

This discussion paper is/has been under review for the journal *Climate of the Past* (CP).  
Please refer to the corresponding final paper in CP if available.

# Changing climatic response: a conceptual model for glacial cycles and the Mid-Pleistocene Transition

I. Daruka<sup>1</sup> and P. D. Ditlevsen<sup>2</sup>

<sup>1</sup>Johannes Kepler University, Institute of Semiconductor and Solid State Physics,  
Altenbergerstrasse 69, 4040 Linz, Austria

<sup>2</sup>Centre for Ice and Climate, Niels Bohr Institute, University of Copenhagen,  
Juliane Maries Vej 30, 2100 Copenhagen, Denmark

Received: 4 February 2014 – Accepted: 18 February 2014 – Published: 13 March 2014

Correspondence to: I. Daruka (istvan.daruka@jku.at) and P. D. Ditlevsen (pditlev@nbi.ku.dk)

Published by Copernicus Publications on behalf of the European Geosciences Union.

1101

## Abstract

Milankovitch's astronomical theory of glacial cycles, attributing ice age climate oscillations to orbital changes in Northern Northern-Hemisphere insolation, is challenged by the paleoclimatic record. The climatic response to the variations in insolation is far from trivial. In general the glacial cycles are highly asymmetric in time, with slow cooling from the interglacials to the glacials (inceptions) and very rapid warming from the glacials to the interglacials (terminations). We shall refer to this fast-slow dynamics as the "saw-tooth" shape of the paleoclimatic record. This is non-linearly related to the time-symmetric variations in the orbital forcing. However, the most pronounced challenge to the Milankovitch theory is the Mid-Pleistocene Transition (MPT) occurring about one million years ago. During that event, the prevailing 41 kyr glacial cycles, corresponding to the almost harmonic obliquity cycle were replaced by longer saw-tooth shaped cycles with a time scale around 100 kyr. The MPT must have been driven by internal changes in climate response, since it does not correspond to any apparent changes in the orbital forcing. In order to identify possible mechanisms causing the observed changes in glacial dynamics, it is relevant to study simplified models with the capability of generating temporal behavior similar to the observed records. We present a simple oscillator type model approach, with two variables, a temperature anomaly and an ice volume analogous, climatic memory term. The generalization of the ice albedo feedback is included in terms of an effective multiplicative coupling between this latter climatic memory term (representing the internal degrees of freedom) and the external drive. The simple model reproduces the temporal asymmetry of the late Pleistocene glacial cycles and suggests that the MPT can be explained as a regime shift, aided by climatic noise, from a period 1 frequency locking to the obliquity cycle to a period 2–3 frequency locking to the same obliquity cycle. The change in dynamics has been suggested to be a result of a slow gradual decrease in atmospheric greenhouse gas concentration. The presence of chaos in

1102

the (non-autonomous) glacial dynamics and a critical dependence on initial conditions raises fundamental questions about climate predictability.

## 1 Introduction

The Pleistocene climate record is more-or-less synonymous with the global stacked marine core benthic foraminiferal  $\delta^{18}\text{O}$  record (Shackleton, 1997; Lisiecki et al., 2005), which is a combined proxy for global ice volume and ocean temperature. Single core deviations from the stack can to some extent be interpreted as local climate variations, however, with a poor signal-to-noise ratio due to bioturbation. The stacked record correlates strongly with the Antarctic ice core isotope records (Petit et al., 1999; Augustin et al., 2004) for the past 420 and 800 kyr, which thus provides limited additional information. The challenge is therefore to discriminate between possible models for explaining the connection between the insolation and the global mean climatic response represented by the stacked marine isotope record.

Milutin Milankovitch, whose seminal contribution to ice age theory was to calculate the orbital parameters and the insolation, considered (together with climatologist Wladimir Köppen) the mid- to high Northern latitude summer insolation to be most strongly determining glacial melt and thus the waxing and waning of the ice sheets. The  $65^\circ\text{N}$  summer solstice insolation has thus been canonized as the “Milankovitch forcing” (Berger, 2012). However, this particular component of the insolation is most strongly influenced by the approximately 20 kyr precessional cycle, whereas the paleoclimatic record shows that the response is strongest in the 41 and 100 kyr bands. The glacial melt depends rather on the integrated summer insolation (as an indicator of positive degree days) than on the summer solstice insolation. The integrated summer insolation is indeed dominated by the 41 kyr obliquity cycle (Huybers, 2006). This compares well with the climate record prior to the MPT 41 kyr world, while the late Pleistocene 100 kyr world compares more with the variations in the eccentricity of the orbit. The changes in insolation due to changes in eccentricity are an order of magnitude smaller than the

1103

changes due to precession and obliquity. This is referred to as the 100 kyr problem of the Milankovitch theory (Imbrie et al., 1993). The solution to this problem is probably that the 100 kyr world is not paced by eccentricity, which is also why the 400 kyr modulation to the eccentricity is not seen in the climatic response. This is referred to as the 400 kyr problem or the Stage 11 (MIS 11) problem: marine isotope stage 11 should not have been an interglacial if changes in eccentricity were the driver. It has been suggested that the 100 kyr world could rather be seen as multiplets of the 41 kyr obliquity cycle, such that they are approximately 80 and 120 kyr long, occurring in a more or less alternating way (Huybers, 2007; Ditlevsen, 2009). Note in Fig. 1 that the duration between the warm states around 200 kyrBP (MIS 7 and MIS 6) is approximately 40 kyr.

Since there are no apparent changes in the astronomical forcing at the MPT, the transition must be governed by the internal dynamical response to the forcing. The hypothesis is that a gradual change in some environmental parameter of the system led to a dynamical change in the response to the orbital forcing. Two main hypothesis have been put forward for the change in environment; either a slow decrease in atmospheric  $p\text{CO}_2$  (Saltzman and Maasch, 1991) led to reduced greenhouse warming and the possibility of deeper glaciations, or slow glacial erosion of the regolith under the glaciers, such that the glaciers after the MPT would grow on the bedrock, which permits higher glaciers to be stable (Clark and Pollard, 1998). For a review see Clark et al. (2006). Here we shall argue by introducing a semi-conceptual driven climate oscillator model that the MPT could be a result of frequency locking to the orbital forcing, such that a slight change in parameters can alter the period of the frequency locking.

The phenomenon of phase-locking for explaining climatic response to orbital forcing has been suggested before. De Saedeleer et al. (2013) discuss in terms of the Van der Pol oscillator the possibility of synchronizing to spectral components of the  $65^\circ\text{N}$  summer solstice insolation. These are expressed in terms of a d'Alembert series resulting from the perturbative calculation of the orbital parameters (Berger, 1978). Here we are not so much concerned with the problem of which component of the orbital forcing the climate system is most sensitive. Since the different orbital parameters

1104

influence the insolation very differently in both seasonality and latitudinal variation it is unlikely that a single time series (with annual or less resolution) is sufficient to give the full account of the Pleistocene glacial cycles.

5 Still it is an open problem to which extent the global stack marine isotope record itself is sufficient to discriminate between the suggested low dimensional or conceptual models of the Pleistocene glacial cycles. A decisive model of the MPT, probably have to wait for future comprehensive climate model simulations and strong improvements in the regional climate reconstructions. Exploring alternative mechanisms in simple and conceptual models are thus important both for identifying robust features and for  
10 discriminating through hypothesis testing.

## 2 Existing models

In order to put our model in perspective, we review in the following some of the proposed models and their limitations. This is by no means a comprehensive review. The models of glacial cycles can roughly be categorized in two types: firstly, in mono-  
15 or multi-stable state models where the periodicity is solely a result of the periodicity of the orbital forcing. Secondly, in climate oscillator models where the glacial cycles in one way or another result from the internal oscillator resonating with the orbital forcing. For a thorough review of oscillator type models see Crucifix (2012).

The classical energy balance models (Budyko, 1969; Sellers, 1969) belong to  
20 the first category. In these models the ice-albedo feedback results in a two-state system, a present climate state and a glaciated state, which today is considered more realistically to describe the Snowball Earth climate. The relative weakness in the magnitude of the 100 kyr eccentricity cycle in insolation led to the introduction of the concept of stochastic resonance (Benzi et al., 1982).

25 For contrasting the glacial dynamics before and after the MPT a semi empirical model was proposed by Paillard (1998). The model is a rule based threshold model with three possible stable climate states, an interglacial, a mild glacial and a full glacial. With

1105

specific rules of transitions and a slow change of threshold, the model reproduces the Pleistocene record including the MPT as a response to the Milankovitch forcing. An empirical dynamical model, with a three stable state bifurcation diagram, explaining the specific rules of transitions in the Paillard model has been proposed (Ditlevsen,  
5 2009). In this model a change of the bifurcation structure causes the MPT. A different approach was taken in Huybers and Wunsch (2005) for explaining the 100 kyr world as a result of the 41 kyr orbital forcing. This is a stochastic threshold model with a linear drift towards glaciation. When a threshold proportional to the obliquity is reached the glaciation terminates and the climate is reset to the interglacial condition. This model  
10 naturally reproduces the sawtooth shape of the climate curve. The linear drift assumes a very long internal time scale of the order 100 kyr for glaciation. By a simple rescaling of this time scale the model can also reproduce the 41 kyr world, however, with more time asymmetric (sawtooth shaped) glaciations, than observations indicate.

Many different types of oscillator models have been suggested: The dynamics of ice  
15 sheets is roughly: Higher temperature → higher accumulation → growing ice sheet → high albedo → lower temperature (Kallen et al., 1979; Tziperman and Gildor, 2003). This results in free oscillations of the order 5–15 kyr, which are too fast to account for the glacial cycles. The non-linear response in this model to beat periods (combination tones) between the 19 and the 23 kyr precessional frequencies has been suggested  
20 to explain the 100 kyr glacial cycles (Le Treut and Ghil, 1983). To obtain free climate oscillations of as long a duration as 100 kyr, the combined effect of isostatic rebound and reduced accumulation with a high ice sheet (the elevation desert effect) is another suggestion (Hyde and Peltier, 1985). None of these models attempt to explain the MPT shift in glacial periods. It was suggested by Saltzman and Maasch (1991) that the  
25 ice sheet growth is controlled by the deep-ocean temperature: low ocean temperature → higher uptake of atmospheric CO<sub>2</sub> → less greenhouse warming → growth of ice sheets and sea ice → reduced meridional oceanic heat transport → higher ocean temperature. In the model this is a limit cycle, which is initiated at the MPT through a Hopf bifurcation as a result of a slow decrease in CO<sub>2</sub> from increased weathering.

1106

This model is not concerned with the 41 kyr climate oscillations prior to the MPT. A switch mechanism involving the sea-ice was proposed by Gildor and Tziperman (GT) (Tziperman and Gildor, 2003). In this GT-model the feedback loop is: high sea-ice cover (limited by the warm mid-latitude ocean) → reduced atmospheric temperature and precipitation (accumulation) over the ice sheets → negative mass balance → rapid retreat of the ice sheets → reduced albedo → increased temperature → rapid retreat of the sea-ice → increased precipitation and a positive mass balance. This is a relaxation oscillator, where the 100 kyr cycle is internally driven and independent of the orbital forcing. The time scale for growth of the ice sheets estimated as  $V/\text{Acc}$ , where  $V$  is the volume of the ice sheet and  $\text{Acc}$  is the accumulation (in suitable units) is of the order 10–30 kyr. The time scale of 100 kyr glacial cycles in the GT-model is rather estimated from  $V/(\text{Acc} - \text{Abl})$ , where  $(\text{Acc} - \text{Abl})$  is the difference between accumulation and ablation in the growth phase. In principle this estimate is not well constrained, since in the case of almost mass balance this growing time is infinite. If the deep ocean in the model is warm enough, the sea-ice switch is not active, and the model oscillates linearly with the orbital forcing. Gradual cooling of the deep ocean, crossing a threshold at MPT activates the sea-ice switch mechanism and the 100 kyr oscillations of the late Pleistocene period. Alternatively, the 41 kyr world could be self-sustained oscillations, perhaps locked to the obliquity cycles (Ashkenazy and Tziperman, 2004).

All the above suggested physical mechanisms are potentially at play in the climate system, thus it is difficult to assess the relative importance without realistic quantitative modelling, which at present is computationally prohibited. However, identifying possible dynamical mechanisms for explaining the observed record merits the more conceptual model approaches. Rial (2004) suggested a logistic-delayed differential equation for the ice volume coupled, through a carrying capacity, to a temperature. The temperature is determined by energy balance between incoming and outgoing radiation. By changing the coupling via the carrying capacity, the MPT is reproduced. The underlying physical mechanism is difficult to identify, partly because delay-equations are notoriously difficult to analyse. An even simpler approach was taken by Huybers (2009), in which the

1107

Pleistocene climate is described by a discrete map, where the ice volume depends on the ice volume with a lag of 9 kyr, which is approximately the lag between climate oscillations and their derivative in a 40 kyr harmonic cycle. The hypothesis is that the late Pleistocene cycles are purely chaotic, while the 41 kyr cycle prior to the MPT are results of coincidental oscillations near an unstable period 2 cycle in the map. However, within the model framework, the observed long sequence of 41 kyr cycles prior to the MPT seems highly unlikely.

The conceptual modelling is also our approach here, where we shall argue that the MPT could be a change in the internal dynamics leading to a change in frequency locking to the obliquity cycle. This would imply a fundamental limitation in climate predictability, such as inferences about the next inception. We do, however, find this type of un-falsifiable very long time predictions into the future rather academic, but the suggested critical dependence on model parameters is potentially an important guideline for more realistic future model simulations and theories of the Pleistocene glacial dynamics.

### 3 Model

As a minimal modelling approach we explore a two variable non-linear oscillator model, containing a scaled temperature anomaly  $\tau = (T - T_0)/T_0$ , where  $T$  is a large scale (Northern Hemisphere or global) mean surface temperature, and  $T_0$  is the long term mean. The other variable  $x$  was inspired by the global ice mass anomaly. However, the approaches of Saltzman and Maasch (1991) and that of Tziperman and Gildor (2003) clearly indicate that besides the ice volume, also the deep sea temperature and possible other factors play a decisive role in determining the internal climate dynamics, leading to coupled and nonlinear climatic memory effects. It is possible that if two (or more) interacting processes are involved in shaping the climatic memory, it might not possess a unique characteristic time, and also the climatic state dependent effective internal timescale might be much longer than any of the involved characteristic times

1108

due to the potential multiplicative coupling. With the integral variable  $x$  we mimic such integrated climatic memory effects.

In the light of the above, we consider a simple integrative relation between the effective climatic memory term  $x$  and the temperature anomaly

$$\dot{x}(t) = \lambda\tau(t), \quad (1)$$

where  $\lambda^{-1}$  represents the timescale unit of the climatic memory. The paleoclimatic record strongly indicate that the climate can be in one of more possible stable states. Within a two variable model, not resolving this multi-state dynamics, the evolution of the temperature anomaly is represented by an effective climate potential  $V(x)$  which could possess different local minima and a damping of the anomaly ( $-\kappa\tau$ ). The interaction between the internal dynamics and the external drive is modeled as a multiplicative coupling between the solar insolation  $A(t)$  and the climatic memory term  $x(t)$ :

$$\dot{x}(t) = -V'(x(t)) - \kappa\tau(t) - x(t)A(t) + \sigma\eta(t), \quad (2)$$

where  $'$  denotes derivative with respect to the argument. The last term is a climatic noise term to account for unresolved processes, where  $\eta(t)$  represents a white noise contribution with  $\langle\eta(t)\eta(t')\rangle = \delta_{t,t'}$ .

Furthermore, we define

$$V(x) = \alpha x - x^2/2 + x^4/4 \quad (3)$$

as the effective climate potential. This is the simplest non-trivial polynomial multiple state potential, which can contain two minima representing two distinct stable climate states. We note that the non-symmetric, non-harmonic nature of the implemented climate potential renders no characteristic timescale to the climatic response. Furthermore, note that this potential is not the potential derived from the classical Budyko–Sellers energy balance model (Budyko, 1969), now believed to describe the Snowball Earth dynamics. The energy balance model includes the ice albedo effect in

1109

the potential, while we include it in the forcing term  $-x(t)A(t)$  involving a multiplicative coupling.

The model described by Eqs. (1)–(3) is a forced non-linear oscillator, including a multiplicative coupling between the internal dynamics (climatic memory effects) and the external forcing and can possess a two state effective climatic potential (Eq. 2).

We will however not be concerned with the strongly non-linear regime, where multiple steady states are possible. On the contrary, we will throughout the rest of the paper use  $\alpha = 0.8$  in Eq. (2), thus  $V(x)$  is a non-harmonic skewed potential with a single minimum. The major effect of the non-harmonic nature of the potential is that the frequency of free oscillations (in case of no damping and no forcing) depends on the amplitude of oscillation. Thus the system does not have a natural internal frequency of oscillation.

The external drive has a period (time-scale)  $T_{\text{ext}} = 2\pi/\omega$ , where  $\omega$  is the dominant frequency of the orbital forcing. In this work we shall not so much be concerned with the multi-frequency nature of the orbital forcing (De Saedeleer et al., 2013). The orbital changes in insolation strongly depends on latitude and season, which is not directly incorporated in an effective low dimensional model as presented here.

In the following we demonstrate that the model is capable of reproducing the climate record including the MPT and the time asymmetry, the sawtooth shapes, of the glacial cycles in the 100kyr world solely as a response to the obliquity cycles. In order to explore the dynamical features of the model, we shall first simplify by applying a pure sinusoidal forcing  $A(t) = A\sin(\omega t)$  with a period of 41 kyr, which quite accurately represents the obliquity cycle.

The simple model presented here exhibits surprisingly complex dynamics. Our postulate is that the MPT is a result of a slow environmental change represented in a slow change in a model parameter. Here we restrict ourselves to changes in the climatic damping coefficient  $\kappa$ . This change results in a shift from a period-1 frequency locking to a period 2 and 3 frequency locking. Such a change in the dynamical response to the forcing results in a very long non-periodic transient response, which might explain why the timing of the MPT varies so much (from 1.2 Myr to 800 kyr).







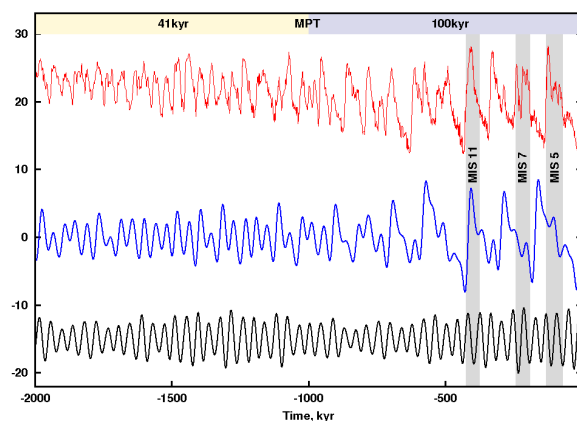






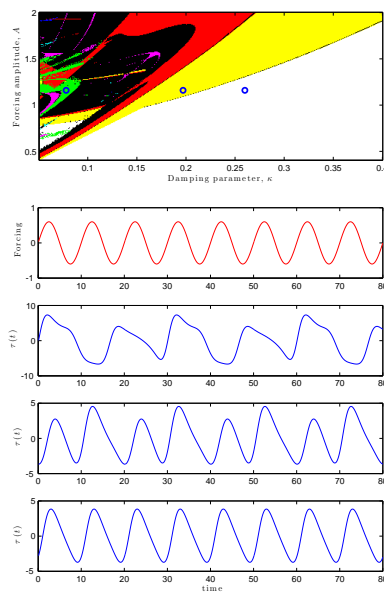
- Paillard, D.: The timing of Pleistocene glaciations from a simple multiple-state climate model, *Nature*, 391, 378–381, 1998. 1105
- Petit, J. R., Jouzel, J., Raynaud, D., Barkov, N. I., Barnola, J.-M., Basile, I., Benders, M., Chappellaz, J., Davis, M., Delaygue, G., Delmotte, M., Kotlyakov, V. M., Legrand, M., Lipenkov, V. Y., Lorius, C., Pépin, L., Ritz, C., Saltzman, E., and Stievenard, M.: Climate and atmospheric history of the past 420,000 years from the Vostok ice core, Antarctica, *Nature*, 399, 429–436, 1999. 1103
- Rial, J. A.: Abrupt climate change: chaos and order at orbital and millennial scales, *Global Planet. Change*, 41, 95–109, 2004. 1107
- 10 Saltzman, B. and Maasch, K.: A first-order global model of late Cenozoic climate change II: a simplification of CO<sub>2</sub> dynamics, *Clim. Dynam.*, 5, 201–210, 1991. 1104, 1106, 1108
- Sellers, W. D.: A climate model based on the energy balance of the earth–atmosphere system, *J. Appl. Meteorol.*, 8, 392–400, 1969. 1105
- Shackleton, N. J.: Deep-sea sediment record and the Pliocene–Pleistocene boundary, *Quatern. Int.*, 40, 33–35, 1997. 1103
- 15 Tziperman, E. and Gildor, H.: On the mid-Pleistocene transition to 100 kyr glacial cycles and the asymmetry between glaciation and deglaciation times, *Paleoceanography*, 18, 1–8, 2003. 1106, 1107, 1108

1119



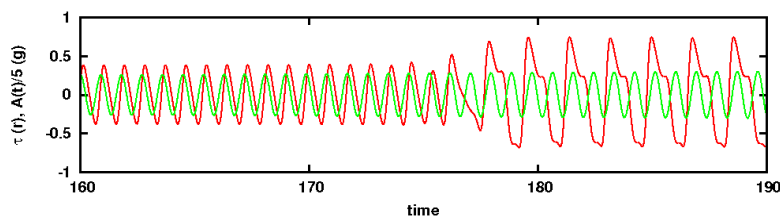
**Fig. 1.** The Mid-Pleistocene Transition (MPT). The red curve corresponds to the composite deep sea foraminiferal isotope record (Lisiecki et al., 2005). The MPT is seen as a change around 1 MyrBP in period from 41 kyr in the early Pleistocene to approximately 100 kyr in the late Pleistocene. The former cycles are symmetric, while the latter cycles are asymmetric (“sawtooth shaped”). The blue curve shows the model results for the related temperature anomaly when driven by real obliquity data (Berger and Loutre, 1991), represented by the black curve. Both the foraminiferal record and the obliquity data were scaled and shifted vertically for better visual representation. The parameter values used for this plot are discussed in the text.

1120



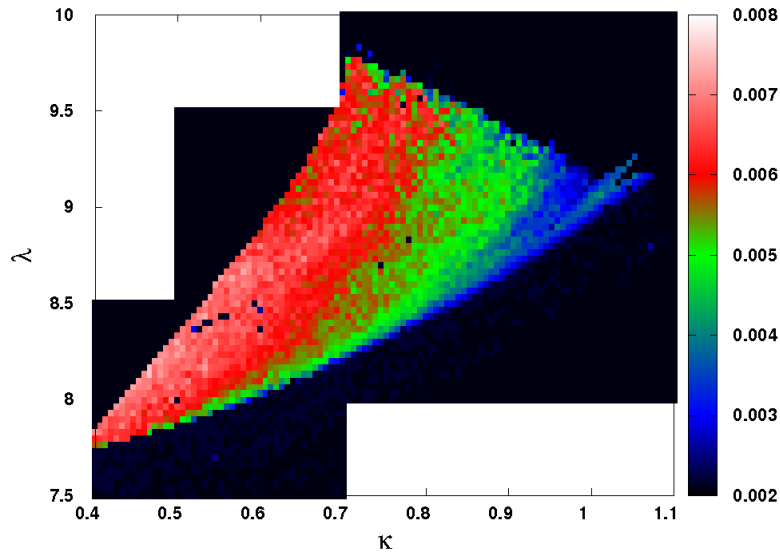
**Fig. 2.** The behavior of the model driven by a sinusoidal forcing  $A\sin(\omega t)$ , shown in red in the second panel. The top panel shows the periods in multiplets of the driving period ( $2\pi/\omega$ ): White corresponds to periodic solutions with period 1, yellow: period 2, green: period 3, red: period 4, magenta: period 6, black:  $\geq 8$ , including non-periodic (chaotic) solutions. The bottom three panels show the solutions corresponding to the blue circles in the top panel left-to-right (periods 3, 2, 1 respectively). Changing parameters along a horizontal line shows a period doubling route to chaos. We used the parameters  $\omega = 2\pi/10$ ,  $\lambda = 0.1$ , with  $x_0 = 0$ , and  $\tau_0 = 1$  as initial conditions for this plot.

1121



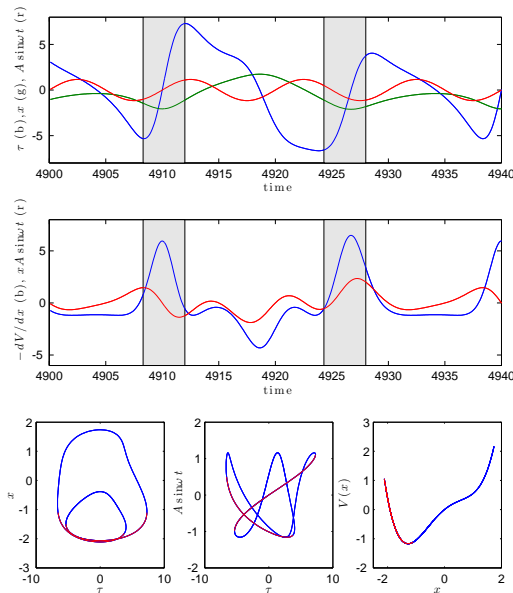
**Fig. 3.** Even a very small, visually unnoticeable gradual change in the sinusoidal driving (plotted in green) can lead to a sharp, remarkable period doubling in the climatic response  $\tau$  (plotted in red). We used the parameters  $\omega = 7$ ,  $\lambda = 10$ ,  $\kappa = 1.3$ , and  $A(t) = 0.008t \sin(\omega t)$  with  $x_0 = -1.2756$ , and  $\tau_0 = 0$  as initial conditions for this plot.

1122



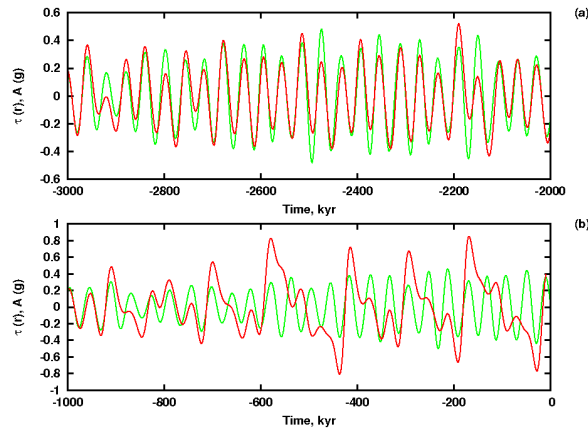
**Fig. 4.** Plot of the Lyapunov-exponent (in units of  $\text{kyr}^{-1}$ ) for the temperature anomaly ( $\tau$ ) oscillations for a section of the  $(\lambda-\kappa)$  parameter phase space, demonstrating the presence of chaos in the model. The parameter values are  $\omega = 10$ ,  $A = 1$ , and  $x_0 = 0$ ,  $\tau_0 = 1$  were used as initial conditions.

1123



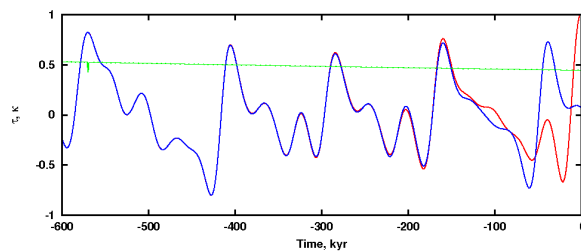
**Fig. 5.** The period three response to a sinusoidal forcing, as in Fig. 2. The parameter values are  $\alpha = 0.8$ ,  $\lambda = 0.087$ ,  $\kappa = 0.078$ ,  $A = 1.16$ ,  $\omega = 2\pi/10$ . Top panel shows the variables  $\tau(t)$  (blue),  $x(t)$  (green) and  $A\sin(\omega t)$  (red). The grey bands with rapid increase in  $\tau(t)$  are defined as the fast periods, while the rest are the slow periods. The middle panel shows the two dominant terms in the right hand side of the dynamical Eq. (2):  $-dV/dx$  (blue) and  $xA\sin(\omega t)$  (red). It is seen that the fast dynamics is governed by the former term, representing internal dynamics. The bottom left panel show the phase space portrait of the period three solution, while the bottom middle panel shows the forcing  $A\sin(\omega t)$  vs.  $\tau$ . The bottom right panel shows the “climate potential”. The asymmetry of the potential is responsible for the fast-slow dynamics and the saw-tooth shape of the record. The red parts of the curves correspond to the fast periods.

1124



**Fig. 6.** The temperature oscillations lag the external drive. The plot shows the temperature oscillations (red curves) shifted by 9 kyr to match the phase of the obliquity oscillations (green curves). The 9 kyr lag persists through the whole model-MPT process. The parameter values used for this plot are identical with that of Fig. 1.

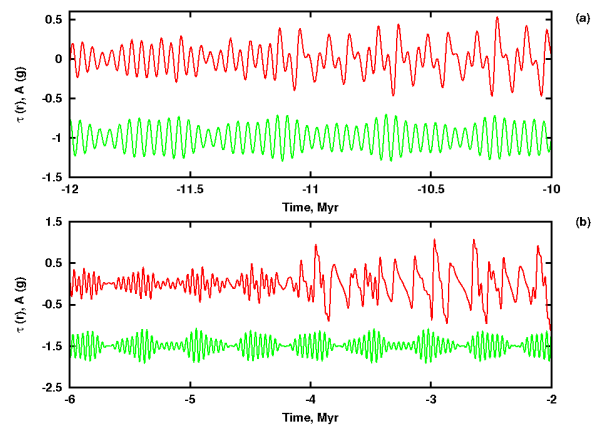
1125



**Fig. 7.** A small perturbation in the climatic damping coefficient (green curve) leads to a remarkable shift of the glacial cycles, constituting a climatic butterfly effect. The blue and red curves correspond to the resulting temperature anomalies without and with the perturbation in the time protocol of the climatic damping coefficient, both driven by real obliquity data (Berger and Loutre, 1991) in the same way as described in the text for Fig. 1. The parameter values are  $\lambda = 10$ ,  $\kappa_0 = 1.1$ ,  $\kappa_1 = 0.3$ ,  $t_0 = 3.5$  Myr,  $t_s = 2.008$  Myr, and  $x_0 = -1.2756$ ,  $\tau_0 = 0$  were used as initial conditions.

1126





**Fig. 8. (a)** Climatic noise induced MPT. The figure demonstrates the 41 kyr pre-MPT and the (80+120) kyr post-MPT temperature oscillations cycles (red curve). The green curve represents the model external drive Eq. (5), scaled and vertically shifted for better visibility. **(b)** Obliquity irregularities induced MPT. The figure demonstrates the 41 kyr pre-MPT and the post-MPT “saw-tooth shaped” temperature oscillations (red curve). The green curve represents the model external drive Eq. (5), scaled and vertically shifted for better visibility. The parameter values used for these plots are discussed in the text.