.
- The precession forcing of the 7 m thick overbank-avulsion sedimentary cycles (Abels et al., 2013) is in line with ~ 100 and 405 kyr eccentricity forcing of the carbon cycle changes in the ETM2 to I2 stratigraphic interval (Fig. 3). Mudrock intervals with well-developed purple and purple-red paleosols occur predominantly in the eccentricity
- maxima, while the minima seem to be rich in sand. This could point to a more prolonged relatively stable position of the channel belt on the floodplain, causing less coarse clastic deposition on the floodplains, during eccentricity maxima (Abels et al., 2013). Such an effect could have occurred in combination with or due to more intense pedogenesis under warmer and wetter climates. However, in this interval, the eccentricity-related
- <sup>20</sup> change is dominated by the hyperthermal events and corroboration of the eccentricity impact is needed from an interval lacking hyperthermals.

## 4.2 Marine-terrestrial correlations

The benthic carbon isotope record of the I1 and I2 events at Site 1263 reveal very similar patterns as in the bulk and benthic carbon isotope record of Site 1262 (Zachos et al., 2010; Littler et al., 2014) at both eccentricity and precession time scales, as was indicated previously for ETM2 and H2 (Stap et al., 2009). These records even capture very detailed features such as the short-term pre-ETM2 and pre-H2 excursions, and a similar pattern in the I2 excursion. These patterns were clearly driven by changes in

the carbon isotope ratio of the atmosphere-ocean exogenic carbon pool as related to precession forcing (Stap et al., 2009).

Some of these precession-scale details are also captured by the pedogenic carbonate carbon isotope record from the Bighorn Basin suggesting their global nature

- (Fig. 3). A pre-ETM2 excursion occurs in the McCullough Peaks composite at meter 5 183, while the shape of the I2 excursion is remarkably similar to the marine records. Main differences on these depth scale plots are the relative expanded CIE intervals and short recovery phases between H1 and H2 and between I1 and I2 in the Bighorn Basin with respect to the Atlantic Ocean records. Sediment accumulation rates were
- influenced by carbonate dissolution in the events and carbonate overshoot after the events in the marine realm. At the same time, in the Bighorn Basin sedimentation rates might have been higher during the events due to increased sediment budgets and subsequently lower during their recovery phases. These processes might cause the expanded CIEs and contracted recovery phases in the Bighorn Basin with respect to
- the marine records when comparing on depth scale. 15

#### 4.3 Marine carbon isotope excursions

Deciphering the true scale and timing of ocean-atmosphere  $\Delta \delta^{13}$ C during hyperthermal events is principally hampered by environmental impacts on carbon isotope fractionation between marine and terrestrial substrates and their proxies (Sluijs and Dickens, 2012). We compare the magnitudes of the CIEs of PETM, ETM2 (H1), H2, I1 and

- 20 2 in bulk carbonate and benthic foraminiferal carbon isotope records of Sites 1262 and 1263 at Walvis Ridge, Atlantic Ocean, and pedogenic carbonate in the Bighorn Basin, Wyoming (see methods for CIE magnitude calculations; Table 1).
- An approximately linear scaling is found between bulk carbonate and benthic foraminiferal carbonate carbon isotope excursion magnitudes of the five Paleocene-Eocene hyperthermal events (Fig. 4). The bulk sediment and benthic foraminiferal CIEs have different values for the hyperthermals because these proxies react differently to the carbon addition to the ocean water, resulting in dissolution and pH

1869

changes effecting isotope discrimination in the different carbonate phases (Uchikawa and Zeebe, 2010). An approximate scaling between CIEs in the two different marine proxy records would suggest proportionality between the severity of local palaeoenvironmental changes, which cause the differences between the CIEs in the two proxies,

- and the amount of isotopically-light carbon added to the ocean-atmosphere system (Abels et al., 2012). That could imply that atmospheric  $pCO_2$  change, as a primary forcer of paleoenvironmental change, scaled approximately linearly with CIE magnitude across the five events, and that the isotopic composition of the carbon and probably the carbon source(s) were similar for the five hyperthermals. This would argue against
- the proposed dichotomy of causes for the PETM compared to the smaller younger 10 hyperthermals (e.g. Sexton et al., 2011), in line with previous results showing proportional scaling of oxygen vs. carbon isotope change in deep-sea benthic foraminifera for the PETM, ETM2, and H2 events (Stap et al., 2010a). Alternatively, the PETM carbon sources and isotope-signatures were different and not scaled between the events.
- Then, the approximate scaling of the CIEs in the two proxy records of all five events should have resulted in different environmental impact on isotope change in the bulk and benthic carbonate phases in the two sites leveling the CIEs to the linear scaling. This would imply that environmental change in the Bighorn Basin was probably not scaled between the five events.

#### 4.4 Terrestrial carbon isotope excursions

Comparing CIEs in paleosol carbonate of the Bighorn Basin with bulk sediment or benthic foraminiferal carbonate at Walvis Ridge Sites 1262 and 1263, respectively, reveals an approximately linear change across the ETM2, H2, and I1 and I2 events (Fig. 4). In contrast to a previous comparison based only on data from the PETM, ETM2, and

H2 (Abels et al., 2012), the observed relationship for the post-PETM hyperthermals converges on the origin, consistent with expectations if the terrestrial and marine CIEs reflect a common change in atmosphere/ocean  $\delta^{13}$ C plus local environmental effects that scale in severity with CIE magnitude. Extrapolation of this approximately linear Discussion Paper | Discussion Paper | Discussion Paper | Discussion Paper

Discussion Paper | Discussion Paper | Discussion Paper | Discussion Paper

relationship shows that the magnitude of the PETM CIE is reduced in paleosol carbonate with respect to the scaling relationship and observed marine carbonate values (Fig. 4). Using comparison with benthic foraminiferal carbonate CIEs, the anomaly of the PETM CIE magnitude in paleosol carbonate is 3.6‰. Using bulk sediment CIEs,

- the anomaly is 2.1‰. These likely represent underestimates of the true marine CIE, as records based on analyses of mixed-layer planktonic forams at marine sites where dissolution was minimal show a slightly larger CIE, closer to 4.0‰. (e.g., Zachos et al., 2007). For the ETM2 and 3 events, even though planktonic records are sparse, or still non-existent, given the lack of extensive solution, we would anticipate only minor dif-
- ference in the magnitude of the CIE between benthics and planktonics. In other words, the use of benthic rather than planktonic foraminifer  $\delta^{13}$ C records would not explain the marine/terrestrial scaling differences between PETM and subsequent events. Differences in terrestrial and marine CIE magnitudes for the PETM have previously
- been explained by a range of different environmental factors causing changes in plant
  and soil system carbon isotope fractionation with respect to the atmospheric carbon isotope excursion (Bowen et al., 2004; Smith et al., 2007). Among the factors influencing the terrestrial CIE signals are temperature effects on carbon isotope fractionation and changes in ecosystem productivity and organic matter turnover rates (Bowen et al., 2004). As argued above, linear scaling of marine bulk carbonate and benthic
- foraminiferal records for the same five CIEs might indicate that carbon sources were similar and amount of carbon input linearly scaled with CIE magnitude among the five events. If environmental change in the Bighorn Basin was proportional to atmospheric CO<sub>2</sub> increase, the environmental change may also have been proportional to CIE magnitude across the five events. This might imply CIE-proportional changes in tempera-
- <sup>25</sup> ture, ecosystem productivity, and organic matter turnover effects on the terrestrial carbon isotope records, and thus changes in marine-terrestrial CIE magnitude offsets that increased proportionally with CO<sub>2</sub> increase and "global" CIE magnitude. Data from the four younger hyperthermals appear to define a common CIE scaling relationship that could be explained in terms of this reasoning, in which case reconciling the lower rel-

1871

ative magnitude of the PETM CIE in paleosol carbonate with the data from the other events requires unique local environmental forcing during the PETM possibly including a non-linear component, or that the PETM was fuelled by different carbon sources resulting in different isotope-signature vs. size of the carbon input.

- Recently, Schubert and Jahren (2012) presented data that show exponentially reduced carbon isotope fractionation of  $C_3$  photosynthesizing plants under increasing  $\rho CO_2$ . We use their experimental results to test the potential role of reduced carbon isotope fraction under increased  $\rho CO_2$  as a non-linearly-scaling environmental factor that might reconcile CIE data from the five hyperthermals. Estimates for both pre-PETM
- <sup>10</sup> atmospheric  $pCO_2$  and the  $CO_2$  addition during the PETM remain matter of debate (Meissner et al., 2014). Therefore, we estimate impact of the exponentially decreasing carbon isotope fractionation on a range of initial  $pCO_2$  values and a range of  $CO_2$  increases during the PETM. For the PETM, we find a model-estimated land plant CIE, calculated as benthic foraminiferal CIE plus the increase in photosynthetic discrimination, that is in line with observed n-alkane CIE of 4.2 ‰ at high background  $pCO_2$  and

relatively low added CO<sub>2</sub> (Fig. 5a).

We then estimate changes in photosynthetic fractionation for each of the four post-PETM events under each scenario of background  $pCO_2$  and PETM  $pCO_2$  change. This is done with the assumption that the change in  $pCO_2$  during each event was equal to

- the PETM pCO<sub>2</sub> change times the ratio of the benthic CIE for that event to the benthic CIE for the PETM. The estimated terrestrial CIE for each post-PETM event is then equal to the benthic CIE plus the change in photosynthetic discrimination. Then, the relationship between these modeled estimates and the observed Bighorn Basin pedogenic carbonate estimates was linearly extrapolated to calculate what the magnitude
- of the PETM CIE in pedogenic carbonate should have been, if it scaled linearly with respect to the other events, after correcting for photosynthetic discrimination change. Comparison of these model-derived PETM CIE magnitudes with the observed data

shows that nowhere in the explored parameter space can the discrimination response come close to reconciling the observed PETM CIE magnitude with the pseudo-linear

1872

marine-terrestrial scaling relationship observed for the other CIEs. The photosynthetic fractionation response comes closest to providing a solution in cases where the background  $pCO_2$  is relatively low and the PETM  $pCO_2$  change relatively high (lower right part of the panel). However, for these conditions the modeled change in photosynthetic fractionation is a poor match to observed n-alkane data for the PETM (Fig. 5a).

The model estimates are presented here using the benthic foraminiferal CIEs as a proxy for global atmospheric carbon isotope change, as these are likely a better approximation of the atmospheric CIEs than the bulk carbonate (Kirtland Turner and Ridg-well, 2013). Using the bulk sediment CIEs, the solutions are little better (not shown),

and nowhere in the parameter space does the model satisfy the constrains from the PETM n-alkane data and also reconcile the observed PETM pedogenic carbonate CIE with the linear scaling observed for the other events to within better than ~ 2 ‰. In conclusion, our model results indicate that the reduction of carbon isotope fractionation in plants cannot explain the observed difference in terrestrial-marine CIE scaling for the PETM relative to the subsequent hyperthermals.

If the hypothesis of a common carbon source and CIE-proportional climatic change across the five events is correct (Stap et al., 2010a) then our analysis suggests a component of PETM Bighorn Basin environmental change that was not linearly proportional to CIE magnitude, perhaps due to the crossing of Earth system thresholds during this

- <sup>20</sup> largest hyperthermal event. Our proxy estimates indeed suggest a different response of soil moisture (Fig. 2) during the PETM than during the four younger and smaller hyperthermals. The indication of soil drying during the PETM (Kraus and Riggins, 2007; Kraus et al., 2013), whereas the ETM2-I2 data show unchanged or slightly increased soil moisture levels during these events, may suggest differential environmental re-
- sponse that modulated Bighorn Basin carbon cycling and carbon isotope fractionation across these events. Such soil moisture differences between the PETM and younger hyperthermals could also have led to distinct plant community changes affecting the respective CIEs in pedogenic carbonate (Smith et al., 2007). In case the PETM was not scaled to the other events, the dampened terrestrial CIE of the PETM would be

1873

more easily explained by heavier sources of carbon with respect to the younger hyperthermals.

## 5 Conclusions

We recovered the carbon isotope excursions related to the I1 and I2 events in floodplain sedimentary records from the Bighorn Basin, Wyoming. This adds to the three earlier found CIEs, the PETM, ETM2, and H2, underlining the sensitivity of these floodplain records for recording global atmospheric changes. Correlations with marine records and eccentricity-forcing of hyperthermals corroborate the continuity of sedimentation that occurred in the basin starting above precession time scales of ~ 20 kyr. Our CAL-

- MAG proxy-based soil moisture estimates reproduce similar or slightly enhanced soil moisture contents for the younger four hyperthermals, in contrast to reconstructions for the PETM. More environmental reconstructions, such as from vegetation, are needed for these four younger hyperthermals in the Bighorn Basin to confirm such a difference. Comparison of the CIE magnitudes between bulk sediment and benthic foraminiferal
- <sup>15</sup> carbonate at Walvis Ridge, southern Atlantic Ocean, shows an approximately linear relation among these five events. As the CIEs in different carbonate proxies are dependent on environmental factors that in their turn depend on the size of the carbon input into the atmosphere, this could suggest the magnitudes of these five CIEs were linearly scaled to the amount of carbon added to the exogenic carbon pool and that
- environmental change was similar and scaled proportional to the CIE magnitude as well. Alternatively, the PETM carbon sources and isotope-signatures were not similar to the younger events and the approximate scaling of the five CIEs including the PETM resulted from different environmental impact on isotope change by coincidence leveling the CIEs in the two distinct proxies from different water depths to a linear scaling.
- <sup>25</sup> Comparison of the Bighorn Basin pedogenic carbonate CIEs with the marine benthic foraminiferal CIEs shows an approximately linear relation for the four smaller hyperthermals, whereas the CIE in pedogenic carbonate of the PETM appears reduced in

Discussion Paper | Discussion Paper |

magnitude by 2-4% relative to that relationship. Reduction of carbon isotope fractionation by C<sub>3</sub> land plants in response to  $pCO_2$  change cannot fully explain this pattern in isolation. Additional, likely soil-process-related and plant community changes, would be needed to explain the observed pattern, if indeed the PETM had an impact on

<sup>5</sup> carbon budgets and climate that scaled with CIE magnitude similarly to the younger hyperthermals. The magnitude of carbon isotope excursions in pedogenic carbonate is a function of many atmospheric, climatic, biotic, and sedimentary variables, showing that its use for environmental reconstruction can be complex, while the stratigraphic significance again reveals striking.

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Discussion Paper

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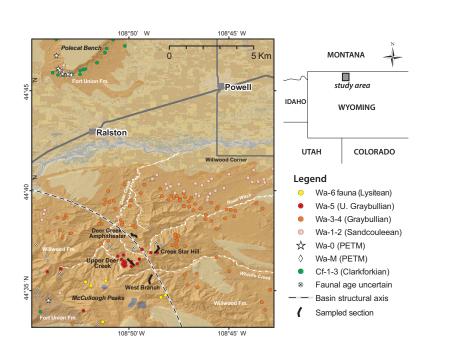
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1879

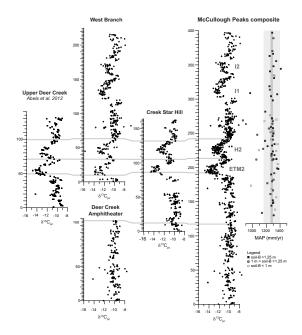
**Table 1.** Magnitudes of carbon isotope excursions for five Paleocene–Eocene hyperthermal events in paleosol carbonate of the Bighorn Basin, Wyoming (USA) and benthic foraminiferal and bulk sediment carbonate of Walvis Ridge Sites 1263 and 1262, Atlantic Ocean. Standard errors of the differences between detrended background variability and excursion variability are given (see Methods).

Event	Bighorn Basin CIE ped.carb.	st.error	Bighorn Basin CIE n-alkanes	st.error	Walvis Ridge Sites 1263/65 CIE ben.forams	st.error	Walvis Ridge Site 1262 CIE bulk carb.	st.error
PETM	5.90	0.86	4.23	0.67	3.38	0.12	1.93	0.08
EMT2/H1	3.78	0.56			1.30	0.18	0.89	0.05
H2	2.75	0.38			0.97	0.16	0.58	0.06
11	2.42	0.45			0.88	0.16	0.63	0.07
12	1.55	0.72			0.73	0.16	0.50	0.10



**Figure 1.** Location map of the sampling sites in the McCullough Peaks area of the northern Bighorn Basin in northeastern Wyoming (USA). Indicated are the fossil localities and their interpreted Wasatchian mammal zone and the four study sections. Polecat Bench in the northwest of the study sites is the location of the PETM.

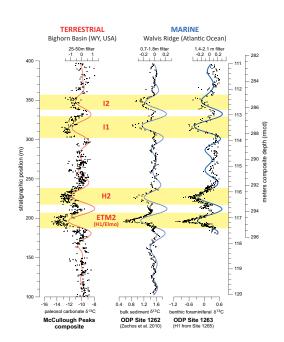
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**Figure 2.** Carbon isotope stratigraphies of paleosol carbonate in the McCullough Peaks area, Bighorn Basin, Wyoming (USA). Shown are data from the Upper Deer Creek section of Abels et al. (2012), and the West Branch, Deer Creek Amphitheater, and Creek Star Hill sections. Grey horizontal lines represent field-based tracing of marker beds P1, P4, and P8 by which the McCullough Peaks composite carbon isotope stratigraphy has been constructed. To the right, Mean Annual Precipitation reconstructions from the CALMAG methods are given on the McCullough Peaks composite stratigraphy. Different symbols denote different thickness of the soil-B horizons. Note that there is no obvious change in soil moisture during the four hyperthermal events.

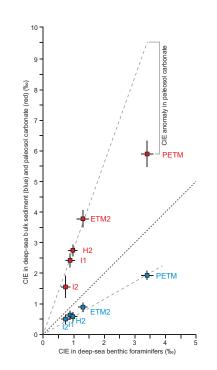


Discussion Paper



**Figure 3.** The McCullough Peaks paleosol carbonate carbon isotope stratigraphy compared in depth domain to the bulk sediment and benthic foraminiferal (*Nutallides truempyi*) carbon isotope stratigraphies at, respectively, ODP Site 1262 (Zachos et al., 2010) and 1263 (Stap et al., 2010a; this study) at Walvis Ridge in the southern Atlantic Ocean. Filters denote the ~ 100 kyr eccentricity band in the three records. Note that linear stretching of depth scales is sufficient to construct the figure indicating the constant average sedimentation rates at longer time scales in both realms. At smaller time scales, large sedimentation rate differences occur that in the marine realm relate to carbonate dissolution during and carbonate overshoot after the hyperthermal events.

1883



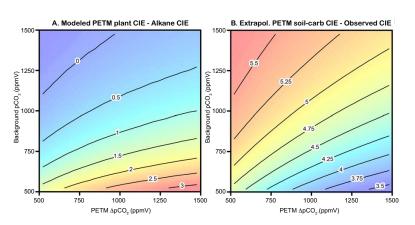
**Figure 4.** Carbon isotope excursions (CIEs) for the PETM, ETM2, H2, I1, and I2 events in the early Eocene compared between different proxies in marine and terrestrial settings. Blue squares denote benthic foraminiferal (x axis) vs. bulk sediment (y axis) CIEs at Walvis Ridge in the Atlantic Ocean. Red squares denote benthic foraminiferal (x axis) CIEs at Walvis Ridge vs. paleosol carbonate (y axis) CIEs in the Bighorn Basin, Wyoming (USA). Trendlines are forced through the origin. Note the apparent reduced CIE for the PETM in paleosol carbonate if extrapolation is used of the trendline through ETM2, H2, I1, and I2.

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Discussion Paper

Discussion Paper

Discussion Paper



**Figure 5.** Calculated effects of changing photosynthetic carbon isotope fractionation on hyperthermal CIE magnitudes recorded in terrestrial substrates. **(a)** Difference between modelestimated terrestrial  $\delta^{13}$ C change during the PETM, calculated as the magnitude of the observed benthic CIE plus the change in photosynthetic discrimination, and the magnitude of  $\delta^{13}$ C change observed in Bighorn Basin n-alkane records (Table 1; Diefendorf et al., 2010). **(b)** Evaluation of the linearity of the marine/terrestrial CIE magnitude scaling after correction for modeled photosynthetic fractionation change. Plotted values are the difference between the PETM CIE magnitude predicted by extrapolating a linear least-squares relationship between observed pedogenic carbonate CIE magnitudes and photosynthesis-corrected benthic marine magnidutes (CIE<sub>benth,h</sub> +  $\Delta_{p,h}$ ) and the observed Bighorn Basin pedogenic carbonate CIE magnitude.

1885